

U.S. Department of Housing and Urban Development
Office of Public and Indian Housing

PHA Plans

5 Year Plan for Fiscal Years 2000 - 2004
Annual Plan for Fiscal Year 2001

**NOTE: THIS PHA PLANS TEMPLATE (HUD 50075) IS TO BE COMPLETED IN
ACCORDANCE WITH INSTRUCTIONS LOCATED IN APPLICABLE PIH NOTICES**

Revised 11/19/01

**PHA Plan
Agency Identification**

PHA Name: Housing Authority of the Birmingham District

PHA Number: AL09 P001

PHA Fiscal Year Beginning: 07/01

Public Access to Information

Information regarding any activities outlined in this plan can be obtained by contacting: (select all that apply)

- Main administrative office of the PHA
- PHA development management offices
- PHA local offices

Display Locations For PHA Plans and Supporting Documents

The PHA Plans (including attachments) are available for public inspection at: (select all that apply)

- Main administrative office of the PHA
- PHA development management offices
- PHA local offices
- Main administrative office of the local government
- Main administrative office of the County government
- Main administrative office of the State government
- Public library
- PHA website
- Other (list below)

PHA Plan Supporting Documents are available for inspection at: (select all that apply)

- Main business office of the PHA
- PHA development management offices
- Other (list below)

5-YEAR PLAN
PHA FISCAL YEARS 2000 - 2004

[24 CFR Part 903.5]

A. Mission

State the PHA's mission for serving the needs of low-income, very low income, and extremely low-income families in the PHA's jurisdiction. (select one of the choices below)

- The mission of the PHA is the same as that of the Department of Housing and Urban Development: To promote adequate and affordable housing, economic opportunity and a suitable living environment free from discrimination.
- The PHA's mission is:
The mission of the Housing Authority of the Birmingham District is to be the leader in making available excellent, affordable housing for low and moderate income persons through effective management and the wise stewardship of public funds. We will also partner with our residents and others to enhance the quality of life in our communities.

B. Goals

The goals and objectives listed below are derived from HUD's strategic Goals and Objectives and those emphasized in recent legislation. PHAs may select any of these goals and objectives as their own, or identify other goals and/or objectives. Whether selecting the HUD-suggested objectives or their own, **PHAS ARE STRONGLY ENCOURAGED TO IDENTIFY QUANTIFIABLE MEASURES OF SUCCESS IN REACHING THEIR OBJECTIVES OVER THE COURSE OF THE 5 YEARS.** (Quantifiable measures would include targets such as: numbers of families served or PHAS scores achieved.) PHAs should identify these measures in the spaces to the right of or below the stated objectives.

HUD Strategic Goal: Increase the availability of decent, safe, and affordable housing.

- PHA Goal: Expand the supply of assisted housing
Objectives:
- Apply for additional rental vouchers:
 - Reduce public housing vacancies:
 - Leverage private or other public funds to create additional housing opportunities:
 - Acquire or build units or developments
 - Partner with community-based Community Development Corporations, other appropriate non-profit organizations and the City of Birmingham to provide new affordable housing of appropriate types for all appropriate market/income segments.
- PHA Goal: Improve the quality of assisted housing
Objectives:
- Improve public housing management: (PHAS score)
 - Improve voucher management: (SEMAP score)
 - Increase customer satisfaction:
 - Concentrate on efforts to improve specific management functions:

Improve the Section 8 voucher unit inspection process
Increase the number of Section 8 units under lease
Increase the occupancy rate for Public Housing units

- Renovate or modernize public housing units:
 - Demolish or dispose of obsolete public housing:
 - Provide replacement public housing:
 - Provide replacement vouchers:
 - Other: (list below)
- PHA Goal: Increase assisted housing choices
- Objectives:
- Provide voucher mobility counseling:
 - Conduct outreach efforts to potential voucher landlords
 - Increase voucher payment standards
 - Implement voucher homeownership program:
 - Implement public housing or other homeownership programs:
 - Implement public housing site-based waiting lists:
 - Convert public housing to vouchers:
 - Partner with community-based Community Development Corporations, other appropriate non-profit organizations and the City of Birmingham to provide renovated and newly constructed affordable housing in appropriate neighborhoods in the city.

HUD Strategic Goal: Improve community quality of life and economic vitality

- PHA Goal: Provide an improved living environment
- Objectives:
- Implement measures to deconcentrate poverty by bringing higher income public housing households into lower income developments:
 - Implement measures to promote income mixing in public housing by assuring access for lower income families into higher income developments:
 - Implement public housing security improvements:
 - Designate developments or buildings for particular resident groups (elderly, persons with disabilities)
 - Smithfield Homes (AL09P001009) will be designated for occupancy only by elderly families and families with disabilities.
HABD will begin adding amenities to improve the quality of life for residents (air conditioning, increase parking....)

HUD Strategic Goal: Promote self-sufficiency and asset development of families and individuals

- PHA Goal: Promote self-sufficiency and asset development of assisted households

Objectives:

- Increase the number and percentage of employed persons in assisted families:
- Provide or attract supportive services to improve assistance recipients' employability:
- Provide or attract supportive services to increase independence for the elderly or families with disabilities.
- Expand current HABD programs and support other programs which foster home ownership opportunities through education on home ownership responsibilities, household financial management, credit counseling and related subjects.

HUD Strategic Goal: Ensure Equal Opportunity in Housing for all Americans

- PHA Goal: Ensure equal opportunity and affirmatively further fair housing

Objectives:

- Undertake affirmative measures to ensure access to assisted housing regardless of race, color, religion national origin, sex, familial status, and disability:
- Undertake affirmative measures to provide a suitable living environment for families living in assisted housing, regardless of race, color, religion national origin, sex, familial status, and disability:
- Undertake affirmative measures to ensure accessible housing to persons with all varieties of disabilities regardless of unit size required:
- Undertake affirmative measures to ensure access to assisted housing in a suitable living environment regardless of age.

Other PHA Goals and Objectives: (list below)

- PHA Goal: Take a leadership role in the creation of significant additional organizational capacity to provide and maintain quality affordable housing in Birmingham and the region.

Objectives:

- Participate in new and ongoing affordable housing initiatives such as the 21st Century Challenge.

- Conduct and participate in workshops and information sessions with the leadership of community-based Community Development Corporations and other non-profit organizations dealing with affordable housing, community revitalization, and related issues regarding HABD/HUD sponsored and funded programs for the provision of affordable housing and related community reinvestment programs.

- Partner with community based Community Development Corporations, other appropriate non-profit organizations and the City of Birmingham to provide renovated and newly constructed affordable housing in appropriate neighborhoods in the city as part of an overall, coordinated strategy for community reinvestment and revitalization.

Annual PHA Plan
PHA Fiscal Year 2001
[24 CFR Part 903.7]

i. Annual Plan Type:

Select which type of Annual Plan the PHA will submit.

Standard Plan

Streamlined Plan:

- High Performing PHA**
- Small Agency (<250 Public Housing Units)**
- Administering Section 8 Only**

Troubled Agency Plan

ii. Executive Summary of the Annual PHA Plan

[24 CFR Part 903.7 9 (r)]

Provide a brief overview of the information in the Annual Plan, including highlights of major initiatives and discretionary policies the PHA has included in the Annual Plan.

iii. Annual Plan Table of Contents

[24 CFR Part 903.7 9 (r)]

Provide a table of contents for the Annual Plan, including attachments, and a list of supporting documents available for public inspection.

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Attachments

Indicate which attachments are provided by selecting all that apply. Provide the attachment's name (A, B, etc.) in the space to the left of the name of the attachment. Note: If the attachment is provided as a **SEPARATE** file submission from the PHA Plans file, provide the file name in parentheses in the space to the right of the title.

Required Attachments:

- Admissions Policy for Deconcentration
- FY 2001 Capital Fund Program Annual Statement
- Most recent board-approved operating budget (Required Attachment for PHAs that are troubled or at risk of being designated troubled ONLY)

Optional Attachments:

- PHA Management Organizational Chart
- FY 2000 Capital Fund Program 5 Year Action Plan
- Public Housing Drug Elimination Program (PHDEP) Plan
- Comments of Resident Advisory Board or Boards (must be attached if not included in PHA Plan text)
- Other (List below, providing each attachment name)

Supporting Documents Available for Review

Indicate which documents are available for public review by placing a mark in the "Applicable & On Display" column in the appropriate rows. All listed documents must be on display if applicable to the program activities conducted by the PHA.

List of Supporting Documents Available for Review		
Applicable & On Display	Supporting Document	Applicable Plan Component
	PHA Plan Certifications of Compliance with the PHA Plans and Related Regulations	5 Year and Annual Plans
X	State/Local Government Certification of Consistency with the Consolidated Plan	5 Year and Annual Plans
X	Fair Housing Documentation: Records reflecting that the PHA has examined its programs or proposed programs, identified any impediments to fair housing choice in those programs, addressed or is addressing those impediments in a reasonable fashion in view of the resources available, and worked or is working with local jurisdictions to implement any of the jurisdictions' Initiatives to affirmatively further fair housing that require the PHA's involvement.	5 Year and Annual Plans
	Consolidated Plan for the jurisdiction/s in which the PHA is	Annual Plan:

List of Supporting Documents Available for Review		
Applicable & On Display	Supporting Document	Applicable Plan Component
X	located (which includes the Analysis of Impediments to Fair Housing Choice (AI)) and any additional backup data to support statement of housing needs in the jurisdiction	Housing Needs
X	Most recent board-approved operating budget for the public housing program	Annual Plan: Financial Resources;
X	Public Housing Admissions and (Continued) Occupancy Policy (A&O), which includes the Tenant Selection and Assignment Plan [TSAP]	Annual Plan: Eligibility, Selection, and Admissions Policies
X	Section 8 Administrative Plan	Annual Plan: Eligibility, Selection, and Admissions Policies
X	Public Housing Deconcentration and Income Mixing Documentation: 1. PHA board certifications of compliance with deconcentration requirements (section 16(a) of the US Housing Act of 1937, as implemented in the 2/18/ 99 <i>Quality Housing and Work Responsibility Act Initial Guidance; Notice</i> and any further HUD guidance) and 2. Documentation of the required deconcentration and income mixing analysis	Annual Plan: Eligibility, Selection, and Admissions Policies
X	Public housing rent determination policies, including the methodology for setting public housing flat rents <input type="checkbox"/> check here if included in the public housing A & O Policy	Annual Plan: Rent Determination
X	Schedule of flat rents offered at each public housing development <input type="checkbox"/> check here if included in the public housing A & O Policy	Annual Plan: Rent Determination
X	Section 8 rent determination (payment standard) policies <input checked="" type="checkbox"/> check here if included in Section 8 Administrative Plan	Annual Plan: Rent Determination
X	Public housing management and maintenance policy documents, including policies for the prevention or eradication of pest infestation (including cockroach infestation)	Annual Plan: Operations and Maintenance
X	Public housing grievance procedures <input checked="" type="checkbox"/> check here if included in the public housing A & O Policy	Annual Plan: Grievance Procedures
X	Section 8 informal review and hearing procedures <input checked="" type="checkbox"/> check here if included in Section 8 Administrative Plan	Annual Plan: Grievance Procedures
X	The HUD-approved Capital Fund/Comprehensive Grant Program Annual Statement (HUD 52837) for the active grant year	Annual Plan: Capital Needs
X	Most recent CIAP Budget/Progress Report (HUD 52825) for any active CIAP grant	Annual Plan: Capital Needs

List of Supporting Documents Available for Review		
Applicable & On Display	Supporting Document	Applicable Plan Component
X	Most recent, approved 5 Year Action Plan for the Capital Fund/Comprehensive Grant Program, if not included as an attachment (provided at PHA option)	Annual Plan: Capital Needs
X	Approved HOPE VI applications or, if more recent, approved or submitted HOPE VI Revitalization Plans or any other approved proposal for development of public housing	Annual Plan: Capital Needs
X	Approved or submitted applications for demolition and/or disposition of public housing	Annual Plan: Demolition and Disposition
	Approved or submitted applications for designation of public housing (Designated Housing Plans)	Annual Plan: Designation of Public Housing
	Approved or submitted assessments of reasonable revitalization of public housing and approved or submitted conversion plans prepared pursuant to section 202 of the 1996 HUD Appropriations Act	Annual Plan: Conversion of Public Housing
X	Approved or submitted public housing homeownership programs/plans	Annual Plan: Homeownership
X	Policies governing any Section 8 Homeownership program <input checked="" type="checkbox"/> check here if included in the Section 8 Administrative Plan	Annual Plan: Homeownership
X	Any cooperative agreement between the PHA and the TANF agency	Annual Plan: Community Service & Self-Sufficiency
X	FSS Action Plan/s for public housing and/or Section 8	Annual Plan: Community Service & Self-Sufficiency
X	Most recent self-sufficiency (ED/SS, TOP or ROSS or other resident services grant) grant program reports	Annual Plan: Community Service & Self-Sufficiency
X	The most recent Public Housing Drug Elimination Program (PHDEP) semi-annual performance report for any open grant and most recently submitted PHDEP application (PHDEP Plan)	Annual Plan: Safety and Crime Prevention
X	The most recent fiscal year audit of the PHA conducted under section 5(h)(2) of the U.S. Housing Act of 1937 (42 U.S.C. 1437c(h)), the results of that audit and the PHA's response to any findings	Annual Plan: Annual Audit
	Troubled PHAs: MOA/Recovery Plan	Troubled PHAs
	Other supporting documents (optional) (list individually; use as many lines as necessary)	(specify as needed)

1. Statement of Housing Needs

[24 CFR Part 903.7 9 (a)]

A. Housing Needs of Families in the Jurisdiction/s Served by the PHA

Based upon the information contained in the Consolidated Plan/s applicable to the jurisdiction, and/or other data available to the PHA, provide a statement of the housing needs in the jurisdiction by completing the following table. In the "Overall" Needs column, provide the estimated number of renter families that have housing needs. For the remaining characteristics, rate the impact of that factor on the

housing needs for each family type, from 1 to 5, with 1 being “no impact” and 5 being “severe impact.” Use N/A to indicate that no information is available upon which the PHA can make this assessment.

Housing Needs of Families in the Jurisdiction by Family Type							
Family Type	Overall	Afford- ability	Supply	Quality	Access- ibility	Size	Loca- tion
Income <= 30% of AMI	15,629	4	1	4	N/A	2	1
Income >30% but <=50% of AMI	8,268	4	1	3	N/A	2	1
Income >50% but <80% of AMI	8,831	2	1	2	N/A	2	1
Elderly	7,699	4	1	2	N/A	1	1
Families with Disabilities	N/A						
Race/Ethnicity	N/A						
Race/Ethnicity	N/A						
Race/Ethnicity	N/A						
Race/Ethnicity	N/A						

What sources of information did the PHA use to conduct this analysis? (Check all that apply; all materials must be made available for public inspection.)

- Consolidated Plan of the Jurisdiction/s
Indicate year: 2000
- U.S. Census data: the Comprehensive Housing Affordability Strategy (“CHAS”) dataset
- American Housing Survey data
Indicate year:
- Other housing market study
Indicate year:
- Other sources:

HABD Strategic Facilities Analysis Plan (1998)

B. Housing Needs of Families on the Public Housing and Section 8 Tenant- Based Assistance Waiting Lists

State the housing needs of the families on the PHA's waiting list/s. **Complete one table for each type of PHA-wide waiting list administered by the PHA.** PHAs may provide separate tables for site-based or sub-jurisdictional public housing waiting lists at their option.

