

U.S. Department of Housing and Urban Development
Office of Public and Indian Housing

PHA Plans

5 Year Plan for Fiscal Years 2000 - 2004

Annual Plan for Fiscal Year 2000

**NOTE: THIS PHA PLANS TEMPLATE (HUD 50075) IS TO BE COMPLETED IN ACCORDANCE WITH
INSTRUCTIONS LOCATED IN APPLICABLE PIH NOTICES**

HUD 50075
OMB Approval No: 2577-0226
Expires: 03/31/2002

**PHA Plan
Agency Identification**

PHA Name: Syracuse Housing Authority

PHA Number: NY001

PHA Fiscal Year Beginning: (mm/yyyy) 07/2000

Public Access to Information

**Information regarding any activities outlined in this plan can be obtained by contacting:
(select all that apply)**

- Main administrative office of the PHA
- PHA development management offices
- PHA local offices

Display Locations For PHA Plans and Supporting Documents

The PHA Plans (including attachments) are available for public inspection at: (select all that apply)

- Main administrative office of the PHA
- PHA development management offices
- PHA local offices
- Main administrative office of the local government
- Main administrative office of the County government
- Main administrative office of the State government
- Public library
- PHA website
- Other (list below)

PHA Plan Supporting Documents are available for inspection at: (select all that apply)

- Main business office of the PHA
- PHA development management offices
- Other (list below)
Section 8 Administrative Plan is available at Section 8 Office.

5-YEAR PLAN
PHA FISCAL YEARS 2000 - 2004
[24 CFR Part 903.5]

A. Mission

State the PHA's mission for serving the needs of low-income, very low income, and extremely low-income families in the PHA's jurisdiction. (select one of the choices below)

- The mission of the PHA is the same as that of the Department of Housing and Urban Development: To promote adequate and affordable housing, economic opportunity and a suitable living environment free from discrimination.
- The PHA's mission is: (state mission here)

B. Goals

The goals and objectives listed below are derived from HUD's strategic Goals and Objectives and those emphasized in recent legislation. PHAs may select any of these goals and objectives as their own, or identify other goals and/or objectives. Whether selecting the HUD-suggested objectives or their own, **PHAS ARE STRONGLY ENCOURAGED TO IDENTIFY QUANTIFIABLE MEASURES OF SUCCESS IN REACHING THEIR OBJECTIVES OVER THE COURSE OF THE 5 YEARS.** (Quantifiable measures would include targets such as: numbers of families served or PHAS scores achieved.) PHAs should identify these measures in the spaces to the right of or below the stated objectives.

HUD Strategic Goal: Increase the availability of decent, safe, and affordable housing.

- PHA Goal: Expand the supply of assisted housing
Objectives:
- Apply for additional rental vouchers:
 - Reduce public housing vacancies:
 - Leverage private or other public funds to create additional housing opportunities:
 - Acquire or build units or developments
 - Other (list below)
- PHA Goal: Improve the quality of assisted housing
Objectives:
- Improve public housing management: (PHAS score)Advisory
 - Improve voucher management: (SEMAP score) Failed
 - Increase customer satisfaction:

- Concentrate on efforts to improve specific management functions:
(list; e.g., public housing finance; voucher unit inspections)
- Renovate or modernize public housing units:
- Demolish or dispose of obsolete public housing:
- Provide replacement public housing:
- Provide replacement vouchers:
- Other: (list below)

- PHA Goal: Increase assisted housing choices
Objectives:
 - Provide voucher mobility counseling:
 - Conduct outreach efforts to potential voucher landlords
 - Increase voucher payment standards
 - Implement voucher homeownership program:
 - Implement public housing or other homeownership programs:
 - Implement public housing site-based waiting lists:
 - Convert public housing to vouchers:
 - Other: (list below)

HUD Strategic Goal: Improve community quality of life and economic vitality

- PHA Goal: Provide an improved living environment
Objectives:
 - Implement measures to deconcentrate poverty by bringing higher income public housing households into lower income developments:
 - Implement measures to promote income mixing in public housing by assuring access for lower income families into higher income developments:
 - Implement public housing security improvements:
 - Designate developments or buildings for particular resident groups (elderly, persons with disabilities)
 - Other: (list below)

HUD Strategic Goal: Promote self-sufficiency and asset development of families and individuals

- PHA Goal: Promote self-sufficiency and asset development of assisted households
Objectives:
 - Increase the number and percentage of employed persons in assisted families:

- Provide or attract supportive services to improve assistance recipients' employability:
- Provide or attract supportive services to increase independence for the elderly or families with disabilities.
- Other: (list below)

HUD Strategic Goal: Ensure Equal Opportunity in Housing for all Americans

- PHA Goal: Ensure equal opportunity and affirmatively further fair housing
Objectives:
 - Undertake affirmative measures to ensure access to assisted housing regardless of race, color, religion national origin, sex, familial status, and disability:
 - Undertake affirmative measures to provide a suitable living environment for families living in assisted housing, regardless of race, color, religion national origin, sex, familial status, and disability:
 - Undertake affirmative measures to ensure accessible housing to persons with all varieties of disabilities regardless of unit size required:
 - Other: (list below)

Other PHA Goals and Objectives: (list below)

Annual PHA Plan
PHA Fiscal Year 2000

[24 CFR Part 903.7]

i. Annual Plan Type:

Select which type of Annual Plan the PHA will submit.

Standard Plan

Streamlined Plan:

- High Performing PHA**
- Small Agency (<250 Public Housing Units)**
- Administering Section 8 Only**

Troubled Agency Plan

ii. Executive Summary of the Annual PHA Plan

[24 CFR Part 903.7 9 (r)]

Provide a brief overview of the information in the Annual Plan, including highlights of major initiatives and discretionary policies the PHA has included in the Annual Plan.

According to Notice PIH 99-51 an Executive Summary is not a mandatory requirement.

iii. Annual Plan Table of Contents

[24 CFR Part 903.7 9 (r)]

Provide a table of contents for the Annual Plan, including attachments, and a list of supporting documents available for public inspection.

Table of Contents

	<u>Page #</u>
Annual Plan	
i. Executive Summary	1
ii. Table of Contents	1
1. Housing Needs	5
2. Financial Resources	11
3. Policies on Eligibility, Selection and Admissions	13
4. Rent Determination Policies	22
5. Operations and Management Policies	26
6. Grievance Procedures	28
7. Capital Improvement Needs	29
8. Demolition and Disposition	31
9. Designation of Housing	31

10. Conversions of Public Housing	33
11. Homeownership	34
12. Community Service Programs	36
13. Crime and Safety	39
14. Pets (Inactive for January 1 PHAs)	40
15. Civil Rights Certifications (included with PHA Plan Certifications)	41
16. Audit	41
17. Asset Management	41
18. Other Information	42

Attachments

Indicate which attachments are provided by selecting all that apply. Provide the attachment’s name (A, B, etc.) in the space to the left of the name of the attachment. Note: If the attachment is provided as a **SEPARATE** file submission from the PHA Plans file, provide the file name in parentheses in the space to the right of the title.

Required Attachments:

- Admissions Policy for Deconcentration
- FY 2000 Capital Fund Program Annual Statement
- Most recent board-approved operating budget (Required Attachment for PHAs that are troubled or at risk of being designated troubled ONLY)

Optional Attachments:

- PHA Management Organizational Chart
- FY 2000 Capital Fund Program 5 Year Action Plan
- Public Housing Drug Elimination Program (PHDEP) Plan
- Comments of Resident Advisory Board or Boards (must be attached if not included in PHA Plan text)
- Other (List below, providing each attachment name)

Supporting Documents Available for Review

Indicate which documents are available for public review by placing a mark in the “Applicable & On Display” column in the appropriate rows. All listed documents must be on display if applicable to the program activities conducted by the PHA.

List of Supporting Documents Available for Review		
Applicable & On Display	Supporting Document	Applicable Plan Component
Yes	PHA Plan Certifications of Compliance with the PHA Plans and Related Regulations	5 Year and Annual Plans
Yes	State/Local Government Certification of Consistency with the Consolidated Plan	5 Year and Annual Plans

List of Supporting Documents Available for Review		
Applicable & On Display	Supporting Document	Applicable Plan Component
Yes	Fair Housing Documentation: Records reflecting that the PHA has examined its programs or proposed programs, identified any impediments to fair housing choice in those programs, addressed or is addressing those impediments in a reasonable fashion in view of the resources available, and worked or is working with local jurisdictions to implement any of the jurisdictions' initiatives to affirmatively further fair housing that require the PHA's involvement.	5 Year and Annual Plans
Yes	Consolidated Plan for the jurisdiction/s in which the PHA is located (which includes the Analysis of Impediments to Fair Housing Choice (AI)) and any additional backup data to support statement of housing needs in the jurisdiction	Annual Plan: Housing Needs
Yes	Most recent board-approved operating budget for the public housing program	Annual Plan: Financial Resources;
Yes	Public Housing Admissions and (Continued) Occupancy Policy (A&O), which includes the Tenant Selection and Assignment Plan [TSAP]	Annual Plan: Eligibility, Selection, and Admissions Policies
Yes	Section 8 Administrative Plan	Annual Plan: Eligibility, Selection, and Admissions Policies
Yes	Public Housing Deconcentration and Income Mixing Documentation: 1. PHA board certifications of compliance with deconcentration requirements (section 16(a) of the US Housing Act of 1937, as implemented in the 2/18/99 <i>Quality Housing and Work Responsibility Act Initial Guidance; Notice</i> and any further HUD guidance) and 2. Documentation of the required deconcentration and income mixing analysis	Annual Plan: Eligibility, Selection, and Admissions Policies
Yes	Public housing rent determination policies, including the methodology for setting public housing flat rents <input checked="" type="checkbox"/> check here if included in the public housing A & O Policy	Annual Plan: Rent Determination
Yes	Schedule of flat rents offered at each public housing development <input type="checkbox"/> check here if included in the public housing A & O Policy	Annual Plan: Rent Determination
Yes	Section 8 rent determination (payment standard) policies <input checked="" type="checkbox"/> check here if included in Section 8 Administrative Plan	Annual Plan: Rent Determination
Yes	Public housing management and maintenance policy documents, including policies for the prevention or eradication of pest infestation (including cockroach infestation)	Annual Plan: Operations and Maintenance

List of Supporting Documents Available for Review		
Applicable & On Display	Supporting Document	Applicable Plan Component
Yes	Public housing grievance procedures <input checked="" type="checkbox"/> check here if included in the public housing A & O Policy	Annual Plan: Grievance Procedures
Yes	Section 8 informal review and hearing procedures <input checked="" type="checkbox"/> check here if included in Section 8 Administrative Plan	Annual Plan: Grievance Procedures
Yes	The HUD-approved Capital Fund/Comprehensive Grant Program Annual Statement (HUD 52837) for the active grant year	Annual Plan: Capital Needs
	Most recent CIAP Budget/Progress Report (HUD 52825) for any active CIAP grant	Annual Plan: Capital Needs
	Most recent, approved 5 Year Action Plan for the Capital Fund/Comprehensive Grant Program, if not included as an attachment (provided at PHA option)	Annual Plan: Capital Needs
	Approved HOPE VI applications or, if more recent, approved or submitted HOPE VI Revitalization Plans or any other approved proposal for development of public housing	Annual Plan: Capital Needs
	Approved or submitted applications for demolition and/or disposition of public housing	Annual Plan: Demolition and Disposition
	Approved or submitted applications for designation of public housing (Designated Housing Plans)	Annual Plan: Designation of Public Housing
	Approved or submitted assessments of reasonable revitalization of public housing and approved or submitted conversion plans prepared pursuant to section 202 of the 1996 HUD Appropriations Act	Annual Plan: Conversion of Public Housing
	Approved or submitted public housing homeownership programs/plans	Annual Plan: Homeownership
Yes	Policies governing any Section 8 Homeownership program <input checked="" type="checkbox"/> check here if included in the Section 8 Administrative Plan	Annual Plan: Homeownership
	Any cooperative agreement between the PHA and the TANF agency	Annual Plan: Community Service & Self-Sufficiency
Yes	FSS Action Plan/s for public housing and/or Section 8	Annual Plan: Community Service & Self-Sufficiency
Yes	Most recent self-sufficiency (ED/SS, TOP or ROSS or other resident services grant) grant program reports	Annual Plan: Community Service & Self-Sufficiency
Yes	The most recent Public Housing Drug Elimination Program (PHDEP) semi-annual performance report for any open grant and most recently submitted PHDEP application (PHDEP Plan)	Annual Plan: Safety and Crime Prevention
Yes	The most recent fiscal year audit of the PHA conducted under section 5(h)(2) of the U.S. Housing Act of 1937 (42 U.S.C. 1437c(h)), the results of that audit and the PHA's response to any findings	Annual Plan: Annual Audit
	Troubled PHAs: MOA/Recovery Plan	Troubled PHAs
	Other supporting documents (optional) (list individually; use as many lines as necessary)	(specify as needed)

List of Supporting Documents Available for Review		
Applicable & On Display	Supporting Document	Applicable Plan Component

1. Statement of Housing Needs

[24 CFR Part 903.7 9 (a)]

A. Housing Needs of Families in the Jurisdiction/s Served by the PHA

Based upon the information contained in the Consolidated Plan/s applicable to the jurisdiction, and/or other data available to the PHA, provide a statement of the housing needs in the jurisdiction by completing the following table. In the "Overall" Needs column, provide the estimated number of renter families that have housing needs. For the remaining characteristics, rate the impact of that factor on the housing needs for each family type, from 1 to 5, with 1 being "no impact" and 5 being "severe impact." Use N/A to indicate that no information is available upon which the PHA can make this assessment.

