

U.S. Department of Housing and Urban Development
Office of Public and Indian Housing

PHA Plans

5 Year Plan for Fiscal Years 2000 - 2004
Annual Plan for Fiscal Year 2000

**NOTE: THIS PHA PLANS TEMPLATE (HUD 50075) IS TO BE COMPLETED IN ACCORDANCE
WITH INSTRUCTIONS LOCATED IN APPLICABLE PIH NOTICES**

HUD 50075
OMB Approval No: 2577-0226
Expires: 03/31/2002

**PHA Plan
Agency Identification**

PHA Name: Lynchburg Redevelopment and Housing Authority

PHA Number: VA013

PHA Fiscal Year Beginning: (mm/yyyy) 01-2000

Public Access to Information

Information regarding any activities outlined in this plan can be obtained by contacting: (select all that apply)

- Main administrative office of the PHA
- PHA development management offices
- PHA local offices

Display Locations For PHA Plans and Supporting Documents

The PHA Plans (including attachments) are available for public inspection at: (select all that apply)

- Main administrative office of the PHA
- PHA development management offices
- PHA local offices
- Main administrative office of the local government
- Main administrative office of the County government
- Main administrative office of the State government
- Public library
- PHA website
- Other (list below)

PHA Plan Supporting Documents are available for inspection at: (select all that apply)

- Main business office of the PHA
- PHA development management offices
- Other (list below)

5-YEAR PLAN
PHA FISCAL YEARS 2000 - 2004
[24 CFR Part 903.5]

A. Mission

The Lynchburg Redevelopment and Housing Authority is dedicated to the improvement of the quality of life for all Lynchburg citizens by being a leader in community redevelopment, revitalizing neighborhoods and facilitating economic growth and development, by seeking and entering into partnerships with organizations and clients to achieve safe, decent and affordable housing, with an emphasis on homeownership, maintained in a manner that fosters pride and dignity.

B. Goals

Encourage more participation by public housing and Section 8 clients in the planning and implementation of Housing Authority programs.

Encourage at least 5 public housing tenants and Section 8 participants to become homeowners.

Establish a uniform system of utility billing for all Authority apartments.

Build at least one new home using funds generated from the 5h Homeownership Program.

Rehabilitate 2 residential structures using non-federal funds for homeowner purchase.

Activate a non-profit affiliate of the Authority.

Expand Authority marketing efforts.

Complete an evaluation of staffing requirements to accommodate existing and new programs.

Complete computerization of all Authority accounting functions.

Maintain Authority apartments as quality housing in order to attract a mix of income groups.

Annual PHA Plan
PHA Fiscal Year 2000
[24 CFR Part 903.7]

i **Annual Plan Type:**

Standard Plan

Streamlined Plan:

- High Performing PHA**
- Small Agency (<250 Public Housing Units)**
- Administering Section 8 Only**
- Troubled Agency Plan**

ii **Executive Summary of the Annual PHA Plan**

[24 CFR Part 903.7 9 (r)]

The Agency Plan for the Lynchburg Redevelopment and Housing Authority includes the Authority's Mission Statement as approved by the Commissioners of the Authority, goals to be achieved over the next five (5) years and an Annual Plan for the coming year's activities. The Resident Advisory Committee has reviewed drafts of the Plan and offered comments and a public hearing was duly advertised in a local newspaper and was conducted by the Commissioners on September 9, 1999.

iii **Annual Plan Table of Contents**

[24 CFR Part 903.7 9 (r)]

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Attachments

Indicate which attachments are provided by selecting all that apply. Provide the attachment's name (A, B, etc.) in the space to the left of the name of the attachment. Note: If the attachment is provided as a SEPARATE file submission from the PHA Plans file, provide the file name in parentheses in the space to the right of the title.

Required Attachments:

- Admissions Policy for Deconcentration*
- FY 2000 Capital Fund Program Annual Statement*
- Most recent board-approved operating budget (Required Attachment for PHAs that are troubled or at risk of being designated troubled ONLY)*

Optional Attachments:

- PHA Management Organizational Chart
- FY 2000 Capital Fund Program 5 Year Action Plan
- Public Housing Drug Elimination Program (PHDEP) Plan
- Comments of Resident Advisory Board or Boards (must be attached if not included in PHA Plan text)
- Other (List below, providing each attachment name)

Supporting Documents Available for Review

Indicate which documents are available for public review by placing a mark in the "Applicable & On Display" column in the appropriate rows. All listed documents must be on display if applicable to the program activities conducted by the PHA.

List of Supporting Documents Available for Review		
Applicable & On Display	Supporting Document	Applicable Plan Component
X	<i>PHA Plan Certifications of Compliance with the PHA Plans and Related Regulations</i>	<i>5 Year and Annual Plans</i>
X	<i>State/Local Government Certification of Consistency with the Consolidated Plan</i>	<i>5 Year and Annual Plans</i>
X	<i>Fair Housing Documentation: Records reflecting that the PHA has examined its programs or proposed programs, identified any impediments to fair housing choice in those programs, addressed or is addressing those impediments in a reasonable fashion in view of the resources available, and worked or is working with local jurisdictions to implement any of the jurisdictions' initiatives to affirmatively further fair housing that require the PHA's involvement.</i>	<i>5 Year and Annual Plans</i>
X	<i>Consolidated Plan for the jurisdiction/s in which the PHA is located (which includes the Analysis of Impediments to Fair Housing Choice (AI)) and any additional backup data to support statement of housing needs in the jurisdiction</i>	<i>Annual Plan: Housing Needs</i>
X	<i>Most recent board-approved operating budget for the public housing program</i>	<i>Annual Plan: Financial Resources;</i>
X	<i>Public Housing Admissions and (Continued) Occupancy Policy (A&O), which includes the Tenant Selection and Assignment Plan [TSAP]</i>	<i>Annual Plan: Eligibility, Selection, and Admissions Policies</i>
X	<i>Section 8 Administrative Plan</i>	<i>Annual Plan: Eligibility, Selection, and Admissions Policies</i>
X	<i>Public Housing Deconcentration and Income Mixing Documentation: _ PHA board certifications of compliance with deconcentration requirements (section 16(a) of the US Housing Act of 1937, as</i>	<i>Annual Plan: Eligibility, Selection, and Admissions Policies</i>

Applicable & On Display	Supporting Document	Applicable Plan Component
	implemented in the 2/18/99 Quality Housing and Work Responsibility Act Initial Guidance; Notice and any further HUD guidance) and 2_ Documentation of the required deconcentration and income mixing analysis	
X	Public housing rent determination policies, including the methodology for setting public housing flat rents x check here if included in the public housing A & O Policy	Annual Plan: Rent Determination
X	Schedule of flat rents offered at each public housing development X check here if included in the public housing A & O Policy	Annual Plan: Rent Determination
	Section 8 rent determination (payment standard) policies <input type="checkbox"/> check here if included in Section 8 Administrative Plan	Annual Plan: Rent Determination
X	Public housing management and maintenance policy documents, including policies for the prevention or eradication of pest infestation (including cockroach infestation)	Annual Plan: Operations and Maintenance
X	Public housing grievance procedures X check here if included in the public housing A & O Policy	Annual Plan: Grievance Procedures
X	Section 8 informal review and hearing procedures X check here if included in Section 8 Administrative Plan	Annual Plan: Grievance Procedures
X	The HUD-approved Capital Fund/Comprehensive Grant Program Annual Statement (HUD 52837) for the active grant year	Annual Plan: Capital Needs
	Most recent CIAP Budget/Progress Report (HUD 52825) for any active CIAP grant	Annual Plan: Capital Needs
X	Most recent, approved 5 Year Action Plan for the Capital Fund/Comprehensive Grant Program, if not included as an attachment (provided at PHA option)	Annual Plan: Capital Needs
	Approved HOPE VI applications or, if more recent, approved or submitted HOPE VI Revitalization Plans or any other approved proposal for development of public housing	Annual Plan: Capital Needs
	Approved or submitted applications for demolition and/or disposition of public housing	Annual Plan: Demolition and Disposition
	Approved or submitted applications for designation of public housing (Designated Housing Plans)	Annual Plan: Designation of Public Housing
	Approved or submitted assessments of reasonable revitalization of public housing and approved or submitted conversion plans prepared pursuant to section 202 of the 1996 HUD Appropriations Act	Annual Plan: Conversion of Public Housing
X	Approved or submitted public housing homeownership programs/plans	Annual Plan: Homeownership
X	Policies governing any Section 8 Homeownership program	Annual Plan:

