

**4/11/2000**

# Pittsfield Housing Authority

## PHA Plans

5-Year Plan for Fiscal Years 2000 - 2004  
Annual Plan for Fiscal Year 2000

**File “MA029v01”**

**NOTE: THIS PHA PLANS TEMPLATE (HUD 50075) IS TO BE COMPLETED IN ACCORDANCE WITH  
INSTRUCTIONS LOCATED IN APPLICABLE PIH NOTICES**

## PHA Plan Agency Identification

**PHA Name:** Pittsfield Housing Authority

**PHA Number:** MA029

**PHA Fiscal Year Beginning: (mm/yyyy)** 07/2000

### Public Access to Information

**Information regarding any activities outlined in this plan can be obtained by contacting:  
(select all that apply)**

- Main administrative office of the PHA
- PHA development management offices
- PHA local offices

### Display Locations For PHA Plans and Supporting Documents

The PHA Plans (including attachments) are available for public inspection at: (select all that apply)

- Main administrative office of the PHA
- PHA development management offices
- PHA local offices
- Main administrative office of the local government
- Main administrative office of the County government
- Main administrative office of the State government
- [Public library](#)
- PHA website
- Other (list below)

PHA Plan Supporting Documents are available for inspection at: (select all that apply)

- Main business office of the PHA
- PHA development management offices
- Other (list below)



**5-YEAR PLAN**  
**PHA FISCAL YEARS 2000 - 2004**

[24 CFR Part 903.5]

**A. Mission**

State the PHA's mission for serving the needs of low-income, very low income, and extremely low-income families in the PHA's jurisdiction. (select one of the choices below)

- The mission of the PHA is the same as that of the Department of Housing and Urban Development: To promote adequate and affordable housing, economic opportunity and a suitable living environment free from discrimination.
- The PHA's mission is: (state mission here)

*The Pittsfield Housing Authority (PHA) is committed to providing a full-range of safe, secure, suitable, and appropriate affordable housing opportunities to extremely low, very-low, and low-income family, elderly, and disabled households in a fair manner. The PHA is committed to assisting all residents who are moving from welfare-to-work with affordable housing opportunities that do not act as disincentives to economic advancement. The PHA is committed to improving its federal conventional public housing developments and attempting to provide and support wider access to affordable housing opportunities throughout the entire community. The PHA is committed to fair and non-discriminatory practices throughout all of its housing programs and activities.*

## **B. Goals**

The goals and objectives listed below are derived from HUD's strategic Goals and Objectives and those emphasized in recent legislation. PHAs may select any of these goals and objectives as their own, or identify other goals and/or objectives. Whether selecting the HUD-suggested objectives or their own, **PHAS ARE STRONGLY ENCOURAGED TO IDENTIFY QUANTIFIABLE MEASURES OF SUCCESS IN REACHING THEIR OBJECTIVES OVER THE COURSE OF THE 5 YEARS.** (Quantifiable measures would include targets such as: numbers of families served or PHAS scores achieved.) PHAs should identify these measures in the spaces to the right of or below the stated objectives.

### **HUD Strategic Goal: Increase the availability of decent, safe, and affordable housing.**

PHA Goal: Expand the supply of assisted housing

Objectives:

- Apply for additional rental vouchers:
- Reduce public housing vacancies:
- Leverage private or other public funds to create additional housing opportunities:
- Acquire or build units or developments
- Other (list below)

PHA Goal: Improve the quality of assisted housing

Objectives:

- Improve public housing management: (PHAS score) \*

*\* The PHA is a High Performer. Its current PHMAP score is 96.25.*

- Improve voucher management: (SEMAP score)
- Increase customer satisfaction:
- Concentrate on efforts to improve specific management functions: (list; e.g., public housing finance; voucher unit inspections)
- Renovate or modernize public housing units: *through the Capital Fund Program.*
- Demolish or dispose of obsolete public housing:
- Provide replacement public housing:
- Provide replacement vouchers:
- Other: (list below)

*The PHA plans to explore opportunities to make its federal conventional housing units more marketable to a broader income range (0-80% of AMI) of households through physical improvements funded under the HUD Capital Fund Program.*

PHA Goal: Increase assisted housing choices

Objectives:

- Provide voucher mobility counseling: *through briefings*
- Conduct outreach efforts to potential voucher landlords
- Increase voucher payment standards: *to 110% \**
- Implement voucher homeownership program:
- Implement public housing or other homeownership programs:
- Implement public housing site-based waiting lists:
- Convert public housing to vouchers:
- Other: (list below)

*\* The PHA is considering a voucher payment standard of 110% of FMR to increase housing choices throughout the community.*

#### **HUD Strategic Goal: Improve community quality of life and economic vitality**

PHA Goal: Provide an improved living environment

Objectives:

- Implement measures to deconcentrate poverty by bringing higher income public housing households into lower income developments: *through income targeting of newly selected tenants\**
- Implement measures to promote income mixing in public housing by assuring access for lower income families into higher income developments:
- Implement public housing security improvements:
- Designate developments or buildings for particular resident groups (elderly, persons with disabilities)
- Other: (list below)

*\* The PHA is considering implementation of a policy of selecting qualified public housing applicants equally proportioned between applicants that are below 30% of AMI (50% of new applicants  $\leq$  30% AMI) and applicants that are above 30% of AMI (50% of new applicants  $>$  30% AMI and  $\leq$  80% AMI) in order to balance incomes within PHA's public housing developments. See Attachment 1 (MA029a01) on PHA's Income Targeting and related Housing Needs Analysis.*

**HUD Strategic Goal: Promote self-sufficiency and asset development of families and individuals**

- PHA Goal: Promote self-sufficiency and asset development of assisted households  
Objectives:
- Increase the number and percentage of employed persons in assisted families:\*
  - Provide or attract supportive services to improve assistance recipients' employability: \*\*
  - Provide or attract supportive services to increase independence for the elderly or families with disabilities. \*\*
  - Other: (list below)

*\* The PHA is considering the establishment of application and rent procedures that will promote a higher proportion of employed persons within the PHA's public housing developments.*

*\*\* The PHA regularly provides information and referral services to residents in need of various support programs and services.*

**HUD Strategic Goal: Ensure Equal Opportunity in Housing for all Americans**

- PHA Goal: Ensure equal opportunity and affirmatively further fair housing  
Objectives:
- Undertake affirmative measures to ensure access to assisted housing regardless of race, color, religion national origin, sex, familial status, and disability:
  - Undertake affirmative measures to provide a suitable living environment for families living in assisted housing, regardless of race, color, religion national origin, sex, familial status, and disability:
  - Undertake affirmative measures to ensure accessible housing to persons with all varieties of disabilities regardless of unit size required:
  - Other: (list below)

**Other PHA Goals and Objectives: (list below)**

- PHA Goal: To resolve the transfer of ownership and/or sale of the remaining parcels of the MA29-2 development of which 98% of the original units have been sold as homes.  
Objectives:
- To resolve all remaining legal issues with HUD to allow the PHA to transfer and/or sell the remaining parcels:

**Annual PHA Plan**  
**PHA Fiscal Year 2000**

[24 CFR Part 903.7]

**i. Annual Plan Type:**

Select which type of Annual Plan the PHA will submit.

**Standard Plan**

**Streamlined Plan:**

- High Performing PHA**  
 **Small Agency (<250 Public Housing Units)**  
 **Administering Section 8 Only**

**Troubled Agency Plan**

**ii. Executive Summary of the Annual PHA Plan**

[24 CFR Part 903.7 9 (r)]

Provide a brief overview of the information in the Annual Plan, including highlights of major initiatives and discretionary policies the PHA has included in the Annual Plan.

*The Pittsfield Housing Authority is committed to providing housing to those in financial need while, at the same time, functioning as an effective and positive member of the larger community. The Pittsfield Housing Authority seeks to accomplish these goals by:*

- 1. Ensuring high quality management of the Housing Authority;*
- 2. Maintaining and improving the PHA's housing resources;*
- 3. Coordinating its public safety efforts with the larger community;*
- 4. Developing strategies to deconcentrate poverty within the City of Pittsfield;*
- 5. Providing greater opportunity for the working poor, elderly and disabled to access affordable housing;*
- 6. Evaluating and promoting the financial independence of residents through rent policies, welfare-to-work initiatives, and, in the future, home ownership opportunities.*

*Throughout the next year, the Pittsfield Housing Authority, through its annual planning process, will reassess all of its policies and procedures in order to support and implement these initiatives.*

*Over the five year period, the Pittsfield Housing Authority will seek to implement policies and procedures in support of each of the specified objectives.*

## Annual Plan Table of Contents

[24 CFR Part 903.79 (r)]

Provide a table of contents for the Annual Plan, including attachments, and a list of supporting documents available for public inspection.

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### Attachments

Indicate which attachments are provided by selecting all that apply. Provide the attachment's name (A, B, etc.) in the space to the left of the name of the attachment. Note: If the attachment is provided as a **SEPARATE** file submission from the PHA Plans file, provide the file name in parentheses in the space to the right of the title.

#### Required Attachments:

- Admissions Policy for Deconcentration \*
- FY 2000 Capital Fund Program Annual Statement  
*The FY 2000 Capital Fund Annual Statement and Five-Year Action Plan are provided as Attachment 4 "MA029a04" to this Plan. Additionally, the FY 1999 CIAP Budget is provided in Exhibit 2.*
- Most recent board-approved operating budget (Required Attachment for PHAs that are troubled or at risk of being designated troubled ONLY)\*\*

- \* *The PHA's Income Targeting and related Housing Needs Analysis is provided as Attachment 1 (MA029a01).*
- \*\* *The PHA is not troubled nor at risk of being designated troubled.*

Optional Attachments:

- PHA Management Organizational Chart *attached as Exhibit 1 (Hard Copy)*
- FY 2000 Capital Fund Program 5-Year Action Plan\*
  - \* *The FY 2000 Capital Fund Annual Statement and Five-Year Action Plan are provided as Attachment 4 “MA029a04” to this Plan. Additionally, the FY 1999 CIAP Budget is provided in Exhibit 2.*
- Public Housing Drug Elimination Program (PHDEP) Plan
- Comments of Resident Advisory Board or Boards (must be attached if not included in PHA Plan text)\*\*
  - \*\* *Comments will be included with Final Plan submission on April 17, 2000 as Attachment 2 (MA029a02).*
- Other (List below, providing each attachment name)

**Supporting Documents Available for Review**

Indicate which documents are available for public review by placing a mark in the “Applicable & On Display” column in the appropriate rows. All listed documents must be on display if applicable to the program activities conducted by the PHA.