Housing Needs of Families in the Jurisdiction by Family Type							
Family Type	Overall	Afford-ability	Supply	Quality	Access-ibility	Size	Loca-tion
Income <= 30% of AMI	15,000	5	4	5	5	5	5
Income >30% but <=50% of AMI	9700	5	4	5	5	5	5
Income >50% but <80% of AMI	11,707	4	4	5	5	5	4
Elderly	12,459	5	4	5	5	4	4
Families with Disabilities	9,423	5	5	5	5	5	4
African-American	12,000	5	4	5	5	5	5
Hispanic	1,000	5	4	5	5	5	5
Native American	700	5	4	5	5	5	5
Asian-Pacific Islander	800	5	4	5	5	5	5

What sources of information did the PHA use to conduct this analysis? (Check all that apply; all materials must be made available for public inspection.)

- Consolidated Plan of the Jurisdiction/s
Indicate year: 1999-2000
- U.S. Census data: the Comprehensive Housing Affordability Strategy (“CHAS”) dataset
- American Housing Survey data
Indicate year:
- Other housing market study
Indicate year:
- Other sources: (list and indicate year of information)

B. Housing Needs of Families on the Public Housing and Section 8 Tenant- Based Assistance Waiting Lists

State the housing needs of the families on the PHA’s waiting list/s. **Complete one table for each type of PHA-wide waiting list administered by the PHA.** PHAs may provide separate tables for site-based or sub-jurisdictional public housing waiting lists at their option.

Housing Needs of Families on the Waiting List Public Housing			
Waiting list type: (select one)			
<input type="checkbox"/>	Section 8 tenant-based assistance		
<input checked="" type="checkbox"/>	Public Housing		
<input type="checkbox"/>	Combined Section 8 and Public Housing		
<input type="checkbox"/>	Public Housing Site-Based or sub-jurisdictional waiting list (optional)		
If used, identify which development/subjurisdiction:			
	# of families	% of total families	Annual Turnover
Waiting list total	610		45%
Extremely low income <=30% AMI	341	56%	
Very low income (>30% but <=50% AMI)	239	39%	
Low income (>50% but <80% AMI)	30	5%	
Families with children	229	38%	
Elderly families	310	51%	

Housing Needs of Families on the Waiting List Public Housing			
Families with Disabilities	133	22%	
Race/Caucasian	267	44%	
Race/African-American	243	40%	
Race/Native-American	3	1%	
Ethnicity/Hispanic	45	7%	
Characteristics by Bedroom Size (Public Housing Only)			
1BR	371	61%	N/A
2 BR	142	23%	N/A
3 BR	70	11%	N/A
4 BR	22	4%	N/A
5 BR	5	1%	N/A
5+ BR	N/A	N/A	N/A
Is the waiting list closed (select one)? <input checked="" type="checkbox"/> No <input type="checkbox"/> Yes			
If yes:			
How long has it been closed (# of months)?			
Does the PHA expect to reopen the list in the PHA Plan year? <input type="checkbox"/> No <input type="checkbox"/> Yes			
Does the PHA permit specific categories of families onto the waiting list, even if generally closed? <input type="checkbox"/> No <input type="checkbox"/> Yes			

Housing Needs of Families on the Waiting List Section 8			
Waiting list type: (select one)			
<input checked="" type="checkbox"/> Section 8 tenant-based assistance			
<input type="checkbox"/> Public Housing			
<input type="checkbox"/> Combined Section 8 and Public Housing			
<input type="checkbox"/> Public Housing Site-Based or sub-jurisdictional waiting list (optional)			
If used, identify which development/subjurisdiction:			
	# of families	% of total families	Annual Turnover
Waiting list total	4413		Not Available
Extremely low income <=30% AMI	Not Available	Not Available	

Housing Needs of Families on the Waiting List			
Section 8			
Very low income (>30% but <=50% AMI)	Not Available	Not Available	
Low income (>50% but <80% AMI)	Not Available	Not Available	
Families with children	2956	67%	
Elderly families	1021	23%	
Families with Disabilities	1431	32%	
Race/Caucasian	1784	40%	
Race/ African-American	2174	49%	
Race/Native-American	42	1%	
Race/Asian	140	3%	
Race/Other	54	1%	
Ethnicity/Hispanic	447	10%	
Characteristics by Bedroom Size (Public Housing Only)			
1BR	N/A	N/A	N/A
2 BR	N/A	N/A	N/A
3 BR	N/A	N/A	N/A
4 BR	N/A	N/A	N/A
5 BR	N/A	N/A	N/A
5+ BR	N/A	N/A	N/A
Is the waiting list closed (select one)? <input checked="" type="checkbox"/> No <input type="checkbox"/> Yes If yes: How long has it been closed (# of months)? Does the PHA expect to reopen the list in the PHA Plan year? <input type="checkbox"/> No <input type="checkbox"/> Yes Does the PHA permit specific categories of families onto the waiting list, even if generally closed? <input type="checkbox"/> No <input type="checkbox"/> Yes			

C. Strategy for Addressing Needs

Provide a brief description of the PHA's strategy for addressing the housing needs of families in the jurisdiction and on the waiting list **IN THE UPCOMING YEAR**, and the Agency's reasons for choosing this strategy.

(1) Strategies

Need: Shortage of affordable housing for all eligible populations

Strategy 1. Maximize the number of affordable units available to the PHA within its current resources by:

Select all that apply

- Employ effective maintenance and management policies to minimize the number of public housing units off-line
- Reduce turnover time for vacated public housing units
- Reduce time to renovate public housing units
- Seek replacement of public housing units lost to the inventory through mixed finance development
- Seek replacement of public housing units lost to the inventory through section 8 replacement housing resources
- Maintain or increase section 8 lease-up rates by establishing payment standards that will enable families to rent throughout the jurisdiction
- Undertake measures to ensure access to affordable housing among families assisted by the PHA, regardless of unit size required
- Maintain or increase section 8 lease-up rates by marketing the program to owners, particularly those outside of areas of minority and poverty concentration
- Maintain or increase section 8 lease-up rates by effectively screening Section 8 applicants to increase owner acceptance of program
- Participate in the Consolidated Plan development process to ensure coordination with broader community strategies
- Other (list below)

Strategy 2: Increase the number of affordable housing units by:

Select all that apply

- Apply for additional section 8 units should they become available
- Leverage affordable housing resources in the community through the creation of mixed - finance housing
- Pursue housing resources other than public housing or Section 8 tenant-based assistance.
- Other: (list below)

Need: Specific Family Types: Families at or below 30% of median

Strategy 1: Target available assistance to families at or below 30 % of AMI

Select all that apply

- Exceed HUD federal targeting requirements for families at or below 30% of AMI in public housing
- Exceed HUD federal targeting requirements for families at or below 30% of AMI in tenant-based section 8 assistance
- Employ admissions preferences aimed at families with economic hardships
- Adopt rent policies to support and encourage work
- Other: (list below)

Need: Specific Family Types: Families at or below 50% of median

Strategy 1: Target available assistance to families at or below 50% of AMI

Select all that apply

- Employ admissions preferences aimed at families who are working
- Adopt rent policies to support and encourage work
- Other: (list below)

The SHA has a strong commitment toward the continuation of its Futures Program, and to the partnership programs which encourage work, training, rehabilitation, self-sufficiency with Jericho, the YWCA, the Salvation Army, and others.

Need: Specific Family Types: The Elderly

Strategy 1: Target available assistance to the elderly:

Select all that apply

- Seek designation of public housing for the elderly
- Apply for special-purpose vouchers targeted to the elderly, should they become available
- Other: (list below)

Need: Specific Family Types: Families with Disabilities

Strategy 1: Target available assistance to Families with Disabilities:

Select all that apply

- Seek designation of public housing for families with disabilities
- Carry out the modifications needed in public housing based on the section 504 Needs Assessment for Public Housing
- Apply for special-purpose vouchers targeted to families with disabilities, should they become available
- Affirmatively market to local non-profit agencies that assist families with disabilities
- Other: (list below)

Need: Specific Family Types: Races or ethnicities with disproportionate housing needs

Strategy 1: Increase awareness of PHA resources among families of races and ethnicities with disproportionate needs:

Select if applicable

- Affirmatively market to races/ethnicities shown to have disproportionate housing needs
- Other: (list below)

Strategy 2: Conduct activities to affirmatively further fair housing

Select all that apply

- Counsel section 8 tenants as to location of units outside of areas of poverty or minority concentration and assist them to locate those units
- Market the section 8 program to owners outside of areas of poverty /minority concentrations
- Other: (list below)

Other Housing Needs & Strategies: (list needs and strategies below)

(2) Reasons for Selecting Strategies

Of the factors listed below, select all that influenced the PHA's selection of the strategies it will pursue:

- Funding constraints

- Staffing constraints
- Limited availability of sites for assisted housing
- Extent to which particular housing needs are met by other organizations in the community
- Evidence of housing needs as demonstrated in the Consolidated Plan and other information available to the PHA
- Influence of the housing market on PHA programs
- Community priorities regarding housing assistance
- Results of consultation with local or state government
- Results of consultation with residents and the Resident Advisory Board
- Results of consultation with advocacy groups
- Other: (list below)

2. Statement of Financial Resources

[24 CFR Part 903.79 (b)]

List the financial resources that are anticipated to be available to the PHA for the support of Federal public housing and tenant-based Section 8 assistance programs administered by the PHA during the Plan year. Note: the table assumes that Federal public housing or tenant based Section 8 assistance grant funds are expended on eligible purposes; therefore, uses of these funds need not be stated. For other funds, indicate the use for those funds as one of the following categories: public housing operations, public housing capital improvements, public housing safety/security, public housing supportive services, Section 8 tenant-based assistance, Section 8 supportive services or other.

Financial Resources: Planned Sources and Uses		
Sources	Planned \$	Planned Uses
1. Federal Grants (FY 2000 grants)		
a) Public Housing Operating Fund	6,780,000	
b) Public Housing Capital Fund	7,000,000	
c) HOPE VI Revitalization	0	
d) HOPE VI Demolition	0	
e) Annual Contributions for Section 8 Tenant-Based Assistance	15,700,000	
f) Public Housing Drug Elimination Program (including any Technical Assistance funds)	PHDEP 10 – 244,000 PHDEP 11 – 264,000	
g) Resident Opportunity and Self-Sufficiency Grants	EDSS – 125,000 TOP – 17,000	
h) Community Development Block Grant	12,500 122,500	Public Housing Supportive Services. Other.
i) HOME		

Financial Resources: Planned Sources and Uses		
Sources	Planned \$	Planned Uses
Other Federal Grants (list below)		
2. Prior Year Federal Grants (unobligated funds only) (list below)		
3. Public Housing Dwelling Rental Income	5,589,200	Public Housing Operations
4. Other income (list below)		
Other	101,070	Public Housing Operations
Non-Dwelling	58,180	Public Housing Operations
Interest	354,130	Public Housing Operations
4. Non-federal sources (list below)		
Onondaga County Office of Aging	32,000	Public Housing Supportive Services
Onondaga County Office of Aging	53,700	Other
Total resources	36,453,280	

3. PHA Policies Governing Eligibility, Selection, and Admissions

[24 CFR Part 903.7 9 (c)]

A. Public Housing

Exemptions: PHAs that do not administer public housing are not required to complete subcomponent 3A.