Applicable & On Display	Supporting Document	Applicable Plan Component
<input type="checkbox"/>	<i>check here if included in the Section 8 Administrative Plan</i>	<i>Homeownership</i>
	<i>Any cooperative agreement between the PHA and the TANF agency</i>	<i>Annual Plan: Community Service & Self-Sufficiency</i>
	<i>FSS Action Plan/s for public housing and/or Section 8</i>	<i>Annual Plan: Community Service & Self-Sufficiency</i>
	<i>Most recent self-sufficiency (ED/SS, TOP or ROSS or other resident services grant) grant program reports</i>	<i>Annual Plan: Community Service & Self-Sufficiency</i>
	<i>The most recent Public Housing Drug Elimination Program (PHEDEP) semi-annual performance report for any open grant and most recently submitted PHDEP application (PHDEP Plan)</i>	<i>Annual Plan: Safety and Crime Prevention</i>
X	<i>The most recent fiscal year audit of the PHA conducted under section 5(h)(2) of the U.S. Housing Act of 1937 (42 U. S.C. 1437c(h)), the results of that audit and the PHA's response to any findings</i>	<i>Annual Plan: Annual Audit</i>
	<i>Troubled PHAs: MOA/Recovery Plan</i>	<i>Troubled PHAs</i>
	<i>Other supporting documents (optional) (list individually; use as many lines as necessary)</i>	<i>(specify as needed)</i>

1. Statement of Housing Needs

[24 CFR Part 903.7 9 (a)]

A. Housing Needs of Families in the Jurisdiction/s Served by the PHA

Based upon the information contained in the Consolidated Plan/s applicable to the jurisdiction, and/or other data available to the PHA, provide a statement of the housing needs in the jurisdiction by completing the following table. In the "Overall" Needs column, provide the estimated number of renter families that have housing needs. For the remaining characteristics, rate the impact of that factor on the housing needs for each family type, from 1 to 5, with 1 being "no impact" and 5 being "severe impact." Use N/A to indicate that no information is available upon which the PHA can make this assessment.

Housing Needs of Families in the Jurisdiction by Family Type							
Family Type	Overall	Afford-ability	Supply	Quality	Access-ibility	Size	Loca-tion
<i>Income <= 30% of AMI</i>	<i>1,774</i>	<i>5</i>	<i>N.A.</i>	<i>N.A.</i>	<i>N.A.</i>	<i>N.A.</i>	<i>N.A.</i>
<i>Income >30% but <=50% of AMI</i>	<i>1,137</i>	<i>4</i>	<i>N.A.</i>	<i>N.A.</i>	<i>N.A.</i>	<i>N.A.</i>	<i>N.A.</i>
<i>Income >50% but <80% of AMI</i>	<i>674</i>	<i>3</i>	<i>N.A.</i>	<i>N.A.</i>	<i>N.A.</i>	<i>N.A.</i>	<i>N.A.</i>
<i>Elderly</i>	<i>1,008</i>	<i>N.A.</i>	<i>N.A.</i>	<i>N.A.</i>	<i>N.A.</i>	<i>N.A.</i>	<i>N.A.</i>

<i>Family Type</i>	<i>Overall</i>	<i>Afford-ability</i>	<i>Supply</i>	<i>Quality</i>	<i>Access-ibility</i>	<i>Size</i>	<i>Loca-tion</i>
<i>Families with Disabilities</i>	<i>N.A.</i>	<i>N.A.</i>	<i>N.A.</i>	<i>N.A.</i>	<i>N.A.</i>	<i>N.A.</i>	<i>N.A.</i>
<i>Race/Ethnicity</i>	<i>N.A.</i>	<i>N.A.</i>	<i>N.A.</i>	<i>N.A.</i>	<i>N.A.</i>	<i>N.A.</i>	<i>N.A.</i>

What sources of information did the PHA use to conduct this analysis? (Check all that apply; all materials must be made available for public inspection.)

- Consolidated Plan of Lynchburg, Virginia*
Indicate year: 1995
- U.S. Census data: the Comprehensive Housing Affordability Strategy (“CHAS”) dataset*
- American Housing Survey data*
Indicate year: _____
- Other housing market study*
Indicate year: _____
- Other sources: (list and indicate year of information)*

B__ Housing Needs of Families on the Public Housing and Section 8 Tenant- Based Assistance Waiting Lists

<i>Housing Needs of Families on the Waiting List</i>			
<i>Waiting list type: (select one)</i>			
<input type="checkbox"/>	<i>Section 8 tenant-based assistance</i>		
<input type="checkbox"/>	<i>Public Housing</i>		
<input checked="" type="checkbox"/>	<i>Combined Section 8 and Public Housing</i>		
<input type="checkbox"/>	<i>Public Housing Site-Based or sub-jurisdictional waiting list (optional)</i>		
<i>If used, identify which development/subjurisdiction:</i>			
	<i># of families</i>	<i>% of total families</i>	<i>Annual Turnover</i>

jurisdiction and on the waiting list **IN THE UPCOMING YEAR** and the Agency's reasons for choosing this strategy.

The Authority's efforts over the next year will center on increasing the awareness of the community of existing assistance programs, attracting more landlords to participate in the Section 8 program and using the flexibility of Section 8 vouchers to overcome what we consider to be low fair market rent restraints.

(1) Strategies

Need: Shortage of affordable housing for all eligible populations

Strategy 1. Maximize the number of affordable units available to the PHA within its current resources by:

Select all that apply

- X *Employ effective maintenance and management policies to minimize the number of public housing units off-line*
- Reduce turnover time for vacated public housing units*
- Reduce time to renovate public housing units*
- Seek replacement of public housing units lost to the inventory through mixed finance development*
- Seek replacement of public housing units lost to the inventory through section 8 replacement housing resources*
- X *Maintain or increase section 8 lease-up rates by establishing payment standards that will enable families to rent throughout the jurisdiction*
- Undertake measures to ensure access to affordable housing among families assisted by the PHA, regardless of unit size required*
- X *Maintain or increase section 8 lease-up rates by marketing the program to owners, particularly those outside of areas of minority and poverty concentration*
- X *Maintain or increase section 8 lease-up rates by effectively screening Section 8 applicants to increase owner acceptance of program*
- Participate in the Consolidated Plan development process to ensure coordination with broader community strategies*
- X *Other (list below) Monitoring fair market rent determinations.
Develop partnerships with nonprofit homeless shelters, transitional housing providers, and affordable housing providers.
Develop partnerships with public and/or private partnerships, such as real estate developers and capital housing markets.*

Strategy 2: Increase the number of affordable housing units by:

Select all that apply

- Apply for additional section 8 units should they become available*
- Leverage affordable housing resources in the community through the creation of mixed - finance housing*
- X *Pursue housing resources other than public housing or Section 8 tenant-based assistance.*

- Other: (list below)*

Need: Specific Family Types: Families at or below 30% of median

Strategy 1: Target available assistance to families at or below 30 % of AMI

Select all that apply

- Exceed HUD federal targeting requirements for families at or below 30% of AMI in public housing*
- Exceed HUD federal targeting requirements for families at or below 30% of AMI in tenant-based section 8 assistance*
- Employ admissions preferences aimed at families with economic hardships*
- X *Adopt rent policies to support and encourage work*
- Other: (list below)*

Need: Specific Family Types: Families at or below 50% of median

Strategy 1: Target available assistance to families at or below 50% of AMI

Select all that apply

- Employ admissions preferences aimed at families who are working*
- X *Adopt rent policies to support and encourage work*
- Other: (list below)*

Need: Specific Family Types: The Elderly

Strategy 1: Target available assistance to the elderly:

Select all that apply

- Seek designation of public housing for the elderly*
- Apply for special-purpose vouchers targeted to the elderly, should they become available*
- Other: (list below)*

Need: Specific Family Types: Families with Disabilities

Strategy 1: Target available assistance to Families with Disabilities:

Select all that apply

- Seek designation of public housing for families with disabilities*
- Carry out the modifications needed in public housing based on the section 504 Needs Assessment for Public Housing*

- Apply for special-purpose vouchers targeted to families with disabilities, should they become available*
- Affirmatively market to local non-profit agencies that assist families with disabilities*
- Other: (list below)*

Need: Specific Family Types: Races or ethnicities with disproportionate housing needs

Strategy 1: Increase awareness of PHA resources among families of races and ethnicities with disproportionate needs:

Select if applicable

- Affirmatively market to races/ethnicities shown to have disproportionate housing needs*
- Other: (list below)*

Strategy 2: Conduct activities to affirmatively further fair housing

Select all that apply

- Counsel section 8 tenants as to location of units outside of areas of poverty or minority concentration and assist them to locate those units*
- X *Market the section 8 program to owners outside of areas of poverty /minority concentrations*
- Other: (list below)*

Other Housing Needs & Strategies: (list needs and strategies below)

(2) Reasons for Selecting Strategies

Of the factors listed below, select all that influenced the PHA's selection of the strategies it will pursue:

- X *Funding constraints*
- X *Staffing constraints*
- Limited availability of sites for assisted housing*
- X *Extent to which particular housing needs are met by other organizations in the community*
- X *Evidence of housing needs as demonstrated in the Consolidated Plan and other information available to the PHA*
- Influence of the housing market on PHA programs*
- X *Community priorities regarding housing assistance*
- X *Results of consultation with local or state government*
- Results of consultation with residents and the Resident Advisory Board*
- Results of consultation with advocacy groups*
- Other: (list below)*

2 Statement of Financial Resources

[24 CFR Part 903.7 9 (b)]

List the financial resources that are anticipated to be available to the PHA for the support of Federal public housing and tenant-based Section 8 assistance programs administered by the PHA during the Plan year.

