

U.S. Department of Housing and Urban Development
Office of Public and Indian Housing

PHA Plans

5 Year Plan for Fiscal Years 2000 - 2004
Annual Plan for Fiscal Year 2000

**NOTE: THIS PHA PLANS TEMPLATE (HUD 50075) IS TO BE COMPLETED IN ACCORDANCE WITH
INSTRUCTIONS LOCATED IN APPLICABLE PIH NOTICES**

**PHA Plan
Agency Identification**

PHA Name: Housing Authority of the City of East St. Louis

PHA Number: IL001

PHA Fiscal Year Beginning: 04/2000

Public Access to Information

**Information regarding any activities outlined in this plan can be obtained by contacting:
(select all that apply)**

- Main administrative office of the PHA
- PHA development management offices
- PHA local offices

Display Locations For PHA Plans and Supporting Documents

The PHA Plans (including attachments) are available for public inspection at: (select all that apply)

- Main administrative office of the PHA
- PHA development management offices
- PHA local offices
- Main administrative office of the local government
- Main administrative office of the County government
- Main administrative office of the State government
- Public library
- PHA website
- Other (list below)

PHA Plan Supporting Documents are available for inspection at: (select all that apply)

- Main business office of the PHA
- PHA development management offices
- Other (list below)

OMB Approval No: HUD 50075
2577-0226
Expires: 03/31/2002

5-YEAR PLAN
PHA FISCAL YEARS 2000 - 2004
[24 CFR Part 903.5]

A. Mission

State the PHA's mission for serving the needs of low-income, very low income, and extremely low-income families in the PHA's jurisdiction (select one of the choices below)

The mission of the PHA is the same as that of the Department of Housing and Urban Development: To promote adequate and affordable housing, economic opportunity and a suitable living environment free from discrimination.

The PHA's mission is: (state mission here)

Provide affordable housing opportunities without discrimination through collaboration with residents and public/private entities to enhance the quality of life and foster economic independence for residents of the community and the agency.

B. Goals

The goals and objectives listed below are derived from HUD's strategic Goals and Objectives and those emphasized in recent legislation. PHAs may select any of these goals and objectives as their own, or identify other goals and/or objectives. Whether selecting the HUD-suggested objectives or their own, PHAs ARE STRONGLY ENCOURAGED TO IDENTIFY QUANTIFIABLE MEASURES OF SUCCESS IN REACHING THEIR OBJECTIVES OVER THE COURSE OF THE 5 YEARS. (Quantifiable measures would include targets such as: numbers of families served or PHAS scores achieved.) PHAs should identify

HUD Strategic Goal: Increase the availability of decent, safe, and affordable housing.
GOAL: Recognizing Residents as our Ultimate Customers

- Objectives #1: Maintain Applicant Review and Orientation Committee. (Refer to Milestone #2)
- #2: Maintain Campus of Learners Screening and Orientation Committee. (Refer to
- #3: Establish and Maintain ESLHA Resident Advisory Board and Resident
- #4: Conduct Monthly Committee Meetings with Resident Advisory Leaders, i.e.
- #5: Ensure Resident Representation on ESLHA-Housing Authority Advisory Board. (Refer to Milestone #1)
- #6: Maintain Resident Involvement in the Planning, Design, Implementation and
- #7: Support and foster resident participation in internal and external job
- #8: Provide Homeownership Opportunities for Residents. (Refer to Milestones #9)

Councils.

II. GOAL: Establishing Financial Stability and Economic Independence

- Objectives #1: Solicit and Secure Public and Private Grant Funds to Support ESLHA
- #2: Establish a 501 (c) 3 Not-for profit Corporation and Investigate/Establish a *501
- #3: Develop a five (5) year Financial Plan Based on Current Operating Funds and
- #4: Identify, Secure and Monitor Section 3 Employment Opportunities for ESLHA
- #5: Identify/Implement Entrepreneurship Training Opportunities for ESLHA Adult
- #6: Establish and Monitor Escrow Savings Account for ESLHA Public
- #7: Apply for funding to increase Resident Participation and Self-Sufficiency
- #8: Develop partnership with non-profit agencies and businesses. (Refer to
- #9: Expand housing stock to include market rate housing units and commercial
- #10: Conduct annual financial audit. (Refer to Milestone #24)
- #11: Conversion for Finance to GAAP. (Refer to Milestone #25)