(1) Eligibility

- a. When does the PHA verify eligibility for admission to public housing? (select all that apply)
- When families are within a certain number of being offered a unit: (state number)
- When families are within a certain time of being offered a unit: (state time)
- Other: (describe)
Verification done during the screening process after unit offer based on vacancy.
- b. Which non-income (screening) factors does the PHA use to establish eligibility for admission to public housing (select all that apply)?
- Criminal or Drug-related activity
- Rental history
- Housekeeping
- Other (describe)
- c. Yes No: Does the PHA request criminal records from local law enforcement agencies for screening purposes?
- d. Yes No: Does the PHA request criminal records from State law enforcement agencies for screening purposes?
- e. Yes No: Does the PHA access FBI criminal records from the FBI for screening purposes? (either directly or through an NCIC-authorized source)

(2)Waiting List Organization

- a. Which methods does the PHA plan to use to organize its public housing waiting list (select all that apply)
- Community-wide list
- Sub-jurisdictional lists
- Site-based waiting lists
- Other (describe)
- b. Where may interested persons apply for admission to public housing?
- PHA main administrative office
- PHA development site management office
- Other (list below)
Applications available (and then mailed to SHA) at Section 8 office, HUD Storefront, and other community agencies.
- c. If the PHA plans to operate one or more site-based waiting lists in the coming year, answer each of the following questions; if not, skip to subsection **(3) Assignment**

1. How many site-based waiting lists will the PHA operate in the coming year? None

2. Yes No: Are any or all of the PHA's site-based waiting lists new for the upcoming year (that is, they are not part of a previously-HUD-approved site based waiting list plan)?
If yes, how many lists?
3. Yes No: May families be on more than one list simultaneously
If yes, how many lists?
4. Where can interested persons obtain more information about and sign up to be on the site-based waiting lists (select all that apply)?
- PHA main administrative office
 - All PHA development management offices
 - Management offices at developments with site-based waiting lists
 - At the development to which they would like to apply
 - Other (list below)

(3) Assignment

- a. How many vacant unit choices are applicants ordinarily given before they fall to the bottom of or are removed from the waiting list? (select one)
- One
 - Two
 - Three or More
- b. Yes No: Is this policy consistent across all waiting list types?
- c. If answer to b is no, list variations for any other than the primary public housing waiting list/s for the PHA:

(4) Admissions Preferences

- a. Income targeting:
- Yes No: Does the PHA plan to exceed the federal targeting requirements by targeting more than 40% of all new admissions to public housing to families at or below 30% of median area income?
- b. Transfer policies:
In what circumstances will transfers take precedence over new admissions? (list below)

- Emergencies
- Overhoused
- Underhoused
- Medical justification
- Administrative reasons determined by the PHA (e.g., to permit modernization work)
- Resident choice: (state circumstances below)
- Other: (list below)

c. Preferences

1. Yes No: Has the PHA established preferences for admission to public housing (other than date and time of application)? (If “no” is selected, skip to subsection **(5) Occupancy**)

2. Which of the following admission preferences does the PHA plan to employ in the coming year? (select all that apply from either former Federal preferences or other preferences)

Former Federal preferences:

- Involuntary Displacement (Disaster, Government Action, Action of Housing Owner, Inaccessibility, Property Disposition)
- Victims of domestic violence
- Substandard housing
- Homelessness
- High rent burden (rent is > 50 percent of income)

Other preferences: (select below)

- Working families and those unable to work because of age or disability
- Veterans and veterans’ families
- Residents who live and/or work in the jurisdiction
- Those enrolled currently in educational, training, or upward mobility programs
- Households that contribute to meeting income goals (broad range of incomes)
- Households that contribute to meeting income requirements (targeting)
- Those previously enrolled in educational, training, or upward mobility programs
- Victims of reprisals or hate crimes
- Other preference(s) (list below)
 - Displaced persons/families due to fire.
 - Lead-based paint health risk.
 - Adult residents already in Public Housing.

3. If the PHA will employ admissions preferences, please prioritize by placing a “1” in the space that represents your first priority, a “2” in the box representing your second priority, and so on. If you give equal weight to one or more of these choices (either through an absolute hierarchy or through a point system), place the same number next to each. That means you can use “1” more than once, “2” more than once, etc.

2 Date and Time

Former Federal preferences:

- 1 Involuntary Displacement (Disaster, Government Action, Action of Housing Owner, Inaccessibility, Property Disposition)
- 1 Victims of domestic violence
Substandard housing
- 1 Homelessness
High rent burden

Other preferences (select all that apply)

- Working families and those unable to work because of age or disability
- Veterans and veterans’ families
- Residents who live and/or work in the jurisdiction
- Those enrolled currently in educational, training, or upward mobility programs
- 2 Households that contribute to meeting income goals (broad range of incomes)
- 2 Households that contribute to meeting income requirements (targeting)
- Those previously enrolled in educational, training, or upward mobility programs
- Victims of reprisals or hate crimes
- 1 Other preference(s) (list below)
 - Displaced persons/families due to fire.
 - Lead-based paint health risk.
 - Adult residents already in Public Housing.

4. Relationship of preferences to income targeting requirements:

- The PHA applies preferences within income tiers
- Not applicable: the pool of applicant families ensures that the PHA will meet income targeting requirements

(5) Occupancy

a. What reference materials can applicants and residents use to obtain information about the rules of occupancy of public housing (select all that apply)

- The PHA-resident lease
- The PHA’s Admissions and (Continued) Occupancy policy
- PHA briefing seminars or written materials

Other source (list)

b. How often must residents notify the PHA of changes in family composition? (select all that apply)

- At an annual reexamination and lease renewal
- Any time family composition changes
- At family request for revision
- Other (list)

(6) Deconcentration and Income Mixing

According to Notice PIH 99-51 this section is not applicable, and therefore not subject to being filled out.

a. Yes No: Did the PHA's analysis of its family (general occupancy) developments to determine concentrations of poverty indicate the need for measures to promote deconcentration of poverty or income mixing?

b. Yes No: Did the PHA adopt any changes to its **admissions policies** based on the results of the required analysis of the need to promote deconcentration of poverty or to assure income mixing?

c. If the answer to b was yes, what changes were adopted? (select all that apply)

- Adoption of site-based waiting lists
If selected, list targeted developments below:
- Employing waiting list "skipping" to achieve deconcentration of poverty or income mixing goals at targeted developments
If selected, list targeted developments below:
- Employing new admission preferences at targeted developments
If selected, list targeted developments below:
- Other (list policies and developments targeted below)

d. Yes No: Did the PHA adopt any changes to **other** policies based on the results of the required analysis of the need for deconcentration of poverty and income mixing?

e. If the answer to d was yes, how would you describe these changes? (select all that apply)

- Additional affirmative marketing
- Actions to improve the marketability of certain developments
- Adoption or adjustment of ceiling rents for certain developments
- Adoption of rent incentives to encourage deconcentration of poverty and income-mixing
- Other (list below)

f. Based on the results of the required analysis, in which developments will the PHA make special efforts to attract or retain higher-income families? (select all that apply)

- Not applicable: results of analysis did not indicate a need for such efforts
- List (any applicable) developments below:

g. Based on the results of the required analysis, in which developments will the PHA make special efforts to assure access for lower-income families? (select all that apply)

- Not applicable: results of analysis did not indicate a need for such efforts
- List (any applicable) developments below:

B. Section 8

Exemptions: PHAs that do not administer section 8 are not required to complete sub-component 3B. **Unless otherwise specified, all questions in this section apply only to the tenant-based section 8 assistance program (vouchers, and until completely merged into the voucher program, certificates).**

(1) Eligibility

a. What is the extent of screening conducted by the PHA? (select all that apply)

- Criminal or drug-related activity only to the extent required by law or regulation
- Criminal and drug-related activity, more extensively than required by law or regulation
- More general screening than criminal and drug-related activity (list factors below)
- Other (list below)

- b. Yes No: Does the PHA request criminal records from local law enforcement agencies for screening purposes?
- c. Yes No: Does the PHA request criminal records from State law enforcement agencies for screening purposes?
- d. Yes No: Does the PHA access FBI criminal records from the FBI for screening purposes? (either directly or through an NCIC-authorized source)
- e. Indicate what kinds of information you share with prospective landlords? (select all that apply)
- Criminal or drug-related activity
- Other (describe below)
- If asked, will report knowledge of late payments, excessive damages, etc...

(2) Waiting List Organization

- a. With which of the following program waiting lists is the section 8 tenant-based assistance waiting list merged? (select all that apply)
- None
- Federal public housing
- Federal moderate rehabilitation
- Federal project-based certificate program
- Other federal or local program (list below)
- b. Where may interested persons apply for admission to section 8 tenant-based assistance? (select all that apply)
- PHA main administrative office
- Other (list below)
- Applications available (and then mailed to SHA) at SHA Administrative office, HUD Storefront, and other community agencies.

(3) Search Time

- a. Yes No: Does the PHA give extensions on standard 60-day period to search for a unit?

If yes, state circumstances below:
 If request is made.

(4) Admissions Preferences

a. Income targeting

- Yes No: Does the PHA plan to exceed the federal targeting requirements by targeting more than 75% of all new admissions to the section 8 program to families at or below 30% of median area income?

b. Preferences

1. Yes No: Has the PHA established preferences for admission to section 8 tenant-based assistance? (other than date and time of application) (if no, skip to subcomponent **(5) Special purpose section 8 assistance programs**)
2. Which of the following admission preferences does the PHA plan to employ in the coming year? (select all that apply from either former Federal preferences or other preferences)

Former Federal preferences

- Involuntary Displacement (Disaster, Government Action, Action of Housing Owner, Inaccessibility, Property Disposition)
- Victims of domestic violence
- Substandard housing
- Homelessness
- High rent burden (rent is > 50 percent of income)

Other preferences (select all that apply)

- Working families and those unable to work because of age or disability
- Veterans and veterans' families
- Residents who live and/or work in your jurisdiction
- Those enrolled currently in educational, training, or upward mobility programs
- Households that contribute to meeting income goals (broad range of incomes)
- Households that contribute to meeting income requirements (targeting)
- Those previously enrolled in educational, training, or upward mobility programs
- Victims of reprisals or hate crimes
- Other preference(s) (list below)
Lead-based paint health risk.

3. If the PHA will employ admissions preferences, please prioritize by placing a "1" in the space that represents your first priority, a "2" in the box representing your second priority, and so on. If you give equal weight to one or more of these choices (either

through an absolute hierarchy or through a point system), place the same number next to each. That means you can use “1” more than once, “2” more than once, etc.

2 Date and Time

Former Federal preferences

- 2 Involuntary Displacement (Disaster, Government Action, Action of Housing Owner, Inaccessibility, Property Disposition)
- 1 Victims of domestic violence
- 2 Substandard housing
- 2 Homelessness
- 2 High rent burden

Other preferences (select all that apply)

- Working families and those unable to work because of age or disability
- Veterans and veterans’ families
- Residents who live and/or work in your jurisdiction
- 1 Those enrolled currently in educational, training, or upward mobility programs
- Households that contribute to meeting income goals (broad range of incomes)
- Households that contribute to meeting income requirements (targeting)
- Those previously enrolled in educational, training, or upward mobility programs
- Victims of reprisals or hate crimes
- 1 Other preference(s) (list below) Lead-based paint health risk.

4. Among applicants on the waiting list with equal preference status, how are applicants selected? (select one)

- Date and time of application
- Drawing (lottery) or other random choice technique

5. If the PHA plans to employ preferences for “residents who live and/or work in the jurisdiction” (select one)

- This preference has previously been reviewed and approved by HUD
- The PHA requests approval for this preference through this PHA Plan

6. Relationship of preferences to income targeting requirements: (select one)

- The PHA applies preferences within income tiers
- Not applicable: the pool of applicant families ensures that the PHA will meet income targeting requirements

(5) Special Purpose Section 8 Assistance Programs

a. In which documents or other reference materials are the policies governing eligibility, selection, and admissions to any special-purpose section 8 program administered by the PHA contained? (select all that apply)

- The Section 8 Administrative Plan
- Briefing sessions and written materials
- Other (list below)
Brochures, letters.

b. How does the PHA announce the availability of any special-purpose section 8 programs to the public?

- Through published notices
- Other (list below)
Letters, and personal contact with various community organizations.

4. PHA Rent Determination Policies

[24 CFR Part 903.7 9 (d)]

A. Public Housing

Exemptions: PHAs that do not administer public housing are not required to complete sub-component 4A.

(1) Income Based Rent Policies

Describe the PHA's income based rent setting policy/ies for public housing using, including discretionary (that is, not required by statute or regulation) income disregards and exclusions, in the appropriate spaces below.

a. Use of discretionary policies: (select one)

- The PHA will not employ any discretionary rent-setting policies for income based rent in public housing. Income-based rents are set at the higher of 30% of adjusted monthly income, 10% of unadjusted monthly income, the welfare rent, or minimum rent (less HUD mandatory deductions and exclusions). (If selected, skip to sub-component (2))

---or---

- The PHA employs discretionary policies for determining income based rent (If selected, continue to question b.)

b. Minimum Rent

1. What amount best reflects the PHA's minimum rent? (select one)

- \$0
 \$1-\$25
 \$26-\$50

2. Yes No: Has the PHA adopted any discretionary minimum rent hardship exemption policies?

3. If yes to question 2, list these policies below:

c. Rents set at less than 30% than adjusted income

1. Yes No: Does the PHA plan to charge rents at a fixed amount or percentage less than 30% of adjusted income?

2. If yes to above, list the amounts or percentages charged and the circumstances under which these will be used below:

d. Which of the discretionary (optional) deductions and/or exclusions policies does the PHA plan to employ (select all that apply)

- For the earned income of a previously unemployed household member
 For increases in earned income
 Fixed amount (other than general rent-setting policy)
If yes, state amount/s and circumstances below:

- Fixed percentage (other than general rent-setting policy)
If yes, state percentage/s and circumstances below:

- For household heads
 For other family members
 For transportation expenses
 For the non-reimbursed medical expenses of non-disabled or non-elderly families

Other (describe below)

e. Ceiling rents

1. Do you have ceiling rents? (rents set at a level lower than 30% of adjusted income)
(select one)

- Yes for all developments
 Yes but only for some developments
 No

2. For which kinds of developments are ceiling rents in place? (select all that apply)

- For all developments
 For all general occupancy developments (not elderly or disabled or elderly only)
 For specified general occupancy developments
 For certain parts of developments; e.g., the high-rise portion
 For certain size units; e.g., larger bedroom sizes
 Other (list below)

3. Select the space or spaces that best describe how you arrive at ceiling rents (select all that apply)

- Market comparability study
 Fair market rents (FMR)
 95th percentile rents
 75 percent of operating costs
 100 percent of operating costs for general occupancy (family) developments
 Operating costs plus debt service
 The "rental value" of the unit
 Other (list below)

Operating Cost, Utility Allowance, and Adjustment factoring to 2-bedroom standard as stated in HUD proposed rule 11/25/97.

f. Rent re-determinations:

1. Between income reexaminations, how often must tenants report changes in income or family composition to the PHA such that the changes result in an adjustment to rent? (select all that apply)

- Never
 At family option
 Any time the family experiences an income increase

- Any time a family experiences an income increase above a threshold amount or percentage: (if selected, specify threshold) Over \$200 per month
- Other (list below)
Anytime family composition changes.
- g. Yes No: Does the PHA plan to implement individual savings accounts for residents (ISAs) as an alternative to the required 12 month disallowance of earned income and phasing in of rent increases in the next year?