Note: the table assumes that Federal public housing or tenant based Section 8 assistance grant funds are expended on eligible purposes; therefore, uses of these funds need not be stated. For other funds, indicate the use for those funds as one of the following categories: public housing operations, public housing capital improvements, public housing safety/security, public housing supportive services, Section 8 tenant-based assistance, Section 8 supportive services or other.

Financial Resources: Planned Sources and Uses		
Sources	Planned \$	Planned Uses
1. Federal Grants (FY 2000 grants)		
c_ Public Housing Operating Fund	\$ 280,132.00	
b_ Public Housing Capital Fund	401,340.00	
b_ HOPE VI Revitalization	-0-	
b_ HOPE VI Demolition	-0-	
b_ Annual Contributions for Section 8 Tenant-Based Assistance	\$ 1,602,181.00	
b_ Public Housing Drug Elimination Program (including any Technical Assistance funds)	-0-	
b_ Resident Opportunity and Self-Sufficiency Grants	-0-	
b_ Community Development Block Grant	\$ 255,00.00	Property acquisition, technical services.
b_ HOME	-0-	
<i>Other Federal Grants (list below)</i>		
2. Prior Year Federal Grants (unobligated funds only) (list below)		
3. Public Housing Dwelling Rental Income	\$ 781,163.00	Public housing operations.

<i>Sources</i>	<i>Planned \$</i>	<i>Planned Uses</i>
4. Other income (list below)		
Payments for houses sold under 5h Homeownership program	\$ 95,000(approx.)	Construction of new home.
4. Non-federal sources (list below)		
Total resources	\$ 3159,816.00	

3. PHA Policies Governing Eligibility, Selection, and Admissions

[24 CFR Part 903.79 (c)]

A. Public Housing

Exemptions: PHAs that do not administer public housing are not required to complete subcomponent 3A.

(1) Eligibility

a. When does the PHA verify eligibility for admission to public housing? (select all that apply)

- When families are within a certain number of being offered a unit: (state number)
- When families are within a certain time of being offered a unit: (state time)
- X Other: (describe) When a unit is available for occupancy.

b. Which non-income (screening) factors does the PHA use to establish eligibility for admission to public housing (select all that apply)?

- X Criminal or Drug-related activity
- X Rental history
- X Housekeeping
- Other (describe)

c. X Yes No: Does the PHA request criminal records from local law enforcement agencies for screening purposes?

d. Yes X No: Does the PHA request criminal records from State law enforcement agencies for screening purposes?

e. Yes X No: Does the PHA access FBI criminal records from the FBI for screening purposes? (either directly or through an NCIC-authorized source)

(2) Waiting List Organization

a. Which methods does the PHA plan to use to organize its public housing waiting list (select all that apply)

- Community-wide list
- Sub-jurisdictional lists
- Site-based waiting lists
- Other (describe)

b. Where may interested persons apply for admission to public housing?

- PHA main administrative office
- PHA development site management office
- Other (list below)

c. If the PHA plans to operate one or more site-based waiting lists in the coming year, answer each of the following questions; if not, skip to subsection (3) **Assignment**

1. How many site-based waiting lists will the PHA operate in the coming year? _____

2. Yes No: Are any or all of the PHA's site-based waiting lists new for the upcoming year (that is, they are not part of a previously-HUD-approved site based waiting list plan)?
If yes, how many lists? _____

3. Yes No: May families be on more than one list simultaneously
If yes, how many lists? _____

4. Where can interested persons obtain more information about and sign up to be on the site-based waiting lists (select all that apply)?

- PHA main administrative office
- All PHA development management offices
- Management offices at developments with site-based waiting lists
- At the development to which they would like to apply
- Other (list below)

(3) Assignment

a. How many vacant unit choices are applicants ordinarily given before they fall to the bottom of or are removed from the waiting list? (select one)

- One
- Two
- Three or More

b. Yes No: Is this policy consistent across all waiting list types?

c. If answer to b is no, list variations for any other than the primary public housing waiting list/s for the PHA:

(4) Admissions Preferences

a. Income targeting:

- Yes No: Does the PHA plan to exceed the federal targeting requirements by targeting more than 40% of all new admissions to public housing to families at or below 30% of median area income?

b. Transfer policies:

In what circumstances will transfers take precedence over new admissions? (list below)

- Emergencies
 Overhoused
 Underhoused
 Medical justification
 Administrative reasons determined by the PHA (e.g., to permit modernization work)
 Resident choice: (state circumstances below)
 Other: (list below)

c. Preferences

1. Yes No: Has the PHA established preferences for admission to public housing (other than date and time of application)? (If "no" is selected, skip to subsection (5) **Occupancy**)

2. Which of the following admission preferences does the PHA plan to employ in the coming year? (select all that apply from either former Federal preferences or other preferences)

Former Federal preferences:

- Involuntary Displacement (Disaster, Government Action, Action of Housing Owner, Inaccessibility, Property Disposition)
 Victims of domestic violence
 Substandard housing
 Homelessness
 High rent burden (rent is > 50 percent of income)

Other preferences: (select below)

- Working families and those unable to work because of age or disability
 Veterans and veterans' families
 Residents who live and/or work in the jurisdiction
 Those enrolled currently in educational, training, or upward mobility programs
 Households that contribute to meeting income goals (broad range of incomes)

- Households that contribute to meeting income requirements (targeting)
- Those previously enrolled in educational, training, or upward mobility programs
- Victims of reprisals or hate crimes
- Other preference(s) (list below)

3. If the PHA will employ admissions preferences, please prioritize by placing a "1" in the space that represents your first priority, a "2" in the box representing your second priority, and so on. If you give equal weight to one or more of these choices (either through an absolute hierarchy or through a point system), place the same number next to each. That means you can use "1" more than once, "2" more than once, etc.

—— Date and Time

Former Federal preferences:

- 1 Involuntary Displacement (Disaster, Government Action, Action of Housing Owner, Inaccessibility, Property Disposition
Victims of domestic violence)
- 1 Substandard housing
- 1 Homelessness
- 1 High rent burden

Other preferences (select all that apply)

- X Working families and those unable to work because of age or disability
- Veterans and veterans' families
- [] Residents who live and/or work in the jurisdiction
- Those enrolled currently in educational, training, or upward mobility programs
- Households that contribute to meeting income goals (broad range of incomes)
- Households that contribute to meeting income requirements (targeting)
- Those previously enrolled in educational, training, or upward mobility programs
- Victims of reprisals or hate crimes
- Other preference(s) (list below)

4. Relationship of preferences to income targeting requirements:

- The PHA applies preferences within income tiers
- Not applicable: the pool of applicant families ensures that the PHA will meet income targeting requirements

(5) Occupancy

a. What reference materials can applicants and residents use to obtain information about the rules of occupancy of public housing (select all that apply)

- X The PHA-resident lease

- X *The PHA's Admissions and (Continued) Occupancy policy*
- X *PHA briefing seminars or written materials*
- Other source (list)*

b. How often must residents notify the PHA of changes in family composition? (select all that apply)

- At an annual reexamination and lease renewal*
- X *Any time family composition changes*
- At family request for revision*
- Other (list)*

(6) Deconcentration and Income Mixing

a. X Yes No: Did the PHA's analysis of its family (general occupancy) developments to determine concentrations of poverty indicate the need for measures to promote deconcentration of poverty or income mixing?

*b. X Yes No: Did the PHA adopt any changes to its **admissions policies** based on the results of the required analysis of the need to promote deconcentration of poverty or to assure income mixing?*

c. If the answer to b was yes, what changes were adopted? (select all that apply)

 Adoption of site-based waiting lists
If selected, list targeted developments below:

X *Employing waiting list "skipping" to achieve deconcentration of poverty or income mixing goals at targeted developments*
If selected, list targeted developments below:

 Employing new admission preferences at targeted developments
If selected, list targeted developments below:

 Other (list policies and developments targeted below)

*d. Yes X No: Did the PHA adopt any changes to **other** policies based on the results of the required analysis of the need for deconcentration of poverty and income mixing?*

e. If the answer to d was yes, how would you describe these changes? (select all that apply)

- Additional affirmative marketing*
- Actions to improve the marketability of certain developments*
- Adoption or adjustment of ceiling rents for certain developments*
- Adoption of rent incentives to encourage deconcentration of poverty and income-*

- mixing*
- Other (list below)*

f. *Based on the results of the required analysis, in which developments will the PHA make special efforts to attract or retain higher-income families? (select all that apply)*

- Not applicable: results of analysis did not indicate a need for such efforts*
- X *List (any applicable) developments below: All*

g. *Based on the results of the required analysis, in which developments will the PHA make special efforts to assure access for lower-income families? (select all that apply)*

- X *Not applicable: results of analysis did not indicate a need for such efforts*
- List (any applicable) developments below:*

B. Section 8

Exemptions: PHAs that do not administer section 8 are not required to complete sub-component 3B.