Housing Needs of Families on the Waiting List			
Waiting list type: (select one)			
<input checked="" type="checkbox"/> Section 8 tenant-based assistance			
<input type="checkbox"/> Public Housing			
<input type="checkbox"/> Combined Section 8 and Public Housing			
<input type="checkbox"/> Public Housing Site-Based or sub-jurisdictional waiting list (optional)			
If used, identify which development/subjurisdiction:			
	# of families	% of total families	Annual Turnover
Waiting list total	1,529		
Extremely low income <=30% AMI	528	35%	
Very low income (>30% but <=50% AMI)	1,001	65%	
Low income (>50% but <80% AMI)	- 0 -	- 0 -	
Families with children	1,488	97%	
Elderly families	58	4%	
Families with Disabilities	723	47%	
Race/ethnicity (B)	1,501	98%	
Race/ethnicity (W)	28	2%	
Race/ethnicity			
Race/ethnicity			

B. Housing Needs of Families on the Public Housing and Section 8 Tenant- Based Assistance Waiting Lists

State the housing needs of the families on the PHA's waiting list/s. **Complete one table for each type of PHA-wide waiting list administered by the PHA.** PHAs may provide separate tables for site-based or sub-jurisdictional public housing waiting lists at their option.

Housing Needs of Families on the Waiting List			
Waiting list type: (select one)			
<input type="checkbox"/> Section 8 tenant-based assistance			
<input checked="" type="checkbox"/> Public Housing			
<input type="checkbox"/> Combined Section 8 and Public Housing			
<input type="checkbox"/> Public Housing Site-Based or sub-jurisdictional waiting list (optional)			
If used, identify which development/subjurisdiction:			
	# of families	% of total families	Annual Turnover
Waiting list total	859		
Extremely low income <=30% AMI	N/A		
Very low income (>30% but <=50% AMI)	NA		
Low income (>50% but <80% AMI)	N/A		
Families with children	N/A		
Elderly families	N/A		
Families with Disabilities	N/A		
Race/ethnicity	N/A		
Characteristics by Bedroom Size (Public Housing Only)			
1BR	235	27%	
2 BR	384	45%	
3 BR	189	22%	
4 BR	42	5%	
5 BR	9	1%	
5+ BR	0	0%	

Housing Needs of Families on the Waiting List

Is the waiting list closed (select one)? No Yes

If yes:

How long has it been closed (# of months)?

Does the PHA expect to reopen the list in the PHA Plan year? No Yes

Does the PHA permit specific categories of families onto the waiting list, even if generally closed? No Yes

C. Strategy for Addressing Needs

Provide a brief description of the PHA's strategy for addressing the housing needs of families in the jurisdiction and on the waiting list **IN THE UPCOMING YEAR**, and the Agency's reasons for choosing this strategy.

The vacancy rate for December 28, 2000 was 26%. HABD is currently implementing a marketing outreach plan to increase the number of working families and to reduce the vacancy rate.

(1) Strategies

Need: Shortage of affordable housing for all eligible populations

Strategy 1. Maximize the number of affordable units available to the PHA within its current resources by:

Select all that apply

- Employ effective maintenance and management policies to minimize the number of public housing units off-line
- Reduce turnover time for vacated public housing units
- Reduce time to renovate public housing units
- Seek replacement of public housing units lost to the inventory through mixed finance development
- Seek replacement of public housing units lost to the inventory through section 8 replacement housing resources
- Maintain or increase section 8 lease-up rates by establishing payment standards that will enable families to rent throughout the jurisdiction
- Undertake measures to ensure access to affordable housing among families assisted by the PHA, regardless of unit size required
- Maintain or increase section 8 lease-up rates by marketing the program to owners, particularly those outside of areas of minority and poverty concentration
- Maintain or increase section 8 lease-up rates by effectively screening Section 8 applicants to increase owner acceptance of program
- Participate in the Consolidated Plan development process to ensure coordination with broader community strategies
- Expand HABD housing resources by partnering with the City of Birmingham

as well as other agencies , non-profit corporations and for-profit corporations.

Strategy 2: Increase the number of affordable housing units by:

Select all that apply

- Apply for additional section 8 units should they become available
- Leverage affordable housing resources in the community through the creation of mixed - finance housing
- Pursue housing resources other than public housing or Section 8 tenant-based assistance.
- Partner with community-based Community Development Corporations, other non-profit organizations and the City of Birmingham to provide new affordable housing of appropriate types for all appropriate market/income segments.

Need: Specific Family Types: Families at or below 30% of median

Strategy 1: Target available assistance to families at or below 30 % of AMI

Select all that apply

- Exceed HUD federal targeting requirements for families at or below 30% of AMI in public housing
- Exceed HUD federal targeting requirements for families at or below 30% of AMI in tenant-based section 8 assistance
- Employ admissions preferences aimed at families with economic hardships
- Adopt rent policies to support and encourage work
- Other: (list below)

Need: Specific Family Types: Families at or below 50% of median

Strategy 1: Target available assistance to families at or below 50% of AMI

Select all that apply

- Employ admissions preferences aimed at families who are working
- Adopt rent policies to support and encourage work
- Other: (list below)

Need: Specific Family Types: The Elderly

Strategy 1: Target available assistance to the elderly:

Select all that apply

- Seek designation of public housing for the elderly
- Apply for special-purpose vouchers targeted to the elderly, should they become available

- Partner with community-based Community Development Corporations, other appropriate non-profit organizations and the City of Birmingham to provide new affordable housing of appropriate types for all elderly market/income segments.

Need: Specific Family Types: Families with Disabilities

Strategy 1: Target available assistance to Families with Disabilities:

Select all that apply

- Seek designation of public housing for families with disabilities
- Carry out the modifications needed in public housing based on the section 504 Needs Assessment for Public Housing
- Apply for special-purpose vouchers targeted to families with disabilities, should they become available
- Affirmatively market to local non-profit agencies that assist families with disabilities
- Smithfield Homes (AL09P001009) will be designated for occupancy only by elderly families and families with disabilities.
- Partner with community-based Community Development Corporations, other appropriate non-profit organizations and the City of Birmingham to provide new affordable housing of appropriate types for all disabled market/income segments.

Need: Specific Family Types: Races or ethnicities with disproportionate housing needs

Strategy 1: Increase awareness of PHA resources among families of races and ethnicities with disproportionate needs:

Select if applicable

- Affirmatively market to races/ethnicities shown to have disproportionate housing needs
- Other: (list below)

Strategy 2: Conduct activities to affirmatively further fair housing

Select all that apply

- Counsel section 8 tenants as to location of units outside of areas of poverty or minority concentration and assist them to locate those units
- Market the section 8 program to owners outside of areas of poverty /minority concentrations
- Other: (list below)

Other Housing Needs & Strategies: (list needs and strategies below)

(2) Reasons for Selecting Strategies

Of the factors listed below, select all that influenced the PHA’s selection of the strategies it will pursue:

- Funding constraints
- Staffing constraints
- Limited availability of sites for assisted housing
- Extent to which particular housing needs are met by other organizations in the community
- Evidence of housing needs as demonstrated in the Consolidated Plan and other information available to the PHA
- Influence of the housing market on PHA programs
- Community priorities regarding housing assistance
- Results of consultation with local or state government
- Results of consultation with residents and the Resident Advisory Board
- Results of consultation with advocacy groups
- Other: (list below)

2. Statement of Financial Resources

[24 CFR Part 903.7 9 (b)]

List the financial resources that are anticipated to be available to the PHA for the support of Federal public housing and tenant-based Section 8 assistance programs administered by the PHA during the Plan year. Note: the table assumes that Federal public housing or tenant based Section 8 assistance grant funds are expended on eligible purposes; therefore, uses of these funds need not be stated. For other funds, indicate the use for those funds as one of the following categories: public housing operations, public housing capital improvements, public housing safety/security, public housing supportive services, Section 8 tenant-based assistance, Section 8 supportive services or other.

Financial Resources: Planned Sources and Uses		
Sources	Planned \$	Planned Uses
1. Federal Grants (FY 2001 grants)		
a) Public Housing Operating Fund	18,709,654	
b) Public Housing Capital Fund	13,393,056	
c) HOPE VI Revitalization	34,390,864	
d) HOPE VI Demolition		
e) Annual Contributions for Section 8 Tenant-Based Assistance	14,881,164	
f) Public Housing Drug Elimination Program (including any Technical Assistance funds)	1,467,257	

Financial Resources: Planned Sources and Uses		
Sources	Planned \$	Planned Uses
g) Resident Opportunity and Self-Sufficiency Grants		
h) Community Development Block Grant		
i) HOME		
Other Federal Grants (list below)		
2. Prior Year Federal Grants (unobligated funds only) (list below)		
99PHDEP/unobligated funds	819,840	Safety/Security
3. Public Housing Dwelling Rental Income	5,131,344	Operations
4. Other income (list below)		
Income on Investments	1,058,316	Operations
Sales & Services	265,656	Operations
Non-federal sources (list below)		
Total resources	90,117,151	

3. PHA Policies Governing Eligibility, Selection, and Admissions

[24 CFR Part 903.7 9 (c)]

A. Public Housing

Exemptions: PHAs that do not administer public housing are not required to complete subcomponent 3A.

(1) Eligibility

- a. When does the PHA verify eligibility for admission to public housing? (select all that apply)
- When families are within a certain number of being offered a unit: (state number)
 - When families are within a certain time of being offered a unit: (state time)
 - Other: HABD verifies eligibility of each applicant at the time of completing an application.
- b. Which non-income (screening) factors does the PHA use to establish eligibility for admission to public housing (select all that apply)?
- Criminal or Drug-related activity
 - Rental history
 - Housekeeping
 - Other (describe)
- c. Yes No: Does the PHA request criminal records from local law enforcement agencies for screening purposes?
- d. Yes No: Does the PHA request criminal records from State law enforcement agencies for screening purposes?
- e. Yes No: Does the PHA access FBI criminal records from the FBI for screening purposes? (either directly or through an NCIC-authorized source)

(2)Waiting List Organization

- a. Which methods does the PHA plan to use to organize its public housing waiting list (select all that apply)
- Community-wide list
 - Sub-jurisdictional lists
 - Site-based waiting lists
 - Other (describe)
- b. Where may interested persons apply for admission to public housing?
- PHA main administrative office
 - PHA development site management office
 - Other (list below)
- c. If the PHA plans to operate one or more site-based waiting lists in the coming year, answer each of the following questions; if not, skip to subsection **(3) Assignment**
1. How many site-based waiting lists will the PHA operate in the coming year?

2. Yes No: Are any or all of the PHA's site-based waiting lists new for the upcoming year (that is, they are not part of a previously-HUD-approved site based waiting list plan)?
If yes, how many lists?
3. Yes No: May families be on more than one list simultaneously
If yes, how many lists?
4. Where can interested persons obtain more information about and sign up to be on the site-based waiting lists (select all that apply)?
- PHA main administrative office
 - All PHA development management offices
 - Management offices at developments with site-based waiting lists
 - At the development to which they would like to apply
 - Other (list below)

(3) Assignment

- a. How many vacant unit choices are applicants ordinarily given before they fall to the bottom of or are removed from the waiting list? (select one)
- One
 - Two
 - Three or More
- b. Yes No: Is this policy consistent across all waiting list types?
- c. If answer to b is no, list variations for any other than the primary public housing waiting list/s for the PHA:

(4) Admissions Preferences

- a. Income targeting:
- Yes No: Does the PHA plan to exceed the federal targeting requirements by targeting more than 40% of all new admissions to public housing to families at or below 30% of median area income?
- b. Transfer policies:
In what circumstances will transfers take precedence over new admissions? (list below)
- Emergencies
 - Overhoused

- Underhoused
- Medical justification
- Administrative reasons determined by the PHA (e.g., to permit modernization work)
- Resident choice: (state circumstances below)
- Other: (list below)

c. Preferences

1. Yes No: Has the PHA established preferences for admission to public housing (other than date and time of application)? (If “no” is selected, skip to subsection **(5) Occupancy**)

2. Which of the following admission preferences does the PHA plan to employ in the coming year? (select all that apply from either former Federal preferences or other preferences)

Former Federal preferences:

- Involuntary Displacement (Disaster, Government Action, Action of Housing Owner, Inaccessibility, Property Disposition)
- Victims of domestic violence
- Substandard housing
- Homelessness
- High rent burden (rent is > 50 percent of income)

Other preferences: (select below)

- Working families and those unable to work because of age or disability
- Veterans and veterans’ families
- Residents who live and/or work in the jurisdiction
- Those enrolled currently in educational, training, or upward mobility programs
- Households that contribute to meeting income goals (broad range of incomes)
- Households that contribute to meeting income requirements (targeting)
- Those previously enrolled in educational, training, or upward mobility programs
- Victims of reprisals or hate crimes
- Other preference(s) (list below)

3. If the PHA will employ admissions preferences, please prioritize by placing a “1” in the space that represents your first priority, a “2” in the box representing your second priority, and so on. If you give equal weight to one or more of these choices (either through an absolute hierarchy or through a point system), place the same number next to each. That means you can use “1” more than once, “2” more than once, etc.

Date and Time

Former Federal preferences:

- Involuntary Displacement (Disaster, Government Action, Action of Housing Owner, Inaccessibility, Property Disposition)
- Victims of domestic violence
- Substandard housing
- Homelessness
- High rent burden

Other preferences (select all that apply)

- Working families and those unable to work because of age or disability
- Veterans and veterans' families
- Residents who live and/or work in the jurisdiction
- Those enrolled currently in educational, training, or upward mobility programs
- Households that contribute to meeting income goals (broad range of incomes)
- Households that contribute to meeting income requirements (targeting)
- Those previously enrolled in educational, training, or upward mobility programs
- Victims of reprisals or hate crimes
- Other preference(s) (list below)

4. Relationship of preferences to income targeting requirements:

- The PHA applies preferences within income tiers
- Not applicable: the pool of applicant families ensures that the PHA will meet income targeting requirements

(5) Occupancy

a. What reference materials can applicants and residents use to obtain information about the rules of occupancy of public housing (select all that apply)

- The PHA-resident lease
- The PHA's Admissions and (Continued) Occupancy policy
- PHA briefing seminars or written materials
- Other source (list)

b. How often must residents notify the PHA of changes in family composition? (select all that apply)

- At an annual reexamination and lease renewal
- Any time family composition changes
- At family request for revision
- Other (list)

(6) Deconcentration and Income Mixing

- a. Yes No: Does the PHA have any general occupancy (family) public housing developments covered by the deconcentration rule? If no this section is complete. If yes, continue to the next question.
- b. Yes No: Did any of these covered developments have average incomes above or below 85% to 115% of the average incomes of all such developments? If no this section is complete.

If yes, list these developments as follows:

Deconcentration Policy For Covered Developments			
Development Name	No. of Units	Explanation*	Deconcentration Policy*
Metropolitan Gardens	910	Consistent with	Section 29 of
Marks Village	495	HABD Annual	Admission and
Loveman Village	499	Plan Goals for	Continued
Tuxedo Court	487	Deconcentration	Occupancy
Cooper Green	311	And Income	Policy
Southtown Court	448	Mixing	
Tom Brown Village	240		
Benjamin Green	29		
Roosevelt City	97		

*Applies to all Developments Listed

- c. If the answer to b was yes, what changes were adopted? (select all that apply)
- Adoption of site based waiting lists
If selected, list targeted developments below:
- Employing waiting list “skipping” to achieve deconcentration of poverty or income mixing goals at targeted developments
If selected, list targeted developments below:
- Employing new admission preferences at targeted developments
If selected, list targeted developments below:
- Metropolitan Gardens Marks Village Loveman Village Tuxedo Court
Cooper Green Southtown Court Tom Brown Village Benjamin Green
- Other (list policies and developments targeted below)

d. Yes No: Did the PHA adopt any changes to **other** policies based on the results of the required analysis of the need for deconcentration of poverty and income mixing?

e. If the answer to d was yes, how would you describe these changes? (select all that apply)

- Additional affirmative marketing
- Actions to improve the marketability of certain developments
- Adoption or adjustment of ceiling rents for certain developments
- Adoption of rent incentives to encourage deconcentration of poverty and income-mixing
- Other:

DECONCENTRATION AND INCOME MIXING

The Housing Authority of the Birmingham District has the following plans and/or policies that will impact the deconcentration of income and income mixing:

Flat Rents

The housing authority has implemented flat rents as an incentive to attract working families with incomes of \$15,000 - \$25,000 or more. Flat rents will serve as an incentive for attracting and maintaining those working families whose incomes fall within the aforementioned income range as these families will benefit from the three (3) year freeze on their monthly rent. Additionally, these higher income working families will also benefit from any wage increases that they may receive during this three-year period without their having to experience a corresponding rent increase. Monies that would ordinarily have gone to pay for an increase in rent can now be saved by these families and possibly enable them to participate in the authority's homeownership program or serve as a down payment on a home in the private market.