(2) Flat Rents

1. In setting the market-based flat rents, what sources of information did the PHA use to establish comparability? (select all that apply.)
 - The section 8 rent reasonableness study of comparable housing
 - Survey of rents listed in local newspaper
 - Survey of similar unassisted units in the neighborhood
 - Other (list/describe below)
Ceiling Rent Analysis
Leasing History within sections of SHA developments.

B. Section 8 Tenant-Based Assistance

Exemptions: PHAs that do not administer Section 8 tenant-based assistance are not required to complete sub-component 4B. **Unless otherwise specified, all questions in this section apply only to the tenant-based section 8 assistance program (vouchers, and until completely merged into the voucher program, certificates).**

(1) Payment Standards

Describe the voucher payment standards and policies .

- a. What is the PHA’s payment standard? (select the category that best describes your standard)
 - At or above 90% but below 100% of FMR
 - 100% of FMR
 - Above 100% but at or below 110% of FMR
 - Above 110% of FMR (if HUD approved; describe circumstances below)
- b. If the payment standard is lower than FMR, why has the PHA selected this standard? (select all that apply)

- FMRs are adequate to ensure success among assisted families in the PHA's segment of the FMR area
- The PHA has chosen to serve additional families by lowering the payment standard
- Reflects market or submarket
- Other (list below)

c. If the payment standard is higher than FMR, why has the PHA chosen this level? (select all that apply)

- FMRs are not adequate to ensure success among assisted families in the PHA's segment of the FMR area
- Reflects market or submarket
- To increase housing options for families
- Other (list below)

d. How often are payment standards reevaluated for adequacy? (select one)

- Annually
- Other (list below)

e. What factors will the PHA consider in its assessment of the adequacy of its payment standard? (select all that apply)

- Success rates of assisted families
- Rent burdens of assisted families
- Other (list below)

(2) Minimum Rent

a. What amount best reflects the PHA's minimum rent? (select one)

- \$0
- \$1-\$25
- \$26-\$50

b. Yes No: Has the PHA adopted any discretionary minimum rent hardship exemption policies? (if yes, list below)

5. Operations and Management

[24 CFR Part 903.7 9 (e)]

Exemptions from Component 5: High performing and small PHAs are not required to complete this section. Section 8 only PHAs must complete parts A, B, and C(2)

A. PHA Management Structure

Describe the PHA’s management structure and organization.

(select one)

- An organization chart showing the PHA’s management structure and organization is attached.
- A brief description of the management structure and organization of the PHA follows:

B. HUD Programs Under PHA Management

List Federal programs administered by the PHA, number of families served at the beginning of the upcoming fiscal year, and expected turnover in each. (Use “NA” to indicate that the PHA does not operate any of the programs listed below.)

Program Name	Units or Families Served at Year Beginning	Expected Turnover
Public Housing		
Section 8 Vouchers		
Section 8 Certificates		
Section 8 Mod Rehab		
Special Purpose Section 8 Certificates/Vouchers (list individually)		
Public Housing Drug Elimination Program (PHDEP)		
Other Federal Programs(list individually)		

C. Management and Maintenance Policies

List the PHA’s public housing management and maintenance policy documents, manuals and handbooks that contain the Agency’s rules, standards, and policies that govern maintenance and management of public housing, including a description of any measures necessary for the prevention or eradication of pest infestation (which includes cockroach infestation) and the policies governing Section 8 management.

(1) Public Housing Maintenance and Management: (list below)

(2) Section 8 Management: (list below)

6. PHA Grievance Procedures

[24 CFR Part 903.7 9 (f)]

Exemptions from component 6: High performing PHAs are not required to complete component 6.
Section 8-Only PHAs are exempt from sub-component 6A.

A. Public Housing

1. Yes No: Has the PHA established any written grievance procedures in addition to federal requirements found at 24 CFR Part 966, Subpart B, for residents of public housing?

If yes, list additions to federal requirements below:

2. Which PHA office should residents or applicants to public housing contact to initiate the PHA grievance process? (select all that apply)

- PHA main administrative office
 PHA development management offices
 Other (list below)

B. Section 8 Tenant-Based Assistance

1. Yes No: Has the PHA established informal review procedures for applicants to the Section 8 tenant-based assistance program and informal hearing procedures for families assisted by the Section 8 tenant-based assistance program in addition to federal requirements found at 24 CFR 982?

If yes, list additions to federal requirements below:

2. Which PHA office should applicants or assisted families contact to initiate the informal review and informal hearing processes? (select all that apply)

- PHA main administrative office
- Other (list below)

7. Capital Improvement Needs

[24 CFR Part 903.79 (g)]

Exemptions from Component 7: Section 8 only PHAs are not required to complete this component and may skip to Component 8.

A. Capital Fund Activities

Exemptions from sub-component 7A: PHAs that will not participate in the Capital Fund Program may skip to component 7B. All other PHAs must complete 7A as instructed.

(1) Capital Fund Program Annual Statement

Using parts I, II, and III of the Annual Statement for the Capital Fund Program (CFP), identify capital activities the PHA is proposing for the upcoming year to ensure long-term physical and social viability of its public housing developments. This statement can be completed by using the CFP Annual Statement tables provided in the table library at the end of the PHA Plan template **OR**, at the PHA's option, by completing and attaching a properly updated HUD-52837.

Select one:

- The Capital Fund Program Annual Statement is provided as an attachment to the PHA Plan at Attachment (state name) NY001a06.123
NY001a07.123
NY001a08.123

-or-

- The Capital Fund Program Annual Statement is provided below: (if selected, copy the CFP Annual Statement from the Table Library and insert here)

(2) Optional 5-Year Action Plan

Agencies are encouraged to include a 5-Year Action Plan covering capital work items. This statement can be completed by using the 5 Year Action Plan table provided in the table library at the end of the PHA Plan template **OR** by completing and attaching a properly updated HUD-52834.

a. Yes No: Is the PHA providing an optional 5-Year Action Plan for the Capital Fund? (if no, skip to sub-component 7B)

b. If yes to question a, select one:

The Capital Fund Program 5-Year Action Plan is provided as an attachment to the PHA Plan at Attachment (state name) NY001a03.123
NY001a04.123
NY001a05.123

-or-

The Capital Fund Program 5-Year Action Plan is provided below: (if selected, copy the CFP optional 5 Year Action Plan from the Table Library and insert here)

B. HOPE VI and Public Housing Development and Replacement Activities (Non-Capital Fund)

Applicability of sub-component 7B: All PHAs administering public housing. Identify any approved HOPE VI and/or public housing development or replacement activities not described in the Capital Fund Program Annual Statement.

Yes No: a) Has the PHA received a HOPE VI revitalization grant? (if no, skip to question c; if yes, provide responses to question b for each grant, copying and completing as many times as necessary)
b) Status of HOPE VI revitalization grant (complete one set of questions for each grant)

1. Development name:

2. Development (project) number:

3. Status of grant: (select the statement that best describes the current status)

- Revitalization Plan under development
- Revitalization Plan submitted, pending approval
- Revitalization Plan approved
- Activities pursuant to an approved Revitalization Plan underway

Yes No: c) Does the PHA plan to apply for a HOPE VI Revitalization grant in the Plan year?

If yes, list development name/s below:
Pioneer Homes – NY06P001001

Yes No: d) Will the PHA be engaging in any mixed-finance development activities for public housing in the Plan year?

If yes, list developments or activities below:

- Yes No: e) Will the PHA be conducting any other public housing development or replacement activities not discussed in the Capital Fund Program Annual Statement?

If yes, list developments or activities below:

8. Demolition and Disposition

[24 CFR Part 903.7 9 (h)]

Applicability of component 8: Section 8 only PHAs are not required to complete this section.

1. Yes No: Does the PHA plan to conduct any demolition or disposition activities (pursuant to section 18 of the U.S. Housing Act of 1937 (42 U.S.C. 1437p)) in the plan Fiscal Year? (If “No”, skip to component 9; if “yes”, complete one activity description for each development.)

2. Activity Description

- Yes No: Has the PHA provided the activities description information in the **optional** Public Housing Asset Management Table? (If “yes”, skip to component 9. If “No”, complete the Activity Description table below.)

Demolition/Disposition Activity Description	
1a. Development name:	Pioneer Homes
1b. Development (project) number:	NY06P001001
2. Activity type:	Demolition <input checked="" type="checkbox"/> Disposition <input type="checkbox"/>
3. Application status (select one)	Approved <input type="checkbox"/> Submitted, pending approval <input type="checkbox"/> Planned application <input checked="" type="checkbox"/>
4. Date application approved, submitted, or planned for submission:	<u>(04/20/00)</u>

5. Number of units affected: 20
6. Coverage of action (select one)
<input checked="" type="checkbox"/> Part of the development
<input type="checkbox"/> Total development
7. Timeline for activity:
a. Actual or projected start date of activity: 09/15/00
b. Projected end date of activity: 11/22/00

9. Designation of Public Housing for Occupancy by Elderly Families or Families with Disabilities or Elderly Families and Families with Disabilities

[24 CFR Part 903.7 9 (i)]

Exemptions from Component 9; Section 8 only PHAs are not required to complete this section.

1. Yes No: Has the PHA designated or applied for approval to designate or does the PHA plan to apply to designate any public housing for occupancy only by the elderly families or only by families with disabilities, or by elderly families and families with disabilities or will apply for designation for occupancy by only elderly families or only families with disabilities, or by elderly families and families with disabilities as provided by section 7 of the U.S. Housing Act of 1937 (42 U.S.C. 1437e) in the upcoming fiscal year? (If “No”, skip to component 10. If “yes”, complete one activity description for each development, unless the PHA is eligible to complete a streamlined submission; PHAs completing streamlined submissions may skip to component 10.)

2. Activity Description

Yes No: Has the PHA provided all required activity description information for this component in the **optional** Public Housing Asset Management Table? If “yes”, skip to component 10. If “No”, complete the Activity Description table below.

Designation of Public Housing Activity Description
1a. Development name:
1b. Development (project) number:

<p>2. Designation type:</p> <p>Occupancy by only the elderly <input type="checkbox"/></p> <p>Occupancy by families with disabilities <input type="checkbox"/></p> <p>Occupancy by only elderly families and families with disabilities <input type="checkbox"/></p>
<p>3. Application status (select one)</p> <p>Approved; included in the PHA's Designation Plan <input type="checkbox"/></p> <p>Submitted, pending approval <input type="checkbox"/></p> <p>Planned application <input type="checkbox"/></p>
<p>4. Date this designation approved, submitted, or planned for submission: (DD/MM/YY)</p>
<p>5. If approved, will this designation constitute a (select one)</p> <p><input type="checkbox"/> New Designation Plan</p> <p><input type="checkbox"/> Revision of a previously-approved Designation Plan?</p>
<p>6. Number of units affected:</p> <p>7. Coverage of action (select one)</p> <p><input type="checkbox"/> Part of the development</p> <p><input type="checkbox"/> Total development</p>

10. Conversion of Public Housing to Tenant-Based Assistance

[24 CFR Part 903.7 9 (j)]

Exemptions from Component 10; Section 8 only PHAs are not required to complete this section.

A. Assessments of Reasonable Revitalization Pursuant to section 202 of the HUD FY 1996 HUD Appropriations Act

1. Yes No: Have any of the PHA's developments or portions of developments been identified by HUD or the PHA as covered under section 202 of the HUD FY 1996 HUD Appropriations Act? (If "No", skip to component 11; if "yes", complete one activity description for each identified development, unless eligible to complete a streamlined submission. PHAs completing streamlined submissions may skip to component 11.)

2. Activity Description

Yes No: Has the PHA provided all required activity description information for this component in the **optional** Public Housing Asset Management Table? If "yes", skip to component 11. If "No", complete the Activity Description table below.

Conversion of Public Housing Activity Description
1a. Development name: 1b. Development (project) number:
2. What is the status of the required assessment? <input type="checkbox"/> Assessment underway <input type="checkbox"/> Assessment results submitted to HUD <input type="checkbox"/> Assessment results approved by HUD (if marked, proceed to next question) <input type="checkbox"/> Other (explain below)
3. <input type="checkbox"/> Yes <input type="checkbox"/> No: Is a Conversion Plan required? (If yes, go to block 4; if no, go to block 5.)
4. Status of Conversion Plan (select the statement that best describes the current status) <input type="checkbox"/> Conversion Plan in development <input type="checkbox"/> Conversion Plan submitted to HUD on: (DD/MM/YYYY) <input type="checkbox"/> Conversion Plan approved by HUD on: (DD/MM/YYYY) <input type="checkbox"/> Activities pursuant to HUD-approved Conversion Plan underway
5. Description of how requirements of Section 202 are being satisfied by means other than conversion (select one) <input type="checkbox"/> Units addressed in a pending or approved demolition application (date submitted or approved: <input type="checkbox"/> Units addressed in a pending or approved HOPE VI demolition application (date submitted or approved:) <input type="checkbox"/> Units addressed in a pending or approved HOPE VI Revitalization Plan (date submitted or approved:) <input type="checkbox"/> Requirements no longer applicable: vacancy rates are less than 10 percent <input type="checkbox"/> Requirements no longer applicable: site now has less than 300 units <input type="checkbox"/> Other: (describe below)

B. Reserved for Conversions pursuant to Section 22 of the U.S. Housing Act of 1937

C. Reserved for Conversions pursuant to Section 33 of the U.S. Housing Act of 1937

11. Homeownership Programs Administered by the PHA

[24 CFR Part 903.7 9 (k)]

A. Public Housing

Exemptions from Component 11A: Section 8 only PHAs are not required to complete 11A.