Unless otherwise specified, all questions in this section apply only to the tenant-based section 8 assistance program (vouchers, and until completely merged into the voucher program, certificates).

(1) Eligibility

a. *What is the extent of screening conducted by the PHA? (select all that apply)*

- X *Criminal or drug-related activity only to the extent required by law or regulation*
- Criminal and drug-related activity, more extensively than required by law or regulation*
- More general screening than criminal and drug-related activity (list factors below)*
- Other (list below)*

b. X Yes [] No: *Does the PHA request criminal records from local law enforcement agencies for screening purposes?*

c. Yes X No: *Does the PHA request criminal records from State law enforcement agencies for screening purposes?*

d. Yes X No: *Does the PHA access FBI criminal records from the FBI for screening purposes? (either directly or through an NCIC-authorized source)*

e. *Indicate what kinds of information you share with prospective landlords? (select all that apply)*

- Criminal or drug-related activity*
- Other (describe below)*

(2) Waiting List Organization

a. With which of the following program waiting lists is the section 8 tenant-based assistance waiting list merged? (select all that apply)

- None
- Federal public housing
- Federal moderate rehabilitation
- Federal project-based certificate program
- Other federal or local program (list below)

b. Where may interested persons apply for admission to section 8 tenant-based assistance? (select all that apply)

- PHA main administrative office
- Other (list below)

(3) Search Time

a. Yes No: Does the PHA give extensions on standard 60-day period to search for a unit?

If yes, state circumstances below: 1. A hard-to-house family cannot find unit size needed; 2. Cannot find a unit within the FMR; 3. Documented illness.

(4) Admissions Preferences

a. Income targeting

Yes No: Does the PHA plan to exceed the federal targeting requirements by targeting more than 75% of all new admissions to the section 8 program to families at or below 30% of median area income?

b. Preferences

1. Yes No: Has the PHA established preferences for admission to section 8 tenant-based assistance? (other than date and time of application) (if no, skip to subcomponent (5) **Special purpose section 8 assistance programs**)

2. Which of the following admission preferences does the PHA plan to employ in the coming year? (select all that apply from either former Federal preferences or other preferences)

Former Federal preferences

- Involuntary Displacement (Disaster, Government Action, Action of Housing Owner, Inaccessibility, Property Disposition)
- Victims of domestic violence
- Substandard housing
- Homelessness
- High rent burden (rent is > 50 percent of income)

Other preferences (select all that apply)

- Working families and those unable to work because of age or disability*
- Veterans and veterans' families*
- Residents who live and/or work in your jurisdiction*
- Those enrolled currently in educational, training, or upward mobility programs*
- Households that contribute to meeting income goals (broad range of incomes)*
- Households that contribute to meeting income requirements (targeting)*
- Those previously enrolled in educational, training, or upward mobility programs*
- Victims of reprisals or hate crimes*
- Other preference(s) (list below)*

3. If the PHA will employ admissions preferences, please prioritize by placing a "1" in the space that represents your first priority, a "2" in the box representing your second priority, and so on. If you give equal weight to one or more of these choices (either through an absolute hierarchy or through a point system), place the same number next to each. That means you can use "1" more than once, "2" more than once, etc.

1 *Date and Time*

Former Federal preferences

- Involuntary Displacement (Disaster, Government Action, Action of Housing Owner, Inaccessibility, Property Disposition)*
- Victims of domestic violence*
- Substandard housing*
- Homelessness*
- High rent burden*

Other preferences (select all that apply)

- Working families and those unable to work because of age or disability*
- Veterans and veterans' families*
- Residents who live and/or work in your jurisdiction*
- Those enrolled currently in educational, training, or upward mobility programs*
- Households that contribute to meeting income goals (broad range of incomes)*
- Households that contribute to meeting income requirements (targeting)*
- Those previously enrolled in educational, training, or upward mobility programs*
- Victims of reprisals or hate crimes*
- Other preference(s) (list below)*

4. Among applicants on the waiting list with equal preference status, how are applicants selected? (select one)

- Date and time of application*
- Drawing (lottery) or other random choice technique*

5. *If the PHA plans to employ preferences for “residents who live and/or work in the jurisdiction” (select one)*

- This preference has previously been reviewed and approved by HUD*
- The PHA requests approval for this preference through this PHA Plan*

6. *Relationship of preferences to income targeting requirements: (select one)*

- The PHA applies preferences within income tiers*
- X *Not applicable: the pool of applicant families ensures that the PHA will meet income targeting requirements*

(5) Special Purpose Section 8 Assistance Programs

a. *In which documents or other reference materials are the policies governing eligibility, selection, and admissions to any special-purpose section 8 program administered by the PHA contained? (select all that apply)*

- X *The Section 8 Administrative Plan*
- Briefing sessions and written materials*
- Other (list below)*

b_ *How does the PHA announce the availability of any special-purpose section 8 programs to the public?*

- X *Through published notices*
- Other (list below)*

4. PHA Rent Determination Policies

[24 CFR Part 903.7 9 (d)]

A. Public Housing

Exemptions: PHAs that do not administer public housing are not required to complete sub-component 4A.

(1) Income Based Rent Policies

Describe the PHA’s income based rent setting policy/ies for public housing using, including discretionary (that is, not required by statute or regulation) income disregards and exclusions, in the appropriate spaces below.

a. *Use of discretionary policies: (select one)*

- X *The PHA will not employ any discretionary rent-setting policies for income based rent in public housing. Income-based rents are set at the higher of 30% of adjusted monthly income, 10% of unadjusted monthly income, the welfare rent, or minimum rent (less HUD mandatory deductions and exclusions). (If selected, skip to sub-component (2))*

---or---

- The PHA employs discretionary policies for determining income based rent (If selected, continue to question b.)*

b. Minimum Rent

1. What amount best reflects the PHA's minimum rent? (select one)

- \$0
 \$1-\$25
 \$26-\$50

2. Yes No: Has the PHA adopted any discretionary minimum rent hardship exemption policies?

3. If yes to question 2, list these policies below:

c. Rents set at less than 30% than adjusted income

1. Yes No: Does the PHA plan to charge rents at a fixed amount or percentage less than 30% of adjusted income?

2. If yes to above, list the amounts or percentages charged and the circumstances under which these will be used below:

d. Which of the discretionary (optional) deductions and/or exclusions policies does the PHA plan to employ (select all that apply)

- For the earned income of a previously unemployed household member*
 For increases in earned income
 Fixed amount (other than general rent-setting policy)
If yes, state amount/s and circumstances below:
 Fixed percentage (other than general rent-setting policy)
If yes, state percentage/s and circumstances below:
 For household heads
 For other family members
 For transportation expenses
 For the non-reimbursed medical expenses of non-disabled or non-elderly families
 Other (describe below) - Any new income that comes into the household can qualify for the earned income exclusions if they had not previously been employed.

e. Ceiling rents

1. Do you have ceiling rents? (rents set at a level lower than 30% of adjusted income)
(select one)

- Yes for all developments
- Yes but only for some developments
- No

2. For which kinds of developments are ceiling rents in place? (select all that apply)

- For all developments
- For all general occupancy developments (not elderly or disabled or elderly only)
- For specified general occupancy developments
- For certain parts of developments; e.g., the high-rise portion
- For certain size units; e.g., larger bedroom sizes
- Other (list below)

3. Select the space or spaces that best describe how you arrive at ceiling rents (select all that apply)

- Market comparability study
- Fair market rents (FMR)
- 95th percentile rents
- 75 percent of operating costs
- 100 percent of operating costs for general occupancy (family) developments
- Operating costs plus debt service
- The "rental value" of the unit
- Other (list below)

f. Rent re-determinations:

1. Between income reexaminations, how often must tenants report changes in income or family composition to the PHA such that the changes result in an adjustment to rent?
(select all that apply)

- Never
- At family option
- Any time the family experiences an income increase
- Any time a family experiences an income increase above a threshold amount or percentage: (if selected, specify threshold)_____

Other (list below) - Anytime there is a change of job or an additional family member, family has 10 days in which to report change.