<b>List of Supporting Documents Available for Review</b>		
<b>Applicable &amp; On Display</b>	<b>Supporting Document</b>	<b>Applicable Plan Component</b>
YES	PHA Plan Certifications of Compliance with the PHA Plans and Related Regulations	5 Year and Annual Plans
YES	State/Local Government Certification of Consistency with the Consolidated Plan	5 Year and Annual Plans
YES	Fair Housing Documentation: Records reflecting that the PHA has examined its programs or proposed programs, identified any impediments to fair housing choice in those programs, addressed or is addressing those impediments in a reasonable fashion in view of the resources available, and worked or is working with local jurisdictions to implement any of the jurisdictions’ initiatives to affirmatively further fair housing that require the PHA’s involvement.	5 Year and Annual Plans
YES	Consolidated Plan for the jurisdiction/s in which the PHA is located (which includes the Analysis of Impediments to Fair Housing Choice (AI)) and any additional backup data to support statement of housing needs in the jurisdiction	Annual Plan: Housing Needs
YES	Most recent board-approved operating budget for the public housing program	Annual Plan: Financial Resources;
YES	Public Housing Admissions and (Continued) Occupancy Policy (A&O), which includes the Tenant Selection and Assignment Plan [TSAP]	Annual Plan: Eligibility, Selection, and Admissions Policies
YES	Section 8 Administrative Plan	Annual Plan: Eligibility, Selection, and Admissions

<b>List of Supporting Documents Available for Review</b>		
<b>Applicable &amp; On Display</b>	<b>Supporting Document</b>	<b>Applicable Plan Component</b>
		Policies

YES	Public Housing Deconcentration and Income Mixing Documentation: 1. PHA board certifications of compliance with deconcentration requirements (section 16(a) of the US Housing Act of 1937, as implemented in the 2/18/99 <i>Quality Housing and Work Responsibility Act Initial Guidance; Notice</i> and any further HUD guidance) and 2. Documentation of the required deconcentration and income mixing analysis	Annual Plan: Eligibility, Selection, and Admissions Policies
YES	Public housing rent determination policies, including the methodology for setting public housing flat rents <input type="checkbox"/> check here if included in the public housing A & O Policy	Annual Plan: Rent Determination
YES	Schedule of flat rents offered at each public housing development <input type="checkbox"/> check here if included in the public housing A & O Policy	Annual Plan: Rent Determination
YES	Section 8 rent determination (payment standard) policies <input type="checkbox"/> check here if included in Section 8 Administrative Plan	Annual Plan: Rent Determination
YES	Public housing management and maintenance policy documents, including policies for the prevention or eradication of pest infestation (including cockroach infestation)	Annual Plan: Operations and Maintenance
YES	Public housing grievance procedures <input type="checkbox"/> check here if included in the public housing A & O Policy	Annual Plan: Grievance Procedures
YES	Section 8 informal review and hearing procedures <input checked="" type="checkbox"/> check here if included in Section 8 Administrative Plan	Annual Plan: Grievance Procedures
N/A	The HUD-approved Capital Fund/Comprehensive Grant Program Annual Statement (HUD 52837) for the active grant year	Annual Plan: Capital Needs
YES	Most recent CIAP Budget/Progress Report (HUD 52825) for any active CIAP grant	Annual Plan: Capital Needs
N/A	Most recent, approved 5 Year Action Plan for the Capital Fund/Comprehensive Grant Program, if not included as an attachment (provided at PHA option)	Annual Plan: Capital Needs
N/A	Approved HOPE VI applications or, if more recent, approved or submitted HOPE VI Revitalization Plans or any other approved proposal for development of public housing	Annual Plan: Capital Needs
N/A	Approved or submitted applications for demolition and/or disposition of public housing	Annual Plan: Demolition and Disposition
N/A	Approved or submitted applications for designation of public housing (Designated Housing Plans)	Annual Plan: Designation of Public Housing
N/A	Approved or submitted assessments of reasonable revitalization of public housing and approved or submitted conversion plans prepared pursuant to section 202 of the 1996 HUD Appropriations Act	Annual Plan: Conversion of Public Housing

YES	Approved or submitted public housing homeownership programs/plans	Annual Plan: Homeownership
N/A	Policies governing any Section 8 Homeownership program <input type="checkbox"/> check here if included in the Section 8 Administrative Plan	Annual Plan: Homeownership
N/A	Any cooperative agreement between the PHA and the TANF agency	Annual Plan: Community Service & Self-Sufficiency
N/A	FSS Action Plan/s for public housing and/or Section 8	Annual Plan: Community Service & Self-Sufficiency
N/A	Most recent self-sufficiency (ED/SS, TOP or ROSS or other resident services grant) grant program reports	Annual Plan: Community Service & Self-Sufficiency
N/A	The most recent Public Housing Drug Elimination Program (PHEDEP) semi-annual performance report for any open grant and most recently submitted PHDEP application (PHDEP Plan)	Annual Plan: Safety and Crime Prevention
YES	The most recent fiscal year audit of the PHA conducted under section 5(h)(2) of the U.S. Housing Act of 1937 (42 U.S.C. 1437c(h)), the results of that audit and the PHA's response to any findings	Annual Plan: Annual Audit
N/A	Troubled PHAs: MOA/Recovery Plan	Troubled PHAs
N/A	Other supporting documents (optional) (list individually; use as many lines as necessary)	(specify as needed)

# 1. Statement of Housing Needs

[24 CFR Part 903.7 9 (a)]

## A. Housing Needs of Families in the Jurisdiction/s Served by the PHA

Based upon the information contained in the Consolidated Plan/s applicable to the jurisdiction, and/or other data available to the PHA, provide a statement of the housing needs in the jurisdiction by completing the following table. In the "Overall" Needs column, provide the estimated number of renter families that have housing needs. For the remaining characteristics, rate the impact of that factor on the housing needs for each family type, from 1 to 5, with 1 being "no impact" and 5 being "severe impact." Use N/A to indicate that no information is available upon which the PHA can make this assessment.

Housing Needs of Families in the Jurisdiction by Family Type							
Family Type *	Overall	Affordability	Suppl y	Quality	Accessibilit y	Size	Location
Income <= 30% of AMI	2,906*	5	5	5	4	4	4
Income >30% but <=50% of AMI	2,306*	4	4	4	3	3	3
Income >50% but <80% of AMI	3,877*	3	3	3	2	2	2
Elderly	1,905**	3	3	3	2	2	2
Families with Disabilities	371**	***	***	***	***	***	***
Race/Ethnicity		***	***	***	***	***	***
White	7,547**	***	***	***	***	***	***
Black	165**	***	***	***	***	***	***
American Indian, et al	15**	***	***	***	***	***	***
Asian, et al	49**	***	***	***	***	***	***
Hispanic	109**	***	***	***	***	***	***

- \* *Number of Households per 0-80% AMI Category is from City of Pittsfield's 1996-2000 Consolidated Plan.*
- \*\* *Elderly Households, Families with Disabilities, and Race Ethnicity Overall Numbers are estimates from 1990 Census and Census Derivative Reports.*
- \*\*\* *Relative Impact on Housing Needs according to Disability and Race/Ethnicity are more related to Income than Other Factors. For example, a Family with Disabilities or Black Household with Income <= 30% of AMI would have the same Housing Needs Impact scores (4s and 5s) as All Households with Incomes <= 30% of AMI.*

What sources of information did the PHA use to conduct this analysis? (Check all that apply; all materials must be made available for public inspection.)

- Consolidated Plan of the Jurisdiction/s: *City of Pittsfield's Consolidated Plan*  
Indicate year: *FY 1996-2000*
- U.S. Census data: the Comprehensive Housing Affordability Strategy ("CHAS") dataset *1990 Census and Various Reports derived from 1990 Census Data.*
- American Housing Survey data                      Indicate year:
- Other housing market study                      Indicate year:

Other sources: (list and indicate year of information)

## Housing Needs of Families on the Public Housing and Section 8 Tenant- Based Assistance Waiting Lists

State the housing needs of the families on the PHA's waiting list/s. **Complete one table for each type of PHA-wide waiting list administered by the PHA.** PHAs may provide separate tables for site-based or sub-jurisdictional public housing waiting lists at their option.

<b>Housing Needs of Families on the Waiting List</b> (as of December 1999)			
Waiting list type: (select one)			
<input type="checkbox"/> Section 8 tenant-based assistance			
<input checked="" type="checkbox"/> Public Housing ( <i>Estimates based on PHA Overall Summary Waiting List Reports</i> )			
<input type="checkbox"/> Combined Section 8 and Public Housing			
<input type="checkbox"/> Public Housing Site-Based or sub-jurisdictional waiting list (optional)			
If used, identify which development/subjurisdiction:			
	# of families	% of total families	Annual Turnover
Waiting list total	253		15%
Extremely low income <=30% AMI	197	78%	
Very low income (>30% but <=50% AMI)	56	22%	
Low income (>50% but <80% AMI)	0	0%	
Families with children	213	84%	
Elderly families	27	11%	
Families with Disabilities	*	*	
Race/ethnicity			
White	165	65%	
Black	52	21%	
Am. Ind./Asian/Pacific Is./Other	8	3%	
Hispanic	28	11%	
Characteristics by Bedroom Size (Public Housing Only)			
1BR	28	11%	
2 BR	76	30%	
3 BR	136	54%	
4 BR	13	5%	
5 BR	0	0%	
6+ BR	0	0%	
Is the waiting list closed (select one)? <input checked="" type="checkbox"/> No <input type="checkbox"/> Yes			
If yes:			
How long has it been closed (# of months)?			
Does the PHA expect to reopen the list in the PHA Plan year? <input type="checkbox"/> No <input type="checkbox"/> Yes			
Does the PHA permit specific categories of families onto the waiting list, even if generally closed?			
<input type="checkbox"/> No <input type="checkbox"/> Yes			

\* *Cannot determine from Existing and Readily Available Data.*

**Housing Needs of Families on the Waiting List**  
(as of December 1999)

Waiting list type: (select one)

Section 8 tenant-based assistance (*Estimates based on PHA Overall Summary Waiting List Reports*)

Public Housing

Combined Section 8 and Public Housing

Public Housing Site-Based or sub-jurisdictional waiting list (optional)

If used, identify which development/subjurisdiction:

	# of families	% of total families	Annual Turnover
Waiting list total	434		
Extremely low income <=30% AMI	282	65%	
Very low income (>30% but <=50% AMI)	152	35%	
Low income (>50% but <80% AMI)	0	0%	
Families with children	330	76%	
Elderly families	91	21%	
Families with Disabilities	*	*	
Race/ethnicity			
White	329	76%	
Black	54	12%	
Asian/Pacific Is./Other	1	0.2%	
American Indian	1	0.2%	
Hispanic	49	11%	

Is the waiting list closed (select one)?  No  Yes

If yes:

How long has it been closed (# of months)?

Does the PHA expect to reopen the list in the PHA Plan year?  No  Yes

Does the PHA permit specific categories of families onto the waiting list, even if generally closed?  
 No  Yes

\* **Cannot determine from Existing and Readily Available Data.**

### C. Strategy for Addressing Needs

Provide a brief description of the PHA's strategy for addressing the housing needs of families in the jurisdiction and on the waiting list **IN THE UPCOMING YEAR**, and the Agency's reasons for choosing this strategy.

#### (1) Strategies

**Need: Shortage of affordable housing for all eligible populations**

#### **Strategy 1. Maximize the number of affordable units available to the PHA within its current resources by:**

Select all that apply

- Employ effective maintenance and management policies to minimize the number of public housing units off-line
- Reduce turnover time for vacated public housing units
- Reduce time to renovate public housing units
- Seek replacement of public housing units lost to the inventory through mixed finance development
- Seek replacement of public housing units lost to the inventory through section 8 replacement housing resources
- Maintain or increase section 8 lease-up rates by establishing payment standards that will enable families to rent throughout the jurisdiction
- Undertake measures to ensure access to affordable housing among families assisted by the PHA, regardless of unit size required
- Maintain or increase section 8 lease-up rates by marketing the program to owners, particularly those outside of areas of minority and poverty concentration
- Maintain or increase section 8 lease-up rates by effectively screening Section 8 applicants to increase owner acceptance of program
- Participate in the Consolidated Plan development process to ensure coordination with broader community strategies
- Other (list below)

#### **Strategy 2: Increase the number of affordable housing units by:**

Select all that apply

- Apply for additional section 8 units should they become available
- Leverage affordable housing resources in the community through the creation of mixed - finance housing
- Pursue housing resources other than public housing or Section 8 tenant-based assistance.
- Other: (list below)

**Need: Specific Family Types: Families at or below 30% of median**

**Strategy 1: Target available assistance to families at or below 30 % of AMI**

Select all that apply

- Exceed HUD federal targeting requirements for families at or below 30% of AMI in public housing: *(50% at or below 30% of AMI is the PHA's proposed target.)*
- Exceed HUD federal targeting requirements for families at or below 30% of AMI in tenant-based section 8 assistance
- Employ admissions preferences aimed at families with economic hardships
- Adopt rent policies to support and encourage work
- Other: (list below)

**Need: Specific Family Types: Families at or below 50% of median**

**Strategy 1: Target available assistance to families at or below 50% of AMI**

Select all that apply

- Employ admissions preferences aimed at families who are working
- Adopt rent policies to support and encourage work
- Other: (list below)

**Need: Specific Family Types: The Elderly**

**Strategy 1: Target available assistance to the elderly:**

Select all that apply

- Seek designation of public housing for the elderly
- Apply for special-purpose vouchers targeted to the elderly, should they become available
- Other: (list below)

**Need: Specific Family Types: Families with Disabilities**

**Strategy 1: Target available assistance to Families with Disabilities:**

Select all that apply

- Seek designation of public housing for families with disabilities
- Carry out the modifications needed in public housing based on the section 504 Needs Assessment for Public Housing
- Apply for special-purpose vouchers targeted to families with disabilities, should they become available
- Affirmatively market to local non-profit agencies that assist families with disabilities
- Other: (list below)