1. Yes No: Does the PHA administer any homeownership programs administered by the PHA under an approved section 5(h) homeownership program (42 U.S.C. 1437c(h)), or an approved HOPE I program (42 U.S.C. 1437aaa) or has the PHA applied or plan to apply to administer any homeownership programs under section 5(h), the HOPE I program, or section 32 of the U.S. Housing Act of 1937 (42 U.S.C. 1437z-4). (If “No”, skip to component 11B; if “yes”, complete one activity description for each applicable program/plan, unless eligible to complete a streamlined submission due to **small PHA** or **high performing PHA** status. PHAs completing streamlined submissions may skip to component 11B.)

2. Activity Description
 Yes No: Has the PHA provided all required activity description information for this component in the **optional** Public Housing Asset Management Table? (If “yes”, skip to component 12. If “No”, complete the Activity Description table below.)

Public Housing Homeownership Activity Description (Complete one for each development affected)
1a. Development name: 1b. Development (project) number:
2. Federal Program authority: <input type="checkbox"/> HOPE I <input type="checkbox"/> 5(h) <input type="checkbox"/> Turnkey III <input type="checkbox"/> Section 32 of the USHA of 1937 (effective 10/1/99)
3. Application status: (select one) <input type="checkbox"/> Approved; included in the PHA’s Homeownership Plan/Program <input type="checkbox"/> Submitted, pending approval <input type="checkbox"/> Planned application
4. Date Homeownership Plan/Program approved, submitted, or planned for submission: (DD/MM/YYYY)
5. Number of units affected: 6. Coverage of action: (select one) <input type="checkbox"/> Part of the development <input type="checkbox"/> Total development

B. Section 8 Tenant Based Assistance

1. Yes No: Does the PHA plan to administer a Section 8 Homeownership program pursuant to Section 8(y) of the U.S.H.A. of 1937, as implemented by 24 CFR part 982 ? (If “No”, skip to component 12; if “yes”, describe each program using the table below (copy and complete questions for each program identified), unless the PHA is eligible to complete a streamlined submission due to high performer status. **High performing PHAs** may skip to component 12.)

2. Program Description:

a. Size of Program

- Yes No: Will the PHA limit the number of families participating in the section 8 homeownership option?

If the answer to the question above was yes, which statement best describes the number of participants? (select one)

- 25 or fewer participants
 26 - 50 participants
 51 to 100 participants
 more than 100 participants

b. PHA-established eligibility criteria

- Yes No: Will the PHA’s program have eligibility criteria for participation in its Section 8 Homeownership Option program in addition to HUD criteria? If yes, list criteria below:

Current participant of the FSS program.
Family monthly gross income of over \$15,000.
Full time employment record of at least one year prior to application (except for elderly or disabled families).

12. PHA Community Service and Self-sufficiency Programs

[24 CFR Part 903.7 9 (l)]

Exemptions from Component 12: High performing and small PHAs are not required to complete this component. Section 8-Only PHAs are not required to complete sub-component C.

A. PHA Coordination with the Welfare (TANF) Agency

1. Cooperative agreements:

- Yes No: Has the PHA has entered into a cooperative agreement with the TANF Agency, to share information and/or target supportive services (as contemplated by section 12(d)(7) of the Housing Act of 1937)?

If yes, what was the date that agreement was signed? DD/MM/YY

2. Other coordination efforts between the PHA and TANF agency (select all that apply)

- Client referrals
- Information sharing regarding mutual clients (for rent determinations and otherwise)
- Coordinate the provision of specific social and self-sufficiency services and programs to eligible families
- Jointly administer programs
- Partner to administer a HUD Welfare-to-Work voucher program
- Joint administration of other demonstration program
- Other (describe)

B. Services and programs offered to residents and participants

(1) General

a. Self-Sufficiency Policies

Which, if any of the following discretionary policies will the PHA employ to enhance the economic and social self-sufficiency of assisted families in the following areas? (select all that apply)

- Public housing rent determination policies
- Public housing admissions policies
- Section 8 admissions policies
- Preference in admission to section 8 for certain public housing families
- Preferences for families working or engaging in training or education programs for non-housing programs operated or coordinated by the PHA
- Preference/eligibility for public housing homeownership option participation
- Preference/eligibility for section 8 homeownership option participation
- Other policies (list below)

b. Economic and Social self-sufficiency programs

1. The PHA is complying with the statutory requirements of section 12(d) of the U.S. Housing Act of 1937 (relating to the treatment of income changes resulting from welfare program requirements) by: (select all that apply)

- Adopting appropriate changes to the PHA's public housing rent determination policies and train staff to carry out those policies
- Informing residents of new policy on admission and reexamination
- Actively notifying residents of new policy at times in addition to admission and reexamination.
- Establishing or pursuing a cooperative agreement with all appropriate TANF agencies regarding the exchange of information and coordination of services
- Establishing a protocol for exchange of information with all appropriate TANF agencies
- Other: (list below)

D. Reserved for Community Service Requirement pursuant to section 12(c) of the U.S. Housing Act of 1937
--

13. PHA Safety and Crime Prevention Measures

[24 CFR Part 903.7 9 (m)]

Exemptions from Component 13: High performing and small PHAs not participating in PHDEP and Section 8 Only PHAs may skip to component 15. High Performing and small PHAs that are participating in PHDEP and are submitting a PHDEP Plan with this PHA Plan may skip to sub-component D.

A. Need for measures to ensure the safety of public housing residents

1. Describe the need for measures to ensure the safety of public housing residents (select all that apply)

- High incidence of violent and/or drug-related crime in some or all of the PHA's developments
- High incidence of violent and/or drug-related crime in the areas surrounding or adjacent to the PHA's developments
- Residents fearful for their safety and/or the safety of their children
- Observed lower-level crime, vandalism and/or graffiti

- People on waiting list unwilling to move into one or more developments due to perceived and/or actual levels of violent and/or drug-related crime
- Other (describe below)

2. What information or data did the PHA used to determine the need for PHA actions to improve safety of residents (select all that apply).

- Safety and security survey of residents
- Analysis of crime statistics over time for crimes committed “in and around” public housing authority
- Analysis of cost trends over time for repair of vandalism and removal of graffiti
- Resident reports
- PHA employee reports
- Police reports
- Demonstrable, quantifiable success with previous or ongoing anticrime/anti drug programs
- Other (describe below)

2. Which developments are most affected? (list below)

B. Crime and Drug Prevention activities the PHA has undertaken or plans to undertake in the next PHA fiscal year

1. List the crime prevention activities the PHA has undertaken or plans to undertake: (select all that apply)

- Contracting with outside and/or resident organizations for the provision of crime- and/or drug-prevention activities
- Crime Prevention Through Environmental Design
- Activities targeted to at-risk youth, adults, or seniors
- Volunteer Resident Patrol/Block Watchers Program
- Other (describe below)

2. Which developments are most affected? (list below)

C. Coordination between PHA and the police

1. Describe the coordination between the PHA and the appropriate police precincts for carrying out crime prevention measures and activities: (select all that apply)

- Police involvement in development, implementation, and/or ongoing evaluation of drug-elimination plan
- Police provide crime data to housing authority staff for analysis and action
- Police have established a physical presence on housing authority property (e.g., community policing office, officer in residence)
- Police regularly testify in and otherwise support eviction cases
- Police regularly meet with the PHA management and residents
- Agreement between PHA and local law enforcement agency for provision of above-baseline law enforcement services
- Other activities (list below)

2. Which developments are most affected? (list below)

D. Additional information as required by PHDEP/PHDEP Plan

PHAs eligible for FY 2000 PHDEP funds must provide a PHDEP Plan meeting specified requirements prior to receipt of PHDEP funds.

- Yes No: Is the PHA eligible to participate in the PHDEP in the fiscal year covered by this PHA Plan?
- Yes No: Has the PHA included the PHDEP Plan for FY 2000 in this PHA Plan?
- Yes No: This PHDEP Plan is an Attachment. (Attachment Filename: NY001a01.doc)

14. RESERVED FOR PET POLICY

[24 CFR Part 903.7 9 (n)]

15. Civil Rights Certifications

[24 CFR Part 903.7 9 (o)]

Civil rights certifications are included in the PHA Plan Certifications of Compliance with the PHA Plans and Related Regulations.

16. Fiscal Audit

[24 CFR Part 903.7 9 (p)]

- 1. Yes No: Is the PHA required to have an audit conducted under section 5(h)(2) of the U.S. Housing Act of 1937 (42 U.S.C. 1437c(h))? (If no, skip to component 17.)
- 2. Yes No: Was the most recent fiscal audit submitted to HUD?

3. Yes No: Were there any findings as the result of that audit?
4. Yes No: If there were any findings, do any remain unresolved?
If yes, how many unresolved findings remain? _____
5. Yes No: Have responses to any unresolved findings been submitted to HUD?
If not, when are they due (state below)?

17. PHA Asset Management

[24 CFR Part 903.7 9 (q)]

Exemptions from component 17: Section 8 Only PHAs are not required to complete this component.
High performing and small PHAs are not required to complete this component.

1. Yes No: Is the PHA engaging in any activities that will contribute to the long-term asset management of its public housing stock , including how the Agency will plan for long-term operating, capital investment, rehabilitation, modernization, disposition, and other needs that have **not** been addressed elsewhere in this PHA Plan?
2. What types of asset management activities will the PHA undertake? (select all that apply)
- Not applicable
- Private management
- Development-based accounting
- Comprehensive stock assessment
- Other: (list below)
3. Yes No: Has the PHA included descriptions of asset management activities in the **optional** Public Housing Asset Management Table?

18. Other Information

[24 CFR Part 903.7 9 (r)]

A. Resident Advisory Board Recommendations

1. Yes No: Did the PHA receive any comments on the PHA Plan from the Resident Advisory Board/s?
2. If yes, the comments are: (if comments were received, the PHA **MUST** select one)
- Attached at Attachment (File name) NY001a02.DOC
- Provided below:

3. In what manner did the PHA address those comments? (select all that apply)

Considered comments, but determined that no changes to the PHA Plan were necessary.

The PHA changed portions of the PHA Plan in response to comments
List changes below:

Other: (list below) The Plan development took place in conjunction with a rewriting of the Resident Handbook in order to include all the changes due to QHWRA 1998. Thus, the discussions included the wide range of issues, and the input from the RAB was invaluable in determining the final version of this Plan.

B. Description of Election process for Residents on the PHA Board

1. Yes No: Does the PHA meet the exemption criteria provided section 2(b)(2) of the U.S. Housing Act of 1937? (If no, continue to question 2; if yes, skip to sub-component C.)

2. Yes No: Was the resident who serves on the PHA Board elected by the residents? (If yes, continue to question 3; if no, skip to sub-component C.)

3. Description of Resident Election Process

a. Nomination of candidates for place on the ballot: (select all that apply)

- Candidates were nominated by resident and assisted family organizations
 Candidates could be nominated by any adult recipient of PHA assistance
 Self-nomination: Candidates registered with the PHA and requested a place on ballot

Other: (describe)
Candidates collect signatures in a petitioning process from assisted family members 18 years of age and older.

b. Eligible candidates: (select one)

- Any recipient of PHA assistance
 Any head of household receiving PHA assistance
 Any adult recipient of PHA assistance
 Any adult member of a resident or assisted family organization
 Other (list)

c. Eligible voters: (select all that apply)

- All adult recipients of PHA assistance (public housing and section 8 tenant-based assistance)
- Representatives of all PHA resident and assisted family organizations
- Other (list)

C. Statement of Consistency with the Consolidated Plan

For each applicable Consolidated Plan, make the following statement (copy questions as many times as necessary).

1. Consolidated Plan jurisdiction: (Syracuse, NY)

2. The PHA has taken the following steps to ensure consistency of this PHA Plan with the Consolidated Plan for the jurisdiction: (select all that apply)

- The PHA has based its statement of needs of families in the jurisdiction on the needs expressed in the Consolidated Plan/s.
- The PHA has participated in any consultation process organized and offered by the Consolidated Plan agency in the development of the Consolidated Plan.
- The PHA has consulted with the Consolidated Plan agency during the development of this PHA Plan.
- Activities to be undertaken by the PHA in the coming year are consistent with the initiatives contained in the Consolidated Plan. (list below)
- Other: (list below)

3. The Consolidated Plan of the jurisdiction supports the PHA Plan with the following actions and commitments: (describe below)

With a neighborhood-based plan which will provide opportunities for work, expanded government services, housing, and recreation, the City of Syracuse Consolidated Plan supports the continued efforts of the Syracuse Housing Authority to provide safe and secure housing, programs and assistance for economic self-sufficiency, and a quality living environment for its residents.

The city's commitment to mixed-income neighborhoods, improved access to housing, housing affordability, improving the quality of owner-occupied housing, cleaning up properties with the Vacant Property Program, and numerous other programs all afford the Syracuse Housing Authority support in its commitment to its public housing developments and surrounding neighborhoods.