(c) 4 Stat

III. GOAL: Improving Management

- Objectives #1: Meet or Exceeds Performance Standards in PHAS and SEMAP. (Refer to
- #2: Establish a Continuum of Education for Board of Directors and Resident
- #3: Improve Public Housing Management and Service Delivery through Effective
- #4: Apply Housing Authority Resources to the Effective Management and
- #5: Conduct Needs Assessment for Residents to Provide a Better Quality of Life for
- #6: Establish Cooperative Agreements with Local Agencies and Organizations to
- #7: Update Admissions and Continued Occupancy Plan (ACO), Section 8
- #8: Hire, Train and Retain Efficient and Professional Staff to Ensure Quality of
- #9: Conduct Computer Hardware and Software Upgrades (Refer to Milestone #41)

IV. GOAL Developing and Maintaining Competitive Housing Stock

- Objectives #1: Conduct and Complete Physical Needs Assessments for all Housing Authority
- #2: Develop Homeownership for Opportunities Residents (Refer to Milestone #50)
- #3: Conduct Viability Analysis of Existing Housing Stock (Refer to Milestone #31)
- #4: Develop New Housing Units that are of High Quality in order to compete with
- #5: Provide Safe, Secure Housing Environment (Refer to Milestones #58, 59 and
- #6: Investigate/Develop Mixed Income Neighborhood Projects that target

**Annual PHA Plan
PHA Fiscal Year 2000**

[24 CFR Part 903.7]

Annual Plan Type:

Select which type of Annual Plan the PHA will submit.

Standard Plan

Streamlined Plan:

High Performing PHA

Small Agency (<250 Public Housing Units)

Administering Section 8 Only

Troubled Agency Plan

Executive Summary of the Annual PHA Plan

[24 CFR Part 903.7 9 (r)]

Provide a brief overview of the information in the Annual Plan, including highlights of major initiatives and discretionary policies the PHA has included in the Annual Plan.

Annual Plan Table of Contents

[24 CFR Part 903.7 9 (r)]

Provide a table of contents for the Annual Plan, including attachments, and a list of supporting documents available for public inspection.

Table of Contents

Page #

Annual Plan

Executive Summary

i. Table of Contents

Housing Needs

1. Financial Resources

2. Policies on Eligibility, Selection and Admissions

3. Rent Determination Policies

4. Operations and Management Policies
5. Grievance Procedures
6. Capital Improvement Needs
7. Demolition and Disposition
8. Designation of Housing
9. Conversions of Public Housing
10. Homeownership
11. Community Service Programs
12. Crime and Safety
13. Pets (Inactive for January 1 PHAs)
14. Civil Rights Certifications (included with PHA Plan Certifications)
15. Audit
16. Asset Management
17. Other Information

Attachments

Indicate which attachments are provided by selecting all that apply. Provide the attachment's name (A, B, etc.) in the space to the left of the name of the attachment. Note: If the attachment is provided as a SEPARATE file submission from the PHA Plans file, provide the file name in parentheses in the space to the right of the title.

- Required Attachments:**
- Admissions Policy for Deconcentration
 - FY 2000 Capital Fund Program Annual Statement
 - Most recent board-approved operating budget (Required Attachment for PHAs that are troubled or at risk of being designated troubled ONLY)

Optional Attachments:

- PHA Management Organizational Chart
- FY 2000 Capital Fund Program 5 Year Action Plan
- Public Housing Drug Elimination Program (PHDEP) Plan
- Comments of Resident Advisory Board or Boards (must be attached if not included in PHA Plan text)
- Other (List below, providing each attachment name)

Supporting Documents Available for Review

Indicate which documents are available for public review by placing a mark in the “Applicable & On Display” column in the appropriate rows. All listed documents must be on display if applicable to the program activities conducted by the PHA.