**Need: Specific Family Types: Races or ethnicities with disproportionate housing needs**

**Strategy 1: Increase awareness of PHA resources among families of races and ethnicities with disproportionate needs:**

Select if applicable

- Affirmatively market to races/ethnicities shown to have disproportionate housing needs
- Other: (list below)

**Strategy 2: Conduct activities to affirmatively further fair housing**

Select all that apply

- Counsel section 8 tenants as to location of units outside of areas of poverty or minority concentration and assist them to locate those units
- Market the section 8 program to owners outside of areas of poverty /minority concentrations
- Other: (list below)

**Other Housing Needs & Strategies: (list needs and strategies below)**

**(2) Reasons for Selecting Strategies**

Of the factors listed below, select all that influenced the PHA's selection of the strategies it will pursue:

- Funding constraints
- Staffing constraints
- Limited availability of sites for assisted housing
- Extent to which particular housing needs are met by other organizations in the community
- Evidence of housing needs as demonstrated in the Consolidated Plan and other information available to the PHA
- Influence of the housing market on PHA programs

- Community priorities regarding housing assistance
- Results of consultation with local or state government
- Results of consultation with residents and the Resident Advisory Board
- Results of consultation with advocacy groups
- Other: (list below)

## **2. Statement of Financial Resources**

[24 CFR Part 903.7 9 (b)]

List the financial resources that are anticipated to be available to the PHA for the support of Federal public housing and tenant-based Section 8 assistance programs administered by the PHA during the Plan year. Note: the table assumes that Federal public housing or tenant based Section 8 assistance grant funds are expended on eligible purposes; therefore, uses of these funds need not be stated. For other funds, indicate the use for those funds as one of the following categories: public housing operations, public housing capital improvements, public housing safety/security, public housing supportive services, Section 8 tenant-based assistance, Section 8 supportive services or other.

<b>Financial Resources: Planned Sources and Uses</b>		
<b>Sources</b>	<b>Planned \$</b>	<b>Planned Uses</b>
<b>1. Federal Grants (FY 2000 grants)</b>		
a) Public Housing Operating Fund ( <i>FY 1999</i> )	224,150	
b) Public Housing Capital Fund	294,605	
c) ( <i>FY 1999 CIAP</i> )		
d) HOPE VI Revitalization	N/A	
e) HOPE VI Demolition	N/A	
f) Annual Contributions for Section 8 Tenant-Based Assistance ( <i>Based on Existing Section 8 and Section 8 Voucher Program</i> )	2,115,666	
g) Public Housing Drug Elimination Program (including any Technical Assistance funds)	N/A	
h) Resident Opportunity and Self-Sufficiency Grants	N/A	
i) Community Development Block Grant	N/A	N/A
j) HOME	N/A	N/A
Other Federal Grants (list below)	N/A	
<b>2. Prior Year Federal Grants (unobligated funds only) (list below*)</b>	209,522	To complete FY 1998 CIAP modernization.
<b>3. Public Housing Dwelling Rental Income</b>	372,046	Public Housing Operations.
<b>4. Other income (list below)</b>		
<b>5. Non-federal sources (list below)</b>		
<b>Total resources</b>	3,215,989	See Above.

\* **Unobligated FY 1998 CIAP.**

### **3. PHA Policies Governing Eligibility, Selection, and Admissions**

[24 CFR Part 903.7 9 (c)]

#### **A. Public Housing**

Exemptions: PHAs that do not administer public housing are not required to complete subcomponent 3A.

***Note: The PHA Public Housing Policies and Procedures will be revised in accordance with any changes required by this Plan.***

##### **(1) Eligibility**

a. When does the PHA verify eligibility for admission to public housing? (select all that apply)

- When families are within a certain number of being offered a unit: (state number)
- When families are within a certain time of being offered a unit: (state time) ***Within 15-30 days.***
- Other: (describe)

b. Which non-income (screening) factors does the PHA use to establish eligibility for admission to public housing (select all that apply)?

- Criminal or Drug-related activity (***CORI***)
- Rental history
- Housekeeping
- Other (describe)

c.  Yes  No: Does the PHA request criminal records from local law enforcement agencies for screening purposes?

d.  Yes  No: Does the PHA request criminal records from State law enforcement agencies for screening purposes? (***CORI***)

e.  Yes  No: Does the PHA access FBI criminal records from the FBI for screening purposes? (either directly or through an NCIC-authorized source)

##### **(2)Waiting List Organization**

a. Which methods does the PHA plan to use to organize its public housing waiting list (select all that apply)

- Community-wide list
- Sub-jurisdictional lists
- Site-based waiting lists
- Other (describe) ***There is one (1) Federal Elderly Waiting List for 1 Development; the Federal Family Waiting List is by bedroom size for 2 Developments.***

b. Where may interested persons apply for admission to public housing?

- PHA main administrative office
- PHA development site management office
- Other (list below)

c. If the PHA plans to operate one or more site-based waiting lists in the coming year, answer each of the following questions; if not, skip to subsection **(3) Assignment**

***Skip to Subsection (3) Assignment***

1. How many site-based waiting lists will the PHA operate in the coming year?

*None*

2.  Yes  No: Are any or all of the PHA's site-based waiting lists new for the upcoming year (that is, they are not part of a previously-HUD-approved site based waiting list plan)?  
If yes, how many lists?

3.  Yes  No: May families be on more than one list simultaneously?  
If yes, how many lists?

4. Where can interested persons obtain more information about and sign up to be on the site-based waiting lists (select all that apply)?

- PHA main administrative office
- All PHA development management offices
- Management offices at developments with site-based waiting lists
- At the development to which they would like to apply
- Other (list below)

**(3) Assignment**

a. How many vacant unit choices are applicants ordinarily given before they fall to the bottom of or are removed from the waiting list? (select one)

- One
- Two
- Three or More

b.  Yes  No: Is this policy consistent across all waiting list types?

c. If answer to b is no, list variations for any other than the primary public housing waiting list/s for the PHA:

**(4) Admissions Preferences**

a. Income targeting:

Yes  No: Does the PHA plan to exceed the federal targeting requirements by targeting more than 40% of all new admissions to public housing to families at or below 30% of median area income?

*The PHA is considering a plan for 50% of all new admissions to public housing to families at or below 30% of median area income (AMI).*

b. Transfer policies:

In what circumstances will transfers take precedence over new admissions? (list below)

- Emergencies
- Overhoused
- Underhoused
- Medical justification
- Administrative reasons determined by the PHA (e.g., to permit modernization work)
- Resident choice: (state circumstances below)
- Other: (list below)

c. Preferences

1.  Yes  No: Has the PHA established preferences for admission to public housing (other than date and time of application)? (If "no" is selected, skip to subsection **(5) Occupancy**)

2. Which of the following admission preferences does the PHA plan to employ in the coming year? (select all that apply from either former Federal preferences or other preferences)

Former Federal preferences:

- Involuntary Displacement (Disaster, Government Action, Action of Housing Owner, Inaccessibility, Property Disposition)
- Victims of domestic violence
- Substandard housing
- Homelessness
- High rent burden (rent is > 50 percent of income)

Other preferences: (select below)

- Working families and those unable to work because of age or disability
- Veterans and veterans' families
- Residents who live and/or work in the jurisdiction
- Those enrolled currently in educational, training, or upward mobility programs
- Households that contribute to meeting income goals (broad range of incomes)
- Households that contribute to meeting income requirements (targeting)
- Those previously enrolled in educational, training, or upward mobility programs
- Victims of reprisals or hate crimes
- Other preference(s) (list below)

3. If the PHA will employ admissions preferences, please prioritize by placing a "1" in the space that represents your first priority, a "2" in the box representing your second priority, and so on. If you give equal weight to one or more of these choices (either through an absolute hierarchy or through a point system), place the same number next to each. That means you can use "1" more than once, "2" more than once, etc.

*Date and Time is overriding preference and is prioritized as a "1"; all other preferences will be weighted equally and are prioritized as "2s".*

## 1 Date and Time

Former Federal preferences:

- 2 Involuntary Displacement (Disaster, Government Action, Action of Housing Owner, Inaccessibility, Property Disposition)**
- 2 Victims of domestic violence**
- 2 Substandard housing**
- 2 Homelessness**
- 2 High rent burden**

Other preferences (select all that apply)

- Working families and those unable to work because of age or disability
- 2 Veterans and veterans' families**
- 2 Residents who live and/or work in the jurisdiction**

- Those enrolled currently in educational, training, or upward mobility programs
- Households that contribute to meeting income goals (broad range of incomes)
- Households that contribute to meeting income requirements (targeting)
- Those previously enrolled in educational, training, or upward mobility programs
- Victims of reprisals or hate crimes
- Other preference(s) (list below)

4. Relationship of preferences to income targeting requirements:

- The PHA applies preferences within income tiers
  - Not applicable: the pool of applicant families ensures that the PHA will meet income-targeting requirements

**(5) Occupancy**

a. What reference materials can applicants and residents use to obtain information about the rules of occupancy of public housing (select all that apply)

- The PHA-resident lease
- The PHA's Admissions and (Continued) Occupancy policy
- PHA briefing seminars or written materials\*
- Other source (list)

\* *PHA to design marketing and informational brochures as Management Improvement during Year 1.*

b. How often must residents notify the PHA of changes in family composition? (select all that apply)

- At an annual reexamination and lease renewal
- Any time family composition changes
- At family request for revision
- Other (list)

**(6) Deconcentration and Income Mixing**

a.  Yes  No: Did the PHA's analysis of its family (general occupancy) developments to determine concentrations of poverty indicate the need for measures to promote deconcentration of poverty or income mixing?

b.  Yes  No: Did the PHA adopt any changes to its **admissions policies**\* based on the results of the required analysis of the need to promote deconcentration of poverty or to assure income mixing?

\* ***PHA will adopt policy and procedural changes, including income targeting as part of final plan submission.***

c. If the answer to b was yes, what changes were adopted? (select all that apply)

Adoption of site-based waiting lists

If selected, list targeted developments below:

Employing waiting list "skipping" to achieve deconcentration of poverty or income mixing goals at targeted developments

If selected, list targeted developments below:

***MA29-3: Jubilee Apartments***

***MA29-5: Dower Square Apartments***

Employing new admission preferences at targeted developments

If selected, list targeted developments below:

***MA29-3: Jubilee Apartments***

***MA29-5: Dower Square Apartments***

Other (list policies and developments targeted below)

d.  Yes  No: Did the PHA adopt any changes to **other** policies based on the results of the required analysis of the need for deconcentration of poverty and income mixing?

\* ***PHA will adopt policy and procedural changes, including income targeting as part of final plan submission.***

e. If the answer to d was yes, how would you describe these changes? (select all that apply)

Additional affirmative marketing

Actions to improve the marketability of certain developments

- Adoption or adjustment of ceiling rents for certain developments
- Adoption of rent incentives to encourage deconcentration of poverty and income mixing
- Other (list below)

f. Based on the results of the required analysis, in which developments will the PHA make special efforts to attract or retain higher-income families? (select all that apply)

- Not applicable: results of analysis did not indicate a need for such efforts
- List (any applicable) developments below:

***MA29-3: Jubilee Apartments***

***MA29-5: Dower Square Apartments***

g. Based on the results of the required analysis, in which developments will the PHA make special efforts to assure access for lower-income families? (select all that apply)

- Not applicable: results of analysis did not indicate a need for such efforts
- List (any applicable) developments below:

## **B. Section 8**

Exemptions: PHAs that do not administer section 8 are not required to complete sub-component 3B. **Unless otherwise specified, all questions in this section apply only to the tenant-based section 8 assistance program (vouchers, and until completely merged into the voucher program, certificates).**

***Certain components of the PHA's Section 8 Administrative Plan, and related policies and procedures, will need to be revised as a result of this Plan.***

### **(1) Eligibility**

- a. What is the extent of screening conducted by the PHA? (select all that apply)
  - Criminal or drug-related activity only to the extent required by law or regulation (***CORI***)
  - Criminal and drug-related activity, more extensively than required by law or regulation
  - More general screening than criminal and drug-related activity (list factors below)
  - Other (list below)

***Whether the applicant is a current or was a past participant in a public or leased housing program.***

- b.  Yes  No: Does the PHA request criminal records from local law enforcement agencies for screening purposes?

c.  Yes  No: Does the PHA request criminal records from State law enforcement agencies for screening purposes? **CORI**

d.  Yes  No: Does the PHA access FBI criminal records from the FBI for screening purposes? (either directly or through an NCIC-authorized source)

e. Indicate what kinds of information you share with prospective landlords? (select all that apply)

- Criminal or drug-related activity  
 Other (describe below)

***That the applicant has been screened and found eligible under existing Section 8 requirements.***

### **(2) Waiting List Organization**

a. With which of the following program waiting lists is the section 8 tenant-based assistance waiting list merged? (select all that apply)

- None  
 Federal public housing  
 Federal moderate rehabilitation  
 Federal project-based certificate program  
 Other federal or local program (list below)

b. Where may interested persons apply for admission to section 8 tenant-based assistance? (select all that apply)

- PHA main administrative office  
 Other (list below)

### **(3) Search Time**

a.  Yes  No: Does the PHA give extensions on standard 60-day period to search for a unit?