- g. Yes No: Does the PHA plan to implement individual savings accounts for residents (ISAs) as an alternative to the required 12 month disallowance of earned income and phasing in of rent increases in the next year?

(2) Flat Rents

4. In setting the market-based flat rents, what sources of information did the PHA use to establish comparability? (select all that apply.)
- The section 8 rent reasonableness study of comparable housing
 - Survey of rents listed in local newspaper
 - Survey of similar unassisted units in the neighborhood
 - Other - Use of independent real estate appraiser.

B. Section 8 Tenant-Based Assistance

Exemptions: PHAs that do not administer Section 8 tenant-based assistance are not required to complete sub-component 4B. Unless otherwise specified, all questions in this section apply only to the tenant-based section 8 assistance program (vouchers, and until completely merged into the voucher program, certificates).

(1) Payment Standards

Describe the voucher payment standards and policies

- a. What is the PHA's payment standard? (select the category that best describes your standard)

- At or above 90% but below 100% of FMR
- 100% of FMR
- Above 100% but at or below 110% of FMR
- Above 110% of FMR (if HUD approved; describe circumstances below)

- b. If the payment standard is lower than FMR, why has the PHA selected this standard? (select all that apply)

- FMRs are adequate to ensure success among assisted families in the PHA's segment of the FMR area
- The PHA has chosen to serve additional families by lowering the payment standard
- Reflects market or submarket
- Other (list below)

- c. If the payment standard is higher than FMR, why has the PHA chosen this level? (select all that apply)

- FMRs are not adequate to ensure success among assisted families in the PHA's segment of the FMR area
- Reflects market or submarket
- To increase housing options for families

Other (list below)

d. How often are payment standards reevaluated for adequacy? (select one)

Annually

X Other (list below) - When new FMRs are published.

e. What factors will the PHA consider in its assessment of the adequacy of its payment standard? (select all that apply)

X Success rates of assisted families

X Rent burdens of assisted families

Other (list below)

(2) Minimum Rent

a. What amount best reflects the PHA's minimum rent? (select one)

\$0

X \$1-\$25

\$26-\$50

b. Yes X No: Has the PHA adopted any discretionary minimum rent hardship exemption policies? (if yes, list below)

5. Operations and Management

[24 CFR Part 903.7 9 (e)]

Exemptions from Component 5: High performing and small PHAs are not required to complete this section. Section 8 only PHAs must complete parts A, B, and C(2)

A. PHA Management Structure

Describe the PHA's management structure and organization.

(select one)

An organization chart showing the PHA's management structure and organization is attached.

A brief description of the management structure and organization of the PHA follows:

B. HUD Programs Under PHA Management

— List Federal programs administered by the PHA, number of families served at the beginning of the upcoming fiscal year, and expected turnover in each. (Use "NA" to indicate that the PHA does not operate any of the programs listed below.)

Program Name	Units or Families Served at Year Beginning	Expected Turnover
---------------------	---------------------------------------------------	--------------------------

<i>Public Housing</i>		
<i>Section 8 Vouchers</i>		
<i>Section 8 Certificates</i>		
<i>Section 8 Mod Rehab</i>		
<i>Special Purpose Section 8 Certificates/Vouchers (list individually)</i>		
<i>Public Housing Drug Elimination Program (PHDEP)</i>		
<i>Other Federal Programs(list individually)</i>		

C. Management and Maintenance Policies

List the PHA's public housing management and maintenance policy documents, manuals and handbooks that contain the Agency's rules, standards, and policies that govern maintenance and management of public housing, including a description of any measures necessary for the prevention or eradication of pest infestation (which includes cockroach infestation) and the policies governing Section 8 management.

(1) *Public Housing Maintenance and Management: (list below)*

(2) *Section 8 Management: (list below)*

6 PHA Grievance Procedures

[24 CFR Part 903.7 9 (f)]

Exemptions from component 6: High performing PHAs are not required to complete component 6. Section 8-Only PHAs are exempt from sub-component 6A.

G. Public Housing

1. Yes No: *Has the PHA established any written grievance procedures in addition to federal requirements found at 24 CFR Part 966, Subpart B, for residents of public housing?*

If yes, list additions to federal requirements below:

2. Which PHA office should residents or applicants to public housing contact to initiate the PHA grievance process? (select all that apply)

- PHA main administrative office
- PHA development management offices
- Other (list below)

B. Section 8 Tenant-Based Assistance

1. Yes [] No: Has the PHA established informal review procedures for applicants to the Section 8 tenant-based assistance program and informal hearing procedures for families assisted by the Section 8 tenant-based assistance program in addition to federal requirements found at 24 CFR 982?

If yes, list additions to federal requirements below:

2. Which PHA office should applicants or assisted families contact to initiate the informal review and informal hearing processes? (select all that apply)

- PHA main administrative office
- Other (list below)

7. Capital Improvement Needs

[24 CFR Part 903.7 9 (g)]

Exemptions from Component 7: Section 8 only PHAs are not required to complete this component and may skip to Component 8.

A. Capital Fund Activities

Exemptions from sub-component 7A: PHAs that will not participate in the Capital Fund Program may skip to component 7B. All other PHAs must complete 7A as instructed.

(1) Capital Fund Program Annual Statement

Using parts I, II, and III of the Annual Statement for the Capital Fund Program (CFP), identify capital activities the PHA is proposing for the upcoming year to ensure long-term physical and social viability of its public housing developments. This statement can be completed by using the CFP Annual Statement tables provided in the table library at the end of the PHA Plan template, or, at the PHA's option, by completing and attaching a properly updated HUD-52837.

Select one:

- The Capital Fund Program Annual Statement is provided as an attachment to the

PHA Plan at Attachment (state name) _____

-or-

X The Capital Fund Program Annual Statement is provided below: (if selected, copy the CFP Annual Statement from the Table Library and insert here)

(2) Optional 5-Year Action Plan

Agencies are encouraged to include a 5-Year Action Plan covering capital work items. This statement can be completed by using the 5 Year Action Plan table provided in the table library at the end of the PHA Plan template OR by completing and attaching a properly updated HUD-52834.

a. X Yes No: Is the PHA providing an optional 5-Year Action Plan for the Capital Fund? (if no, skip to sub-component 7B)

b. If yes to question a, select one:

[] The Capital Fund Program 5-Year Action Plan is provided as an attachment to the PHA Plan at Attachment (state name _____

-or-

X The Capital Fund Program 5-Year Action Plan is provided below: (if selected, copy the CFP optional 5 Year Action Plan from the Table Library and insert here)

**Component 7
Capital Fund Program Annual Statement
Parts I, II, and II**

Annual Statement

Capital Fund Program (CFP) Part I: Summary

Capital Fund Grant Number _____ FFY of Grant Approval: (MM/YYYY)

X Original Annual Statement

Line No.	Summary by Development Account	Total Estimated Cost
1	Total Non-CGP Funds	
2	1406 Operations	

3	1408	Management Improvements	60,880.00
4	1410	Administration	37,134.00
5	1411	Audit	
6	1415	Liquidated Damages	
7	1430	Fees and Costs	7,500.00
8	1440	Site Acquisition	
9	1450	Site Improvement	27,466.00
10	1460	Dwelling Structures	228,935.00
11	1465.1	Dwelling Equipment-Nonexpendable	
12	1470	Nondwelling Structures	
13	1475	Nondwelling Equipment	10,422.00
14	1485	Demolition	
15	1490	Replacement Reserve	
16	1492	Moving to Work Demonstration	
17	1495.1	Relocation Costs	
18	1498	Mod Used for Development	
19	1502	Contingency	
20	Amount of Annual Grant (Sum of lines 2-19)		371,337.00
21	Amount of line 20 Related to LBP Activities		
22	Amount of line 20 Related to Section 504 Compliance		
23	Amount of line 20 Related to Security		30,000.00
24	Amount of line 20 Related to Energy Conservation Measures		

Annual Statement

Capital Fund Program (CFP) Part II: Supporting Table

<i>Development Number/Name HA-Wide Activities</i>	<i>General Description of Major Work Categories</i>	<i>Development Account Number</i>	<i>Total Estimated Cost</i>
VA 36-P013-001	Improvements to Building facades	1460	17,000.00
	Feasibility prototype A/C system		4,200.00
	Site improvements	1450	13,733.00
VA 36-P013-003	Replace tubs in 14 units	1460	19,700.00
	Repair/repaint stucco siding		77,300.00
	Landscape improvements	1450	
VA 36-P013-004	Add cooling to space conditioning	1460	15,400.00

VA 36-P013-005	system Complete kitchen renovations	1460	12,693.00
	Renovate bathrooms (42)		76,638.00
HA wide	Upgrade office equipment particularly computer system	1475	10,422.00

Annual Statement

Capital Fund Program (CFP) Part III: Implementation Schedule

<i>Development Number/Name HA-Wide Activities</i>	<i>All Funds Obligated (Quarter Ending Date)</i>	<i>All Funds Expended (Quarter Ending Date)</i>
Va. 13-1	3/2001	3/2002
Va. 13-3	3/2001	3/2002
Va. 13-4	3/2001	3/2002
Va. 13-5	3/2001	3/2002

--	--	--

Optional Table for 5-Year Action Plan for Capital Fund (Component 7)

Complete one table for each development in which work is planned in the next 5 PHA fiscal years. Complete a table for any PHA-wide physical or management improvements planned in the next 5 PHA fiscal year. Copy this table as many times as necessary. Note: PHAs need not include information from Year One of the 5-Year cycle, because this information is included in the Capital Fund Program Annual Statement.