Marketing Plan

The housing authority's Media Advisory Committee (which includes housing authority staff and marketing professionals from radio, television and print media) has identified a target market (the working poor) and the income levels (\$15,000 - \$25,000) of those families that the authority hopes to attract to public housing. Towards this end, the authority is in the process of developing an advertising campaign that will utilize radio, television and print media to attract families from our targeted market.

Central Air Conditioning

In an effort to become competitive with the private sector, the authority will install central air conditioning in one of its developments that will undergo comprehensive modernization within the next few months. Additionally, the authority is also investigating the possibility of installing central air conditioning in five (5) housing developments that were recently modernized under the authority's comprehensive grant program. These efforts will enable the authority to not only compete with the private sector but will serve as an incentive for retaining those working families that are currently in occupancy.

Homeownership Program

The housing authority has developed a homeownership program and has identified several families that will qualify for homeownership opportunities under this program. The attraction of higher income working families to public housing will enable the authority to develop a larger pool of qualified families to participate in the homeownership program.

Improved Leasing Operations

The authority is currently investigating methods for making our leasing operations more customer friendly. Areas of improvement that are currently being reviewed include: changing our leasing hours to late evenings to meet the needs of working families and possibly leasing on Saturdays to accommodate the needs of those working families who are unable to lease an apartment during the normal five (5) day work week.

f. Based on the results of the required analysis, in which developments will the PHA make special efforts to attract or retain higher-income families? (select all that apply)

Not applicable: results of analysis did not indicate a need for such efforts

List (any applicable) developments below:

All except Freedom Manor (housing for seniors and disabled)

g. Based on the results of the required analysis, in which developments will the PHA make special efforts to assure access for lower-income families? (select all that apply)

Not applicable: results of analysis did not indicate a need for such efforts

List (any applicable) developments below:

B. Section 8

Exemptions: PHAs that do not administer section 8 are not required to complete sub-component 3B. **Unless otherwise specified, all questions in this section apply only to the tenant-based section 8 assistance program (vouchers, and until completely merged into the voucher program, certificates).**

(1) Eligibility

a. What is the extent of screening conducted by the PHA? (select all that apply)

Criminal or drug-related activity only to the extent required by law or regulation

Criminal and drug-related activity, more extensively than required by law or regulation

More general screening than criminal and drug-related activity (list factors below)

Other (list below)

b. Yes No: Does the PHA request criminal records from local law enforcement agencies for screening purposes?

c. Yes No: Does the PHA request criminal records from State law enforcement agencies for screening purposes?

d. Yes No: Does the PHA access FBI criminal records from the FBI for screening purposes? (either directly or through an NCIC-authorized source)

e. Indicate what kinds of information you share with prospective landlords? (select all that apply)

- Criminal or drug-related activity
 Other: 1. Family's current address 2. Family's current and prior landlord

(2) Waiting List Organization

a. With which of the following program waiting lists is the section 8 tenant-based assistance waiting list merged? (select all that apply)

- None
 Federal public housing
 Federal moderate rehabilitation
 Federal project-based certificate program
 Other federal or local program (list below)

b. Where may interested persons apply for admission to section 8 tenant-based assistance? (select all that apply)

- PHA main administrative office
 Other: Varies. One or more public housing sites.

(3) Search Time

a. Yes No: Does the PHA give extensions on standard 60-day period to search for a unit?

If yes, state circumstances below:

1. As a reasonable accommodation to the disabled
2. When families are unable to find suitable housing and request extension in writing prior to voucher expiration

(4) Admissions Preferences

a. Income targeting

Yes No: Does the PHA plan to exceed the federal targeting requirements by targeting more than 75% of all new admissions to the section 8 program to families at or below 30% of median area income?

b. Preferences

1. Yes No: Has the PHA established preferences for admission to section 8 tenant-based assistance? (other than date and time of application) (if no, skip to subcomponent **(5) Special purpose section 8 assistance programs**)

2. Which of the following admission preferences does the PHA plan to employ in the coming year? (select all that apply from either former Federal preferences or other preferences)

Former Federal preferences

- Involuntary Displacement (Disaster, Government Action, Action of Housing Owner, Inaccessibility, Property Disposition)
- Victims of domestic violence
- Substandard housing
- Homelessness
- High rent burden (rent is > 50 percent of income)

Other preferences (select all that apply)

- Working families and those unable to work because of age or disability
- Veterans and veterans' families
- Residents who live and/or work in your jurisdiction
- Those enrolled currently in educational, training, or upward mobility programs
- Households that contribute to meeting income goals (broad range of incomes)
- Households that contribute to meeting income requirements (targeting)
- Those previously enrolled in educational, training, or upward mobility programs
- Victims of reprisals or hate crimes
- Other preference(s) (list below)

3. If the PHA will employ admissions preferences, please prioritize by placing a "1" in the space that represents your first priority, a "2" in the box representing your second priority, and so on. If you give equal weight to one or more of these choices (either through an absolute hierarchy or through a point system), place the same number next to each. That means you can use "1" more than once, "2" more than once, etc.

Date and Time

Former Federal preferences

- Involuntary Displacement (Disaster, Government Action, Action of Housing Owner, Inaccessibility, Property Disposition)
- Victims of domestic violence
- Substandard housing
- Homelessness
- High rent burden

Other preferences (select all that apply)

- Working families and those unable to work because of age or disability
- Veterans and veterans' families

- Residents who live and/or work in your jurisdiction
- Those enrolled currently in educational, training, or upward mobility programs
- Households that contribute to meeting income goals (broad range of incomes)
- Households that contribute to meeting income requirements (targeting)
- Those previously enrolled in educational, training, or upward mobility programs
- Victims of reprisals or hate crimes
- Other preference(s) (list below)

4. Among applicants on the waiting list with equal preference status, how are applicants selected? (select one)

- Date and SSA number
- Drawing (lottery) or other random choice technique

5. If the PHA plans to employ preferences for “residents who live and/or work in the jurisdiction” (select one)

- This preference has previously been reviewed and approved by HUD
- The PHA requests approval for this preference through this PHA Plan

6. Relationship of preferences to income targeting requirements: (select one)

- The PHA applies preferences within income tiers
- Not applicable: the pool of applicant families ensures that the PHA will meet income targeting requirements

(5) Special Purpose Section 8 Assistance Programs

a. In which documents or other reference materials are the policies governing eligibility, selection, and admissions to any special-purpose section 8 program administered by the PHA contained? (select all that apply)

- The Section 8 Administrative Plan
- Briefing sessions and written materials
- Other (list below)

b. How does the PHA announce the availability of any special-purpose section 8 programs to the public?

- Through published notices
- Other (list below)

4. PHA Rent Determination Policies

[24 CFR Part 903.7 9 (d)]

A. Public Housing

Exemptions: PHAs that do not administer public housing are not required to complete sub-component 4A.

(1) Income Based Rent Policies

Describe the PHA's income based rent setting policy/ies for public housing using, including discretionary (that is, not required by statute or regulation) income disregards and exclusions, in the appropriate spaces below.

a. Use of discretionary policies: (select one)

- The PHA will not employ any discretionary rent-setting policies for income based rent in public housing. Income-based rents are set at the higher of 30% of adjusted monthly income, 10% of unadjusted monthly income, the welfare rent, or minimum rent (less HUD mandatory deductions and exclusions). (If selected, skip to sub-component (2))

---or---

- The PHA employs discretionary policies for determining income based rent (If selected, continue to question b.)

b. Minimum Rent

1. What amount best reflects the PHA's minimum rent? (select one)

- \$0
 \$1-\$25
 \$26-\$50

2. Yes No: Has the PHA adopted any discretionary minimum rent hardship exemption policies?

3. If yes to question 2, list these policies below:

c. Rents set at less than 30% than adjusted income

1. Yes No: Does the PHA plan to charge rents at a fixed amount or percentage less than 30% of adjusted income?
2. If yes to above, list the amounts or percentages charged and the circumstances under which these will be used below:
- d. Which of the discretionary (optional) deductions and/or exclusions policies does the PHA plan to employ (select all that apply)
- For the earned income of a previously unemployed household member
- For increases in earned income
- Fixed amount (other than general rent-setting policy)
If yes, state amount/s and circumstances below:
- Fixed percentage (other than general rent-setting policy)
If yes, state percentage/s and circumstances below:
- For household heads
- For other family members
- For transportation expenses
- For the non-reimbursed medical expenses of non-disabled or non-elderly families
- Other (describe below)

e. Ceiling rents

1. Do you have ceiling rents? (rents set at a level lower than 30% of adjusted income) (select one)
- Yes for all developments
- Yes but only for some developments
- No
2. For which kinds of developments are ceiling rents in place? (select all that apply)
- For all developments
- For all general occupancy developments (not elderly or disabled or elderly only)
- For specified general occupancy developments
- For certain parts of developments; e.g., the high-rise portion
- For certain size units; e.g., larger bedroom sizes
- Other (list below)

3. Select the space or spaces that best describe how you arrive at ceiling rents (select all that apply)

- Market comparability study
- Fair market rents (FMR)
- 95th percentile rents
- 75 percent of operating costs
- 100 percent of operating costs for general occupancy (family) developments
- Operating costs plus debt service
- The "rental value" of the unit
- Other (list below)

f. Rent re-determinations:

1. Between income reexaminations, how often must tenants report changes in income or family composition to the PHA such that the changes result in an adjustment to rent? (select all that apply)

- Never
- At family option
- Any time the family experiences an income increase
- Any time a family experiences an income increase above a threshold amount or percentage: (if selected, specify threshold)_____
- Other (list below)

g. Yes No: Does the PHA plan to implement individual savings accounts for residents (ISAs) as an alternative to the required 12 month disallowance of earned income and phasing in of rent increases in the next year?

(2) Flat Rents

1. In setting the market-based flat rents, what sources of information did the PHA use to establish comparability? (select all that apply.)

- The section 8 rent reasonableness study of comparable housing
- Survey of rents listed in local newspaper
- Survey of similar unassisted units in the neighborhood
- Other (list/describe below)

B. Section 8 Tenant-Based Assistance

Exemptions: PHAs that do not administer Section 8 tenant-based assistance are not required to complete sub-component 4B. **Unless otherwise specified, all questions in this section apply only to the tenant-based section 8 assistance program (vouchers, and until completely merged into the voucher program, certificates).**

(1) Payment Standards

Describe the voucher payment standards and policies.

a. What is the PHA's payment standard? (select the category that best describes your standard)

- At or above 90% but below 100% of FMR
- 100% of FMR
- Above 100% but at or below 110% of FMR
- Above 110% of FMR (if HUD approved; describe circumstances below)

b. If the payment standard is lower than FMR, why has the PHA selected this standard? (select all that apply)

- FMRs are adequate to ensure success among assisted families in the PHA's segment of the FMR area
- The PHA has chosen to serve additional families by lowering the payment standard
- Reflects market or submarket
- Other (list below)

c. If the payment standard is higher than FMR, why has the PHA chosen this level? (select all that apply)

- FMRs are not adequate to ensure success among assisted families in the PHA's segment of the FMR area
- Reflects market or submarket
- To increase housing options for families
- Other (list below)

d. How often are payment standards reevaluated for adequacy? (select one)

- Annually
- Other (list below)

e. What factors will the PHA consider in its assessment of the adequacy of its payment standard? (select all that apply)

- Success rates of assisted families
- Rent burdens of assisted families
- Other (list below)

(2) Minimum Rent

a. What amount best reflects the PHA’s minimum rent? (select one)

- \$0
- \$1-\$25
- \$26-\$50

b. Yes No: Has the PHA adopted any discretionary minimum rent hardship exemption policies? (if yes, list below)

5. Operations and Management

[24 CFR Part 903.7 9 (e)]

Exemptions from Component 5: High performing and small PHAs are not required to complete this section. Section 8 only PHAs must complete parts A, B, and C(2)

A. PHA Management Structure

Describe the PHA’s management structure and organization.

(select one)

- An organization chart showing the PHA’s management structure and organization is attached.
- A brief description of the management structure and organization of the PHA follows:

B. HUD Programs Under PHA Management

List Federal programs administered by the PHA, number of families served at the beginning of the upcoming fiscal year, and expected turnover in each. (Use “NA” to indicate that the PHA does not operate any of the programs listed below.)

Program Name	Units or Families Served at Year Beginning	Expected Turnover
Public Housing/FSS	103	6
Section 8 Vouchers	3112	420
Section 8 Certificates	N/A	
Section 8 Mod Rehab	93	36
Special Purpose Section 8 Certificates/Vouchers (list individually)	320 HOPE VI	
Public Housing Drug Elimination Program (PHDEP)	1,777	89

Other Federal Programs(list individually)	N/A	
Sub. Rehab	64	5
Sec. 8 New Cont.	50	5

C. Management and Maintenance Policies

List the PHA's public housing management and maintenance policy documents, manuals and handbooks that contain the Agency's rules, standards, and policies that govern maintenance and management of public housing, including a description of any measures necessary for the prevention or eradication of pest infestation (which includes cockroach infestation) and the policies governing Section 8 management.

(1) Public Housing Maintenance and Management: (list below)

A.C.O.P. (October 2000)

(2) Section 8 Management: Administrative Plan

(3) Extermination: We have currently contracted out services so that we can provide a comprehensive program of extermination for residents. We will continue to do this as well as explore other means of control to minimize the problem we have in these areas. This includes instructions on housekeeping, inspections and proper garbage disposal. These areas are being spearheaded by Housing Management and Maintenance. Four annual treatments are scheduled. Residents are notified one week in advance via written notification.

6. PHA Grievance Procedures

[24 CFR Part 903.7 9 (f)]

Exemptions from component 6: High performing PHAs are not required to complete component 6. Section 8-Only PHAs are exempt from sub-component 6A.

A. Public Housing

1. Yes No: Has the PHA established any written grievance procedures in addition to federal requirements found at 24 CFR Part 966, Subpart B, for residents of public housing?

If yes, list additions to federal requirements below:

2. Which PHA office should residents or applicants to public housing contact to initiate the PHA grievance process? (select all that apply)

- PHA main administrative office
 PHA development management offices
 Other (list below)

B. Section 8 Tenant-Based Assistance

1. Yes No: Has the PHA established informal review procedures for applicants to the Section 8 tenant-based assistance program and informal hearing procedures for families assisted by the Section 8 tenant-based assistance program in addition to federal requirements found at 24 CFR 982?