If yes, state circumstances below:

***Under normal circumstances, the granting of a 60-day extension to the standard 60-day search period is relatively automatic.***

### **(4) Admissions Preferences**

a. Income targeting

Yes  No: Does the PHA plan to exceed the federal targeting requirements by targeting more than 75% of all new admissions to the section 8 program to families at or below 30% of median area income?

b. Preferences

1.  Yes  No: Has the PHA established preferences for admission to section 8 tenant-based assistance? (other than date and time of application) (if no, skip to subcomponent **(5) Special purpose section 8 assistance programs**)

2. Which of the following admission preferences does the PHA plan to employ in the coming year? (select all that apply from either former Federal preferences or other preferences)

Former Federal preferences:

- Involuntary Displacement (Disaster, Government Action, Action of Housing Owner, Inaccessibility, Property Disposition)
- Victims of domestic violence
- Substandard housing
- Homelessness
- High rent burden (rent is > 50 percent of income)

Other preferences (select all that apply)

- Working families and those unable to work because of age or disability
- Veterans and veterans' families
- Residents who live and/or work in your jurisdiction
- Those enrolled currently in educational, training, or upward mobility programs
- Households that contribute to meeting income goals (broad range of incomes)
- Households that contribute to meeting income requirements (targeting)
  - Those previously enrolled in educational, training, or upward mobility programs
- Victims of reprisals or hate crimes
- Other preference(s) (list below)

4. If the PHA will employ admissions preferences, please prioritize by placing a “1” in the space that represents your first priority, a “2” in the box representing your second priority, and so on. If you give equal weight to one or more of these choices (either through an absolute hierarchy or through a point system), place the same number next to each. That means you can use “1” more than once, “2” more than once, etc.

***Date and Time is overriding preference and is prioritized as a “1”; all other preferences will be weighted equally and are prioritized as “2s”.***

**1 Date and Time**

Former Federal preferences

- 2 Involuntary Displacement (Disaster, Government Action, Action of Housing Owner, Inaccessibility, Property Disposition)**  
**2 Victims of domestic violence**  
**2 Substandard housing**  
**2 Homelessness**  
**2 High rent burden**

Other preferences (select all that apply)

- Working families and those unable to work because of age or disability  
**2 Veterans and veterans’ families**  
**2 Residents who live and/or work in your jurisdiction**  
 Those enrolled currently in educational, training, or upward mobility programs  
 Households that contribute to meeting income goals (broad range of incomes)  
 Households that contribute to meeting income requirements (targeting)  
 Those previously enrolled in educational, training, or upward mobility programs  
**2 Victims of reprisals or hate crimes**  
 Other preference(s) (list below)

4. Among applicants on the waiting list with equal preference status, how are applicants selected? (select one)

- Date and time of application  
 Drawing (lottery) or other random choice technique

5. If the PHA plans to employ preferences for “residents who live and/or work in the jurisdiction” (select one)

- This preference has previously been reviewed and approved by HUD  
 The PHA requests approval for this preference through this PHA Plan

6. Relationship of preferences to income targeting requirements: (select one)

- The PHA applies preferences within income tiers

- Not applicable: the pool of applicant families ensures that the PHA will meet income-targeting requirements

**(5) Special Purpose Section 8 Assistance Programs**

- a. In which documents or other reference materials are the policies governing eligibility, selection, and admissions to any special-purpose section 8 program administered by the PHA contained? (select all that apply)

- The Section 8 Administrative Plan  
 Briefing sessions and written materials  
 Other (list below)

- b. How does the PHA announce the availability of any special-purpose section 8 programs to the public?

- Through published notices  
 Other (list below)

***Letters to Non-Profits and other Housing Organizations.***

## **4. PHA Rent Determination Policies**

[24 CFR Part 903.7 9 (d)]

### **A. Public Housing**

Exemptions: PHAs that do not administer public housing are not required to complete sub-component 4A.

#### **(1) Income Based Rent Policies**

Describe the PHA's income based rent setting policy/ies for public housing using, including discretionary (that is, not required by statute or regulation) income disregards and exclusions, in the appropriate spaces below.

a. Use of discretionary policies: (select one)

The PHA will not employ any discretionary rent-setting policies for income-based rent in public housing. Income-based rents are set at the higher of 30% of adjusted monthly income, 10% of unadjusted monthly income, the welfare rent, or minimum rent (less HUD mandatory deductions and exclusions). (If selected, skip to sub-component (2))

---or---

The PHA employs discretionary policies for determining income-based rent (If selected, continue to question b.)

***Flat Rents under Rent Determination Policy—See Attachment 3 (MA029a03)***

b. Minimum Rent

1. What amount best reflects the PHA's minimum rent? (select one)

- \$0  
 \$1-\$25 (**\$25.00**)  
 \$26-\$50

2.  Yes  No: Has the PHA adopted any discretionary minimum rent hardship exemption policies?

3. If yes to question 2, list these policies below:

c. Rents set at less than 30% than adjusted income

1.  Yes  No\*: Does the PHA plan to charge rents at a fixed amount or percentage less than 30% of adjusted income?

\* **Only if Household opts for Flat Rent—See Rent Determination Policy in Attachment 3 (MA029a03)**

2. If yes to above, list the amounts or percentages charged and the circumstances under which these will be used below:

d. Which of the discretionary (optional) deductions and/or exclusions policies does the PHA plan to employ (select all that apply)

- For the earned income of a previously unemployed household member\*
- For increases in earned income\*
- Fixed amount (other than general rent-setting policy)  
If yes, state amount/s and circumstances below:
- Fixed percentage (other than general rent-setting policy)  
If yes, state percentage/s and circumstances below:
- For household heads
- For other family members
- For transportation expenses
- For the non-reimbursed medical expenses of non-disabled or non-elderly families
- Other (describe below)

\* **Will be addressed as part of the Phased Income Disregard portion of the Rent Determination Policy--See Attachment 3 (MA029a03).**

e. Ceiling rents

1. Do you have ceiling rents? (rents set at a level lower than 30% of adjusted income) (select one)

- Yes for all developments
- Yes but only for some developments
- No

2. For which kinds of developments are ceiling rents in place? (select all that apply)

N/A

- For all developments
- For all general occupancy developments (not elderly or disabled or elderly only)
- For specified general occupancy developments
- For certain parts of developments; e.g., the high-rise portion
- For certain size units; e.g., larger bedroom sizes
- Other (list below)

3. Select the space or spaces that best describe how you arrive at ceiling rents (select all that apply)

N/A

- Market comparability study
- Fair market rents (FMR)
- 95<sup>th</sup> percentile rents
- 75 percent of operating costs
- 100 percent of operating costs for general occupancy (family) developments
- Operating costs plus debt service
- The "rental value" of the unit
- Other (list below)

f. Rent re-determinations:

1. Between income reexaminations, how often must tenants report changes in income or family composition to the PHA such that the changes result in an adjustment to rent? (select all that apply)

- Never
- At family option
- Any time the family experiences an income increase
- Any time a family experiences an income increase above a threshold amount or percentage: (if selected, specify threshold)\_\_\_\_\_
- Other (list below)

g.  Yes  No\*: Does the PHA plan to implement individual savings accounts for residents (ISAs) as an alternative to the required 12 month disallowance of earned income and phasing in of rent increases in the next year?

\* *The PHA may consider ISAs in subsequent plan years.*

## **(2) Flat Rents**

1. In setting the market-based flat rents, what sources of information did the PHA use to establish comparability? (select all that apply.)

- The section 8 rent reasonableness study of comparable housing
- Survey of rents listed in local newspaper
- Survey of similar unassisted units in the neighborhood
- Other (list/describe below)

*An analysis of current private and subsidized rents in the market (Section 8 FMRs, Rentals for other Assisted but non-public housing units); Interviews with City Planning Staff; Interviews with PHA Staff regarding at what rent levels existing tenants opt to move-out. The general conclusion is that Pittsfield is a community with rental choices generally below FMR. In order to retain and attract higher income residents (>30% and ~~50~~80% of AMI), while adequately ensuring the fiscal stability of the PHA and the need to fund future maintenance and modernization efforts, the PHA believes that the flat rent structure needs to address this reality. Given all these considerations, the PHA is considering the following flat rent structure:*

*1BR Flat Rent = \$400/Month\**

*2BR Flat Rent = \$500/Month\**

*3BR Flat Rent = \$600/Month\**

*4BR Flat Rent = \$650/Month\**

*5BR Flat Rent = \$700/Month\**

*\* The flat rents above are inclusive of all utilities. For those developments that do not include all utilities than the relevant utility allowance (for utilities not included in rent) in-place at the time of rent determination shall be deducted from the amount shown above.*

*Also, see Draft Rent Determination Policy in Attachment 3 (MA029a03).*

## B. Section 8 Tenant-Based Assistance

Exemptions: PHAs that do not administer Section 8 tenant-based assistance are not required to complete sub-component 4B. **Unless otherwise specified, all questions in this section apply only to the tenant-based section 8 assistance program (vouchers, and until completely merged into the voucher program, certificates).**

### (1) Payment Standards

Describe the voucher payment standards and policies.

a. What is the PHA's payment standard? (select the category that best describes your standard)

- At or above 90% but below 100% of FMR
- 100% of FMR \*
- Above 100% but at or below 110% of FMR
- Above 110% of FMR (if HUD approved; describe circumstances below)

\* *A current payment standard of 100% is in place, but a 110% standard is being considered to maximize housing choice within the community.*

b. If the payment standard is lower than FMR, why has the PHA selected this standard? (select all that apply)

- FMRs are adequate to ensure success among assisted families in the PHA's segment of the FMR area
- The PHA has chosen to serve additional families by lowering the payment standard
- Reflects market or submarket
- Other (list below)

c. If the payment standard is higher than FMR, why has the PHA chosen this level? (select all that apply) \*

- FMRs are not adequate to ensure success among assisted families in the PHA's segment of the FMR area
- Reflects market or submarket
- To increase housing options for families
- Other (list below)

\* *A current payment standard of 100% is in place, but a 110% standard is being considered to maximize housing choice within the community.*

d. How often are payment standards reevaluated for adequacy? (select one)

- Annually (*Planned*)
- Other (list below)

e. What factors will the PHA consider in its assessment of the adequacy of its payment standard? (select all that apply)

- Success rates of assisted families
- Rent burdens of assisted families
- Other (list below)

**(2) Minimum Rent**

a. What amount best reflects the PHA's minimum rent? (select one)

- \$0
- \$1-\$25 (**\$25.00**)
- \$26-\$50

b.  Yes  No: Has the PHA adopted any discretionary minimum rent hardship exemption policies? (if yes, list below)

## **5. Operations and Management**

[24 CFR Part 903.79 (e)]

Exemptions from Component 5: High performing and small PHAs are not required to complete this section. Section 8 only PHAs must complete parts A, B, and C(2)

***As both a High Performer and Small PHA, the PHA is not required to complete this section.***

### **A. PHA Management Structure**

Describe the PHA's management structure and organization.