Optional 5-Year Action Plan Tables			
Development Number	Development Name (or indicate PHA wide)	Number Vacant Units	% Vacancies in Development
VA 36-P013-001	Dearington	2	2%
VA 36-P013-003	Birchwood	5	4.8%
VA 36-P013-004	Langview	0	0%
VA 36-P013-005	Brookside	1	2%

Description of Needed Physical Improvements or Management Improvements	Estimated Cost	Planned Start Date (HA Fiscal Year)

VA 36-P013-001		
<i>Building Facades/Porches</i>	\$ 17,000	2001
<i>Feasibility Study for central cooling</i>	1,200	2001
<i>Landscaping</i>	7,705	2001
<i>Add central cooling to 1 BR units</i>	45,597	2002
<i>Add cooling</i>	71,736	2003
<i>Add cooling</i>	89,206	2004
VA 36-P013-003		
<i>Replace tubs</i>	\$ 19,700	2001
<i>Repair/replace stucco</i>	77,303	2001
<i>Replace tubs</i>	20,600	2002
<i>Repaint stucco</i>	60,006	2002
<i>Replace tubs</i>	19,003	2003
<i>Install low flow toilets</i>	17,994	2003
<i>Replace tubs</i>	19,700	2004
VA 36-P013-004		
<i>Add cooling</i>		
<i>Add cooling</i>	\$ 15,400	2001
<i>Add cooling</i>	6,665	2002
<i>Add cooling</i>	15,400	2003
<i>Build porches</i>	19,698	2004
	17,297	2004
VA 36-P013-005		
<i>Replace kitchen cabinets, and fixtures</i>		
<i>Landscape border around buildings</i>	\$ 98,496	2001
<i>Install water check meters</i>	15,000	2001
<i>Replace tubs/showers</i>	31,137	20012002
<i>Replace vinyl flooring</i>	141,338	2003
<i>Provide additional storage</i>	120,000	2003
<i>Replace ranges and refrigerators</i>	21,338	2004
<i>Rebuild bannister walls</i>	26,250	2004
<i>Replace entry doors</i>	13,500	2004
<i>Construct community building</i>	50,625	2004

Agency Wide	46,665	
<i>Resident services coordinator</i>		2001
	30,000	2002
	30,000	2003
	31,000	2004
<i>Professional Development training</i>	31,000	
		2001
	6,000	2002
	4,300	2003
	2,750	2004
<i>Security with off-duty police</i>	2,750	
		2001
	24,000	2002
	24,000	2003
	20,000	2004
<i>Renovations to community spaces</i>	20,000	
		2001
	800	2004
	3,507	
Total estimated cost over next 5 years	\$ 1,605,360	

Optional Public Housing Asset Management Table

See Technical Guidance for instructions on the use of this table, including information to be provided.

Public Housing Asset Management								
Development Identification		Activity Description						
<i>Name, Number, and Location</i>	<i>Number and Type of units</i>	<i>Capital Fund Program Parts II and III Component 7a</i>	<i>Development Activities Component 7b</i>	<i>Demolition / disposition Component 8</i>	<i>Designated housing Component 9</i>	<i>Conversion Component 10</i>	<i>Home-ownership Component 11a</i>	<i>Other (describe) Component 17</i>

Yes No: c) Does the PHA plan to apply for a HOPE VI Revitalization grant in the Plan year?
If yes, list development name/s below:

Yes No: d) Will the PHA be engaging in any mixed-finance development activities for public housing in the Plan year?
If yes, list developments or activities below:

Yes No: e) Will the PHA be conducting any other public housing development or replacement activities not discussed in the Capital Fund Program Annual Statement?
If yes, list developments or activities below:

8 Demolition and Disposition

[24 CFR Part 903.7 9 (h)]

Applicability of component 8: Section 8 only PHAs are not required to complete this section.

1. Yes No: Does the PHA plan to conduct any demolition or disposition activities (pursuant to section 18 of the U.S. Housing Act of 1937 (42 U.S.C. 1437p)) in the plan Fiscal Year? (If “No”, skip to component 9; if “yes”, complete one activity description for each development.)

2. Activity Description

Yes No: Has the PHA provided the activities description information in the **optional** Public Housing Asset Management Table? (If “yes”, skip to component 9. If “No”, complete the Activity Description table below.)

Demolition/Disposition Activity Description
1a. Development name:
1b. Development (project) number:
2. Activity type: Demolition <input type="checkbox"/> Disposition <input type="checkbox"/>

3. Application status (select one) Approved <input type="checkbox"/> Submitted, pending approval <input type="checkbox"/> Planned application <input type="checkbox"/>
4. Date application approved, submitted, or planned for submission: <u>(DD/MM/YY)</u>
5. Number of units affected: _____ 6. Coverage of action (select one) <input type="checkbox"/> Part of the development <input type="checkbox"/> Total development
7. Timeline for activity: a. Actual or projected start date of activity: b. Projected end date of activity:

9 Designation of Public Housing for Occupancy by Elderly Families or Families with Disabilities or Elderly Families and Families with Disabilities

[24 CFR Part 903.7 9 (i)]

Exemptions from Component 9; Section 8 only PHAs are not required to complete this section.

1. Yes No: *Has the PHA designated or applied for approval to designate or does the PHA plan to apply to designate any public housing for occupancy only by the elderly families or only by families with disabilities, or by elderly families and families with disabilities or will apply for designation for occupancy by only elderly families or only families with disabilities, or by elderly families and families with disabilities as provided by section 7 of the U.S. Housing Act of 1937 (42 U.S.C. 1437e) in the upcoming fiscal year? (If “No”, skip to component 10. If “yes”, complete one activity description for each development, unless the PHA is eligible to complete a streamlined submission; PHAs completing streamlined submissions may skip to component 10.)*

2. Activity Description

Yes No: *Has the PHA provided all required activity description information for this component in the **optional** Public Housing Asset Management Table? If “yes”, skip to component 10. If “No”, complete the Activity Description table below.*

<i>Designation of Public Housing Activity Description</i>	
	1a. Development name: 1b. Development (project) number:
	2. Designation type: Occupancy by only the elderly <input type="checkbox"/> Occupancy by families with disabilities <input type="checkbox"/> Occupancy by only elderly families and families with disabilities <input type="checkbox"/>
	3. Application status (select one) Approved; included in the PHA's Designation Plan <input type="checkbox"/> Submitted, pending approval <input type="checkbox"/> Planned application <input type="checkbox"/>
4.	Date this designation approved, submitted, or planned for submission: (DD/MM/YY)
	5. If approved, will this designation constitute a (select one) <input type="checkbox"/> New Designation Plan <input type="checkbox"/> Revision of a previously-approved Designation Plan?
6.	Number of units affected: _____
	7. Coverage of action (select one) <input type="checkbox"/> Part of the development <input type="checkbox"/> Total development

10 Conversion of Public Housing to Tenant-Based Assistance

[24 CFR Part 903.79 (j)]

Exemptions from Component 10; Section 8 only PHAs are not required to complete this section.

A. Assessments of Reasonable Revitalization Pursuant to section 202 of the HUD FY 1996 HUD Appropriations Act

1. Yes No: Have any of the PHA's developments or portions of developments been identified by HUD or the PHA as covered under section 202 of the HUD FY 1996 HUD Appropriations Act? (If "No", skip to component 11; if "yes", complete one activity)

description for each identified development, unless eligible to complete a streamlined submission. PHAs completing streamlined submissions may skip to component 11.)