1. ESLHA Certification of Compliance with PHA Plans & Board Resolution
2. Certification for a Drug-Free Workplace
3. SF-LLL Disclosure of Lobbying Activities
4. Certification of Consistency with the Consolidated Plan
5. HUD Form 50071

List of Supporting Documents Available for Review

Applicable & On Display	Supporting Document	Applicable Plan Component
x	PHA Plan Certifications of Compliance with the PHA Plans and Related Regulations	5 Year and Annual Plans
x	State/Local Government Certification of Consistency with the Consolidated Plan	5 Year and Annual Plans
x	Fair Housing Documentation: Records reflecting that the PHA has examined its programs or proposed programs, identified any impediments to fair housing choice in those programs, addressed or is addressing those impediments in a reasonable fashion in view of the resources available, and worked or is working with local jurisdictions to implement any of the jurisdictions’ initiatives to affirmatively further fair housing that require the PHA’s involvement.	5 Year and Annual Plans
x	Consolidated Plan for the jurisdiction/s in which the PHA is located (which includes the Analysis of Impediments to Fair Housing Choice (AI)) and any additional backup data to support statement of housing needs in the jurisdiction	Annual Plan: Housing Needs
x	Most recent board-approved operating budget for the public housing program	Annual Plan: Financial Resources;
x	Public Housing Admissions and (Continued) Occupancy Policy (A&O), which includes the Tenant Selection and Assignment Plan [TSAP]	Annual Plan: Eligibility, Selection, and Admissions Policies
x	Section 8 Administrative Plan	Annual Plan: Eligibility, Selection, and Admissions

Applicable & On Display	Supporting Document	Applicable Plan Component
		Policies
X	Public Housing Deconcentration and Income Mixing Documentation: PHA board certifications of compliance with deconcentration requirements (section 16(a) of the US Housing Act of 1937, as implemented in the 2/18/99 <i>Quality Housing and Work Responsibility Act Initial Guidance; Notice</i> and any further HUD guidance) and 18. Documentation of the required deconcentration and income mixing analysis	Annual Plan: Eligibility, Selection, and Admissions Policies
X	Public housing rent determination policies, including the methodology for setting public housing flat rents X check here if included in the public housing A & O Policy	Annual Plan: Rent Determination
X	Schedule of flat rents offered at each public housing development X check here if included in the public housing A & O Policy	Annual Plan: Rent Determination
X	Section 8 rent determination (payment standard) policies X check here if included in Section 8 Administrative Plan	Annual Plan: Rent Determination
X	Public housing management and maintenance policy documents, including policies for the prevention or eradication of pest infestation (including cockroach infestation)	Annual Plan: Operations and Maintenance
X	Public housing grievance procedures X check here if included in the public housing A & O Policy	Annual Plan: Grievance Procedures
X	Section 8 informal review and hearing procedures X check here if included in Section 8 Administrative Plan	Annual Plan: Grievance Procedures
X	The HUD-approved Capital Fund/Comprehensive Grant Program Annual Statement (HUD 52837) for the active grant year	Annual Plan: Capital Needs
X	Most recent CIAP Budget/Progress Report (HUD 52825) for any active CIAP grant	Annual Plan: Capital Needs
X	Most recent, approved 5 Year Action Plan for the Capital Fund/Comprehensive Grant Program, if not included as an attachment (provided at PHA option)	Annual Plan: Capital Needs
	Approved HOPE VI applications or, if more recent, approved or submitted HOPE VI Revitalization Plans or any other approved proposal for development of public housing	Annual Plan: Capital Needs
X	Approved or submitted applications for demolition and/or disposition of public housing	Annual Plan: Demolition and Disposition

Applicable & On Display	Supporting Document	Applicable Plan Component
	Approved or submitted applications for designation of public housing (Designated Housing Plans)	Annual Plan: Designation of Public Housing
	Approved or submitted assessments of reasonable revitalization of public housing and approved or submitted conversion plans prepared pursuant to section 202 of the 1996 HUD Appropriations Act	Annual Plan: Conversion of Public Housing
x	Approved or submitted public housing Homeownership programs/plans (existing)	Annual Plan: Homeownership
	Policies governing any Section 8 Homeownership program check here if included in the Section 8 Administrative Plan	Annual Plan: Homeownership
x	Any cooperative agreement between the PHA and the TANF agency (3 year)	Annual Plan: Community Service & Self-Sufficiency
x	FSS Action Plan/s for public housing and/or Section 8	Annual Plan: Community Service & Self-Sufficiency
x	Most recent self-sufficiency (ED/SS, TOP or ROSS or other resident services grant) grant program reports	Annual Plan: Community Service & Self-Sufficiency
x	The most recent Public Housing Drug Elimination Program (PHDEP) semi-annual performance report for any open grant and most recently submitted PHDEP application (PHDEP Plan)	Annual Plan: Safety and Crime Prevention
x	The most recent fiscal year audit of the PHA conducted under section 5(h)(2) of the U.S. Housing Act of 1937 (42 U.S.C. 1437c(h)), the results of that audit and the PHA's response to any findings	Annual Plan: Annual Audit
	Troubled PHAs: MOA/Recovery Plan	Troubled PHAs
	Other supporting documents (optional) (list individually; use as many lines as necessary)	(specify as needed)