(select one)

- An organization chart showing the PHA's management structure and organization is attached. (*See Exhibit 1—Hard Copy Only*)
- A brief description of the management structure and organization of the PHA follows:

### **B. HUD Programs Under PHA Management**

List Federal programs administered by the PHA, number of families served at the beginning of the upcoming fiscal year, and expected turnover in each. (Use "NA" to indicate that the PHA does not operate any of the programs listed below.)

<b>Program Name</b>	<b>Units or Families Served at Year Beginning</b>	<b>Expected Turnover</b>
Public Housing		
Section 8 Vouchers		
Section 8 Certificates		
Section 8 Mod Rehab		
Special Purpose Section 8 Certificates/Vouchers (list individually)		
Public Housing Drug Elimination Program (PHDEP)		
Other Federal Programs(list individually)		

### C. Management and Maintenance Policies

List the PHA's public housing management and maintenance policy documents, manuals and handbooks that contain the Agency's rules, standards, and policies that govern maintenance and management of public housing, including a description of any measures necessary for the prevention or eradication of pest infestation (which includes cockroach infestation) and the policies governing Section 8 management.

(1) Public Housing Maintenance and Management: (list below)

(2) Section 8 Management: (list below)

### 6. PHA Grievance Procedures

[24 CFR Part 903.7 9 (f)]

Exemptions from component 6: High performing PHAs are not required to complete component 6. Section 8-Only PHAs are exempt from sub-component 6A.

*As a High Performer, the PHA is not required to complete this section.*

#### A. Public Housing

1.  Yes  No: Has the PHA established any written grievance procedures in addition to federal requirements found at 24 CFR Part 966, Subpart B, for residents of public housing?

If yes, list additions to federal requirements below:

2. Which PHA office should residents or applicants to public housing contact to initiate the PHA grievance process? (select all that apply)

- PHA main administrative office
- PHA development management offices
- Other (list below)

#### B. Section 8 Tenant-Based Assistance

1.  Yes  No: Has the PHA established informal review procedures for applicants to the Section 8 tenant-based assistance program and informal hearing procedures for families assisted by the Section 8 tenant-based assistance program in addition to federal requirements found at 24 CFR 982?

If yes, list additions to federal requirements below:

2. Which PHA office should applicants or assisted families contact to initiate the informal review and informal hearing processes? (select all that apply)

- PHA main administrative office
- Other (list below)

## **7. Capital Improvement Needs**

[24 CFR Part 903.79 (g)]

Exemptions from Component 7: Section 8 only PHAs are not required to complete this component and may skip to Component 8.

### **A. Capital Fund Activities**

Exemptions from sub-component 7A: PHAs that will not participate in the Capital Fund Program may skip to component 7B. All other PHAs must complete 7A as instructed.

#### **(1) Capital Fund Program Annual Statement**

Using parts I, II, and III of the Annual Statement for the Capital Fund Program (CFP), identify capital activities the PHA is proposing for the upcoming year to ensure long-term physical and social viability of its public housing developments. This statement can be completed by using the CFP Annual Statement tables provided in the table library at the end of the PHA Plan template **OR**, at the PHA's option, by completing and attaching a properly updated HUD-52837.

Select one:

- The Capital Fund Program Annual Statement is provided as an attachment to the PHA Plan at Attachment *File named "MA029a04"*.

-or-

- The Capital Fund Program Annual Statement is provided below: (if selected, copy the CFP Annual Statement from the Table Library and insert here)

#### **(2) Optional 5-Year Action Plan**

Agencies are encouraged to include a 5-Year Action Plan covering capital work items. This statement can be completed by using the 5 Year Action Plan table provided in the table library at the end of the PHA Plan template **OR** by completing and attaching a properly updated HUD-52834.

- a.  Yes  No: Is the PHA providing an optional 5-Year Action Plan for the Capital Fund? (if no, skip to sub-component 7B)

b. If yes to question a, select one:

- The Capital Fund Program 5-Year Action Plan is provided as an attachment to the PHA Plan at Attachment *File named "MA029a04"*.

-or-

- The Capital Fund Program 5-Year Action Plan is provided below: (if selected, copy the CFP optional 5 Year Action Plan from the Table Library and insert here)



## B. HOPE VI and Public Housing Development and Replacement Activities (Non-Capital Fund)

Applicability of sub-component 7B: All PHAs administering public housing. Identify any approved HOPE VI and/or public housing development or replacement activities not described in the Capital Fund Program Annual Statement.

Yes  No: a) Has the PHA received a HOPE VI revitalization grant? (if no, skip to question c; if yes, provide responses to question b for each grant, copying and completing as many times as necessary)

b) Status of HOPE VI revitalization grant (complete one set of questions for each grant)

1. Development name:

2. Development (project) number:

3. Status of grant: (select the statement that best describes the current status)

- Revitalization Plan under development
- Revitalization Plan submitted, pending approval
- Revitalization Plan approved
- Activities pursuant to an approved Revitalization Plan underway

Yes  No: c) Does the PHA plan to apply for a HOPE VI Revitalization grant in the Plan year?

If yes, list development name/s below:

Yes  No: d) Will the PHA be engaging in any mixed-finance development activities for public housing in the Plan year?

If yes, list developments or activities below:

Yes  No: e) Will the PHA be conducting any other public housing development or replacement activities not discussed in the Capital Fund Program Annual Statement?

If yes, list developments or activities below:

## 8. Demolition and Disposition

[24 CFR Part 903.7 9 (h)]

Applicability of component 8: Section 8 only PHAs are not required to complete this section.

1.  Yes  No: Does the PHA plan to conduct any demolition or disposition activities (pursuant to section 18 of the U.S. Housing Act of 1937 (42 U.S.C. 1437p)) in the plan Fiscal Year? (If “No”, skip to component 9; if “yes”, complete one activity description for each development.)

### Skip to Component 9.

#### 2. Activity Description

- Yes  No: Has the PHA provided the activities description information in the **optional** Public Housing Asset Management Table? (If “yes”, skip to component 9. If “No”, complete the Activity Description table below.)

Demolition/Disposition Activity Description	
1a. Development name:	
1b. Development (project) number:	
2. Activity type: Demolition <input type="checkbox"/> Disposition <input type="checkbox"/>	
3. Application status (select one) Approved <input type="checkbox"/> Submitted, pending approval <input type="checkbox"/> Planned application <input type="checkbox"/>	
4. Date application approved, submitted, or planned for submission: <u>(DD/MM/YY)</u>	
5. Number of units affected:	
6. Coverage of action (select one) <input type="checkbox"/> Part of the development <input type="checkbox"/> Total development	
7. Timeline for activity: a. Actual or projected start date of activity: b. Projected end date of activity:	

**9. Designation of Public Housing for Occupancy by Elderly Families or Families with Disabilities or Elderly Families and Families with Disabilities**

[24 CFR Part 903.7 9 (i)]

Exemptions from Component 9; Section 8 only PHAs are not required to complete this section.

1.  Yes  No: Has the PHA designated or applied for approval to designate or does the PHA plan to apply to designate any public housing for occupancy only by the elderly families or only by families with disabilities, or by elderly families and families with disabilities or will apply for designation for occupancy by only elderly families or only families with disabilities, or by elderly families and families with disabilities as provided by section 7 of the U.S. Housing Act of 1937 (42 U.S.C. 1437e) in the upcoming fiscal year? (If “No”, skip to component 10. If “yes”, complete one activity description for each development, unless the PHA is eligible to complete a streamlined submission; PHAs completing streamlined submissions may skip to component 10.)

**Skip to Component 10.**

2. Activity Description

Yes  No: Has the PHA provided all required activity description information for this component in the **optional** Public Housing Asset Management Table? If “yes”, skip to component 10. If “No”, complete the Activity Description table below.

<b>Designation of Public Housing Activity Description</b>	
1a. Development name:	
1b. Development (project) number:	
2. Designation type:	
Occupancy by only the elderly	<input type="checkbox"/>
Occupancy by families with disabilities	<input type="checkbox"/>
Occupancy by only elderly families and families with disabilities	<input type="checkbox"/>
3. Application status (select one)	
Approved; included in the PHA’s Designation Plan	<input type="checkbox"/>
Submitted, pending approval	<input type="checkbox"/>
Planned application	<input type="checkbox"/>
4. Date this designation approved, submitted, or planned for submission:	<u>(DD/MM/YY)</u>
5. If approved, will this designation constitute a (select one)	
<input type="checkbox"/> New Designation Plan	
<input type="checkbox"/> Revision of a previously approved Designation Plan?	
6. Number of units affected:	
7. Coverage of action (select one)	
<input type="checkbox"/> Part of the development	
<input type="checkbox"/> Total development	

**10. Conversion of Public Housing to Tenant-Based Assistance**

[24 CFR Part 903.7 9 (j)]

Exemptions from Component 10; Section 8 only PHAs are not required to complete this section.

**A. Assessments of Reasonable Revitalization Pursuant to section 202 of the HUD FY 1996 HUD Appropriations Act**

1.  Yes  No: Have any of the PHA’s developments or portions of developments been identified by HUD or the PHA as covered under section 202 of the HUD FY 1996 HUD Appropriations Act? (If “No”, skip to component 11; if “yes”, complete one activity description for each identified development, unless eligible to complete a streamlined submission. PHAs completing streamlined submissions may skip to component 11.)

**Skip to Component 11.**

2. Activity Description

Yes  No: Has the PHA provided all required activity description information for this component in the **optional** Public Housing Asset Management Table? If “yes”, skip to component 11. If “No”, complete the Activity Description table below.

<b>Conversion of Public Housing Activity Description</b>	
1a. Development name:	
1b. Development (project) number:	
2. What is the status of the required assessment?	<input type="checkbox"/> Assessment underway <input type="checkbox"/> Assessment results submitted to HUD <input type="checkbox"/> Assessment results approved by HUD (if marked, proceed to next question) <input type="checkbox"/> Other (explain below)
3. <input type="checkbox"/> Yes <input type="checkbox"/> No: Is a Conversion Plan required? (If yes, go to block 4; if no, go to block 5.)	
4. Status of Conversion Plan (select the statement that best describes the current status)	<input type="checkbox"/> Conversion Plan in development <input type="checkbox"/> Conversion Plan submitted to HUD on: (DD/MM/YYYY) <input type="checkbox"/> Conversion Plan approved by HUD on: (DD/MM/YYYY) <input type="checkbox"/> Activities pursuant to HUD-approved Conversion Plan underway
5. Description of how requirements of Section 202 are being satisfied by means other than conversion (select one)	<input type="checkbox"/> Units addressed in a pending or approved demolition application (date submitted or approved: _____) <input type="checkbox"/> Units addressed in a pending or approved HOPE VI demolition application (date submitted or approved: _____) <input type="checkbox"/> Units addressed in a pending or approved HOPE VI Revitalization Plan (date submitted or approved: _____) <input type="checkbox"/> Requirements no longer applicable: vacancy rates are less than 10 percent <input type="checkbox"/> Requirements no longer applicable: site now has less than 300 units <input type="checkbox"/> Other: (describe below)

**B. Reserved for Conversions pursuant to Section 22 of the U.S. Housing Act of 1937**

**C. Reserved for Conversions pursuant to Section 33 of the U.S. Housing Act of 1937**

**11. Homeownership Programs Administered by the PHA**

[24 CFR Part 903.7 9 (k)]

**A. Public Housing**

Exemptions from Component 11A: Section 8 only PHAs are not required to complete 11A.

1.  Yes  No: Does the PHA administer any homeownership programs administered by the PHA under an approved section 5(h) homeownership program (42 U.S.C. 1437c(h)), or an approved HOPE I program (42 U.S.C. 1437aaa) or has the PHA applied or plan to apply to administer any homeownership programs under section 5(h), the HOPE I program, or section 32 of the U.S. Housing Act of 1937 (42 U.S.C. 1437z-4). (If “No”, skip to component 11B; if “yes”, complete one activity description for each applicable program/plan, unless eligible to complete a streamlined submission due to **small PHA** or **high performing PHA** status. PHAs completing streamlined submissions may skip to component 11B.)