D. Other Information Required by HUD

Use this section to provide any additional information requested by HUD.

Attachments

Use this section to provide any additional attachments referenced in the Plans.

PHA Plan Table Library

Component 7 Capital Fund Program Annual Statement Parts I, II, and II

Annual Statement

Capital Fund Program (CFP) Part I: Summary

Capital Fund Grant Number FFY of Grant Approval: (MM/YYYY)

Original Annual Statement

Line No.	Summary by Development Account	Total Estimated Cost
1	Total Non-CGP Funds	
2	1406 Operations	
3	1408 Management Improvements	
4	1410 Administration	
5	1411 Audit	
6	1415 Liquidated Damages	
7	1430 Fees and Costs	
8	1440 Site Acquisition	
9	1450 Site Improvement	
10	1460 Dwelling Structures	
11	1465.1 Dwelling Equipment-Nonexpendable	
12	1470 Nondwelling Structures	
13	1475 Nondwelling Equipment	
14	1485 Demolition	
15	1490 Replacement Reserve	
16	1492 Moving to Work Demonstration	
17	1495.1 Relocation Costs	
18	1498 Mod Used for Development	
19	1502 Contingency	
20	Amount of Annual Grant (Sum of lines 2-19)	
21	Amount of line 20 Related to LBP Activities	
22	Amount of line 20 Related to Section 504 Compliance	
23	Amount of line 20 Related to Security	
24	Amount of line 20 Related to Energy Conservation Measures	

Annual Statement

Capital Fund Program (CFP) Part II: Supporting Table

Development Number/Name HA-Wide Activities	General Description of Major Work Categories	Development Account Number	Total Estimated Cost

Annual Statement
Capital Fund Program (CFP) Part III: Implementation Schedule

Development Number/Name HA-Wide Activities	All Funds Obligated (Quarter Ending Date)	All Funds Expended (Quarter Ending Date)

Optional Table for 5-Year Action Plan for Capital Fund (Component 7)

Complete one table for each development in which work is planned in the next 5 PHA fiscal years. Complete a table for any PHA-wide physical or management improvements planned in the next 5 PHA fiscal year. Copy this table as many times as necessary. Note: PHAs need not include information from Year One of the 5-Year cycle, because this information is included in the Capital Fund Program Annual Statement.

Optional 5-Year Action Plan Tables				
Development Number	Development Name (or indicate PHA wide)	Number Vacant Units	% Vacancies in Development	
Description of Needed Physical Improvements or Management Improvements			Estimated Cost	Planned Start Date (HA Fiscal Year)
Total estimated cost over next 5 years				

Public Housing Drug Elimination Program Plan

Note: THIS PHDEP Plan template (HUD 50075-PHDEP Plan) is to be completed in accordance with Instructions located in applicable PIH Notices.

Annual PHDEP Plan Table of Contents:

1. General Information/History
2. PHDEP Plan Goals/Budget
3. Milestones
4. Certifications

Section 1: General Information/History

- A. Amount of PHDEP Grant \$ 600,000**
- B. Eligibility type (Indicate with an "x")** N1 _____ N2 _____ R X
- C. FFY in which funding is requested** 2000
- D. Executive Summary of Annual PHDEP Plan**

In the space below, provide a brief overview of the PHDEP Plan, including highlights of major initiatives or activities undertaken. It may include a description of the expected outcomes. The summary must not be more than five (5) sentences long

This is a comprehensive drug prevention program aimed at reducing the incidence of drug use among residents of family public housing developments as well as improving resident security. Two programs in the PHDEP plan are the youth anti-drug program and the Tenant Assistance Program (TAP). Youth programs focus on building youth resiliency and limiting the influence of risk factors among adolescent and teenage youth residing in public housing through drug education and personal development activities. TAP provides SHA residents with education, life skills and supportive networks which will empower them to overcome inequities they may have encountered and to face the challenges of substance abuse in the struggle to lead productive lives.

E. Target Areas

Complete the following table by indicating each PHDEP Target Area (development or site where activities will be conducted), the total number of units in each PHDEP Target Area, and the total number of individuals expected to participate in PHDEP sponsored activities in each Target Area.

PHDEP Target Areas (Name of development(s) or site)	Total # of Units within the PHDEP Target Area(s)	Total Population to be Served within the PHDEP Target Area(s)
Pioneer Homes	415	903
James Geddes Homes	242	598
Central Village Homes	181	571
Benderson Heights	56	176
Scattered Sites	101	276
McKinney Manor	74	242

F. Duration of Program

Indicate the duration (number of months funds will be required) of the PHDEP Program proposed under this Plan (place an "x" to indicate the length of program by # of months. For "Other", identify the # of months).

6 Months _____ **12 Months** _____ **18 Months** _____ **24 Months** X **Other** _____

G. PHDEP Program History

Indicate each FY that funding has been received under the PHDEP Program (place an "x" by each applicable Year) and provide amount of funding received. If previously funded programs have not been closed out at the time of this submission, indicate the fund balance and anticipated completion date. For grant extensions received, place "GE" in column or "W" for waivers.

Fiscal Year of Funding	PHDEP Funding Received	Grant #	Fund Balance as of Date of this Submission (12/31/99)	Grant Extensions or Waivers	Anticipated Completion Date
FY 1995	617,750	NY06DEP0010195	0	GE	02/20/98
FY 1996	604,750	NY06DEP0010196	0	GE	05/11/99
FY 1997	614,380	NY06DEP0010197	0	-	12/10/99
FY1998	614,380	NY06DEP0010198	581,134	-	11/16/00
FY 1999	527,417	NY06DEP0010199	527,417	-	12/31/01

Section 2: PHDEP Plan Goals and Budget

A. PHDEP Plan Summary

In the space below, summarize the PHDEP strategy to address the needs of the target population/target area(s). Your summary should briefly identify: the broad goals and objectives, the role of plan partners, and your system or process for monitoring and evaluating PHDEP-funded activities. This summary should not exceed 5-10 sentences.

Our PHDEP strategy is to reduce the incidence of drug usage and violence through prevention and intervention programs. An example of one 5 year goal is to reduce illegal drug activity in SHA neighborhoods by 10 percent. The annual objective for this goal is that drug related crime will be reduced by 4 percent between 2000 and 2002, or 2 percent per year. This will be measured by Syracuse Police Department statistics on drug arrests and/or UCR statistics on the number of drug offenses in SHA neighborhoods.

Our partners are integral to the design and implementation of appropriate activities. The partners we have are vital to our planned programs. First, Syracuse Housing Authority residents provide input through surveys. These surveys ask about the extent of drug problems in their communities, and their opinions of PHDEP programs as well as recommendations on improving programs. Also, our Citywide Council of Low Income Housing Residents has been instrumental in program design and evolution. Another important partner we have is the Syracuse Police Department. They work with the SHA Drug Unit, providing a uniformed officer for the D.A.R.E. (Drug Abuse Resistance Education) program, making the services of the Anti Firearm Enforcement Unit (SAFE) available to combat high incidence of gun violence and providing us with crime statistics to support our PHDEP efforts. Other entities that work with and support our efforts include the Mayor's Office, the City Department of Community Development, the Syracuse City School District and the City Research Bureau and the Department of Parks, Recreation and Youth Programs.

B. PHDEP Budget Summary

Enter the total amount of PHDEP funding allocated to each line item.

FY <u>2000</u> PHDEP Budget Summary	
Budget Line Item	Total Funding
9110 - Reimbursement of Law Enforcement	
9120 - Security Personnel	
9130 - Employment of Investigators	
9140 - Voluntary Tenant Patrol	
9150 - Physical Improvements	
9160 - Drug Prevention	570,000
9170 - Drug Intervention	30,000
9180 - Drug Treatment	

9190 - Other Program Costs	
TOTAL PHDEP FUNDING	600,000

C. PHDEP Plan Goals and Activities

In the tables below, provide information on the PHDEP strategy summarized above by budget line item. Each goal and objective should be numbered sequentially for each budget line item (where applicable). Use as many rows as necessary to list proposed activities (additional rows may be inserted in the tables). PHAs are not required to provide information in shaded boxes. Information provided must be concise—not to exceed two sentences in any column. Tables for line items in which the PHA has no planned goals or activities may be deleted.

9110 - Reimbursement of Law Enforcement						Total PHDEP Funding: \$	
Goal(s)							
Objectives							
Proposed Activities	# of Persons Served	Target Population	Start Date	Expected Complete Date	PHDEP Funding	Other Funding (Amount/Source)	Performance Indicators
1.							
2.							
3.							

9120 - Security Personnel						Total PHDEP Funding: \$	
Goal(s)							
Objectives							
Proposed Activities	# of Persons Served	Target Population	Start Date	Expected Complete Date	PHDEP Funding	Other Funding (Amount/Source)	Performance Indicators
1.							
2.							
3.							

9130 - Employment of Investigators						Total PHDEP Funding: \$	
Goal(s)							
Objectives							
Proposed Activities	# of Persons Served	Target Population	Start Date	Expected Complete Date	PHDEP Funding	Other Funding (Amount/Source)	Performance Indicators
1.							
2.							
3.							

9140 - Voluntary Tenant Patrol					Total PHDEP Funding: \$		
Goal(s)							
Objectives							
Proposed Activities	# of Persons Served	Target Population	Start Date	Expected Complete Date	PHDEP Funding	Other Funding (Amount /Source)	Performance Indicators
1.							

9150 - Physical Improvements					Total PHDEP Funding: \$		
Goal(s)							
Objectives							
Proposed Activities	# of Persons Served	Target Population	Start Date	Expected Complete Date	PHDEP Funding	Other Funding (Amount /Source)	Performance Indicators
1.							

9160 - Drug Prevention					Total PHDEP Funding: \$ 570,000		
Goal(s) Employment and Educational Opportunities- SHA residents will be provided with educational and economic opportunities which will allow them to develop careers and become self-sustaining.							
Objectives 1. 80 percent of youth program participants will actively pursue formal education at the junior high, high school or GED level. 2. 25 residents will develop and pursue education and career plans with assistance from SHA's Employment and Supportive Services Program.							
Proposed Activities	# of Persons Served	Target Population	Start Date	Expected Complete Date	PHDEP Funding	Other Funding (Amount /Source) *	Performance Indicators
1. Youth Programs Drug Education School Motivation Tutoring	700	Youth	01/01/01	12/31/02	325,000	882,000	Performance indicators for all activities in drug prevention: resident surveys, participant-
2. Super Summer Program	40	All Residents	07/01/02	09/01/02	45,000	30,000	surveys, crime statistics, police reports, case-
3. Tenant Assistance Program Self-sufficiency Support	2700	Youth	01/01/01	12/31/02	100,000	37,000	studies, attendance and participation records, report cards, and school

Neighborhood Watch Groups Community Outreach							retention information.
4. Teen Working On Alternatives Program	60	Youth	01/01/01	12/31/02	100,000	100,000	

* Sources of Other Funding include: CGP Funds, SHA Operating Funds, CIAP Funds, EDSS Funds, and FY1999 PHDEP Funds.

9170 - Drug Intervention					Total PHDEP Funding: \$		
Goal(s)	Households Involved in Drug Activity- To reduce drug dealing in SHA neighborhoods by 15 percent and to remove from public housing three quarters of the tenants with drug-related lease violations.						
Objectives	<ol style="list-style-type: none"> Between 2000 and 2002, there will be a 6 percent reduction in the number of residents who indicate knowledge of drug dealing in their neighborhoods, or 3 percent reduction per year. Between 2000 and 2002, 75 percent of tenants with drug-related lease violations will move out of public housing. 						
Proposed Activities	# of Persons Served	Target Population	Start Date	Expected Complete Date	PHDEP Funding	Other Funding (Amount /Source)	Performance Indicators
1. Tenant Assistance Program Counseling and Support Services Housing and Job Skills Training	2700	All Residents	01/01/01	12/31/02	20,000	85,000	Performance indicators for all activities in drug intervention: resident surveys, participant surveys, crime statistics, police reports, case-
2. Teens Working on Alternatives	60	Youth	01/01/01	12/31/02	10,000	70,000	studies, attendance and participation records.
3.							
4.							

9180 - Drug Treatment					Total PHDEP Funding: \$		
Goal(s)							
Objectives							
Proposed Activities	# of Persons Served	Target Population	Start Date	Expected Complete Date	PHDEP Funding	Other Funding (Amount /Source)	Performance Indicators
1.							
2.							

9190 - Other Program Costs					Total PHDEP Funds: \$		
Goal(s)							
Objectives							

Proposed Activities	# of Persons Served	Target Population	Start Date	Expected Complete Date	PHEDEP Funding	Other Funding (Amount /Source)	Performance Indicators
1.							
2.							
3.							

Section 3: Expenditure/Obligation Milestones

Indicate by Budget Line Item and the Proposed Activity (based on the information contained in Section 2 PHDEP Plan Budget and Goals), the % of funds that will be expended (at least 25% of the total grant award) and obligated (at least 50% of the total grant award) within 12 months of grant execution.

Budget Line Item #	25% Expenditure of Total Grant Funds By Activity #	Total PHDEP Funding Expended (sum of the activities)	50% Obligation of Total Grant Funds by Activity #	Total PHDEP Funding Obligated (sum of the activities)
<i>e.g Budget Line Item # 9120</i>	<i>Activities 1, 3</i>		<i>Activity 2</i>	
9110				
9120				
9130				
9140				
9150				
9160	Activity 1,3,4	142,500	Activity 1,2,3,4	142,500
9170	Activity 1,2	7,500	Activity 1,2,	7,500
9180				
9190				
TOTAL		\$ 150,000		\$ 150,000

Section 4: Certifications

A comprehensive certification of compliance with respect to the PHDEP Plan submission is included in the “PHA Certifications of Compliance with the PHA Plan and Related Regulations.”