2. Activity Description

Yes No: *Has the PHA provided all required activity description information for this component in the **optional** Public Housing Asset Management Table? If “yes”, skip to component 11. If “No”, complete the Activity Description table below.*

Conversion of Public Housing Activity Description	
<i>1a. Development name:</i>	
<i>1b. Development (project) number:</i>	
2. What is the status of the required assessment?	
<input type="checkbox"/> Assessment underway	
<input type="checkbox"/> Assessment results submitted to HUD	
<input type="checkbox"/> Assessment results approved by HUD (if marked, proceed to next question)	
<input type="checkbox"/> Other (explain below)	
3. <input type="checkbox"/> Yes <input type="checkbox"/> No: Is a Conversion Plan required? (If yes, go to block 4; if no, go to block 5.)	
4. Status of Conversion Plan (select the statement that best describes the current status)	
<input type="checkbox"/> Conversion Plan in development	
<input type="checkbox"/> Conversion Plan submitted to HUD on: (DD/MM/YYYY)	
<input type="checkbox"/> Conversion Plan approved by HUD on: (DD/MM/YYYY)	
<input type="checkbox"/> Activities pursuant to HUD-approved Conversion Plan underway	
5. Description of how requirements of Section 202 are being satisfied by means other than conversion (select one)	
<input type="checkbox"/> Units addressed in a pending or approved demolition application (date submitted or approved: _____)	
<input type="checkbox"/> Units addressed in a pending or approved HOPE VI demolition application (date submitted or approved: _____)	
<input type="checkbox"/> Units addressed in a pending or approved HOPE VI Revitalization Plan (date submitted or	

approved: _____)

Requirements no longer applicable: vacancy rates are less than 10 percent

Requirements no longer applicable: site now has less than 300 units

Other: (describe below)

B. Reserved for Conversions pursuant to Section 22 of the U.S. Housing Act of 1937

C. Reserved for Conversions pursuant to Section 33 of the U.S. Housing Act of 1937

11. Homeownership Programs Administered by the PHA

[24 CFR Part 903.7 9 (k)]

A. Public Housing

Exemptions from Component 11A: Section 8 only PHAs are not required to complete 11A.

1. Yes No: Does the PHA administer any homeownership programs administered by the PHA under an approved section 5(h) homeownership program (42 U.S.C. 1437c(h)), or an approved HOPE I program (42 U.S.C. 1437aaa) or has the PHA applied or plan to apply to administer any homeownership programs under section 5(h), the HOPE I program, or section 32 of the U.S. Housing Act of 1937 (42 U.S.C. 1437z-4). (If “No”, skip to component 11B; if “yes”, complete one activity description for each applicable program/plan, unless eligible to complete a streamlined submission due to **small PHA** or **high performing PHA** status. PHAs completing streamlined submissions may skip to component 11B.)

2. Activity Description

Yes No: Has the PHA provided all required activity description information for this component in the **optional** Public Housing Asset Management Table? (If “yes”, skip to component 12. If “No”, complete the Activity Description table below.)

<p>Public Housing Homeownership Activity Description (Complete one for each development affected)</p>
<p>1a. Development name:</p>

1b. Development (project) number:

2. Federal Program authority: <input type="checkbox"/> HOPE IX 5(h) <input type="checkbox"/> Turnkey III <input type="checkbox"/> Section 32 of the USHA of 1937 (effective 10/1/99)
3. Application status: (select one) <input checked="" type="checkbox"/> Approved; included in the PHA's Homeownership Plan/Program <input type="checkbox"/> Submitted, pending approval <input type="checkbox"/> Planned application
4. Date Homeownership Plan/Program approved, submitted, or planned for submission: <u>(02/03/1998)</u>
5. Number of units affected: 12 6. Coverage of action: (select one) <input type="checkbox"/> Part of the development <input checked="" type="checkbox"/> Total development

B. Section 8 Tenant Based Assistance

1. Yes No: Does the PHA plan to administer a Section 8 Homeownership program pursuant to Section 8(y) of the U.S.H.A. of 1937, as implemented by 24 CFR part 982 ? (If "No", skip to component 12; if "yes", describe each program using the table below (copy and complete questions for each program identified), unless the PHA is eligible to complete a streamlined submission due to high performer status. **High performing PHAs** may skip to component 12.)

2. Program Description:

a. Size of Program

Yes No: Will the PHA limit the number of families participating in the section 8 homeownership option?

If the answer to the question above was yes, which statement best describes the number of participants? (select one)

- 25 or fewer participants
- 26 - 50 participants
- 51 to 100 participants
- more than 100 participants

b. PHA-established eligibility criteria

- Yes No: Will the PHA's program have eligibility criteria for participation in its Section 8 Homeownership Option program in addition to HUD criteria?

If yes, list criteria below:

12 PHA Community Service and Self-sufficiency Programs

[24 CFR Part 903.79 (l)]

Exemptions from Component 12: High performing and small PHAs are not required to complete this component. Section 8-Only PHAs are not required to complete sub-component C.

A. PHA Coordination with the Welfare (TANF) Agency

1. Cooperative agreements:

- Yes No: Has the PHA has entered into a cooperative agreement with the TANF Agency, to share information and/or target supportive services (as contemplated by section 12(d)(7) of the Housing Act of 1937)?

If yes, what was the date that agreement was signed? DD/MM/YY

2. Other coordination efforts between the PHA and TANF agency (select all that apply)

- Client referrals
- Information sharing regarding mutual clients (for rent determinations and otherwise)
- Coordinate the provision of specific social and self-sufficiency services and programs to eligible families
- Jointly administer programs
- Partner to administer a HUD Welfare-to-Work voucher program
- Joint administration of other demonstration program

- Other (describe)

B. Services and programs offered to residents and participants

(1) General

a. Self-Sufficiency Policies

Which, if any of the following discretionary policies will the PHA employ to enhance the economic and social self-sufficiency of assisted families in the following areas? (select all that apply)

- Public housing rent determination policies
- Public housing admissions policies
- Section 8 admissions policies
- Preference in admission to section 8 for certain public housing families
- Preferences for families working or engaging in training or education programs for non-housing programs operated or coordinated by the PHA
- Preference/eligibility for public housing homeownership option participation
- Preference/eligibility for section 8 homeownership option participation
- Other policies (list below)

b. Economic and Social self-sufficiency programs

X Yes No: Does the PHA coordinate, promote or provide any programs to enhance the economic and social self-sufficiency of residents? (If “yes”, complete the following table; if “no” skip to sub-component 2, Family Self Sufficiency Programs. The position of the table may be altered to facilitate its use.)

<i>Services and Programs</i>

<i>Program Name & Description (including location, if appropriate)</i>	<i>Estimated Size</i>	<i>Allocation Method (waiting list/random selection/specific criteria/other)</i>	<i>Access (development office / PHA main office / other provider name)</i>	<i>Eligibility (public housing or section 8 participants or both)</i>
<i>After school tutoring</i>	<i>up to 20</i>	<i>sign-up</i>	<i>220 Hillside Court</i>	<i>public housing</i>
<i>Credit counseling</i>	<i>up to 20</i>	<i>sign-up</i>	<i>VELCRO?others</i>	<i>public housing</i>
<i>Homeownership</i>	<i>up to 20</i>	<i>sign-up</i>	<i>LRHA/LNDF</i>	<i>both</i>
<i>Employment preparation</i>	<i>up to 20</i>	<i>sign-up</i>	<i>VELCRO/New Land Jobs</i>	<i>public housing</i>
<i>GED preparation</i>	<i>up to 20</i>	<i>sign-up</i>	<i>LRHA/School Admin.</i>	<i>public housing</i>
<i>Household budgeting</i>	<i>up to 20</i>	<i>sign-up</i>	<i>VELCRO/others</i>	<i>public housing</i>
<i>Landlord/Tenant Act</i>	<i>up to 20</i>	<i>sign-up</i>	<i>LRHA / Legal Aid</i>	<i>both</i>

(2) Family Self Sufficiency program/s

a. Participation Description

<i>Family Self Sufficiency (FSS) Participation</i>		
<i>Program</i>	<i>Required Number of Participants (start of FY 2000 Estimate)</i>	<i>Actual Number of Participants (As of: DD/MM/YY)</i>
<i>Public Housing</i>		
<i>Section 8</i>		

b. Yes No: *If the PHA is not maintaining the minimum program size required by HUD, does the most recent FSS Action Plan address the steps the PHA plans to take to achieve at least the minimum program size?
If no, list steps the PHA will take below:*

C. Welfare Benefit Reductions

1. *The PHA is complying with the statutory requirements of section 12(d) of the U.S. Housing Act of 1937 (relating to the treatment of income changes resulting from welfare program requirements) by: (select all that apply)*

- Adopting appropriate changes to the PHA's public housing rent determination policies and train staff to carry out those policies*
- Informing residents of new policy on admission and reexamination*
- Actively notifying residents of new policy at times in addition to admission and reexamination.*
- Establishing or pursuing a cooperative agreement with all appropriate TANF agencies regarding the exchange of information and coordination of services*
- Establishing a protocol for exchange of information with all appropriate TANF agencies*
- Other: (list below)*

D. Reserved for Community Service Requirement pursuant to section 12(c) of the U.S. Housing Act of 1937

13. PHA Safety and Crime Prevention Measures

[24 CFR Part 903.79 (m)]

Exemptions from Component 13: High performing and small PHAs not participating in PHDEP and Section 8 Only PHAs may skip to component 15. High Performing and small PHAs that are participating in PHDEP and are submitting a PHDEP Plan with this PHA Plan may skip to sub-component D.