*As both a High Performer and Small PHA, the PHA is not required to complete this section. Skip to Component 11B.*

*However during Year 1, the PHA is committed to resolving the transfer of ownership and/or sale of the remaining parcels of the MA29-2 development of which 98% of the original units have been sold as homes. The PHA has budgeted for consultant assistance under “Management Improvements” to come up with a plan for resolving all remaining issues with HUD to allow the PHA to transfer and/or sell the remaining parcels.*

2. Activity Description

- Yes  No: Has the PHA provided all required activity description information for this component in the **optional** Public Housing Asset Management Table? (If “yes”, skip to component 12. If “No”, complete the Activity Description table below.)

<b>Public Housing Homeownership Activity Description</b> (Complete one for each development affected)	
1a. Development name:	
1b. Development (project) number:	
2. Federal Program authority:	<input type="checkbox"/> HOPE I <input type="checkbox"/> 5(h) <input type="checkbox"/> Turnkey III <input type="checkbox"/> Section 32 of the USHA of 1937 (effective 10/1/99)
3. Application status: (select one)	<input type="checkbox"/> Approved; included in the PHA's Homeownership Plan/Program <input type="checkbox"/> Submitted, pending approval <input type="checkbox"/> Planned application
4. Date Homeownership Plan/Program approved, submitted, or planned for submission: (DD/MM/YYYY)	
5. Number of units affected:	
6. Coverage of action: (select one)	<input type="checkbox"/> Part of the development <input type="checkbox"/> Total development

## B. Section 8 Tenant Based Assistance

1.  Yes  No: Does the PHA plan to administer a Section 8 Homeownership program pursuant to Section 8(y) of the U.S.H.A. of 1937, as implemented by 24 CFR part 982 ? (If "No", skip to component 12; if "yes", describe each program using the table below (copy and complete questions for each program identified), unless the PHA is eligible to complete a streamlined submission due to high performer status. **High performing PHAs** may skip to component 12.)

*Skip to Component 12.*

2. Program Description:

a. Size of Program

Yes  No: Will the PHA limit the number of families participating in the section 8 homeownership option?

If the answer to the question above was yes, which statement best describes the number of participants? (select one)

- 25 or fewer participants
- 26 - 50 participants
- 51 to 100 participants
- more than 100 participants

b. PHA-established eligibility criteria

Yes  No: Will the PHA's program have eligibility criteria for participation in its Section 8 Homeownership Option program in addition to HUD criteria? If yes, list criteria below:

**12. PHA Community Service and Self-sufficiency Programs**

[24 CFR Part 903.7 9 (l)]

Exemptions from Component 12: High performing and small PHAs are not required to complete this component. Section 8-Only PHAs are not required to complete sub-component C.

*As a High Performer/Small PHA, the PHA is not required to complete this section.*

**A. PHA Coordination with the Welfare (TANF) Agency**

1. Cooperative agreements:

Yes  No: Has the PHA has entered into a cooperative agreement with the TANF Agency, to share information and/or target supportive services (as contemplated by section 12(d)(7) of the Housing Act of 1937)? If yes, what was the date that agreement was signed? DD/MM/YY

2. Other coordination efforts between the PHA and TANF agency (select all that apply)

- Client referrals
- Information sharing regarding mutual clients (for rent determinations and otherwise)
- Coordinate the provision of specific social and self-sufficiency services and programs to eligible families
- Jointly administer programs
- Partner to administer a HUD Welfare-to-Work voucher program
- Joint administration of other demonstration program
- Other (describe)

**B. Services and programs offered to residents and participants**

**(1) General**

a. Self-Sufficiency Policies

Which, if any of the following discretionary policies will the PHA employ to enhance the economic and social self-sufficiency of assisted families in the following areas?

(select all that apply)

- Public housing rent determination policies
- Public housing admissions policies
- Section 8 admissions policies
- Preference in admission to section 8 for certain public housing families
- Preferences for families working or engaging in training or education programs for non-housing programs operated or coordinated by the PHA
- Preference/eligibility for public housing homeownership option participation
- Preference/eligibility for section 8 homeownership option participation
- Other policies (list below)

b. Economic and Social self-sufficiency programs

- Yes  No: Does the PHA coordinate, promote or provide any programs to enhance the economic and social self-sufficiency of residents? (If “yes”, complete the following table; if “no” skip to sub-component 2, Family Self Sufficiency Programs. The position of the table may be altered to facilitate its use. )



### C. Welfare Benefit Reductions

1. The PHA is complying with the statutory requirements of section 12(d) of the U.S. Housing Act of 1937 (relating to the treatment of income changes resulting from welfare program requirements) by: (select all that apply)

- Adopting appropriate changes to the PHA's public housing rent determination policies and train staff to carry out those policies
- Informing residents of new policy on admission and reexamination
- Actively notifying residents of new policy at times in addition to admission and reexamination.
- Establishing or pursuing a cooperative agreement with all appropriate TANF agencies regarding the exchange of information and coordination of services
- Establishing a protocol for exchange of information with all appropriate TANF agencies
- Other: (list below)

<b>D. Reserved for Community Service Requirement pursuant to section 12(c) of the U.S. Housing Act of 1937</b>
--

### **13. PHA Safety and Crime Prevention Measures**

[24 CFR Part 903.79 (m)]

Exemptions from Component 13: High performing and small PHAs not participating in PHDEP and Section 8 Only PHAs may skip to component 15. High Performing and small PHAs that are participating in PHDEP and are submitting a PHDEP Plan with this PHA Plan may skip to sub-component D.

*As both a High Performer and Small PHA that does not participate in PHDEP, the PHA is not required to complete this section. However, the PHA is committed to improving overall security in all of its developments, particularly at the MA29-3 Jubilee Apartments and the MA29-5 Dower Square Apartments Family Developments.*

#### **A. Need for measures to ensure the safety of public housing residents**

1. Describe the need for measures to ensure the safety of public housing residents (select all that apply)

- High incidence of violent and/or drug-related crime in some or all of the PHA's developments
- High incidence of violent and/or drug-related crime in the areas surrounding or adjacent to the PHA's developments
- Residents fearful for their safety and/or the safety of their children
- Observed lower-level crime, vandalism and/or graffiti

- People on waiting list unwilling to move into one or more developments due to perceived and/or actual levels of violent and/or drug-related crime
  - Other (describe below)
2. What information or data did the PHA used to determine the need for PHA actions to improve safety of residents (select all that apply).

- Safety and security survey of residents
- Analysis of crime statistics over time for crimes committed “in and around” public housing authority
- Analysis of cost trends over time for repair of vandalism and removal of graffiti
- Resident reports
- PHA employee reports
- Police reports
- Demonstrable, quantifiable success with previous or ongoing anticrime/anti drug programs
- Other (describe below)

3. Which developments are most affected? (list below)

**B. Crime and Drug Prevention activities the PHA has undertaken or plans to undertake in the next PHA fiscal year**

1. List the crime prevention activities the PHA has undertaken or plans to undertake: (select all that apply)

- Contracting with outside and/or resident organizations for the provision of crime- and/or drug-prevention activities
- Crime Prevention Through Environmental Design
- Activities targeted to at-risk youth, adults, or seniors
- Volunteer Resident Patrol/Block Watchers Program
- Other (describe below)

2. Which developments are most affected? (list below)

**C. Coordination between PHA and the police**

1. Describe the coordination between the PHA and the appropriate police precincts for carrying out crime prevention measures and activities: (select all that apply)

- Police involvement in development, implementation, and/or ongoing evaluation of drug-elimination plan
- Police provide crime data to housing authority staff for analysis and action
- Police have established a physical presence on housing authority property (e.g., community policing office, officer in residence)
- Police regularly testify in and otherwise support eviction cases
- Police regularly meet with the PHA management and residents
- Agreement between PHA and local law enforcement agency for provision of above-baseline law enforcement services
- Other activities (list below)

2. Which developments are most affected? (list below)

**D. Additional information as required by PHDEP/PHDEP Plan**

PHAs eligible for FY 2000 PHDEP funds must provide a PHDEP Plan meeting specified requirements prior to receipt of PHDEP funds.

- Yes  No: Is the PHA eligible to participate in the PHDEP in the fiscal year covered by this PHA Plan?
- Yes  No: Has the PHA included the PHDEP Plan for FY 2000 in this PHA Plan?
- Yes  No: This PHDEP Plan is an Attachment. (Attachment Filename: \_\_\_\_)

**14. RESERVED FOR PET POLICY**

[24 CFR Part 903.7 9 (n)]

*The PHA is not required to complete this section at this time.*

**15. Civil Rights Certifications**

[24 CFR Part 903.7 9 (o)]

*Civil rights certifications are included in the PHA Plan Certifications of Compliance with the PHA Plans and Related Regulations.*

## **16. Fiscal Audit**

[24 CFR Part 903.7 9 (p)]

1.  Yes  No: Is the PHA required to have an audit conducted under section 5(h)(2) of the U.S. Housing Act of 1937 (42 U.S.C. 1437c(h))?  
(If no, skip to component 17.)
2.  Yes  No: Was the most recent fiscal audit submitted to HUD?
3.  Yes  No: Were there any findings as the result of that audit?
4.  Yes  No: If there were any findings, do any remain unresolved?  
If yes, how many unresolved findings remain? \_\_\_\_\_
5.  Yes  No: Have responses to any unresolved findings been submitted to HUD?  
If not, when are they due (state below)?

## **17. PHA Asset Management**

[24 CFR Part 903.7 9 (q)]

Exemptions from component 17: Section 8 Only PHAs are not required to complete this component.  
High performing and small PHAs are not required to complete this component.

***As both a High Performer and Small PHA, the PHA is not required to complete this section. However, the PHA is committed to improving the overall condition of all of its developments and will be looking at developing a five-year strategy under its New Capital Fund Program to accomplish these improvements.***

1.  Yes  No: Is the PHA engaging in any activities that will contribute to the long-term asset management of its public housing stock, including how the Agency will plan for long-term operating, capital investment, rehabilitation, modernization, disposition, and other needs that have **not** been addressed elsewhere in this PHA Plan?
2. What types of asset management activities will the PHA undertake? (select all that apply)
  - Not applicable
  - Private management
  - Development-based accounting
  - Comprehensive stock assessment
  - Other: (list below)
3.  Yes  No: Has the PHA included descriptions of asset management activities in the **optional** Public Housing Asset Management Table?

## **18. Other Information**

[24 CFR Part 903.7 9 (r)]

### **A. Resident Advisory Board Recommendations**

1.  Yes  No: Did the PHA receive any comments on the PHA Plan from the Resident Advisory Board/s?

*Comments are presented in Attachment 2 (MA029a02)*

2. If yes, the comments are: (if comments were received, the PHA **MUST** select one)

- Attached at Attachment (*File "MA029a02"*)  
 Provided below:

3. In what manner did the PHA address those comments? (select all that apply)

- Considered comments, but determined that no changes to the PHA Plan were necessary.\*  
 The PHA changed portions of the PHA Plan in response to comments  
List changes below:  
 Other: (list below)

\* [Minor adjustments and clarifications were made to the plan as a result of the RAB comments; however, no major changes to the plan were made.](#)

### **B. Description of Election process for Residents on the PHA Board \***

1.  Yes\*  No: Does the PHA meet the exemption criteria provided section 2(b)(2) of the U.S. Housing Act of 1937? (If no, continue to question 2; if yes, skip to sub-component C.)
2.  Yes  No: Was the resident who serves on the PHA Board elected by the residents? (If yes, continue to question 3; if no, skip to sub-component C.)

\* *The Resident Commissioner of the PHA Board is appointed by the Mayor.*

3. Description of Resident Election Process

***Four Members of the Board of Commissioners of the PHA are appointed by the Mayor and one Member of the Board of Commissioners is appointed by the State. One Member of the Board of Commissioners, appointed by the Mayor, is a Resident of the PHA.***

a. Nomination of candidates for place on the ballot: (select all that apply)

- Candidates were nominated by resident and assisted family organizations
- Candidates could be nominated by any adult recipient of PHA assistance
- Self-nomination: Candidates registered with the PHA and requested a place on ballot
- Other: (describe)

***The Resident Commissioner of the PHA is appointed by the Mayor.***

b. Eligible candidates: (select one)

- Any recipient of PHA assistance (see below)
- Any head of household receiving PHA assistance
- Any adult recipient of PHA assistance
- Any adult member of a resident or assisted family organization
- Other (list)

***The Resident Commissioner of the PHA is appointed by the Mayor.***

c. Eligible voters: (select all that apply)

- All adult recipients of PHA assistance (public housing and section 8 tenant-based assistance)
- Representatives of all PHA resident and assisted family organizations
- Other (list) \*

***\* Any recipient of PHA assistance (Public Housing or Section 8) may self-nominate him or her self to be appointed as a Resident Commissioner. The Resident Commissioner of the PHA is appointed by the Mayor.***

**C. Statement of Consistency with the Consolidated Plan**

For each applicable Consolidated Plan, make the following statement (copy questions as many times as necessary).