1. Statement of Housing Needs

[24 CFR Part 903.7 9 (a)]

A. Housing Needs of Families in the Jurisdiction/s Served by the PHA

Based upon the information contained in the Consolidated Plan/s applicable to the jurisdiction, and/or other data available to the PHA, provide a statement of the housing needs in the jurisdiction by completing the following table. In the "Overall" Needs column, provide the estimated number of renter families that have housing needs. For the remaining characteristics, rate the impact of that factor on the housing needs for each family type, from 1 being "no impact" and 5 being "severe impact."

Family Type	Overall	Housing Needs of Families in the Jurisdiction						
		Affordability	Supply	Quality	Accessibility	2.	Collection	
							Size	

Family Type	Overall	Affordability	Supply	Quality	Accessibility	2. Size	Location
Income <= 30% of AMI	5	5	5	5	5	5	5
Income >30% but <=50% of AMI	4	4	4	4	4	4	4
Income >50% but <80% of AMI	3	3	3	3	3	3	3
Elderly	4	4	4	4	4	4	4
Families with Disabilities	N/A	N/A	N/A	N/A	N/A	N/A	N/A
Race/Ethnicity	N/A	N/A	N/A	N/A	N/A	N/A	N/A
Race/Ethnicity	N/A	N/A	N/A	N/A	N/A	N/A	N/A
Race/Ethnicity	N/A	N/A	N/A	N/A	N/A	N/A	N/A
Race/Ethnicity	N/A	N/A	N/A	N/A	N/A	N/A	N/A

Race: City of East St. Louis: 98% Black, Other 2.5%. Population: East St Louis: 40, 944

What sources of information did the PHA use to conduct this analysis? (Check all that apply; all materials must be made available for public inspection.)

- Consolidated Plan of the Jurisdiction/s
Indicate year: 1999
- U.S. Census data: the Comprehensive Housing Affordability Strategy (“CHAS”) dataset 1998, Table 1c-Housing Assistance Needs of Low to Moderate Income Households
- American Housing Survey data
Indicate year: _____
- Other housing market study
Indicate year: _____
- Other sources: (list and indicate year of information)
ESLHA Market Study, 1998 (Kriefield Report) Rating Factor Grid utilized to determine Impact Per Income Levels
0-20 = 1(No Impact) 41-60 = 3 81-100 = 5(Sever Impact)
21-40 = 2 61-80 = 4

B. Housing Needs of Families on the Public Housing and Section 8 Tenant- Based Assistance Waiting Lists

State the housing needs of the families on the PHA’s waiting list/s. **Complete one table for each type of PHA-wide waiting list administered by the PHA.** PHAs may provide separate tables for site-based or sub-jurisdictional public housing waiting lists at their option.

**1-1 Samuel Gompers
Housing Needs of Families on the Waiting List**

Waiting list type: (select one) <input type="checkbox"/> Section 8 tenant-based assistance <input type="checkbox"/> Public Housing <input type="checkbox"/> Combined Section 8 and Public Housing <input type="checkbox"/> Public Housing Site-Based or sub-jurisdictional waiting list (optional) If used, identify which development/sub-jurisdiction:	ATTACHMENT B (18 Pages)
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	# of families	% of total families	Annual Turnover
Waiting list total	68	100%	*51
Extremely low	67	99%	N/A

income <=30% AMI			
Very low income (>30% but <=50% AMI)	1	1%	N/A
Low income (>50% but <80% AMI)	-0-	-0-	N/A
Families with children	34	50%	N/A
Elderly families	22	32%	N/A
Families with Disabilities	12	18%	N/A
Race/ethnicity	Black/Non-Hispanic	100%	N/A
Characteristics by Bedroom Size (Public Housing Only)			
1 BR	27	39%	6
2 BR	10	15%	26
3 BR	29	43%	14
4 BR	2	3%	8
5 BR			

FY 2000 Annual Plan

Statistical data as of 08/30/99 Waiting List

* **Represents averages over three (3) years (01/96 - 12/98)**

N/A - information not available

**1-1 Samuel Gompers
Housing Needs of Families on the Waiting List**

Is the waiting list closed (select one) No Yes

ATTACHMENT B

If yes:

How long has it been closed (# of months)?