If yes, list additions to federal requirements below:

2. Which PHA office should applicants or assisted families contact to initiate the informal review and informal hearing processes? (select all that apply)

- PHA main administrative office
 Other (list below)

Brown Marx Tower
2000 First Avenue, North – Suite 300
Birmingham, AL 35203

7. Capital Improvement Needs

[24 CFR Part 903.7 9 (g)]

Exemptions from Component 7: Section 8 only PHAs are not required to complete this component and may skip to Component 8.

A. Capital Fund Activities

Exemptions from sub-component 7A: PHAs that will not participate in the Capital Fund Program may skip to component 7B. All other PHAs must complete 7A as instructed.

(1) Capital Fund Program Annual Statement

Using parts I, II, and III of the Annual Statement for the Capital Fund Program (CFP), identify capital activities the PHA is proposing for the upcoming year to ensure long-term physical and social viability of its public housing developments. This statement can be completed by using the CFP Annual Statement tables provided in the table library at the end of the PHA Plan template **OR**, at the PHA's option, by completing and attaching a properly updated HUD-52837.

Select one:

- The Capital Fund Program Annual Statement is provided as an attachment to the PHA Plan at Attachment (state name)

-or-

- The Capital Fund Program Annual Statement is provided below: (if selected, copy the CFP Annual Statement from the Table Library and insert here)

(2) Optional 5-Year Action Plan

Agencies are encouraged to include a 5-Year Action Plan covering capital work items. This statement can be completed by using the 5 Year Action Plan table provided in the table library at the end of the PHA Plan template **OR** by completing and attaching a properly updated HUD-52834.

a. Yes No: Is the PHA providing an optional 5-Year Action Plan for the Capital Fund? (if no, skip to sub-component 7B)

b. If yes to question a, select one:

The Capital Fund Program 5-Year Action Plan is provided as an attachment to the PHA Plan at Attachment (state name

-or-

The Capital Fund Program 5-Year Action Plan is provided below: (if selected, copy the CFP optional 5 Year Action Plan from the Table Library and insert here)

B. HOPE VI and Public Housing Development and Replacement Activities (Non-Capital Fund)

Applicability of sub-component 7B: All PHAs administering public housing. Identify any approved HOPE VI and/or public housing development or replacement activities not described in the Capital Fund Program Annual Statement.

Yes No: a) Has the PHA received a HOPE VI revitalization grant? (if no, skip to question c; if yes, provide responses to question b for each grant, copying and completing as many times as necessary)
b) Status of HOPE VI revitalization grant (complete one set of questions for each grant)

- 1. Development name: Metropolitan Gardens
- 2. Development (project) number: AL09P001003
- 3. Status of grant: (select the statement that best describes the current status)
 - Revitalization Plan under development
 - Revitalization Plan submitted, pending approval
 - Revitalization Plan approved
 - Activities pursuant to an approved Revitalization Plan underway

Yes No: c) Does the PHA plan to apply for a HOPE VI Revitalization grant in the Plan year?
If yes, list development name/s below:

- Yes No: d) Will the PHA be engaging in any mixed-finance development activities for public housing in the Plan year?
If yes, list developments or activities below:

Metropolitan Gardens
AL09P001003

- Yes No: e) Will the PHA be conducting any other public housing development or replacement activities not discussed in the Capital Fund Program Annual Statement?
If yes, list developments or activities below:

8. Demolition and Disposition

[24 CFR Part 903.7 9 (h)]

Applicability of component 8: Section 8 only PHAs are not required to complete this section.

1. Yes No: Does the PHA plan to conduct any demolition or disposition activities (pursuant to section 18 of the U.S. Housing Act of 1937 (42 U.S.C. 1437p)) in the plan Fiscal Year? (If “No”, skip to component 9; if “yes”, complete one activity description for each development.)

2. Activity Description

- Yes No: Has the PHA provided the activities description information in the **optional** Public Housing Asset Management Table? (If “yes”, skip to component 9. If “No”, complete the Activity Description table below.)

Demolition/Disposition Activity Description	
1a. Development name:	Metropolitan Gardens
1b. Development (project) number:	AL09P001003
2. Activity type:	Demolition <input checked="" type="checkbox"/> Disposition <input type="checkbox"/>
3. Application status (select one)	Approved <input checked="" type="checkbox"/> Submitted, pending approval <input type="checkbox"/> Planned application <input type="checkbox"/>
4. Date application approved, submitted, or planned for submission:	10/18/00
5. Number of units affected:	910
6. Coverage of action (select one)	<input type="checkbox"/> Part of the development <input checked="" type="checkbox"/> Total development
7. Timeline for activity:	

a. Actual or projected start date of activity:	September 2001
b. Projected end date of activity:	May 2002

Demolition/Disposition Activity Description	
1a. Development name:	Elyton Village
1b. Development (project) number:	AL09P001001
2. Activity type: Demolition <input checked="" type="checkbox"/>	
Disposition <input type="checkbox"/>	
3. Application status (select one)	
Approved <input checked="" type="checkbox"/>	
Submitted, pending approval <input type="checkbox"/>	
Planned application <input type="checkbox"/>	
4. Date application approved, submitted, or planned for submission:	<u>05/19/97</u>
5. Number of units affected:	141
6. Coverage of action (select one)	
<input checked="" type="checkbox"/> Part of the development	
<input type="checkbox"/> Total development	
7. Timeline for activity:	
a. Actual or projected start date of activity:	October 2000
b. Projected end date of activity:	October 2001

9. Designation of Public Housing for Occupancy by Elderly Families or Families with Disabilities or Elderly Families and Families with Disabilities

[24 CFR Part 903.7 9 (i)]

Exemptions from Component 9; Section 8 only PHAs are not required to complete this section.

1. Yes No: Has the PHA designated or applied for approval to designate or does the PHA plan to apply to designate any public housing for occupancy only by the elderly families or only by families with disabilities, or by elderly families and families with disabilities or will apply for designation for occupancy by only elderly families or only families with disabilities, or by elderly families and families with disabilities as provided by section 7 of the U.S. Housing Act of 1937 (42 U.S.C. 1437e) in the upcoming fiscal year? (If “No”, skip to component 10. If “yes”, complete one activity description for each development, unless the PHA is eligible to complete a streamlined submission; PHAs completing streamlined submissions may skip to component 10.)

2. Activity Description

Yes No: Has the PHA provided all required activity description information for this component in the **optional** Public Housing Asset Management Table? If “yes”, skip to component 10. If “No”, complete the Activity Description table below.

Designation of Public Housing Activity Description	
1a. Development name:	SMITHFIELD
1b. Development (project) number:	AL09P001009
2. Designation type:	Occupancy by only the elderly <input type="checkbox"/> Occupancy by families with disabilities <input type="checkbox"/> Occupancy by only elderly families and families with disabilities <input checked="" type="checkbox"/>
3. Application status (select one)	Approved; included in the PHA’s Designation Plan <input type="checkbox"/> Submitted, pending approval <input type="checkbox"/> Planned application <input type="checkbox"/>
4. Date this designation approved, submitted, or planned for submission:	04/12/00
5. If approved, will this designation constitute a (select one)	<input checked="" type="checkbox"/> New Designation Plan <input type="checkbox"/> Revision of a previously-approved Designation Plan?
6. Number of units affected:	
7. Coverage of action (select one)	<input type="checkbox"/> Part of the development <input checked="" type="checkbox"/> Total development

10. Conversion of Public Housing to Tenant-Based Assistance

[24 CFR Part 903.7 9 (j)]

Exemptions from Component 10; Section 8 only PHAs are not required to complete this section.

A. Assessments of Reasonable Revitalization Pursuant to section 202 of the HUD FY 1996 HUD Appropriations Act

1. Yes No: Have any of the PHA’s developments or portions of developments been identified by HUD or the PHA as covered under section 202 of the HUD FY 1996 HUD Appropriations Act? (If “No”, skip to component 11; if “yes”, complete one activity description for each identified development, unless eligible to complete a streamlined submission. PHAs completing streamlined submissions may skip to component 11.)

2. Activity Description

Yes No: Has the PHA provided all required activity description information for this component in the **optional** Public Housing Asset Management Table? If “yes”, skip to component 11. If “No”, complete the Activity Description table below.

Conversion of Public Housing Activity Description	
1a. Development name:	
1b. Development (project) number:	
2. What is the status of the required assessment?	<input type="checkbox"/> Assessment underway <input type="checkbox"/> Assessment results submitted to HUD <input type="checkbox"/> Assessment results approved by HUD (if marked, proceed to next question) <input type="checkbox"/> Other (explain below)
3. <input type="checkbox"/> Yes <input type="checkbox"/> No: Is a Conversion Plan required? (If yes, go to block 4; if no, go to block 5.)	
4. Status of Conversion Plan (select the statement that best describes the current status)	<input type="checkbox"/> Conversion Plan in development <input type="checkbox"/> Conversion Plan submitted to HUD on: (DD/MM/YYYY) <input type="checkbox"/> Conversion Plan approved by HUD on: (DD/MM/YYYY) <input type="checkbox"/> Activities pursuant to HUD-approved Conversion Plan underway
5. Description of how requirements of Section 202 are being satisfied by means other than conversion (select one)	<input type="checkbox"/> Units addressed in a pending or approved demolition application (date submitted or approved:) <input type="checkbox"/> Units addressed in a pending or approved HOPE VI demolition application (date submitted or approved:) <input type="checkbox"/> Units addressed in a pending or approved HOPE VI Revitalization Plan (date submitted or approved:) <input type="checkbox"/> Requirements no longer applicable: vacancy rates are less than 10 percent <input type="checkbox"/> Requirements no longer applicable: site now has less than 300 units <input type="checkbox"/> Other: (describe below)

B. Reserved for Conversions pursuant to Section 22 of the U.S. Housing Act of 1937

C. Reserved for Conversions pursuant to Section 33 of the U.S. Housing Act of 1937

11. Homeownership Programs Administered by the PHA

[24 CFR Part 903.7 9 (k)]

A. Public Housing

Exemptions from Component 11A: Section 8 only PHAs are not required to complete 11A.

1. Yes No: Does the PHA administer any homeownership programs administered by the PHA under an approved section 5(h) homeownership program (42 U.S.C. 1437c(h)), or an approved HOPE I program (42 U.S.C. 1437aaa) or has the PHA applied or plan to apply to administer any homeownership programs under section 5(h), the HOPE I program, or section 32 of the U.S. Housing Act of 1937 (42 U.S.C. 1437z-4). (If “No”, skip to component 11B; if “yes”, complete one activity description for each applicable program/plan, unless eligible to complete a streamlined submission due to **small PHA** or **high performing PHA** status. PHAs completing streamlined submissions may skip to component 11B.)

2. Activity Description

Yes No: Has the PHA provided all required activity description information for this component in the **optional** Public Housing Asset Management Table? (If “yes”, skip to component 12. If “No”, complete the Activity Description table below.)

Public Housing Homeownership Activity Description (Complete one for each development affected)	
1a. Development name:	Metropolitan Gardens
1b. Development (project) number:	AL09P001003
2. Federal Program authority:	<input type="checkbox"/> HOPE I <input checked="" type="checkbox"/> 5(h) <input type="checkbox"/> Turnkey III <input type="checkbox"/> Section 32 of the USHA of 1937 (effective 10/1/99)
3. Application status: (select one)	<input type="checkbox"/> Approved; included in the PHA’s Homeownership Plan/Program

<input type="checkbox"/> Submitted, pending approval <input checked="" type="checkbox"/> Planned application
4. Date Homeownership Plan/Program approved, submitted, or planned for submission: March 19, 1997
5. Number of units affected: 200 6. Coverage of action: (select one) <input checked="" type="checkbox"/> Part of the development <input type="checkbox"/> Total development

B. Section 8 Tenant Based Assistance

1. Yes No: Does the PHA plan to administer a Section 8 Homeownership program pursuant to Section 8(y) of the U.S.H.A. of 1937, as implemented by 24 CFR part 982 ? (If “No”, skip to component 12; if “yes”, describe each program using the table below (copy and complete questions for each program identified), unless the PHA is eligible to complete a streamlined submission due to high performer status. **High performing PHAs** may skip to component 12.)

2. Program Description:

a. Size of Program

- Yes No: Will the PHA limit the number of families participating in the section 8 homeownership option?

If the answer to the question above was yes, which statement best describes the number of participants? (select one)

- 25 or fewer participants
 26 - 50 participants
 51 to 100 participants
 more than 100 participants

b. PHA-established eligibility criteria

- Yes No: Will the PHA’s program have eligibility criteria for participation in its Section 8 Homeownership Option program in addition to HUD criteria?

If yes, list criteria below:

12. PHA Community Service and Self-sufficiency Programs

[24 CFR Part 903.7 9 (l)]

Exemptions from Component 12: High performing and small PHAs are not required to complete this component. Section 8-Only PHAs are not required to complete sub-component C.

A. PHA Coordination with the Welfare (TANF) Agency

1. Cooperative agreements:

- Yes No: Has the PHA entered into a cooperative agreement with the TANF Agency, to share information and/or target supportive services (as contemplated by section 12(d)(7) of the Housing Act of 1937)?

If yes, what was the date that agreement was signed? 8/12/00

2. Other coordination efforts between the PHA and TANF agency (select all that apply)

- Client referrals
- Information sharing regarding mutual clients (for rent determinations and otherwise)
- Coordinate the provision of specific social and self-sufficiency services and programs to eligible families
- Jointly administer programs
- Partner to administer a HUD Welfare-to-Work voucher program
- Joint administration of other demonstration program
- Other (describe)

B. Services and programs offered to residents and participants

(1) General

a. Self-Sufficiency Policies

Which, if any of the following discretionary policies will the PHA employ to enhance the economic and social self-sufficiency of assisted families in the following areas? (select all that apply)

- Public housing rent determination policies
- Public housing admissions policies
- Section 8 admissions policies
- Preference in admission to section 8 for certain public housing families
- Preferences for families working or engaging in training or education programs for non-housing programs operated or coordinated by the PHA
- Preference/eligibility for public housing homeownership option participation
- Preference/eligibility for section 8 homeownership option participation
- Other policies (list below)

b. Economic and Social self-sufficiency programs

Yes No: Does the PHA coordinate, promote or provide any programs to enhance the economic and social self-sufficiency of residents? (If “yes”, complete the following table; if “no” skip to sub-component 2, Family Self Sufficiency Programs. The position of the table may be altered to facilitate its use.)

Services and Programs				
Program Name & Description (including location, if appropriate)	Estimated Size	Allocation Method (waiting list/random selection/specific criteria/other)	Access (development office / PHA main office / other provider name)	Eligibility (public housing or section 8 participants or both)
Family Self-Sufficiency	100	Waiting List	PHA Main Office	Both

(2) Family Self Sufficiency program/s

a. Participation Description

Family Self Sufficiency (FSS) Participation		
Program	Required Number of Participants (start of FY 2000 Estimate)	Actual Number of Participants (As of: 12/31/00)
Public Housing	50	54
Section 8	50	53

b. Yes No: If the PHA is not maintaining the minimum program size required by HUD, does the most recent FSS Action Plan address the steps the PHA plans to take to achieve at least the minimum program size?

If no, list steps the PHA will take below:

C. Welfare Benefit Reductions

1. The PHA is complying with the statutory requirements of section 12(d) of the U.S. Housing Act of 1937 (relating to the treatment of income changes resulting from welfare program requirements) by: (select all that apply)

- Adopting appropriate changes to the PHA's public housing rent determination policies and train staff to carry out those policies
- Informing residents of new policy on admission and reexamination
- Actively notifying residents of new policy at times in addition to admission and reexamination.
- Establishing or pursuing a cooperative agreement with all appropriate TANF agencies regarding the exchange of information and coordination of services
- Establishing a protocol for exchange of information with all appropriate TANF agencies
- Other: Currently under contract agreement with United Way/Birmingham Works for provision of Job Readiness/Job Placement of sixty (60) residents as of November 30, 1999. Forty-two residents are employed.