1. Consolidated Plan jurisdiction: (provide name here)

*The City of Pittsfield.*

2. The PHA has taken the following steps to ensure consistency of this PHA Plan with the Consolidated Plan for the jurisdiction: (select all that apply)

- The PHA has based its statement of needs of families in the jurisdiction on the needs expressed in the Consolidated Plan/s. \*
- The PHA has participated in any consultation process organized and offered by the Consolidated Plan agency in the development of the Consolidated Plan.
- The PHA has consulted with the Consolidated Plan agency during the development of this PHA Plan.
- Activities to be undertaken by the PHA in the coming year are consistent with the initiatives contained in the Consolidated Plan. (list below)
- Other: (list below)

\* *The Consolidated Plan was one of several documents utilized by the PHA in addressing needs and in developing its 5 Year and Annual Plans.*

4. The Consolidated Plan of the jurisdiction supports the PHA Plan with the following actions and commitments: (describe below)

#### **D. Other Information Required by HUD**

Use this section to provide any additional information requested by HUD.

## **Electronically Submitted Attachments**

Use this section to provide any additional attachments referenced in the Plans.

**Attachment 1:** *Draft Income Targeting Policy* (“MA029a01”)

**Attachment 2:** *Summary of RAB/Public Hearing Comments* (“MA029a02”)

**Attachment 3:** *Draft Rent Determination Policy* (“MA029a03”)

**Attachment 4:** *The FY 2000 Capital Fund Annual Statement and Five-Year Action Plan* (“MA029a04”)

**Attachment 5:** *PHA Plan Definition of Substantial Deviation and/or Significant Amendment or Modification* (“MA029a05”)

### **Exhibits (Hard Copy Only)**

**Exhibit 1:** *PHA Table of Organization*

**Exhibit 2:** *PHA FY 1999 CIAP Budget*

# **Pittsfield Housing Authority PHA Plans**

## **Attachment 1 (MA029a01)**

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The PHA's Draft Income Targeting Policy, with supporting information on the Housing Needs Assessment, is attached.

# Draft Income Targeting Policy

In accordance with the 1998 Quality Housing and Work Responsibility Act (QHWRA) the Pittsfield Housing Authority will make every effort towards the achieving a balance of incomes (0-80% of AMI) in its federal conventional family public housing and Section 8 Voucher programs.

**Effective July 1, 2000**, the Pittsfield Housing Authority shall:

- I. Employ an income-tiered waiting lists such that when a federal family public housing unit becomes available to applicants on the waiting list, units are offered to extremely low-income ( $\leq 30\%$  AMI) and very-low and low-income ( $>30\%$  but  $\leq 80\%$  AMI) applicants **on an alternating basis (i.e. 50% of new admissions to households  $\leq 30\%$  AMI and 50% of new admissions to households  $>30\%$  but  $\leq 80\%$  AMI). The housing authority may skip over some applicants in order to meet its income targeting goals.**

The housing authority will use the following equally weighted preferences within the income-tier categories for its federal family public housing developments:

- Involuntary Displacement (Disaster, Government Action, Action of Housing Owner, Inaccessibility, Property Disposition)
- Victims of domestic violence
- Substandard housing
- Homelessness
- High rent burden (rent is  $> 50$  percent of income)
- Veterans and veterans' families
- Residents who live and/or work in the jurisdiction

- II. **In the Section 8 Program, the Pittsfield Housing Authority will offer at least 75% of all new admissions to the Section 8 Program to households at or below 30% of the area median income while no greater than 25% of the new admissions will go to households  $>30\%$  but  $\leq 80\%$  AMI.**

The Housing Authority will use the following equally weighted preferences within these income categories:

- Involuntary Displacement (Disaster, Government Action, Action of Housing Owner, Inaccessibility, Property Disposition)
- Victims of domestic violence
- Substandard housing
- Homelessness
- High rent burden (rent is  $> 50$  percent of income)
- Veterans and veterans' families
- Residents who live and/or work in the jurisdiction
- Victims of reprisals or hate crimes

# Housing Needs Analysis in Support of Income-Targeting

Effective **July 1, 2000**, the PHA is adopting the following income targets for **NEW ADMISSIONS** into its federal family public housing and Section 8 Program:

## FEDERAL FAMILY PUBLIC HOUSING INCOME TARGETS

**50% New Admissions to Households ≤ 30% AMI**

**50% New Admissions to Households > 30% ≤ 80% AMI**

## SECTION 8 INCOME TARGETS

**75% New Admissions to Households ≤ 30% AMI**

**25% New Admissions to Households > 30% ≤ 80% AMI**

The analysis behind the PHA's income targets for new admissions is based on the following housing needs analysis and the federal minimum targeting standards of 40% of new admissions in federal family public housing to households ≤ 30% AMI and 75% of its new admissions in the Section 8 Program to households ≤ 30% AMI:

- ❖ There are approximately 2,906 Renter Households in Pittsfield ≤ 30% AMI indicating a need to exceed the federal minimum targeting standards of 40% of new admissions in federal family public housing.
- ❖ Approximately 78% (as many as 197 Households) of the PHA's Total Waiting List for Federal Public Housing is comprised of Households ≤ 30% AMI indicating a need to exceed the federal minimum targeting standards of 40% of new admissions in federal family public housing.
- ❖ Approximately 65% (as many as 282 Households) of the PHA's Total Waiting List for the Section 8 Program is comprised of Households ≤ 30% AMI indicating a need to NOT exceed the federal minimum targeting standards of 75% of its new admissions in the Section 8 Program to households ≤ 30% AMI.

Currently, the PHA's existing Federal Public Housing and Section 8 Program Demographics suggest that the PHA is serving the following Household Income Groups:

<b>PHA's Existing Federal Public Housing and Section 8 Program Demographics (Source = MTCS)</b>		
<b>Household Income</b>	<b>Federal Public Housing % of Households</b>	<b>Section 8 Program % of Households</b>
<b>0-30% AMI</b>	<b>48%</b>	<b>49%</b>
<b>&gt; 30% ≤ 50% AMI</b>	<b>35%</b>	<b>22%</b>
<b>&gt; 50% ≤ 80%+ AMI (Includes &gt; 80% and Unavailable)</b>	<b>17%</b>	<b>29%</b>

## SUMMARY

Based on the above data and analysis, it appears as if the PHA can meet its targeting goal of 50% of new admissions in federal family public housing to households  $\leq$  30% AMI; however, the PHA will need to redouble its efforts in order to meet the federal minimum targeting standards of 75% of its new admissions in the Section 8 Program to households  $\leq$  30% AMI.

**The PHA should monitor its new admissions on a regular basis and report to HUD any difficulties encountered in achieving its income targeting goals in its federal family public housing and Section 8 programs.**

# **Pittsfield Housing Authority PHA Plans**

## **Attachment 2 (MA029b01)**

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Attached is a complete summary of the RAB and Public Hearing Comments.

## **A. Pittsfield Housing Authority: RAB Comments from Meeting #2 (3/9/00)**

**RAB Comment:** The PHA needs more police involvement in criminal record checks; there needs to be better screening of tenants; and more police involvement is needed at Dower Square on potential “drug problems.” The Crime Watch should be re-established.

**PHA Response:** The PHA will investigate the cost, if any, of involving the local police with criminal record checks as it may indeed lead to better screening of applicants by accessing both state CORI and federal NCIC data. Additionally, both the PHA and the Pittsfield Police are well aware of potential “drug issues” at Dower Square and are taking appropriate steps. The Authority will also determine resident interest in re-establishing the Crime Watch.

**RAB Comment:** The PHA should consider homeownership programs in its Annual Plan.

**PHA Response:** The PHA will consider homeownership programs in the Year 2 Plan. In Year 1, the PHA wishes to resolve outstanding issues with the remaining parcels of its existing homeownership program at MA 29-2 and await the final rule on exactly how the Section 8 Program might be used in tandem with “Rent-to-Own” and “Homeownership” scenarios.

**RAB Comment:** RAB members would like a list of PHA Board members and Notice of PHA Board Meetings. RAB members would also like the PHA to consider having its Board Meetings at more appropriate times, either late afternoon or early evening.

**PHA Response:** A list of current Board Members has been provided to the RAB. The regular meetings of the Board of Commissioners are twice a month at midday on the second and fourth Friday of the month. The PHA Board will discuss the RAB request to have its Board Meetings at least one evening meeting each month. The Authority By-laws will need to be amended should such a change be determined appropriate.

**RAB Comment:** RAB members would like to know how residents or recipients of PHA assistance become Board members and why there can't be two, or more, “Resident Commissioners.”

**PHA Response:** Any recipient of PHA assistance (Public Housing or Section 8) is eligible to self-nominate him or her self to the Mayor or the Governor for appointment. The Mayor appoints four (4) members of the Board and the Governor appoints one (1) member of the Board. Currently, there is one Board Member, appointed by the Mayor, who is a Resident of Public Housing. The organization of the Board and the appointing procedures are required to be consistent with MGL 121B, City Ordinances and Housing Authority By-laws.

## **B. Pittsfield Housing Authority: RAB Comments from Meeting #3 (4/5/00)**

**RAB Comment:** The current RAB would like to have its status made permanent and its role be broadened to cover all PHA programs.

**PHA Response:** As long as the requirement for the Housing Agency Plan remains, there will be a RAB established for each fiscal year. In this sense, the RAB is permanent although its specific membership is not.

The membership of the RAB must consist of elected members of any development-based resident group, which is organized in accordance with HUD regulations (24 CFR 964) and recognized by the Authority, and one or more individuals who are Section 8 Program Participants. In the absence of an official resident organization another method must be used to determine RAB membership.

At the current time, there are no official resident organizations at any of the federal conventional program developments. The RAB membership for this year was established by response to a Letter of Interest sent to all federal conventional program and Section 8 households with membership to be determined by lottery for a specific number of seats assigned to each development and the Section 8 Program. Prior to the Letter of Interest being sent, the size of the RAB was established at nine (9) members. The set of nine consisted of four (4) Section 8 members and two (2) members each from each of the three (3) federal developments.

Only five (5) individuals responded and all were invited to join the RAB. The PHA intends to use the same lottery procedure next year, the year after and so on until either the federal developments establish official resident organizations or Congress changes the requirement. Current members may express interest again for next year and may be selected by the lottery process. Given the response rate this year. It is very likely that should this year's member re-apply that they will be selected again.

Residents of state-aided developments can also form their own resident organizations. State-aided developments have no involvement in the RAB requirements but may, once established, attend Board Meetings and make their issues and concerns known through meetings with Senior Staff.

**RAB Comment:** The issue of recycling at the family developments was raised as a method to address Dumpster overflow.

**PHA Response:** The Authority will research what is required to set up such a program. This will include where and how materials will be stored until collected, who will do the collecting, what costs are associated with the program and to what degree residents or others can take responsibility for the program as the maintenance staff of the Authority has other priorities.

**RAB Comment:** What can the Authority do to improve service and communication with residents? It seems that many of the actions performed by the Authority and how the Authority makes decisions is somewhat of a mystery. When answers are sought (what or why?), PHA staff do not seem able to provide clear answers or to be able to tell us where to get an answer.

**PHA Response:** During the next year, the Staff will look at how the agency can improve its communications with program participants especially on the new program requirements placed on the agency by QHWRA.