Does the PHA expect to reopen the list in the PHA Plan Year? No Yes

Does the PHA permit specific categories of families onto the waiting list, even if generally

1-2, 1-3 John Robinson/John DeShields Housing Needs of Families on the Waiting List

Waiting list type: (select one)

Section 8 tenant-based assistance

Public Housing

Combined Section 8 and Public Housing

Public Housing Site-Based or sub-jurisdictional waiting list (optional)

If used, identify which development/sub-jurisdiction:

ATTACHMENT B

(19pages)

	# of families	% of total families	Annual Turnover
Waiting list total	234	100%	*79
Extremely low income <=30% AMI	218	93%	N/A
Very low income (>30% but <=50% AMI)	16	7%	N/A
Low income (>50% but <80% AMI)	-0-	-0-	N/A
Families with children	193	82%	N/A
Elderly families	40	17%	N/A
Families with Disabilities	1	1%	N/A
Race/ethnicity	Black/Non-Hispanic	100%	N/A
Characteristics by Bedroom Size (Public Housing Only)			
1 BR	5	2%	*8
2 BR	153	66%	*39
3 BR	63	30%	*22
4 BR	2	1%	*6
5 BR	1	1%	*2

FY 2000 Annual Plan

Statistical data as of 08/30/99 Waiting List

* Represents averages turnover from 01/96 - 12/98 (includes relocation)

N/A - information not available

**1-2, 1-3 John Robinson/John DeShields
Housing Needs of Families on the Waiting List**

Is the waiting list closed (select one)? No Yes

ATTACHMENT B

If yes:

How long has it been closed (# of months)?

Does the PHA expect to reopen the list in the PHA Plan Year? No Yes

Does the PHA permit specific categories of families onto the waiting list, even if generally closed? No Yes

1-4, 1-26 Roosevelt

Housing Needs of Families on the Waiting List

ATTACHMENT B

Waiting list type: (select one)
 Section 8 tenant-based assistance
 Public Housing
 Combined Section 8 and Public Housing
 _ Public Housing Site-Based or sub-jurisdictional waiting list (optional)
 If used, identify which development/sub-jurisdiction:

	# of families	% of total families	Annual Turnover
Waiting list total	178	100%	*13
Extremely low income <=30% AMI	172	97%	N/A
Very low income (>30% but <=50% AMI)	6	3%	N/A
Low income (>50% but <80% AMI)	-0-	-0-	N/A
Families with children	173	97%	N/A
Elderly families	-0-	-0-	N/A
Families with Disabilities	5	3%	N/A
Race/ethnicity	Black/Non-Hispanic	100%	N/A
Characteristics by Bedroom Size (Public Housing Only)			
1 BR	2	1%	*1
2 BR	104	58%	*5
3 BR	60	34%	*3
4 BR	12	7%	*3
5 BR			

FY 2000 Annual Plan

Statistical data as of 08/30/99 Waiting List

* Represents averages turnover from 01/96 - 12/98 (includes relocation)
N/A - information not available

**1-4, 1-26 Roosevelt
Housing Needs of Families on the Waiting List**

Is the waiting list closed (select one)? No Yes

ATTACHMENT B

If yes:

How long has it been closed (# of months)?

Does the PHA expect to reopen the list in the PHA Plan Year? No Yes

Does the PHA permit specific categories of families onto the waiting list, even if generally closed? No Yes

1-5 Villa Griffin

Housing Needs of Families on the Waiting List

ATTACHMENT B

Waiting list type: (select one)

Section 8 tenant-based assistance

Public Housing (Summary Page of all Site-Based Waiting Lists)

Combined Section 8 and Public Housing

Public Housing Site-Based or sub-jurisdictional waiting list (optional)

If used, identify which development/sub-jurisdiction:

	# of families	% of total families	Annual Turnover
Waiting list total	23	100%	*43
Extremely low income <=30% AMI	23	100%	N/A
Very low income (>30% but <=50% AMI)	-0-	0%	N/A
Low income (>50% but <80% AMI)	-0-	0%	N/A
Families with children	12	52%	N/A
Elderly families	11	48%	N/A
Families with Disabilities	11	48%	N/A
Race/ethnicity	Black/Non-Hispanic	100%	N/A
Characteristics by Bedroom Size (Public Housing Only)			
1 BR	11	48%	*0
2 BR	5	21%	*11
3 BR	5	21%	*23
4 BR	2	10%	*8
5 BR			