D. Reserved for Community Service Requirement pursuant to section 12(c) of the U.S. Housing Act of 1937
--

13. PHA Safety and Crime Prevention Measures

[24 CFR Part 903.7 9 (m)]

Exemptions from Component 13: High performing and small PHAs not participating in PHDEP and Section 8 Only PHAs may skip to component 15. High Performing and small PHAs that are participating in PHDEP and are submitting a PHDEP Plan with this PHA Plan may skip to sub-component D.

A. Need for measures to ensure the safety of public housing residents

1. Describe the need for measures to ensure the safety of public housing residents (select all that apply)

- High incidence of violent and/or drug-related crime in some or all of the PHA's developments
- High incidence of violent and/or drug-related crime in the areas surrounding or adjacent to the PHA's developments
- Residents fearful for their safety and/or the safety of their children
- Observed lower-level crime, vandalism and/or graffiti
- People on waiting list unwilling to move into one or more developments due to perceived and/or actual levels of violent and/or drug-related crime
- Other (describe below)

2. What information or data did the PHA used to determine the need for PHA actions to improve safety of residents (select all that apply).

- Safety and security survey of residents
- Analysis of crime statistics over time for crimes committed “in and around” public housing authority
- Analysis of cost trends over time for repair of vandalism and removal of graffiti
- Resident reports
- PHA employee reports
- Police reports
- Demonstrable, quantifiable success with previous or ongoing anticrime/anti drug programs
- Other (describe below)

3. Which developments are most affected?

Elyton Village, Metropolitan Gardens, Morton Simpson, N. Birmingham Homes

B. Crime and Drug Prevention activities the PHA has undertaken or plans to undertake in the next PHA fiscal year

1. List the crime prevention activities the PHA has undertaken or plans to undertake: (select all that apply)

- Contracting with outside and/or resident organizations for the provision of crime- and/or drug-prevention activities
- Crime Prevention Through Environmental Design
- Activities targeted to at-risk youth, adults, or seniors
- Volunteer Resident Patrol/Block Watchers Program
- Other: (1) Continuation of the community policing concept (2) The Cop Next Door Program (3) Witness Support Program)

2. Which developments are most affected? Metropolitan Gardens; Elyton Village; Tom Brown; Cooper Green; Harris Homes; Benjamin Green; Tuxedo Court; Marks Village; Southtown; Loveman Village

C. Coordination between PHA and the police

1. Describe the coordination between the PHA and the appropriate police precincts for carrying out crime prevention measures and activities: (select all that apply)

- Police involvement in development, implementation, and/or ongoing evaluation of drug-elimination plan
- Police provide crime data to housing authority staff for analysis and action
- Police have established a physical presence on housing authority property (e.g., community policing office, officer in residence)
- Police regularly testify in and otherwise support eviction cases

- Police regularly meet with the PHA management and residents
- Agreement between PHA and local law enforcement agency for provision of above-baseline law enforcement services
- Other activities (list below)

2. Which developments are most affected?

Elyton Village; Metropolitan Gardens; Southtown; Marks Village; Loveman Village; Tom Brown; Morton Simpson; Tuxedo Court; Cooper Green; Harris Homes; Kimbrough Homes

D. Additional information as required by PHDEP/PHDEP Plan

PHAs eligible for FY 2000 PHDEP funds must provide a PHDEP Plan meeting specified requirements prior to receipt of PHDEP funds.

- Yes No: Is the PHA eligible to participate in the PHDEP in the fiscal year covered by this PHA Plan?
- Yes No: Has the PHA included the PHDEP Plan for FY 2000 in this PHA Plan?
- Yes No: This PHDEP Plan is an Attachment. (Attachment Filename: ____)

14. RESERVED FOR PET POLICY

[24 CFR Part 903.7 9 (n)]

Pet Policy Attached

COMMUNITY SERVICE SUMMARY

Community Service Summary Attached

15. Civil Rights Certifications

[24 CFR Part 903.7 9 (o)]

Civil rights certifications are included in the PHA Plan Certifications of Compliance with the PHA Plans and Related Regulations.

16. Fiscal Audit

[24 CFR Part 903.7 9 (p)]

- 1. Yes No: Is the PHA required to have an audit conducted under section 5(h)(2) of the U.S. Housing Act of 1937 (42 U.S.C. 1437c(h))?
(If no, skip to component 17.)
- 2. Yes No: Was the most recent fiscal audit submitted to HUD?
- 3. Yes No: Were there any findings as the result of that audit?
- 4. Yes No: If there were any findings, do any remain unresolved?
If yes, how many unresolved findings remain? _____

5. Yes No: Have responses to any unresolved findings been submitted to HUD?
If not, when are they due (state below)?

17. PHA Asset Management

[24 CFR Part 903.7 9 (q)]

Exemptions from component 17: Section 8 Only PHAs are not required to complete this component. High performing and small PHAs are not required to complete this component.

1. Yes No: Is the PHA engaging in any activities that will contribute to the long-term asset management of its public housing stock , including how the Agency will plan for long-term operating, capital investment, rehabilitation, modernization, disposition, and other needs that have **not** been addressed elsewhere in this PHA Plan?
2. What types of asset management activities will the PHA undertake? (select all that apply)
- Not applicable
 Private management
 Development-based accounting
 Comprehensive stock assessment
 Other: (list below)
3. Yes No: Has the PHA included descriptions of asset management activities in the **optional** Public Housing Asset Management Table?

18. Other Information

[24 CFR Part 903.7 9 (r)]

A. Resident Advisory Board Recommendations

1. Yes No: Did the PHA receive any comments on the PHA Plan from the Resident Advisory Board/s?
2. If yes, the comments are: (if comments were received, the PHA **MUST** select one)
- Attached at Attachment (File name)
 Provided below:
A meeting was held on March 23, 2001, with the Resident Advisory Board. The residents were in agreement with the Agency Plan. They did raise concerns regarding the Pet Policy and expressed their displeasure with the Housing Authority being required to implement the Pet Policy. In addition,

there was some discussion regarding selection criteria for public housing residents. It was pointed out that several sections of the Agency Plan are designed to attract additional high quality residents to HABD. Residents expressed concern about the proposed elimination of the Public Housing Drug Elimination Grant.

3. In what manner did the PHA address those comments? (select all that apply)
- Considered comments, but determined that no changes to the PHA Plan were necessary.
 - The PHA changed portions of the PHA Plan in response to comments
List changes below:
 - Other: (list below)

B. Description of Election process for Residents on the PHA Board

1. Yes No: Does the PHA meet the exemption criteria provided section 2(b)(2) of the U.S. Housing Act of 1937? (If no, continue to question 2; if yes, skip to sub-component C.)
2. Yes No: Was the resident who serves on the PHA Board elected by the residents? (If yes, continue to question 3; if no, skip to sub-component C.)

3. Description of Resident Election Process

- a. Nomination of candidates for place on the ballot: (select all that apply)
- Candidates were nominated by resident and assisted family organizations
 - Candidates could be nominated by any adult recipient of PHA assistance
 - Self-nomination: Candidates registered with the PHA and requested a place on ballot
 - Other: (describe)
- b. Eligible candidates: (select one)
- Any recipient of PHA assistance
 - Any head of household receiving PHA assistance
 - Any adult recipient of PHA assistance
 - Any adult member of a resident or assisted family organization
 - Other (list)
- c. Eligible voters: (select all that apply)
- All adult recipients of PHA assistance (public housing and section 8 tenant-based assistance)
 - Representatives of all PHA resident and assisted family organizations
 - Other (list)

C. Statement of Consistency with the Consolidated Plan

For each applicable Consolidated Plan, make the following statement (copy questions as many times as necessary).

1. Consolidated Plan jurisdiction: (provide name here)

2. The PHA has taken the following steps to ensure consistency of this PHA Plan with the Consolidated Plan for the jurisdiction: City of Birmingham, Alabama.
 - The PHA has based its statement of needs of families in the jurisdiction on the needs expressed in the Consolidated Plan/s.
 - The PHA has participated in any consultation process organized and offered by the Consolidated Plan agency in the development of the Consolidated Plan.
 - The PHA has consulted with the Consolidated Plan agency during the development of this PHA Plan.
 - Activities to be undertaken by the PHA in the coming year are consistent with the initiatives contained in the Consolidated Plan. (list below)

 - Other: (list below)

4. The Consolidated Plan of the jurisdiction supports the PHA Plan with the following actions and commitments: (describe below)

D. Other Information Required by HUD

Use this section to provide any additional information requested by HUD.

Attachment

******The following tables are attached in separate electronic files******

Attachment One:	Annual Statement for the Comprehensive Grant Program	al001a02.doc al001b02.doc
Attachment Two:	Public Housing Drug Elimination Program Plan	al001j02.doc al001k02.doc
Attachment Three:	Five Year Plan Progress Report	al001l02.doc
Attachment Four:	Section 8 Homeownership Program Capacity	al001m02.doc
Attachment Five:	Summary of Pet Policy	al001n02.doc
Attachment Six:	Summary of Community Service Requirements	al001o02.doc
Attachment Seven:	Resident Advisory Board Membership	al001p02.doc
Attachment Eight:	Housing Authority of the Birmingham District Organizational Chart	al001q02.doc
Attachment Nine:	Comprehensive Grant Annual Performance and Evaluation Report	al001.r02.xls al001d02.xls al001e02.xls al001f02.xls al001g02.xls al001h02.xls al001i02.xls

**PHA Plan
Table Library
(Please see list above)**

**Component 7
Capital Fund Program Annual Statement
Parts I, II, and II**

**Annual Statement
Capital Fund Program (CFP) Part I: Summary**

Capital Fund Grant Number FFY of Grant Approval: (MM/YYYY)

Original Annual Statement

Line No.	Summary by Development Account	Total Estimated Cost
1	Total Non-CGP Funds	
2	1406 Operations	
3	1408 Management Improvements	
4	1410 Administration	
5	1411 Audit	
6	1415 Liquidated Damages	
7	1430 Fees and Costs	
8	1440 Site Acquisition	
9	1450 Site Improvement	
10	1460 Dwelling Structures	
11	1465.1 Dwelling Equipment-Nonexpendable	
12	1470 Nondwelling Structures	
13	1475 Nondwelling Equipment	
14	1485 Demolition	
15	1490 Replacement Reserve	
16	1492 Moving to Work Demonstration	
17	1495.1 Relocation Costs	
18	1498 Mod Used for Development	
19	1502 Contingency	
20	Amount of Annual Grant (Sum of lines 2-19)	
21	Amount of line 20 Related to LBP Activities	
22	Amount of line 20 Related to Section 504 Compliance	
23	Amount of line 20 Related to Security	
24	Amount of line 20 Related to Energy Conservation Measures	

Annual Statement
Capital Fund Program (CFP) Part II: Supporting Table

Development Number/Name HA-Wide Activities	General Description of Major Work Categories	Development Account Number	Total Estimated Cost

Annual Statement

Capital Fund Program (CFP) Part III: Implementation Schedule

Development Number/Name HA-Wide Activities	All Funds Obligated (Quarter Ending Date)	All Funds Expended (Quarter Ending Date)

Optional Table for 5-Year Action Plan for Capital Fund (Component 7)

Complete one table for each development in which work is planned in the next 5 PHA fiscal years. Complete a table for any PHA-wide physical or management improvements planned in the next 5 PHA fiscal year. Copy this table as many times as necessary. Note: PHAs need not include information from Year One of the 5-Year cycle, because this information is included in the Capital Fund Program Annual Statement.

Optional 5-Year Action Plan Tables				
Development Number	Development Name (or indicate PHA wide)	Number Vacant Units	% Vacancies in Development	
Description of Needed Physical Improvements or Management Improvements			Estimated Cost	Planned Start Date (HA Fiscal Year)
Total estimated cost over next 5 years				

CAPITAL FUND PROGRAM TABLES START HERE

Annual Statement/Performance and Evaluation Report Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF) Part 1: Summary					
PHA Name: Housing Authority of the Birmingham District		Grant Type and Number Capital Fund Program Grant No: Replacement Housing Factor Grant No:			Federal FY of Grant: <p style="text-align: center;">2001</p>
<input checked="" type="checkbox"/> Original Annual Statement <input type="checkbox"/> Reserve for Disasters/ Emergencies <input type="checkbox"/> Revised Annual Statement (revision no:) <input type="checkbox"/> Performance and Evaluation Report for Period Ending: <input type="checkbox"/> Final Performance and Evaluation Report					
Line No.	Summary by Development Account	Total Estimated Cost		Total Actual Cost	
		Original	Revised	Obligated	Expended
1	Total non-CFP Funds				
2	1406 Operations				
3	1408 Management Improvements Soft Costs	518,000			
	Management Improvements Hard Costs				
4	1410 Administration	750,000			
5	1411 Audit				
6	1415 Liquidated Damages				
7	1430 Fees and Costs				
8	1440 Site Acquisition				
9	1450 Site Improvement	50,000			
10	1460 Dwelling Structures	3,685,099			
11	1465.1 Dwelling Equipment—Nonexpendable				
12	1470 Nondwelling Structures	4,150,000			
13	1475 Nondwelling Equipment				
14	1485 Demolition				
15	1490 Replacement Reserve				
16	1492 Moving to Work Demonstration				
17	1495.1 Relocation Costs				
18	1499 Development Activities	4,000,000			
19	1502 Contingency				

**Annual Statement/Performance and Evaluation Report
Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF) Part 1: Summary**

PHA Name: Housing Authority of the Birmingham District	Grant Type and Number Capital Fund Program Grant No: Replacement Housing Factor Grant No:	Federal FY of Grant: 2001
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Original Annual Statement Reserve for Disasters/ Emergencies Revised Annual Statement (revision no:)
 Performance and Evaluation Report for Period Ending: Final Performance and Evaluation Report

Line No.	Summary by Development Account	Total Estimated Cost		Total Actual Cost	
	Amount of Annual Grant: (sum of lines.....)	13,153,099			
	Amount of line XX Related to LBP Activities				
	Amount of line XX Related to Section 504 compliance				
	Amount of line XX Related to Security –Soft Costs				
	Amount of Line XX related to Security-- Hard Costs				
	Amount of line XX Related to Energy Conservation Measures				
	Collateralization Expenses or Debt Service				

Annual Statement/Performance and Evaluation Report
Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)
Part II: Supporting Pages

PHA Name: Housing Authority Of The Birmingham District		Grant Type and Number Capital Fund Program Grant No: Replacement Housing Factor Grant No:				Federal FY of Grant:		
Development Number Name/HA-Wide Activities	General Description of Major Work Categories		Dev. Acct No.	Quantity	Total Estimated Cost	Total Actual Cost		Status of Work
AI 1-3R	Hope VI		1499		2,500,000			
Metro Gardens								
AL 1-10	Parking Lot		1450		50,000			
Tom Brown	Daycare Renovations		1470		450,000			
AL 1-17	Building Renovations		1460		3,685,099			
Cooper Green								
AL 1-35	Single Family Houses		1498		1,500,000			
Scattered Houses								
Central Administration Office	Non Dwelling Structures		1470		3,700,000			

Annual Statement/Performance and Evaluation Report
Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)
Part II: Supporting Pages

PHA Name: Housing Authority Of The Birmingham District		Grant Type and Number Capital Fund Program Grant No: Replacement Housing Factor Grant No:				Federal FY of Grant: 2001		
Development Number Name/HA-Wide Activities	General Description of Major Work Categories		Dev. Acct No.	Quantity	Total Estimated Cost	Total Actual Cost		Status of Work
HA Wide Management Improvements	Management Development Training		1408		60,000			
	Resident Programs		1408		75,000			
	Summer Day Camp		1408		60,000			
	Scouting Program		1408		100,000			
	Computer Software/Hardware Training		1408		158,000			
	Office Furniture/Equipment		1408		65,000			
	Administration		1410		750,000			