### **C. Pittsfield Housing Authority: Public Hearing Comments on 4/11/00**

**RAB Comment:** Can the Section 8 notice time for move-outs be changed from 60 to 45 or possibly even 30 days.

**PHA Response:** The length of notice a program participant is required to provide depends on their lease and/or lease addendum with the landlord. Several existing lease addendums require the tenant to give 60 days written notice to the landlord, and some state that the *...lease may not require the tenant to give more than 60 calendar days notice...*The Section 8 Housing Choice Voucher Program lease has a mutually agreed upon lease term that could be less than 60 days. In general, the PHA encourages participants to give 60 days notice of a planned move.

**General Comments:** Almost all of the comments made at the public hearing were requests for clarifications of elements in the plan. Public hearing participants were asked if any of these comments should be considered more formally and the answer was (with the exception of the one comment above) *...no, these items have been discussed before, we are just seeking some clarification...*

# **Pittsfield Housing Authority PHA Plans**

## **Attachment 4 (MA029c01)**

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The PHA's new FY 2000 Capital Fund Annual Statement (3 pages) and Five-Year (2000-2004) Action Plan (4 pages) are attached.

# Pittsfield Housing Authority

## Component 7 Capital Fund Program Annual Statement Parts I, II, and III

### Annual Statement Capital Fund Program (CFP) Part I: Summary

Capital Fund Grant Number      FFY of Grant Approval: (07/2000)

Original Annual Statement

Line No.	Summary by Development Account	Total Estimated Cost
1	Total Non-CGP Funds	
2	1406 Operations	\$30,000
3	1408 Management Improvements	\$25,000
4	1410 Administration	
5	1411 Audit	
6	1415 Liquidated Damages	
7	1430 Fees and Costs	\$10,000
8	1440 Site Acquisition	
9	1450 Site Improvement	\$200,000
10	1460 Dwelling Structures	\$25,000
11	1465.1 Dwelling Equipment-Nonexpendable	
12	1470 Nondwelling Structures	
13	1475 Nondwelling Equipment	\$25,000
14	1485 Demolition	
15	1490 Replacement Reserve	
16	1492 Moving to Work Demonstration	
17	1495.1 Relocation Costs	
18	1498 Mod Used for Development	
19	1502 Contingency	
20	<b>Amount of Annual Grant (Sum of lines 2-19)</b>	<b>\$315,000</b>
21	Amount of line 20 Related to LBP Activities	
22	Amount of line 20 Related to Section 504 Compliance	
23	Amount of line 20 Related to Security	\$200,000
24	Amount of line 20 Related to Energy Conservation Measures	\$10,000

**Annual Statement  
Capital Fund Program (CFP) Part II: Supporting Table**

Development Number/Name HA-Wide Activities	General Description of Major Work Categories	Development Account Number	Total Estimated Cost
PHA-WIDE	Operations	1406	\$30,000
PHA-WIDE	Management Improvement 1: Consultant to Develop Brochures/Marketing Materials for MA 29-3, 5, 6	1408	\$15,000
PHA-WIDE	Management Improvement 2: Consultant to Develop Strategy/Plan for Resolution of Disposition of MA 29-2 with HUD	1408	\$10,000
MA 29-6	Main Electric Circuit Breaker	1475	\$25,000
MA 29-6	Security Improvements (Site Lighting, Security Cameras)	1450	\$25,000
MA 29-3	Security Improvements (Site Lighting, Security Cameras, Perimeter fencing and Landscaping, Smoke Alarm Upgrades) Year 1 of 2 Year Program	1450	\$50,000
MA 29-5	Security Improvements (Site Lighting, Security Cameras, Perimeter fencing and Landscaping, Smoke Alarm Upgrades, Exterior Doors) Year 1 of 2 Year Program	1450	\$125,000
MA 29-5	Electric to Gas Conversion Study in Year 1	1430	\$10,000
MA 29-5	Roof Repairs/Replacement Year 1 of 5 Year Program	1460	\$25,000
<b>TOTAL ALL</b>			<b>\$315,000</b>

**Annual Statement  
Capital Fund Program (CFP) Part III: Implementation Schedule**

Development Number/Name HA-Wide Activities	All Funds Obligated (Quarter Ending Date)	All Funds Expended (Quarter Ending Date)
PHA-WIDE Operations	3/31/2002	3/31/2003
PHA-WIDE Management Improvements 1 and 2	3/31/2002	3/31/2003
MA 29-6	3/31/2002	3/31/2003
MA 29-3	3/31/2002	3/31/2003
MA 29-5	3/31/2002	3/31/2003

## Pittsfield Housing Authority

### Optional Table for 5-Year Action Plan for Capital Fund (Component 7)

Complete one table for each development in which work is planned in the next 5 PHA fiscal years. Complete a table for any PHA-wide physical or management improvements planned in the next 5 PHA fiscal year. Copy this table as many times as necessary. Note: PHAs need not include information from Year One of the 5-Year cycle, because this information is included in the Capital Fund Program Annual Statement.

#### MA 29-6: Columbia Arms

Optional 5-Year Action Plan Tables				
Development Number	Development Name (or indicate PHA wide)	Number Vacant Units	% Vacancies in Development	
MA 29-6	Columbia Arms	0	0	
Description of Needed Physical Improvements or Management Improvements			Estimated Cost	Planned Start Date (HA Fiscal Year)
Main Electric Circuit Breaker			\$25,000	2000
Security Improvements (Site Lighting, Security Cameras)			\$25,000	2000
<b>Total estimated cost over next 5 years</b>			<b>\$50,000</b>	

## MA 29-3: Jubilee Apartments

<b>Optional 5-Year Action Plan Tables</b>				
<b>Development Number</b>	<b>Development Name (or indicate PHA wide)</b>	<b>Number Vacant Units</b>	<b>% Vacancies in Development</b>	
MA 29-3	Jubilee Apartments	0	0	
<b>Description of Needed Physical Improvements or Management Improvements</b>			<b>Estimated Cost</b>	<b>Planned Start Date (HA Fiscal Year)</b>
Security Improvements (Site Lighting, Security Cameras, Perimeter Fencing and Landscaping, Smoke Alarm Upgrades) Two Year (2000-2001) Program			\$100,000	2000
Upgrade Community Room			\$25,000	2002
Unit Utility/Laundry Room and Closet Upgrades			\$75,000	2003
Roof Repairs/Replacement			\$75,000	2004
<b>Total estimated cost over next 5 years</b>			<b>\$275,000</b>	

## MA 29-5: Dower Square Apartments

<b>Optional 5-Year Action Plan Tables</b>				
<b>Development Number</b>	<b>Development Name (or indicate PHA wide)</b>	<b>Number Vacant Units</b>	<b>% Vacancies in Development</b>	
MA 29-5	Dower Square Apartments	0	0	
<b>Description of Needed Physical Improvements or Management Improvements</b>			<b>Estimated Cost</b>	<b>Planned Start Date (HA Fiscal Year)</b>
Security Improvements (Site Lighting, Security Cameras, Perimeter Fencing and Landscaping, Smoke Alarm Upgrades, Exterior Doors) Two Year (2000-2001) Program			\$280,000	2000
Conversion Electric to Gas (Year 1 Study; Years 2-5 Conversion)			\$250,000	2000
Roof Repairs/Replacement (5 Year Program)			\$125,000	2000
Rewiring of Existing Aluminum Electrical Service			\$100,000	2002
New Playground			\$50,000	2002

<b>Total estimated cost over next 5 years</b>	<b>\$805,000</b>	
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**PHA-WIDE**

<b>Optional 5-Year Action Plan Tables</b>				
<b>Development Number</b>	<b>Development Name (or indicate PHA wide)</b>	<b>Number Vacant Units</b>	<b>% Vacancies in Development</b>	
<b>PHA-WIDE</b>	<b>PHA-WIDE</b>			
<b>Description of Needed Physical Improvements or Management Improvements</b>			<b>Estimated Cost</b>	<b>Planned Start Date (HA Fiscal Year)</b>
<b>Operations (\$30,000/year for 5 years)</b>			<b>\$150,000</b>	<b>2000</b>
<b>Management Improvements (\$25,000/year for 5 years)</b>			<b>\$125,000</b>	<b>2000</b>
<b>New Maintenance Facility (Two Year Program)</b>			<b>\$250,000</b>	<b>2003</b>
<b>Total estimated cost over next 5 years</b>			<b>\$525,000</b>	

# Pittsfield Housing Authority PHA Plans

## Attachment 5 (MA029d01)

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The PHA's Plan Definition of **"Substantial Deviation"** and/or **"Significant Amendment or Modification"** is attached.

## Plan Definition of “Substantial Deviation” and/or “Significant Amendment or Modification”

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For the purposes of the Pittsfield Housing Authority’s (PHA’s) Agency Plans, the following shall constitute *substantial deviations* and/or *significant amendments or modifications* to these plans and, therefore, will be subject to the PHA submitting a revised PHA Plan:

**1. Policy changes to Rent Determinations, Admissions, or Waiting List Organization.**

**Exceptions:** Changes that would typically be considered procedural in nature and/or otherwise required by HUD.

**2. Significant Additions of Non-Emergency Work Items not included in the Annual Statement or 5-Year Action Plan if such changes constitute a 20% or greater change in the monetary value of the work items.**

**Exceptions:** Clarification of Work Items and/or Refined Cost Estimates due to A/E and/or Bid.

**3. Significant Changes to the use of Replacement Reserves if such changes constitute a 20% or greater change in the monetary value of the Reserves.**

**Exceptions:** An increase in Reserves greater than 20% where such increase is due to Savings from an existing Work Item.

**4. Any Change with regard to Demolition, Disposition, Designation, Homeownership, or Conversion Activities.**

**Exceptions:** Changes that would typically be considered procedural in nature and/or otherwise required by HUD.

# **Pittsfield Housing Authority PHA Plans**

## **Attachment 3 (MA029e01)**

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The PHA's Draft Rent Determination Policy is attached.

## Draft Rent Determination Policy

Effective **July 1, 2000**, and in accordance with the 1998 Quality Housing and Work Responsibility Act (QHWRA), the Pittsfield Housing Authority will offer tenants and new admissions to conventional federal public housing the opportunity to elect to pay a **“flat rent”** or a rent that does not exceed more than 30 percent of adjusted monthly income. Residents who choose the flat rent will have their income reviewed every three years. At any time a tenant becomes unable to pay the flat rent **due to financial hardship**, he/she may request to switch to an income-based rent. **The reduced rent payment will be effective no later than the first of the month following the month the family reported the hardship, provided that there is adequate documentation to support the hardship claim.** The housing authority may change the flat rent amount with approval by the board and through a public notification process.

Financial hardships include but are not limited to: A documented reduction in income, or a documented increase in expenses.

### **Flat Rents**

Flat rents will be determined based on an assessment of the reasonable market value of a unit and the housing authority’s strategy to provide affordable housing and encourage self-sufficiency.

For all PHA federal public housing developments, the following flat rent payment standard will apply **beginning July 1, 2000**:

- 1 bedroom unit - \$400.00/month\***
- 2 bedroom unit - \$500.00/month\***
- 3 bedroom unit - \$600.00/month\***
- 4 bedroom unit - \$650.00/month\***
- 5 bedroom unit - \$700.00/month\***

\* **Note**: These flat rents are inclusive of utilities. For those developments where all utilities are not included, the flat rent shall be adjusted by the appropriate utility allowance in-place at that time.

### **Minimum Rents**

**The minimum rent for tenants of public housing and Section 8 program participants will be set at \$25.00.**

## **Working Families**

The following tenants of Federal Public Housing Program (*Section 8 is optional*) are eligible for a disallowance of earned income:

- Family members who become employed after a year or more of unemployment.
- Family members who experience an increase in income due to participation in a family self-sufficiency or job training program.
- Family members who experience an increase in income and were an assisted family under the TANF program.
- Family members who were “significantly underemployed” (worked less than 10 hours a week for 50 weeks at the minimum wage) in the previous 12 months

## **Phase-Down on Disallowance of Earned Income**

During the 12-month period beginning on the date of such employment, the rent for these families will not be increased.

During the second year the rent will not be increased more than 50% of the amount of the total rent increase.

During the third year the balance of the rent increase will be applied.

Families who currently have, or qualify for, the 18-month disregard under 5.609(c)(13) prior to September 30, 1999 can continue that disregard; however they will not be eligible for the phase in of new income.

# **Pittsfield Housing Authority PHA Plans**

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