A. Need for measures to ensure the safety of public housing residents

1. *Describe the need for measures to ensure the safety of public housing residents (select all that apply)*

- High incidence of violent and/or drug-related crime in some or all of the PHA's developments*
- High incidence of violent and/or drug-related crime in the areas surrounding or adjacent to the PHA's developments*
- Residents fearful for their safety and/or the safety of their children*
- Observed lower-level crime, vandalism and/or graffiti*
- People on waiting list unwilling to move into one or more developments due to perceived and/or actual levels of violent and/or drug-related crime*

Other (describe below)

2. *What information or data did the PHA used to determine the need for PHA actions to improve safety of residents (select all that apply).*

Safety and security survey of residents

Analysis of crime statistics over time for crimes committed “in and around” public housing authority

Analysis of cost trends over time for repair of vandalism and removal of graffiti

Resident reports

PHA employee reports

Police reports

Demonstrable, quantifiable success with previous or ongoing anticrime/anti drug programs

Other (describe below)

3. *Which developments are most affected? (list below)*

B. Crime and Drug Prevention activities the PHA has undertaken or plans to undertake in the next PHA fiscal year

1. *List the crime prevention activities the PHA has undertaken or plans to undertake: (select all that apply)*

Contracting with outside and/or resident organizations for the provision of crime- and/or drug-prevention activities

Crime Prevention Through Environmental Design

Activities targeted to at-risk youth, adults, or seniors

Volunteer Resident Patrol/Block Watchers Program

Other (describe below)

2. *Which developments are most affected? (list below)*

C. Coordination between PHA and the police

1. *Describe the coordination between the PHA and the appropriate police precincts for carrying out crime prevention measures and activities: (select all that apply)*

- Police involvement in development, implementation, and/or ongoing evaluation of drug-elimination plan*
 - Police provide crime data to housing authority staff for analysis and action*
 - Police have established a physical presence on housing authority property (e.g., community policing office, officer in residence)*
 - Police regularly testify in and otherwise support eviction cases*
 - Police regularly meet with the PHA management and residents*
 - Agreement between PHA and local law enforcement agency for provision of above-baseline law enforcement services*
 - Other activities (list below)*
2. *Which developments are most affected? (list below)*

D. Additional information as required by PHDEP/PHDEP Plan

PHAs eligible for FY 2000 PHDEP funds must provide a PHDEP Plan meeting specified requirements prior to receipt of PHDEP funds.

- Yes* *No: Is the PHA eligible to participate in the PHDEP in the fiscal year covered by this PHA Plan?*
- Yes* *No: Has the PHA included the PHDEP Plan for FY 2000 in this PHA Plan?*
- Yes* *No: This PHDEP Plan is an Attachment. (Attachment Filename: ____)*

14. RESERVED FOR PET POLICY

[24 CFR Part 903.7 9 (n)]

15. Civil Rights Certifications

[24 CFR Part 903.7 9 (o)]

Civil rights certifications are included in the PHA Plan Certifications of Compliance with the PHA Plans and Related Regulations.

16. Fiscal Audit

[24 CFR Part 903.7 9 (p)]

1. Yes No: Is the PHA required to have an audit conducted under section 1937 (42 U.S.C. 1437c(h))?
(If no, skip to component 17.)

5(h)(2) of the U.S. Housing Act of

2. Yes No: Was the most recent fiscal audit submitted to HUD?
3. Yes No: Were there any findings as the result of that audit?
4. Yes No: If there were any findings, do any remain unresolved?
If yes, how many unresolved findings remain? _____
5. Yes No: Have responses to any unresolved findings been submitted to HUD?
If not, when are they due (state below)?

17. PHA Asset Management

[24 CFR Part 903.7 9 (q)]

Exemptions from component 17: Section 8 Only PHAs are not required to complete this component. High performing and small PHAs are not required to complete this component.

1. Yes No: Is the PHA engaging in any activities that will contribute to the long-term asset management of its public housing stock , including how the Agency will plan for long-term operating, capital investment, rehabilitation, modernization, disposition, and other needs that have **not** been addressed elsewhere in this PHA Plan?
2. ___ What types of asset management activities will the PHA undertake? (select all that apply)
- Not applicable
- Private management
- Development-based accounting
- Comprehensive stock assessment
- Other: (list below)
3. Yes No: Has the PHA included descriptions of asset management activities in the **optional** Public Housing Asset Management Table?

18. Other Information

[24 CFR Part 903.7 9 (r)]

A. Resident Advisory Board Recommendations

1. Yes No: Did the PHA receive any comments on the PHA Plan from the Resident Advisory Board/s?

2. If yes, the comments are: (if comments were received, the PHA **MUST** select one)

Attached at Attachment (File name) _____

Provided below:

1. Screening of tenants is important, including an inspection of their previous housing and checking thoroughly with their landlord on their behavior and that of any visitors.
2. Homeownership programs of the Authority including the proposed Section 8 Homeownership Program are strongly supported.
3. The grounds at the apartment development could be improved with nicer signs, the planting of flowers and other beautification treatments. A garden area for tenants in the Dearington apartments would be beneficial.
4. Residents should be required to better maintain window openings with blinds or shades.
5. Community service requirements should include parents volunteering for programs involving children.

3. In what manner did the PHA address those comments? (select all that apply)

Considered comments, but determined that no changes to the PHA Plan were necessary.

The PHA changed portions of the PHA Plan in response to comments

List changes below:

Other: (list below)

B. Description of Election process for Residents on the PHA Board

1. Yes No: Does the PHA meet the exemption criteria provided section 2(b)(2) of the U.S. Housing Act of 1937? (If no, continue to question 2; if yes, skip to sub-component C.)

2. Yes No: Was the resident who serves on the PHA Board elected by the residents? (If yes, continue to question 3; if no, skip to sub-

component C.)

3. Description of Resident Election Process

a. Nomination of candidates for place on the ballot: (select all that apply)

- Candidates were nominated by resident and assisted family organizations
- Candidates could be nominated by any adult recipient of PHA assistance
- Self-nomination: Candidates registered with the PHA and requested a place on ballot
- Other: (describe) City Council appoints commissioners pursuant to the Code of Virginia. The Authority's legal counsel has consulted the city attorney and briefed him on the requirements of the appointment of a resident commissioner. The city manager was furnished a photocopy of the regulations published in connection with this requirement. City council asked that the Authority staff submit a list of names of recipients of housing assistance who may be good candidates. The Authority staff then wrote to each and asked if they were interested in being considered by city council for appointment to the board of commissioners. In addition, the staff wrote to each public housing resident and each Section 8 participant to inform them of the opportunity to be considered for appointment to the board.

b. Eligible candidates: (select one)

- Any recipient of PHA assistance
- Any head of household receiving PHA assistance
- Any adult recipient of PHA assistance
- Any adult member of a resident or assisted family organization
- Other (list)

c. Eligible voters: (select all that apply)

- All adult recipients of PHA assistance (public housing and section 8 tenant-based assistance)
- Representatives of all PHA resident and assisted family organizations
- Other (list)

C. Statement of Consistency with the Consolidated Plan

For each applicable Consolidated Plan, make the following statement (copy questions as many times as necessary).

1. *Consolidated Plan jurisdiction: (provide name here) City of Lynchburg, Virginia.*
2. *The PHA has taken the following steps to ensure consistency of this PHA Plan with the Consolidated Plan for the jurisdiction: (select all that apply)*
 - The PHA has based its statement of needs of families in the jurisdiction on the needs expressed in the Consolidated Plan/s.*
 - The PHA has participated in any consultation process organized and offered by the Consolidated Plan agency in the development of the Consolidated Plan.*
 - The PHA has consulted with the Consolidated Plan agency during the development of this PHA Plan.*
 - Activities to be undertaken by the PHA in the coming year are consistent with the initiatives contained in the Consolidated Plan. (list below)*
 - Other: (list below)*
4. *The Consolidated Plan of the jurisdiction supports the PHA Plan with the following actions and commitments: (describe below) City Council allocates Community Development Block Grant funds to the Authority for neighborhood revitalization programs. Land acquired in this program is made available for the construction of new homes. Structures suitable for rehabilitation are offered for sale in a local Homesteading Program. Other organizations receive CDBG and HOME funds for home repair, lead based paint abatement and home purchase.*

D. Other Information Required by HUD

Use this section to provide any additional information requested by HUD.

Attachments

Use this section to provide any additional attachments referenced in the Plans.

*PHA Plan
Table Library*

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