Annual Statement/Performance and Evaluation Report
Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)
Part III: Implementation Schedule

PHA Name:		Grant Type and Number Capital Fund Program No: Replacement Housing Factor No:					Federal FY of Grant:	
Development Number Name/HA-Wide Activities	All Fund Obligated (Quarter Ending Date)			All Funds Expended (Quarter Ending Date)			Reasons for Revised Target Dates	
	Original	Revised	Actual	Original	Revised	Actual		
AL 1-3R Metro Gardens	11-30-2003			11-30-2004				
AL 1-10 Tom Brown	11-30-2003			11-30-2004				
AL1-17 Cooper Green	11-30-2003			11-30-2004				
AL 1-35 Scattered Houses	11-30-2003			11-30-2004				
Central Admin Office	11-30-2003			11-30-2004				
PHA Wide Mgmt. Improvements	11-30-2003			11-30-2004				

Capital Fund Program Five-Year Action Plan
Part I: Summary

PHA Name Housing Authority of the Birmingham District		<input checked="" type="checkbox"/> Original 5-Year Plan <input type="checkbox"/> Revision No:			
Development Number/Name/HA-Wide	Year 1	Work Statement for Year 2 FFY Grant: PHA FY: 2002	Work Statement for Year 3 FFY Grant: PHA FY: 2003	Work Statement for Year 4 FFY Grant: PHA FY: 2004	Work Statement for Year 5 FFY Grant: PHA FY: 2005
	Annual Statement				
AL 1-3R Metro Gardens		2,500,000	1,000,000		
AL 1-4R Southtown		1,100,000			
AL 1-10 Tom Brown			550,000		
AL 1-13 Collegeville Center			880,000		
AL 1-14 Harris Homes		500,000			
AL 1-16 North Birmingham Homes			3,500,000	8,585,099	8,585,099
AL 1-17 Cooper Green		4,000,000	4,000,000		
Al 1-55 Scattered Houses		1,500,000	1,955,099	3,300,000	3,300,000
Central Administrative Office		2,285,099			
HA Wide Mgmt. Improvements		1,268,000	1,268,000	1,268,000	1,268,000
Total CFP Funds (Est.)		13,153,099	13,153,099	13,153,099	13,153,099
Total Replacement Housing Factor Funds					

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**Capital Fund Program Five-Year Action Plan
Part II: Supporting Pages—Work Activities**

Activities for Year 1	Activities for Year: <u>2</u> FFY Grant: PHA FY: 2002			Activities for Year: <u>3</u> FFY Grant: PHA FY: 2003		
	AL 1-3R Metro Gardens	Hope VI	2,500,000	AL 1-3R Metro Gardens	Hope VI	1,000,00
	AL 1-4R Southtown	A and E Fees	100,000	AL 1-10 Tom Brown	A and E Fees	50,000
		Air Conditioning	1,000,000		Air Conditioning	500,000
				AL 1-13 Collegeville Center	A and E Fees	80,000
					Air Conditioning	800,000
				AL 1-16 North Birmingham Homes	A and E Fees	500,000
	AL 1-14 Harris Homes	Building Renovations	500,000		Building Renovations	3,000,000
				AL 1-17 Cooper Green Homes	Building Renovations	4,000,000
	AL 1-17 Cooper Green	Building Renovations	4,000,000	AL 1-35 Scattered Houses	Single Family Houses	1,955,099
	AI 1-55 Scattered Houses	Single Family Houses	1,500,000	HA Wide Mgmt. Improvements	Management Development Training	60,000
					Resident Programs	75,000
	Central Administrative Office	Non Dwelling Structure	2,285,099		Summer Day Camp	60,000
					Scouting Program	100,000
	HA Wide Mgmt. Improvements	Management Development Training	60,000		Computer Software/Hardware	158,000

				Training	
		Resident Programs	75,000	Office Furniture/Equipment	65,000
		Summer Day Camp	60,000	Administration	750,000
		Scouting Program	100,000		
		Computer Software/Hardware Training	158,000		
		Office Furniture/Equipment	65,000		
		Administration	750,000		

Capital Fund Program Five-Year Action Plan
Part II: Supporting Pages—Work Activities

	Activities for Year: <u> 4 </u> FFY Grant: PHA FY: 2004			Activities for Year: <u> 5 </u> FFY Grant: PHA FY: 2005		
	AL 1-16 North Birmingham Homes	Building Renovations	8,485,099	AL 1-16 North Birmingham Homes	Building Renovations	8,485,099
		Relocation	100,000		Relocation	100,000
	AL 1-155 Scattered Houses	Single Family Houses	3,300,000	AL 1-155 Scattered Houses	Single Family Houses	3,300,000
	HA Wide Mgmt. Improvements	Management Development Training	60,000	HA Wide Mgmt. Improvements	Management Development Training	60,000
		Resident Programs	75,000		Resident Programs	75,000
		Summer Day Camp	60,000		Summer Day Camp	60,000
		Scouting Program	100,000		Scouting Program	100,000
		Computer Software/Hardware Training	158,000		Computer Software/Hardware Training	158,000
		Office Furniture/Equipment	65,000		Office Furniture/Equipment	65,000
		Administration	750,000		Administration	750,000

CAPITAL FUND PROGRAM TABLES START HERE

Annual Statement/Performance and Evaluation Report					
Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF) Part 1: Summary					
PHA Name: Housing Authority of the Birmingham District		Grant Type and Number Capital Fund Program Grant No: Replacement Housing Factor Grant No:			Federal FY of Grant:
<input checked="" type="checkbox"/> Original Annual Statement <input type="checkbox"/> Reserve for Disasters/ Emergencies <input type="checkbox"/> Revised Annual Statement (revision no:) <input type="checkbox"/> Performance and Evaluation Report for Period Ending: <input type="checkbox"/> Final Performance and Evaluation Report					
Line No.	Summary by Development Account	Total Estimated Cost		Total Actual Cost	
		Original	Revised	Obligated	Expended
1	Total non-CFP Funds				
2	1406 Operations				
3	1408 Management Improvements Soft Costs				
	Management Improvements Hard Costs				
4	1410 Administration				
5	1411 Audit				
6	1415 Liquidated Damages				
7	1430 Fees and Costs				
8	1440 Site Acquisition				
9	1450 Site Improvement				
10	1460 Dwelling Structures				
11	1465.1 Dwelling Equipment—Nonexpendable				
12	1470 Nondwelling Structures				
13	1475 Nondwelling Equipment				
14	1485 Demolition				
15	1490 Replacement Reserve				
16	1492 Moving to Work Demonstration				
17	1495.1 Relocation Costs				
18	1499 Development Activities	477,154			
19	1502 Contingency				

Annual Statement/Performance and Evaluation Report
Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF) Part 1: Summary

PHA Name: Housing Authority of the Birmingham District	Grant Type and Number Capital Fund Program Grant No: Replacement Housing Factor Grant No:	Federal FY of Grant:
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Original Annual Statement Reserve for Disasters/ Emergencies Revised Annual Statement (revision no:)
 Performance and Evaluation Report for Period Ending: Final Performance and Evaluation Report

Line No.	Summary by Development Account	Total Estimated Cost		Total Actual Cost	
	Amount of Annual Grant: (sum of lines.....)	477,154			
	Amount of line XX Related to LBP Activities				
	Amount of line XX Related to Section 504 compliance				
	Amount of line XX Related to Security –Soft Costs				
	Amount of Line XX related to Security-- Hard Costs				
	Amount of line XX Related to Energy Conservation Measures				
	Collateralization Expenses or Debt Service				

Capital Fund Program Five-Year Action Plan

Part I: Summary

PHA Name		<input type="checkbox"/> Original 5-Year Plan <input type="checkbox"/> Revision No:			
Development Number/Name/HA-Wide	Year 1	Work Statement for Year 2 FFY Grant: PHA FY:	Work Statement for Year 3 FFY Grant: PHA FY:	Work Statement for Year 4 FFY Grant: PHA FY:	Work Statement for Year 5 FFY Grant: PHA FY:
	Annual Statement				
Total CFP Funds (Est.)					
Total Replacement Housing Factor Funds					

LOCCS Voice Response No. 07507-28006

Project No. AL09-P001-706-97

according to LOCCS

AS OF 06/30/2001

1-10 Tom Brown				1-14 Harris Homes				1-33 PHA Wide				CGP 706 (FFY97) Grand Totals			
Total Funds Approved	Total Funds Obligated	% of Obligated	Total Funds Expended	Total Funds Approved	Total Funds Obligated	% of Obligated	Total Funds Expended	Total Funds Approved	Total Funds Obligated	% of Obligated	Total Funds Expended	Total Funds Approved	Total Funds Obligated	% of Obligated	Total Funds Expended
				1,022,288.00	\$1,022,288.00	100.0%	\$1,022,288.00					1,022,288.00	1,022,288.00	100.0%	1,022,288.00
								872,000.00	\$872,000.00	100.0%	\$822,216.12	872,000.00	872,000.00	100.0%	822,216.12
								664,554.00	664,554.00	100.0%	653,039.14	664,554.00	664,554.00	100.0%	653,039.14
				363,566.00	363,566.00	100.0%	363,566.00					363,566.00	363,566.00	100.0%	363,566.00
100,000.00	100,000.00	100.0%	100,000.00	509,000.00	509,000.00	100.0%	509,000.00					1,459,000.00	1,459,000.00	100.0%	1,459,000.00
583,269.00	583,269.00	100.0%	583,269.00	3,834,236.00	3,834,236.00	100.0%	3,621,020.98					5,256,472.00	5,256,472.00	100.0%	5,043,182.98
				165,000.00	165,000.00	100.0%	0.00					165,000.00	165,000.00	100.0%	0.00
100,000.00	100,000.00	100.0%	100,000.00									300,000.00	300,000.00	100.0%	300,000.00
												0.00	0.00		0.00
				120,000.00	120,000.00	100.0%	0.00					120,000.00	120,000.00	100.0%	0.00
												0.00	0.00		0.00
783,269.00	783,269.00	100.0%	783,269.00	6,014,090.00	6,014,090.00	100.0%	5,515,874.98	1,536,554.00	1,536,554.00	100.0%	1,475,255.26	10,222,880.00	10,222,880.00	100.0%	9,663,292.24
	0.00		0.00		0.00		498,215.02		0.00		61,298.74		0.00		559,587.76

1,022,288.00 0.00
 822,216.12 0.00
 637,346.72 #####
 363,566.00 0.00
 1,459,000.00 0.00
 5,043,182.98 0.00
 0.00 0.00
 300,000.00 0.00
 0.00 0.00
 0.00 0.00
 0.00 0.00
 9,647,599.82 #####
 3,473,215.15
 6,174,384.67

0.00 draw down error, disbursement adjustment & jr required

0.00

Signature of Executive Director	Date

Signature of Public Housing Director	Date

1-10 Tom Brown			
Total Funds Approved	Total Funds Obligated	% of Obligated	Total Funds Expended
14,047.47	14,047.47	100.0%	14,047.47
166,337.68	166,337.68	100.0%	151,337.68
180,385.15	180,385.15	100.0%	165,385.15
	0.00		15,000.00

1-14 Harris Homes			
Total Funds Approved	Total Funds Obligated	% of Obligated	Total Funds Expended
500,000.00	500,000.00	100.0%	315,387.15
1,664,000.00	1,664,000.00	100.0%	393,727.00
650,000.00	650,000.00	100.0%	0.00
0.00	0.00		0.00
2,814,000.00	2,814,000.00	100.0%	709,114.15
	0.00		2,104,885.85

1-16 North Birmingham			
Total Funds Approved	Total Funds Obligated	% of Obligated	Total Funds Expended
13,000.00	13,000.00	100.0%	\$13,000.00
240,000.00	240,000.00	100.0%	\$219,613.78
253,000.00	253,000.00	100.0%	232,613.78
	0.00		20,386.22

1-17 Cooper Green			
Total Funds Approved	Total Funds Obligated	% of Obligated	Total Funds Expended
20,000.00	20,000.00	100.0%	\$20,000.00
280,000.00	280,000.00	100.0%	\$280,000.00
300,000.00	300,000.00	100.0%	300,000.00
	0.00		0.00

1-18 Kimbrough			
Total Funds Approved	Total Funds Obligated	% of Obligated	Total Funds Expended
208,840.98	208,840.98	100.0%	170,758.63
208,840.98	208,840.98	100.0%	170,758.63
	0.00		38,082.35

LOCCS Voice Response No. 07507-28007

Project No. AL09-P001-707-98

GP 707 (FFY98) Grand Total			
Total Funds Approved	Total Funds Obligated	% of Obligated	Total Funds Expended
0.00	0.00		0.00
1,680,000.00	1,680,000.00	100.0%	1,077,790.47
680,000.00	356,400.00	52.4%	26,458.35
821,300.00	587,257.09	71.5%	487,822.31
970,000.00	970,000.00	100.0%	785,387.15
3,234,000.00	3,234,000.00	100.0%	1,784,230.78
			0.00
3,371,780.00	2,601,709.50	77.2%	1,284,942.70
175,800.00	103,822.28	59.1%	103,822.28
0.00	0.00		0.00
0.00	0.00		0.00
0.00	0.00		0.00
10,932,880.00	9,533,188.87	87.2%	5,550,454.04
	1,399,691.13		5,382,425.96

LOCCS
loccs as of 06/30/2001

timing differences to correct draw down error

0.00	0.00		0.00			1,062,961.00	-1,062,961.00
1,077,712.47	78.00		6,322.00	6,400.00	PING funds drawn down from 707 should be from 709	977,000.00	703,000.00
26,458.35	0.00			0.00		1,272,900.00	-592,900.00
484,443.09	3,379.22	-4,375.00	995.78	0.00	CLA was posted to 77-17-1430-00 instead of 1460	305,500.00	515,800.00
785,387.15	0.00			0.00		BUDGET AMT IS WRO	-1,022,969.00
1,783,235.00	995.78		-995.78	0.00	to correct cla 77-17-1460-00	BUDGET AMT IS WRO	1,339,500.00
	0			0.00		this amt was drawn	-145,250.00
1,278,596.00	6,346.70			6,346.70		down on 06/08/98	1,516,280.00
103,822.28				0.00			-710,200.00
0.00	0.00			0.00			-50,000.00
				0.00			
	#VALUE!			#VALUE!		187,039.00	-187,039.00
5,539,654.34	10,799.70						10,932,880.00
5,539,654.34						10,629,619.00	

Signature of Executive Director	Date

Signature of Public Housing Director	Date

Housing Authority of the Birmingham District
 Comprehensive Grant Program 708
 REVISION NUMBER

FFY 1999

08/04/1999 GRANT EFFECTIVE DATE

10/31/2002 OBLIGATION END DATE

EXPENDED DATE

1406	Operations
1408	Management Improvements
1410	Administration
1430	Fees and Costs
1450	Site Improvement
1460	Dwelling Structures
1465.1	Dwelling Equipment-Nonexpendable
1470	Nondwelling Structures
1475	Nondwelling Equipment
1495.1	Relocation Cost
Total	
Available Funds	

1-14 Harris Homes			
Total Funds Approved	Total Funds Obligated	% of Obligated	Total Funds Expended
20,689.00	20,689.00	100.0%	0.00
20,689.00	20,689.00	100%	0.00
	0.00		20,689.00