Comments Received From Resident Advisory Board Concerning the Five-Year and Annual Plans

In a series of meetings with the Resident Advisory Board, which was comprised of the President's Committee of the City-Wide Council of Low Income Residents, the duly elected governing body of the SHA tenant organizations, the following comments were offered:

January 5, 2000 Meeting

- 1) Concern over rent determination policies. How will the choice of flat rent versus income-based rent be decided upon? How will the information be presented to the residents?
- 2) Concern about screening of applicants and the ways in which criminal or drug-related activity could be prevented from entering into housing developments.
- 3) Comment and discussion about the level of minimum rent, and the change to that in the Tenant Handbook.
- 4) Comment and discussion about SHA policy regarding entry into apartments. This was mostly focused on changes and clarifications set forth in Tenant Handbook revision.
- 5) Question about the nature of the City Consolidated Plan – what was it.

January 12, 2000 Meeting

- 1) Question about the beginning and particulars about the Section 8 Homeownership Program.
- 2) Comment about such a Homeownership program being started in Public Housing.
- 3) Questions and comments about the Community Service requirement.
- 4) Questions over any changes to the structure or handling of the Public Housing or Section 8 Waiting Lists.
- 5) Questions and discussion about the nature of the Deconcentration Policy. More of an explanation about what the policy meant for public housing, and what it meant to the workings of SHA.

Subsequent comments submitted in writing and by phone by RAB members.

- 1) Questions have arisen about the imminent Pet Policy change. These have been entirely in opposition to allowing pets in public housing, and as no Final Rule has been published nor section 14 of the plan activated, the discussion has been limited to general regards over how to handle the possibility that the Final Rule may be different from their wishes.

Five Year Action Plan
Part I: Summary
 Comprehensive Grant Program (CGP)

U.S. Department of Housing
 and Urban Development
 Office of Public and Indian Housing

OMB Approval No

SYRACUSE HOUSING AUTHORITY		SYRACUSE / ONONDAGA / NEW YORK			REVIS
A. Development Number/Name	Work Stmt. for Year 1 FFY: 1999	Work Statement for Year 2 FFY: 2000	Work Statement for Year 3 FFY: 2001	Work Statement for Year 4 FFY: 2002	
NY1-01 Pioneer Homes	See Annual Statement	629,895	0	0	
NY1-05 Toomey Abbott					
NY1-09 Ross Towers		721,621	733,817	0	
NY1-10 Vinette Tower		328,981	232,680	1,230,497	
NY1-12 Benderson Heights		0	477,750	0	
NY1-18 McKinney Manor		0	236,250	0	
B. Physical Improvements Subtotal		1,680,497	1,680,497	1,230,497	
C. Management Improvements		862,000	862,000	862,000	
D. SHA-Wide Nondwelling Structures		44,500	44,500	44,500	
E. Administration		431,000	431,000	431,000	
F. Other		431,000	431,000	881,000	
G. Operations		862,000	862,000	862,000	
H. Demolition					
I. Replacement Reserve					
J. Mod Used for Development					
K. Total CGP Funds		4,310,997	4,310,997	4,310,997	
L. Total Non-CGP Funds					
M. Grand Total		4,310,997	4,310,997	4,310,997	

Signature of Executive Director & Date:

Signature of Public Housing Director/Office of Native American Program /

DITION: ORIGINAL

Work Statement for
Year 5
FFY: 2003

1,207,997

472,500

0

0

0

0

1,680,497

862,000

44,500

431,000

431,000

862,000

4,310,997

4,310,997

Administrator & Date:

form HUD-52834 (10/96)

ref Handbook 7485.3

Five Year Action Plan
Part II: Supporting Pages
Physical Needs Work Statement(s)
Comprehensive Grant Program (CGP)

**U.S. Department of Housing
and Urban Development**
Office of Public and Indian Housing

OMB Approval No. 1545-0047

Work Statement for Year 1 FFY: 1999	Work Statement for Year 2 FFY: 2000			Work Statement for Year 3 FFY: 2001	
	Development Number/Name/General Description of Major Work Categories	Quantity	Estimated Cost	Development Number/Name/General Description of Major Work Categories	Quantity
See Annual Statement	NY1-01 Pioneer Homes Row House Dwelling Units -- Buildings #58 & #65: significant rehab	20 units	629,895	NY1-09 Ross Towers Mechanical & Electrical -- replace domestic water supply lines	160 apts
	NY1-09 Ross Towers Mechanical & Electrical -- abate VAT	160 apts	154,400	Dwelling Units -- accessibility upgrades	160 apts
	-- replace sink/lav/tub drains & vents	160 apts	100,800	NY1-10 Vinette Tower Site Wide Facilities -- upgrade elevators	16 apts
	Dwelling Units -- abate VAT	160 apts	285,821	NY1-12 Benderson Heights Building Exterior --replace roofing	2 cars
	-- replace flooring	160 apts	180,600	NY1-18 McKinney Manor Building Exterior -- replace roofing	9 bldgs
	NY1-10 Vinette Tower Mechanical & Electrical -- convert electric heat to hydronic	153 apts	75,000	Non-Dwelling Equipment -- misc. security and grounds equipment	6 bldgs
	Building Exterior -- replace windows & window walls	153 apts	253,981		
	Non-Dwelling Equipment -- misc. security and grounds equipment		44,500		

	Subtotal of Estimated Cost	1,724,997	Subtotal of Estimated Cost

**Five Year Action Plan
Part II: Supporting Pages**

**U.S. Department of Housing
and Urban Development**

OMB Approval No. :

**Physical Needs Work Statement(s)
Comprehensive Grant Program (CGP)**

Office of Public and Indian Housing

Work Statement for Year 1 FFY: 1999	Work Statement for Year 4 FFY: 2002			Work Statement for Year 5 FFY: 2003	
	Development Number/Name/General Description of Major Work Categories	Quantity	Estimated Cost	Development Number/Name/General Description of Major Work Categories	Quantity
See Annual Statement	NY1-10 Vinette Tower Site			NY1-01 Pioneer Homes Mid-rise Buildings Dwelling Units	
	-- install boiler for snow melt (sidewalks)	1	47,250	--Abatement - asbestos floor tile	216 apts
	Mechanical & Electrical			--Flooring - replace with VCT	216 apts
	-- improve kitchen & bathroom ventilation	153 apts	48,195		
	-- rebuild roof fans	8 units	8,400	NY1-05 Toomey Abbott Tower Building Exterior	
	-- electrical upgrades		26,250	-- Repair & correct concrete spalling	
	-- install kitchen drain cleanouts	22 stacks	9,962		
	-- replace apt electric panels	153 apts	24,097	Non-Dwelling Equipment	
	Building Exterior			-- misc. security and grounds equipment	
	-- repair and enclose 2nd floor exit door		15,435		
	Dwelling Units				
	-- abate VAT	153 apts	724,500		
-- replace flooring	153 apts	326,408			
Non-Dwelling Equipment					
-- misc. security and grounds equipment		44,500			

2577-0157 (exp. 7/31/98)

Estimated Cost
148,000
562,179
23,638
232,680
477,750
236,250
44,500

1,724,997

form HUD-52834 (10/96)

ref Handbook 7485.3

2577-0157 (exp. 7/31/98)

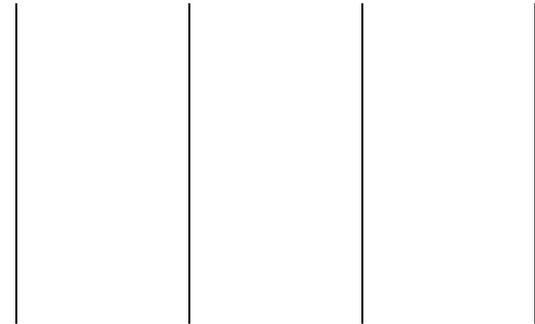
Estimated Cost

828,816

379,181

472,500

44,500



1,724,997

form HUD-52834 (10/96)

ref Handbook 7485.3

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Five Year Action Plan

Part III: Supporting Pages

Management Needs Work Statement(s)

Comprehensive Grant Program (CGP)

U.S. Department of Housing
and Urban Development

Office of Public and Indian Housing

OMB Approval No. .

Work Statement for Year 1 FFY: 1999	Work Statement for Year 2 FFY: 2000			Work Statement for Year 3 FFY: 2001	
	General Description of Major Work Categories	Quantity	Estimated Cost	General Description of Major Work Categories	Quantity
See Annual Statement	Vacancy Reduction	4 Site Mgrs	156,500	Vacancy Reduction	4 Site Mgrs
	-- reduce transfer requests and moveouts			-- reduce transfer requests and moveouts	
	-- reduce complaints through better service			-- reduce complaints through better service	
	-- improve lease compliance			-- improve lease compliance	
	--reduce property damage			--reduce property damage	
	--develop several stage tenant orientation			--develop several stage tenant orientation	
	-- continue promoting Operation Fair Share			-- continue promoting Operation Fair Share	
	Marketing Initiative	Consultants	60,000	Marketing Initiative	Consultants
	-- develop and implement marketing strategies			-- develop and implement marketing strategies	
	-- develop apartment profiles			-- develop apartment profiles	
	-- improve appearance of all grounds			-- improve appearance of all grounds	
	Operational Effectiveness and Efficiency			Operational Effectiveness and Efficiency	
	-- improve cost estimates of contract work			-- improve cost estimates of contract work	
-- monitor contract administration			-- monitor contract administration		
-- coordinate modernization and maintenance			-- coordinate modernization and maintenance		
-- maintain department- and project- budgeting			-- maintain department- and project- budgeting		
-- implement record management			-- implement record management		
-- complete computer work plan			-- complete computer work plan		
-- develop and maintain inventory controls			-- develop and maintain inventory controls		
-- implement preventative maint. program			-- implement preventative maint. program		
-- maintain cycle painting schedule			-- maintain cycle painting schedule		
-- maintain snow removal plan			-- maintain snow removal plan		
-- train maintenance staff			-- train maintenance staff		
Implement Tenant-Staff Screening Process	4 Res Select	150,000	Implement Tenant-Staff Screening Process	4 Res Select	
Reduce Apartment Turnaround Time	5 Laborers	166,000	Reduce Apartment Turnaround Time	5 Laborers	
Continue Citywide Management Partnership	Contract	40,000	Continue Citywide Management Partnership	Contract	
Conduct Resident-Staff Training	Consultant	20,000	Conduct Resident-Staff Training	Consultant	

Control Drug Activity and Vandalism	4 Foot Patrol	194,500	Control Drug Activity and Vandalism	4 Foot Patrol
Continue Resident Management Aide Program	8 Work/Rent	55,000	Continue Resident Management Aide Program	8 Work/Rent
Establish Job Development Program for Youth	Consultant	20,000	Establish Job Development Program for Youth	Consultant
Perform Systems Inspections on a Timely Basis			Perform Systems Inspections on a Timely Basis	
Complete Fire Evacuation Plans for High Rises			Complete Fire Evacuation Plans for High Rises	
Establish Multi-Cultural Diversity Ed. Program			Establish Multi-Cultural Diversity Ed. Program	
Subtotal of Estimated Cost		862,000	Subtotal of Estimated Cost	

1

12

17 Five Year Action Plan

14 Part III: Supporting Pages

14 Management Needs Work Statement(s)

14 Comprehensive Grant Program (CGP)

**U.S. Department of Housing
and Urban Development**

OMB Approval No. :

Office of Public and Indian Housing

10	Work Statement for Year 1 FFY: 1999	Work Statement for Year 4 FFY: 2002			Work Statement for Year 5 FFY: 2003	
		General Description of Major Work Categories	Quantity	Estimated Cost	General Description of Major Work Categories	Quantity
12	See Annual Statement	Vacancy Reduction	4 Site Mgrs	156,500	Vacancy Reduction	4 Site Mgrs
		-- reduce transfer requests and moveouts -- reduce complaints through better service -- improve lease compliance --reduce property damage --develop several stage tenant orientation -- continue promoting Operation Fair Share			-- reduce transfer requests and moveouts -- reduce complaints through better service -- improve lease compliance --reduce property damage --develop several stage tenant orientation -- continue promoting Operation Fair Share	
		Marketing Initiative	Consultants	60,000	Marketing Initiative	Consultants
		-- develop and implement marketing strategies -- develop apartment profiles -- improve appearance of all grounds			-- develop and implement marketing strategies -- develop apartment profiles -- improve appearance of all grounds	
		Operational Effectiveness and Efficiency			Operational Effectiveness and Efficiency	
		-- improve cost estimates of contract work -- monitor contract administration -- coordinate modernization and maintenance -- maintain department- and project- budgeting -- implement record management -- complete computer work plan -- develop and maintain inventory controls			-- improve cost estimates of contract work -- monitor contract administration -- coordinate modernization and maintenance -- maintain department- and project- budgeting -- implement record management -- complete computer work plan -- develop and maintain inventory controls	