LOCCS Voice Response No. 07507-28009

Project No. AL09-P001-708-99

CGP 708 (FFY) Grand Total			
Total Funds Approved	Total Funds Obligated	% of Obligated	Total Funds Expended
0.00	0.00		0.00
0.00	0.00		0.00
0.00	0.00		0.00
20,689.00	20,689.00	100.0%	0.00
0.00	0.00		0.00
0.00	0.00		0.00
0.00	0.00		0.00
20,689.00	20,689.00	100%	0.00
	0.00		20,689.00

Signature of Executive Director	Date

Signature of Public Housing Director	Date

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Housing Authority of the Birmingham District
 Comprehensive Grant Program 709
 REVISION NUMBER

FFY 1999

08/04/1999 GRANT EFFECTIVE DATE

10/31/2002 OBLIGATION END DATE

EXPENDED DATE

1406	Operations
1408	Management Improvements
1410	Administration
1430	Fees and Costs
1450	Site Improvement
1460	Dwelling Structures
1465.1	Dwelling Equipment-Nonexpendable
1470	Nondwelling Structures
1475	Nondwelling Equipment
1495.1	Relocation Cost
Total	
Available Funds	

1-1 Elyton Village			
Total Funds Approved	Total Funds Obligated	% of Obligated	Total Funds Expended
93,263.00	93,263.00	100.0%	93,263.00
93,263.00	93,263.00	100%	93,263.00
	0.00		0.00

1-4r Southtown			
Total Funds Approved	Total Funds Obligated	% of Obligated	Total Funds Expended
200,000.00	43,106.25	21.6%	11,765.25
545,914.00	291,690.63	53.4%	144,374.49
745,914.00	334,796.88	45%	156,139.74
	411,117.12		589,774.26

1-6 Marks Village			
Total Funds Approved	Total Funds Obligated	% of Obligated	Total Funds Expended
50,000.00	0.00	0.0%	0.00
50,000.00	0.00	0%	0.00
	50,000.00		50,000.00

1-7 Loveman Village			
Total Funds Approved	Total Funds Obligated	% of Obligated	Total Funds Expended
50,000.00	0.00	0.0%	0.00
188,000.00	181,000.00	96.3%	0.00
238,000.00	181,000.00	76%	0.00
	57,000.00		238,000.00

1-8 Tuxedo Court			
Total Funds Approved	Total Funds Obligated	% of Obligated	Total Funds Expended
50,000.00	0.00	0.0%	0.00
50,000.00	0.00	0%	0.00
	50,000.00		50,000.00

1-9 Smithfield			
Total Funds Approved	Total Funds Obligated	% of Obligated	Total Funds Expended
1,000,000.00	999,709.56	100.0%	844,709.47
1,000,000.00	999,709.56	100%	844,709.47
	290.44		155,290.53

1-11 Morton Simpson			
Total Funds Approved	Total Funds Obligated	% of Obligated	Total Funds Expended
50,000.00	0.00	0.0%	0.00
50,000.00	0.00	0%	0.00
	50,000.00		50,000.00

1-13 Collegeville			
Total Funds Approved	Total Funds Obligated	% of Obligated	Total Funds Expended
100,000.00	86,165.00	86.2%	64,014.61
180,000.00	187,053.50	103.9%	138,551.13
280,000.00	273,218.50	98%	202,565.74
	6,781.50		77,434.26

1-14 Harris Homes			
Total Funds Approved	Total Funds Obligated	% of Obligated	Total Funds Expended
500,000.00	492,000.00	98.4%	433,106.00
645,879.00	645,879.00	100.0%	0.00
6,054,121.00	6,054,121.00	100.0%	1,404.00
1,500,000.00	967,551.46	64.5%	4,053.66
8,700,000.00	8,159,551.46	94%	438,563.66
	540,448.54		8,261,436.34

1-16 North Birmingham Homes			
Total Funds Approved	Total Funds Obligated	% of Obligated	Total Funds Expended
4,086.00	4,086.00	100.0%	0.00
4,086.00	4,086.00	100%	0.00
	0.00		4,086.00

1-21 Freedom Manor			
Total Funds Approved	Total Funds Obligated	% of Obligated	Total Funds Expended
64,000.00	61,768.00	96.5%	61,768.00
64,000.00	61,768.00	97%	61,768.00
	2,232.00		2,232.00

Total Funds Approved
1,155,000.00
1,155,000.00

1-33 PHA Wide		
Total Funds Obligated	% of Obligated	Total Funds Expended
\$1,153,417.61	99.9%	\$362,741.57
1,153,417.61	100%	362,741.57
1,582.39		792,258.43

1-55 Single Family Homes			
Total Funds Approved	Total Funds Obligated	% of Obligated	Total Funds Expended
80,000.00	40,285.00	50.4%	40,285.00
770,000.00	770,000.00	100.0%	370,215.92
850,000.00	810,285.00	95%	410,500.92
	39,715.00		439,499.08

LOCCS Voice Response No. 07507-28008

Project No. AL09-P001-709-99

CGP 709 (FFY) Grand Total			
Total Funds Approved	Total Funds Obligated	% of Obligated	Total Funds Expended
0.00	0.00		0.00
1,155,000.00	1,153,417.61		362,741.57
0.00	0.00		0.00
873,263.00	532,285.00		566,654.00
945,879.00	775,150.25	82.0%	75,779.86
7,374,121.00	7,119,897.63	96.6%	515,994.41
0.00	0.00		0.00
2,680,000.00	2,154,314.52	80.4%	987,314.26
252,000.00	242,768.00	96.3%	61,768.00
0.00	0.00		0.00
13,280,263.00	11,977,833.01	90%	2,570,252.10
	1,302,429.99		10,710,010.90

loccs balance as of 06/30/2001	timing differences	adjustments	
	0.00		0.00
356,419.57	6,322.00	-6,322.00	0.00 PING funds should be drawn down from 707 1408
	0.00		0.00
560,751.15	5,902.85	2,121.15	8,024.00 Turner Inv#3105 drawn down on wrong bli should be 1450
73,658.71	2,121.15	-2,121.15	0.00
438,096.11	77,898.30	-77,898.30	0.00
	0.00		0.00
987,314.26	0.00		0.00
61,768.00	0.00		0.00
	0.00		0.00
2,478,007.80	92,244.30		8,024.00

Signature of Executive Director	Date

Signature of Public Housing Director	Date

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Housing Authority of the Birmingham District
 Comprehensive Grant Program 501-99
 REVISION NUMBER

Refer to Grant as 800

FFY 2000

10/31/2000 GRANT EFFECTIVE DATE

10/31/2002 OBLIGATION END DATE

10/31/2003 EXPENDED DATE

1406	Operations
1408	Management Improvements
1410	Administration
1430	Fees and Costs
1450	Site Improvement
1460	Dwelling Structures
1465.1	Dwelling Equipment-Nonexpendable
1470	Nondwelling Structures
1475	Nondwelling Equipment
1495.1	Relocation Cost
Total	
Available Funds	

1-35 George McCoy Maintenance Facility			
Total Funds Approved	Total Funds Obligated	% of Obligated	Total Funds Expended
37,527.00	0.00		0.00
37,527.00	0.00	0%	0.00
	37,527.00		37,527.00

LOCCS Voice Response No. 07507-28010

Project No. AL09-R001-501-99

COMP AL09 R001 501-99			
Total Funds Approved	Total Funds Obligated	% of Obligated	Total Funds Expended
0.00	0.00		0.00
0.00	0.00		0.00
0.00	0.00		0.00
0.00	0.00		0.00
0.00	0.00		0.00
37,527.00	0.00		0.00
0.00	0.00		0.00
0.00	0.00		0.00
0.00	0.00		0.00
0.00	0.00		0.00
37,527.00	0.00	0.0%	0.00
	37,527.00		37,527.00

Signature of Executive Director	Date

Signature of Public Housing Director	Date

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Housing Authority of the Birmingham District
 Capital Fund Program 801 [AL09-P001-501-00]
 REVISION NUMBER

10/31/2000 GRANT EFFECTIVE DATE

10/31/2002 OBLIGATION END DATE

10/31/2003 EXPENDED DATE

1406	Operations
1408	Management Improvements
1410	Administration
1430	Fees and Costs
1450	Site Improvement
1460	Dwelling Structures
1465.1	Dwelling Equipment-Nonexpendable
1470	Nondwelling Structures
1475	Nondwelling Equipment
1485	Demolition
1495.1	Relocation Cost
1502	Contingency
Total	
Available Funds	

1-1 Elyton Village			
Total Funds Approved	Total Funds Obligated	% of Obligated	Total Funds Expended
218,703.00	57,950.42	26.5%	6,149.42
1,800,000.00	1,508,983.00	83.8%	950,105.00
2,018,703.00	1,566,933.42	77.6%	956,254.42
	451,769.58		1,062,448.58

1-9 Smithfield			
Total Funds Approved	Total Funds Obligated	% of Obligated	Total Funds Expended
205,000.00	41,240.00	20.1%	0.00
68,000.00	0.00		0.00
1,300,000.00	0.00		0.00
684,000.00	0.00		0.00
2,257,000.00	41,240.00	1.8%	0.00
	2,215,760.00		2,257,000.00

1-13 Collegeville Center			
Total Funds Approved	Total Funds Obligated	% of Obligated	Total Funds Expended
100,000.00	0.00		0.00
54,326.00	0.00		0.00
10,000.00	0.00		0.00
164,326.00	0.00	0%	0.00
	164,326.00		164,326.00

LOCCS Voice Response No. 07507-92001

Project No. AL09-P001-501-00

1-50 NEW ADMINISTRATIVE BUILDING			
Total Funds Approved	Total Funds Obligated	% of Obligated	Total Funds Expended
900,000.00	\$0.00		\$0.00
3,500,000.00	0.00		0.00
4,400,000.00	0.00	0%	0.00
	4,400,000.00		4,400,000.00

CFP [801] AL09-P001-501-00			
Total Funds Approved	Total Funds Obligated	% of Obligated	Total Funds Expended
0.00	0.00		0.00
1,020,000.00	238,828.64	23.4%	179,352.14
750,000.00	750,000.00	100.0%	0.00
2,305,000.00	16,250.20	0.7%	0.00
386,703.00	57,950.42	15.0%	6,149.42
1,950,647.00	0.00		0.00
684,000.00	0.00		0.00
3,914,853.00	253,358.01	6.5%	209,607.60
32,500.00	0.00		0.00
1,800,000.00	1,508,983.00	83.8%	950,105.00
125,000.00	722.00	0.6%	722.00
0.00	0.00		0.00
12,968,703.00	2,826,092.27	21.8%	1,345,936.16
	10,142,610.73		11,622,766.84

loccs expended as of 06/30/2001

	0.00
177,957.81	1,394.33
0.00	0.00
0.00	0.00
6,149.42	0.00
0.00	0.00
0.00	0.00
209,607.60	0.00
0.00	0.00
950,105.00	0.00
722.00	0.00
	0.00
1,344,541.83	1,394.33

Signature of Executive Director	Date

Signature of Public Housing Director	Date

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Housing Authority of the Birmingham District
 Capital Fund Program 501-00
 REVISION NUMBER

Refer to Grant as 802

FFY 2000

10/31/2000 GRANT EFFECTIVE DATE

10/31/2002 OBLIGATION END DATE

10/31/2003 EXPENDED DATE

1406	Operations
1408	Management Improvements
1410	Administration
1430	Fees and Costs
1450	Site Improvement
1460	Dwelling Structures
1465.1	Dwelling Equipment-Nonexpendable
1470	Nondwelling Structures
1475	Nondwelling Equipment
1499	Replacement Housing
Total	
Available Funds	

1-60 HOPE VI Replacement Housing			
Total Funds Approved	Total Funds Obligated	% of Obligated	Total Funds Expended
386,826.00	386,826.00	100.0%	0.00
386,826.00	386,826.00	100%	0.00
	0.00		386,826.00

LOCCS Voice Response No. 07507-92002

Project No. AL09-R001-501-00

CFP AL09 R001 501-00			
Total Funds Approved	Total Funds Obligated	% of Obligated	Total Funds Expended
0.00	0.00		0.00
0.00	0.00		0.00
0.00	0.00		0.00
0.00	0.00		0.00
0.00	0.00		0.00
0.00	0.00		0.00
0.00	0.00		0.00
0.00	0.00		0.00
0.00	0.00		0.00
0.00	0.00		0.00
386,826.00	386,826.00		0.00
386,826.00	386,826.00	100.0%	0.00
	0.00		386,826.00

Signature of Executive Director	Date

Signature of Public Housing Director	Date

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Public Housing Drug Elimination Program Plan

Note: THIS PHDEP Plan template (HUD 50075-PHDEP Plan) is to be completed in accordance with Instructions located in applicable PIH Notices.

Annual PHDEP Plan Table of Contents:

1. General Information/History
2. PHDEP Plan Goals/Budget
3. Milestones
4. Certifications

Section 1: General Information/History

REVISED 4-11-01 PER HUD

A. Amount of PHDEP Grant \$ 1,563,335.00

B. Eligibility type (Indicate with an "x") N1 _____ N2 _____ R _____ (not sure of

C. FFY in which funding is requested _____ **what this is referring to at this time)**

D. Executive Summary of Annual PHDEP Plan

In the space below, provide a brief overview of the PHDEP Plan, including highlights of major initiatives or activities undertaken. It may include a description of the expected outcomes. The summary must not be more than five (5) sentences long

Continue use of additional law enforcement patrols, drug prevention programs and Job Readiness Training with Job Placement to further reduce and/or eliminate drug and crime related activities in Twelve (12) Communities over the next five (5) years.

E. Target Areas

Complete the following table by indicating each PHDEP Target Area (development or site where activities will be conducted), the total number of units in each PHDEP Target Area, and the total number of individuals expected to participate in PHDEP sponsored activities in each Target Area.

PHDEP Target Areas (Name of development(s) or site)	Total # of Units within the PHDEP Target Area(s)	Total Population to be Served within the PHDEP Target Area(s)
Elyton Village	555	444
Metropolitan Gardens	910	683
Southtown	451	561

F. Duration of Program

Indicate the duration (number of months funds will be required) of the PHDEP Program proposed under this Plan (place an "x" to indicate the length of program by # of months. For "Other", identify the # of months).

6 Months _____ **12 Months** _____ **18 Months** _____ **24 Months** **X** **Other** _____

G. PHDEP Program History

Indicate each FY that funding has been received under the PHDEP Program (place an "x" by each applicable Year) and provide amount of funding received. If previously funded programs have not been closed out at the time of this submission, indicate the fund balance and anticipated completion date. For grant extensions received, place "GE" in column or "W" for waivers.

Fiscal Year of Funding	PHDEP Funding Received	Grant #	Fund Balance as of Date of this Submission	Grant Extensions or Waivers	Anticipated Completion Date
FY 1995	\$ 1,702,500	AL09DEP001095	\$ - 0 -	1	4-22-99
FY 1996	\$ 1,702,500	AL09DEP0010196	\$ - 0 -	1	6-30-99
FY 1997	\$ 1,718,600	AL09DEP001097	\$ - 0 -	NA	12-31-99
FY 1998	\$ 1,718,600	AL09DEP001098	\$ - 0 -	NA	12-31-00
FY 1999	\$ 1,408,059	AL09DEP001099	\$ 1,408,059.00	NA	1-31-02
FY 2000	\$ 1,467,257	AL09DEP0010100	\$ 1,467,257.00	NA	9-30-02

Section 2: PHDEP Plan Goals and Budget

A. PHDEP Plan Summary

In the space below, summarize the PHDEP strategy to address the needs of the target population/target area(s). Your summary should briefly identify: the broad goals and objectives, the role of plan partners, and your system or process for monitoring and evaluating PHDEP-funded activities. This summary should not exceed 5-10 sentences.