	-- implement preventative maint. program			-- implement preventative maint. program	
	-- maintain cycle painting schedule			-- maintain cycle painting schedule	
	-- maintain snow removal plan			-- maintain snow removal plan	
	-- train maintenance staff			-- train maintenance staff	
	Implement Tenant-Staff Screening Process	4 Res Select	150,000	Implement Tenant-Staff Screening Process	4 Res Select
	Reduce Apartment Turnaround Time	5 Laborers	166,000	Reduce Apartment Turnaround Time	5 Laborers
	Continue Citywide Management Partnership	Contract	40,000	Continue Citywide Management Partnership	Contract
	Conduct Resident-Staff Training	Consultant	20,000	Conduct Resident-Staff Training	Consultant
	Control Drug Activity and Vandalism	4 Foot Patrol	194,500	Control Drug Activity and Vandalism	4 Foot Patrol
	Continue Resident Management Aide Program	8 Work/Rent	55,000	Continue Resident Management Aide Program	8 Work/Rent
	Establish Job Development Program for Youth	Consultant	20,000	Establish Job Development Program for Youth	Consultant
	Perform Systems Inspections on a Timely Basis			Perform Systems Inspections on a Timely Basis	
	Complete Fire Evacuation Plans for High Rises			Complete Fire Evacuation Plans for High Rises	
	Establish Multi-Cultural Diversity Ed. Program			Establish Multi-Cultural Diversity Ed. Program	
	Subtotal of Estimated Cost		862,000	Subtotal of Estimated Cost	

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Estimated Cost

156,500

60,000

150,000

166,000

40,000

20,000

194,500

55,000

20,000

862,000

form HUD-52834 (10/96)

ref Handbook 7485.3

2577-0157 (exp. 7/31/98)

Estimated Cost

156,500

60,000

150,000

166,000

40,000

20,000

194,500

55,000

20,000

862,000

form HUD-52834 (10/96)

ref Handbook 7485.3

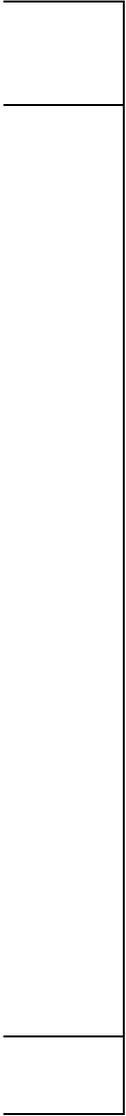
Comprehensive Grant Program (CGP) **Part III: Implementation Schedule**

FFY 99 REVISION: ORIGINAL

Development Number / Name HA Wide Activities	All Funds Obligated (Quarter Ending Date)			All Funds Expended (Quarter Ending Date)			Reasons for Revised Target Dates (2)
	Original	Revised (1)	Actual (2)	Original	Revised (1)	Actual (2)	
NY1-01 Pioneer Homes Row House	9/30/00			9/30/01			
NY1-05 Toomey Abbott	9/30/00			9/30/01			
NY1-09 Ross Towers	9/30/01			9/30/02			
NY1-10 Vnette Twers	9/30/01			9/30/02			
NY1-11 Fahey Court	9/30/00			9/30/01			
NY1-12 Benderson Hgts	9/30/00			9/30/01			
NY1-16 Scattered Sites	9/30/00			9/30/01			
NY1-18 McKinney Manor	9/30/00			9/30/01			
Signature of Executive Director and Date				Signature of Public Housing Director/Office of Native American Programs Administrator and Date			

(1) To be completed for the Performance and Evaluation Report or a Revised Annual Statement
 (2) To be completed for the Performance and Evaluation Report





Development Number / Name HA - Wide Activities	Grant Description of Major Work Categories	Development Account Number	Quantity	Total Estimated Cost		Total Actual Cost	
				Original	Revised (1)	Funds Obligated (2)	Funds Expended (2)
NY06P01001 Pioneer Homes Row House	1. --Windows - recaulk to brick Development Account Total Project Total	1460 1460 NY1-01	2,000	54,469.00 54,469.00 54,469.00			
NY06P01001 Toomey Abbott	1. --Increase site lighting - parking area Development Account Total Project Total	1450 1450 NY1-05	20 fixtures	53,550.00 53,550.00 53,550.00			
NY06P01009 Ross Towers	1. --Site lighting 2. --Convert electric heat to gas hot water 3. --Replace roof fans 4. --Improve ventilation - bathroom and kitchen 5. --Provide vented smoking area in community room 6. --Identify,repair or replace plumbing shutoffs 7. --Abate asbestos floor tile 8. --Replace interior passage doors 9. --Enclose closets 10. --Flooring - replace with VCT 11. --Replace apartmententrance doors/jambs 12. --Resurface penthouses 13. --Community Room & kitchen improvements 14. --Common area carpet replacement 15. --Upgrade elevators Development Account Total	1450 1460 1460 1460 1460 1460 1460 1460 1460 1460 1460 1470 1470 1475 1450	6 fixtures cost share 16 153 units 40 sqft 10,000 sqfr 300 80,000 sqft 153 4 cars	15,750.00 84,149.00 10,920.00 25,200.00 5,250.00 5,250.00 55,000.00 68,250.00 157,500.00 180,600.00 63,000.00 6,300.00 26,250.00 39,375.00 336,000.00 15,750.00			

Development Account Total	1460		661,419.00		
Development Account Total	1470		65,625.00		
Development Account Total	1475		336,000.00		
Project Total	NY1-09		1,078,794.00		

Signature of Executive Director and Date	Signature of Public Housing Director/Office of Native American Programs Administrator
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(1) To be completed for the Performance and Evaluation Report or a Revised Annual Statement
(2) To be completed for the Performance and Evaluation Report

Annual Statement / Performance and Evaluation Report

**U.S. Department of Housing
and Urban Development**

OMB Approval N

Comprehensive Grant Program (CGP) **Part II: Supporting Pages**

Office of Public and Indian Housing

FFY 1999

Development Number / Name HA - Wide Activities	Grant Description of Major Work Categories	Development Account Number	Quantity	Total Estimated Cost		Total Actual Cost	
				Original	Revised (1)	Funds Obligated (2)	Funds Expended (2)
NY06P001010 Vinette Tower	1. --HVAC - convert fuel type	1460	cost share	250,000.00			
	2. --Exteriors - replace windows and balcony doors	1460		533,519.00			
	Development Account Total	1460		783,519.00	0.00	0.00	0.00
	Project Total	NY1-10		783,519.00	0.00	0.00	0.00
NY06P001011 Fahey Court	1. --Replace ranges & refrigerators	1465.1	30 + 30	29,137.00			
	Development Account Total	1465.1		29,137.00	0.00	0.00	0.00
	Project Total	NY1-11		29,137.00	0.00	0.00	0.00
NY06P001012 Benderson Heights	1. --Replace ranges & refrigerators	1465.1	53 +53	54,390.00			
	Development Account Total	1465.1		54,390.00	0.00	0.00	0.00

		Project Total	NY1-12		54,390.00	0.00	0.00	0.00
NY06P001016	1. --Replace ranges & refrigerators		1465.1	90 +90	87,413.00			
Scattered Sites	Development Account Total		1465.1		87,413.00	0.00	0.00	0.00
	Project Total		NY1-16		87,413.00	0.00	0.00	0.00
NY06P001018	1. --Re;pair siding & trim failures		1460	12 bldgs	251,600.00			
McKinney Manor	Development Account Total		1460		251,600.00	0.00	0.00	0.00
	Project Total		NY1-18		251,600.00	0.00	0.00	0.00
PHA WIDE	1. --Security Equipment				10,000.00			
Nondwelling	2. --Youth Center Equipment				2,500.00			
Equipment	Development Account Total		1475		12,500.00	0.00	0.00	0.00
Signature of Executive Director and Date					Signature of Public Housing Director/Office of Native American Programs Administrator a			

(1) To be completed for the Performance and Evaluation Report or a Revised Annual Statement

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Annual Statement / Performance and Evaluation Report

U.S. Department of Housing
and Urban Development

OMB Approval N

Comprehensive Grant Program (CGP) **Part II:Supporting Pages**

Office of Public and Indian Housing

FFY 1999

Development Number / Name HA - Wide Activities	Grant Description of Major Work Categories	Development Account Number	Quantity	Total Estimated Cost		Total Actual Cost	
				Original	Revised (1)	Funds Obligated (2)	Funds Expended (2)
PHA-WIDE Management	1. --Vacancy reduction program		4 Site Mgrs	156,500.00			
	2. --Marketing Initiative		Consultant	60,000.00			

Improvements	3.	--Tenant/Staff screening process		4 Res Selectors	150,000.00				
	4.	--Reduce apartment turnaround time		5 Laborers	166,000.00				
	5.	--Citywide Contract		Contract	40,000.00				
	6.	--Resident - Staff interaction training		Consultant	20,000.00				
	7.	--Security to control drug activity and vandalism		4 Foot Patrols	194,500.00				
	8.	--Resident management aide program		8 Work/Rent	55,000.00				
	9.	--Job development program for youth		Consultant	20,000.00				
	Development Account Total		1408			862,000.00	0.00	0.00	0.00
	<hr/>								
PHA-WIDE Administration	1.	--Technical Salaries & Benefits			431,000.00				
Development Account Total		1410			431,000.00	0.00	0.00	0.00	
<hr/>									
PHA-WIDE Fees and Costs	1.	--Architect and Engineering Fees			400,000.00				
	2.	--Other Fees and Costs			31,000.00				
Development Account Total		1430			431,000.00	0.00	0.00	0.00	
<hr/>									
Temporary Transfer Costs	1.	--Temporary Transfer Costs			181,605.00				
Development Account Total		1495.1			181,605.00	0.00	0.00	0.00	
<hr/>									
GRANT TOTALS FFY 1999 (708)		Operations	1406		0.00	0.00	0.00	0.00	
		Management Improvements	1408		862,000.00	0.00	0.00	0.00	
		Administration	1410		431,000.00	0.00	0.00	0.00	
		Fees & Costs	1430		431,000.00	0.00	0.00	0.00	
		Site Improvements	1450		69,300.00	0.00	0.00	0.00	
		Dwelling Structures	1460		1,751,007.00	0.00	0.00	0.00	
		Dwelling Equipment	1465.1		170,940.00	0.00	0.00	0.00	
		Nondwelling Structures	1470		65,625.00	0.00	0.00	0.00	
		Nondwelling Equipment	1475		348,500.00	0.00	0.00	0.00	
		Replacement Reserve	1490		0.00	0.00	0.00	0.00	
		Relocation Costs	1495.1		181,605.00	0.00	0.00	0.00	
Grant Total FFY 99 (708)					4,310,977.00	0.00	0.00	0.00	

Signature of Executive Director and Date

Signature of Public Housing Director/Office of Native American Programs Administrator a

(1) To be completed for the Performance and Evaluation Report or a Revised Annual Statement

(2) To be completed for the Performance and Evaluation Report

Page 4 of 5

LPB	0.00	0.00	0.00	0.00
504	0.00	0.00	0.00	0.00
Security	10,000.00	0.00	0.00	0.00
Energy	970,358.00	0.00	0.00	0.00

ORIGINAL

Status of Proposed Work (2)

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nd Date

form HUD 52837 (10/96)
ref Handbook 7485.3

No. 2577-0157 (Exp. 7/31/98)

ORIGINAL

Status of Proposed Work (2)

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ad Date

form HUD 52837 (10/96)
ref Handbook 7485.3

Annual Statement / Performance and Evaluation Report

U.S. Department of Housing
and Urban Development
Office of Public and Indian Housing

OMB Approval No. 2577-0157 (Exp. 7/

Comprehensive Grant Program (CGP) **Part I: Summary**

03-May-00

HA Name SYRACUSE HOUSING AUTHORITY			Comprehensive Grant Number NY06 P001 708 99		FFY of Grant Approval 1999
__XX_ Original Annual Statement ___ Reserve for Disasters/Emergencies ___ Revised Annual Statement/Revision Number ___ Performance and Evaluation Report for Program Year End ___ Final Performance and Evaluation Report					
Line No.	Summary by Development Account	Original	Total mated Cost Revised (1)	Total Obligated	Actual Cost (2) Expended
1	Total Non-CGP Funds				
2	1406 Operations	0	0	0	0
3	1408 Management Improvements	862,000	0	0	0
4	1410 Administration	431,000	0	0	0
5	1411 Audit				
6	1415 Liquidated Damages				
7	1430 Fees and Costs	431,000	0	0	0
8	1440 Site Acquisition				
9	1450 Site Improvement	69,300	0	0	0
10	1460 Dwelling Structures	1,751,007	0	0	0
11	1465.1 Dwelling Equipment--Nonexpendable	170,940	0	0	0
12	1470 Nondwelling Structures	65,625	0	0	0
13	1475 Nondwelling Equipment	348,500	0	0	0
14	1485 Demolition				
15	1490 Replacement Reserve				
16	1495.1 Relocation Costs	181,605	0	0	0
17	1498 Mod Used for Development				
18	1502 Contingency (may not exceed 8% of line 16)				
19	Amount of Annual Grant (Sum of lines 2 - 18)	4,310,977	0	0	0
20	Amount of line 19 Related to LBP Activities	0	0	0	0
21	Amoun of line 19 Related to Section 504 Compliance	0	0	0	0
22	Amount of line 19 Related to Security	10,000	0	0	0
23	Amount of line 196 Related to Energy Conservation Measures	970,358	0	0	0
Signature of Executive Director and Date			Signature of Public Housing Director/Office of Native American Programs Administr		

(10/96)

: 7485.3