B. PHDEP Budget Summary

Enter the total amount of PHDEP funding allocated to each line item.

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FY 2001 PHDEP Budget Summary	
Budget Line Item	Total Funding
9110 - Reimbursement of Law Enforcement	\$ 818,349.67
9120 - Security Personnel	\$ 249,132.00
9130 - Employment of Investigators	
9140 - Voluntary Tenant Patrol	
9150 - Physical Improvements	
9160 - Drug Prevention	\$ 435,162.00
9170 - Drug Intervention	
9180 - Drug Treatment	
9190 - Other Program Costs	\$ 60,691.33
TOTAL PHDEP FUNDING	\$1,563,335.00

C. PHDEP Plan Goals and Activities

In the tables below, provide information on the PHDEP strategy summarized above by budget line item. Each goal and objective should be numbered sequentially for each budget line item (where applicable). Use as many rows as necessary to list proposed activities (additional rows may be inserted in the tables). PHAs are not required to provide information in shaded boxes. Information provided must be concise—not to exceed two sentences in any column. Tables for line items in which the PHA has no planned goals or activities may be deleted.

9110 - Reimbursement of Law Enforcement					Total PHDEP Funding: \$ 818,349.67		
Goal(s)	Reduce overall Part I crimes by 10%, by concentration of and monitoring occurrence rate of aggravated assaults, burglaries and thefts by # of service calls, location of services calls and time of day of service calls.						
Objectives							
Proposed Activities	# of Persons Served	Target Population	Start Date	Expected Complete Date	PHEDEP Funding	Other Funding (Amount/Source)	Performance Indicators
1. Law Enforcement	8,691	All PHA Residents	TBA	TBA	\$ 818,349.67	\$ - 0 -	Baseline Statistics See Objectives
2.							
3.							

9120 - Security Personnel					Total PHDEP Funding: \$ 249,132.00 REVISED 4-11-01 PER HUD		
Goal(s)							
Objectives							
Proposed Activities	# of Persons Served	Target Population	Start Date	Expected Complete Date	PHEDEP Funding	Other Funding (Amount/Source)	Performance Indicators
1. Contracted Security	TBA	Residents at Smithfield Court AL 1-9	TBA	TBA	\$249,132.00	\$ - 0 -	Baseline Statistics See Objectives
2.							
3.							

9130 - Employment of Investigators NA					Total PHDEP Funding: \$		
Goal(s)							
Objectives							
Proposed Activities	# of Persons Served	Target Population	Start Date	Expected Complete Date	PHEDEP Funding	Other Funding (Amount/Source)	Performance Indicators
1.							
2.							

3.							
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9140 - Voluntary Tenant Patrol					NA			Total PHDEP Funding: \$	
Goal(s)									
Objectives									
Proposed Activities	# of Persons Served	Target Population	Start Date	Expected Complete Date	PHDEP Funding	Other Funding (Amount /Source)	Performance Indicators		
1.									
2.									
3.									

9150 - Physical Improvements					NA			Total PHDEP Funding: \$	
Goal(s)									
Objectives									
Proposed Activities	# of Persons Served	Target Population	Start Date	Expected Complete Date	PHDEP Funding	Other Funding (Amount /Source)	Performance Indicators		
1.									
2.									
3.									

9160 - Drug Prevention					Total PHDEP Funding: \$ 435,162.00				
Goal(s)									
Objectives									
Proposed Activities	# of Persons Served	Target Population	Start Date	Expected Complete Date	PHDEP Funding	Other Funding (Amount /Source)	Performance Indicators		
1. Girl Scouts	600 girls	Youth	TBA	TBA	\$50,000.00	\$ - 0 -	Pre-post tests		
2. Boy Scouts	750 boys	Youth	TBA	TBA	\$50,000.00	\$ - 0 -	Pre-post tests		
3. Camp Fire Boys/Girls	30	Youth	TBA	TBA	\$50,000.00	\$ - 0 -	Pre-post tests, grades and attendance		
4. Youth Interns	17	Youth	TBA	TBA	\$55,000.00	\$ - 0 -	Essay, pre-post tests		
5. Summer Day Camp	700	Youth	TBA	TBA	\$135,162.00	\$ - 0 -	Pre-post tests, work ethics		
6. Job Readiness Training and Job Placement	100	Adults	TBA	TBA	\$100,000.00	\$ - 0 -	Job Placement and Job Maintenance		

9170 - Drug Intervention					NA			Total PHDEP Funding: \$	
Goal(s)									
Objectives									
Proposed Activities	# of Persons Served	Target Population	Start Date	Expected Complete Date	PHEDEP Funding	Other Funding (Amount /Source)	Performance Indicators		
1.									
2.									
3.									

9180 - Drug Treatment					NA			Total PHDEP Funding: \$	
Goal(s)									
Objectives									
Proposed Activities	# of Persons Served	Target Population	Start Date	Expected Complete Date	PHEDEP Funding	Other Funding (Amount /Source)	Performance Indicators		
1.									
2.									
3.									

9190 - Other Program Costs					Total PHDEP Funds: \$ 60,691.00				
Goal(s)									
Objectives									
Proposed Activities	# of Persons Served	Target Population	Start Date	Expected Complete Date	PHEDEP Funding	Other Funding (Amount /Source)	Performance Indicators		
1. PHDEP Coordinator Salary	6,741	Adults/Youth	TBA	TBA	\$ 60,696.33	\$ - 0 -	See Law Enforcement and Drug Prevention Performance Indicators.		
2.									
3.									

Section 3: Expenditure/Obligation Milestones

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Indicate by Budget Line Item and the Proposed Activity (based on the information contained in Section 2 PHDEP Plan Budget and Goals), the % of funds that will be expended (at least 25% of the total grant award) and obligated (at least 50% of the total grant award) within 12 months of grant execution.

Budget Line Item #	25% Expenditure of Total Grant Funds By Activity #	Total PHDEP Funding Expended (sum of the activities)	50% Obligation of Total Grant Funds by Activity #	Total PHDEP Funding Obligated (sum of the activities)
<i>e.g Budget Line Item # 9120</i>	<i>Activities 1, 3</i>		<i>Activity 2</i>	

9110	\$ 204,587.41	\$ 204,587.44	\$ 409,174.82	\$ 818,349.67
9120	\$ 62,283.00	\$ 62,283.00	\$ 124,566.00	\$ 249,132.00
9130				
9140				
9150				
9160	\$ 108,790.50	\$ 108,790.50	\$ 217,581.00	\$ 435,162.00
9170				
9180				
9190	\$ 15,172.83	\$ 15,172.84	\$ 30,345.66	\$ 60,691.33
TOTAL	\$ 343,309.99	\$ 343,310.03	\$ 686,619.98	\$ 1,563,335.00

Section 4: Certifications

A comprehensive certification of compliance with respect to the PHDEP Plan submission is included in the “PHA Certifications of Compliance with the PHA Plan and Related Regulations.”

ATTACHMENT 1:

E. PHDEP TARGETED AREAS CONTINUED:

PHDEP TARGET AREAS (NAME OF DEVELOPMENT(S) SITES)	TOTAL # OF UNITS WITHIN THE PHDEP TARGETED ARE(S)	TOTAL POPULATION TO BE SERVED WITHIN THE PHDEP TARGET AREA(S)
Marks Village	497	1,203
Loveman Village	499	1,012
Tuxedo Court	487	917
Tom Brown	246	722
Morton Simpson	499	651
Harris Homes	200	228
Cooper Green Homes	311	604
Kimbrough Homes	231	583
Roosevelt City	84	336
TOTALS	4,473	6,741

ATTACHMENT THREE

HOUSING AUTHORITY OF THE BIRMINGHAM DISTRICT

FIVE YEAR PLAN

PROGRESS REPORT

HUD STRATEGIC GOAL: Increase the availability of decent, safe, and affordable housing

PHA Goal: **Expand the supply of assisted housing**

During this plan year a total of 420 rental vouchers have been obtained by the Housing Authority of the Birmingham District (HABD) that will significantly expand the supply of decent, safe and affordable housing. In addition, the HOPE VI redevelopment of the Metropolitan Gardens site (as well as off site development) will leverage private and other public funds to create additional housing opportunities. HABD has begun a marketing campaign aimed at reducing public housing vacancies.

PHA Goal: **Improve the quality of assisted housing**

The demolition and redevelopment of the 910 unit Metroploitan Gardens site (and offsite development) through the ongoing HOPE VI project will subsequently improve housing quality. In addition, 200 units at Harris Homes are undergoing comprehensive modernization and 131 units are currently being demolished at Elyton Village. The Section 8 inspection process has been improved dramatically. The quality control failure rate is less than 12%, resulting in an increase in housing quality.

Attachment Three (continued)

PHA Goal: Increase assisted housing choices

HABD has adopted a voucher homeownership program and 188 residents with sufficient income have expressed an interest in being homeowners. A first group of 50 residents has been requested to provide credit reports to assist in determining their qualifications. In addition, HABD has met with prospective mortgage lenders regarding the homeownership program. Twenty-four single family public housing homeownership homes will be under construction during the current plan year. HABD's outreach to landlords has resulted in 390 additional landlords being added to the Section 8 program within the last year.

HUD STRATEGIC GOAL: Improve community quality of life and economic vitality

PHA Goal: Provide an improved living environment

The HOPE VI development will provide income mixing in public housing. In addition, HABD's marketing campaign is designed to provide income mixing by targeting working families. The Smithfield Housing community will be designated for occupancy only by elderly families and families with disabilities. This designation will be requested before the end of the current year plan. HABD continues to enhance security in public housing through drug elimination grant programs including the community oriented policing and the cop next door programs.

HUD STRATEGIC GOAL: Promote self-sufficiency and asset development of families and individuals

PHA Goal: Promote self-sufficiency and asset development of families and individuals

FSS participation for public housing residents has increased by 8% over the last year. Participation by Section 8 residents has increased by nearly 13%.

HUD STRATEGIC GOAL: Ensure equal opportunity in housing for all Americans

PHA Goal: Ensure equal opportunity and affirmatively further fair housing objectives

HABD continues to affirmatively further fair housing objectives

ATTACHMENT SEVEN

HOUSING AUTHORITY OF THE BIRMINGHAM DISTRICT

RESIDENT ADVISORY BOARD

Public Housing

**Mrs. Willine Body
Elyton Village
216 Third Avenue West, Unit 8
Birmingham, AL 35204**

**Ms. Bertha Davis
Benjamin Greene Village
3561 - 45th Street S. W.
Birmingham, AL 35221**

**Ms. Louise Shufford
Metropolitan Gardens
2411D Sixth Avenue North
Birmingham, AL 35203**

**Ms. Lelia Birdsong
Morton Village
910A - 44th Place North
Birmingham, AL 35212**

**Ms. Deborah Cole
Southtown
2419 Southtown Court South #155
Birmingham, AL 35205**

**Ms. Thelma Patterson
Collegeville Center
3000 - 31st Avenue North
Birmingham, AL 35207**

**Pastor Willie Robinson
Marks Village
7527 - 64th Courtway South, Unit 43
Birmingham, AL 35212**

**Ms. Barbara Merchant
Harris Homes
549 Brussels Circle
Birmingham, AL 35212**

**Ms. Evior Collins
Loveman Village
120 Taft Courtway S.W., Unit 336
Birmingham, AL 35211**

**Ms. Juanita Turner
North Birmingham Homes
31`87A 42nd Avenue North
Birmingham, AL 35207**

**Ms. Wilma Peoples
Tuxedo Court
1532 - 22nd Street, Ensley
Birmingham, AL 35204**

**Mrs. Maralyn Mosley
Cooper Green Homes
1508F Arthur Shores Drive
Birmingham, AL 35211**

Public Housing (continued)

**Ms. Creassie Tate
Smithfield Court
236 - 8th Avenue West
Birmingham, AL 35204**

**Ms. Earnestine Hollifield
Kimbrough Homes
2909B John Bryan Road, Unit 92
Birmingham, AL 35211**

**Ms. Vivian Miles
Tom Brown Village
500 - 43rd Street, North
Birmingham, AL 35222**

**Ms. Freddie M. Gletton
Freedom Manor
1617 - 5th Avenue, North, Apt. 604
Birmingham, AL 35203**

**Ms. Hattie Chapman
Roosevelt City
6105 Warner Street
Birmingham, AL 35228**

Section 8

**Ms. Terri Locklyn
3416 Laurel Avenue, S. W.
Birmingham, AL 35211**

**Ms. Rechelle Parks Barnett
1210 - 48th Street
Brighton, AL 35020**

**Elizabeth Simpson
4124 Fourth Avenue
Birmingham, AL 35222**

**Ms. Zakiyah Muhammad
1621 - 12th Avenue North
Birmingham, AL 35204**

**Ms. Doretha Addison
1216 Rayfield Avenue
Birmingham, AL 35228**

**Mr. Clifford J. Holmes
4025 - 40th Terrace North
Birmingham, AL 35217**

ATTACHMENT FIVE

HOUSING AUTHORITY OF THE BIRMINGHAM DISTRICT

PET POLICY

The Authority's pet policy is instituted based on the requirement of the Quality Housing and Work Responsibility Act of 1998. It is intended to be consistent with HUD's implementing regulations.

Each head of household may keep a maximum of two pets present in their leased dwelling unit. A pet is a cat, dog, bird, fish and any animal contained in a cage or aquarium. Cats and dogs are to be spayed and neutered by the age of six (6) months. Cats are to be declawed by three (3) months. Documentation from a veterinarian and/or staff of the Humane Society is required within ten (10) days of the pet becoming of age to be neutered/spayed or declawed before the Authority will execute the pet addendum to the lease.

The resident is solely responsible for cleaning up and for the disposal of pet waste within the dwelling and on the premises. Any violators will be fined \$25.00

Weight limits for cats shall not exceed 10 pounds (fully grown). A dog's weight shall not exceed 20 pounds (fully grown). Documentation of the pet's weight must be acquired from a veterinarian and/or staff of the Humane Society every six (6) months for pets not fully grown before the Authority will execute the pet addendum to the lease. Once fully grown, the pet's weight should never exceed the weight limit. The resident will also be responsible for documentation for all pets requiring inoculation.

Every resident who will own and/or maintain a pet must sign a Pet Addendum to the lease prior to the placement of the animal in the unit. HABD prohibits any animal or breed of dog that is considered to be dangerous, vicious and/or intimidating, causes disturbances (barking, growling, etc.) and/or be destructive to any of HABD's property. Pets are to be restrained for HABD employees' scheduled and unscheduled visit/services for the unit. Breeding is strictly prohibited.

An annual non-refundable fee will be charged to those residents with pets. The annual fee and required documentation will be due at the time of the re-examination period.

ATTACHMENT SIX

COMMUNITY SERVICE REQUIREMENT SUMMARY

The Community Service Plan requires each non-exempt public housing resident, who is 18 years of age and older, to contribute eight hours of community service each month or participate in a self-sufficiency program for eight hours each month.

Exempt residents are those who are 62 years of age or older, persons with qualifying disabilities, persons engaged in work, persons participating in a welfare-to-work program and persons receiving assistance from and in compliance with a State Program.

Documentation of proof of compliance must be presented by the head of household and all other persons eighteen years of age and older. Failure to comply may result in termination of lease.

ATTACHMENT FOUR

THE SECTION 8 HOME OWNERSHIP CAPACITY STATEMENT

The Housing Authority of the Birmingham District has adopted and specified in its Administrative Plan a minimum homeowner down payment requirement of at least three (3) percent and requires that at least one (1) percent of the down payment come from the family's resources.

**HOUSING AUTHORITY OF THE BIRMINGHAM DISTRICT
ORGANIZATIONAL CHART**

ATTACHMENT EIGHT