FY 2000 Annual Plan

Statistical data as of 08/30/99 Waiting List

* Represents average turnover from 01/96 - 12/98 (includes relocation)
N/A - information not available

**1-5 Villa Griffin
Housing Needs of Families on the Waiting List**

Is the waiting list closed (select one) _ No Yes

ATTACHMENT B

If yes:

 How long has it been closed (# of months)?

 Does the PHA expect to reopen the list in the PHA Plan Year? No Yes

 Does the PHA permit specific categories of families onto the waiting list, even if generally closed? No Yes

**1-7, 1-21, 1-44 Orr-Weathers/Norman E. Owens
Housing Needs of Families on the Waiting List**

ATTACHMENT B

Waiting list type: (select one)

Section 8 tenant-based assistance

Public Housing (Summary Page of all Site-Based Waiting Lists)

Combined Section 8 and Public Housing

Public Housing Site-Based or sub-jurisdictional waiting list (optional)

If used, identify which development/sub-jurisdiction:

	# of families	% of total families	Annual Turnover
Waiting list total	153	100%	*128
Extremely low income <=30% AMI	148	97%	N/A
Very low income (>30% but <=50% AMI)	5	3%	N/A
Low income (>50% but <80% AMI)	-0-	0%	N/A
Families with children	153	100%	N/A
Elderly families	0	0%	N/A
Families with Disabilities	0	0%	N/A
Race/ethnicity	Black/Non-Hispanic	100%	N/A
Characteristics by Bedroom Size (Public Housing Only)			
1 BR			
2 BR	102	67%	*16
3 BR	46	30%	*3
4 BR	4	2%	*0
5 BR	1	1%	*0

FY 2000 Annual Plan

Statistical data as of 08/30/99 Waiting List

* Represents average turnover from 01/96 - 12/98 (includes relocation)
N/A - information not available

**1-7, 1-21, 1-44 Orr-Weathers/Norman E. Owens
Housing Needs of Families on the Waiting List**

Is the waiting list closed (select one)? No Yes

ATTACHMENT B

If yes:

How long has it been closed (# of months)?

Does the PHA expect to reopen the list in the PHA Plan Year? No Yes

Does the PHA permit specific categories of families onto the waiting list, even if generally closed? No Yes

**1-11, 1-12, 1-13, 1-25, 1-45, 1-46, 1-47, 1-50, 1-51 Scattered Sites
Housing Needs of Families on the Waiting List**

ATTACHMENT B

Waiting list type: (select one)

Section 8 tenant-based assistance

Public Housing (Summary Page of all Site-Based Waiting Lists)

Combined Section 8 and Public Housing

Public Housing Site-Based or sub-jurisdictional waiting list (optional)

If used, identify which development/sub-jurisdiction:

	# of families	% of total families	Annual Turnover
Waiting list total	173	100%	*27
Extremely low income <=30% AMI	156	90%	N/A
Very low income (>30% but <=50% AMI)	12	7%	N/A
Low income (>50% but <80% AMI)	5	3%	N/A
Families with children	173	100%	N/A
Elderly families	0	0%	N/A
Families with Disabilities	9	5%	N/A
Race/ethnicity	Black/Non-Hispanic	100%	N/A
Characteristics by Bedroom Size (Public Housing Only)			
1 BR			
2 BR	82	47%	*8
3 BR	80	46%	*15
4 BR	10	6%	*0
5 BR	1	1%	*0

FY 2000 Annual Plan

Statistical data as of 08/30/99 Waiting List

* Represents average turnover from 01/96 - 12/98 (includes relocation)
N/A - information not available

**1-11, 1-12, 1-13, 1-25, 1-45, 1-46, 1-47, 1-48, 1-50, 1-51 Scattered Sites
Housing Needs of Families on the Waiting List**

Is the waiting list closed (select one)? No Yes

ATTACHMENT B

If yes:

How long has it been closed (# of months)?

Does the PHA expect to reopen the list in the PHA Plan Year? No Yes

Does the PHA permit specific categories of families onto the waiting list, even if generally closed? No Yes

1-8, 1-9 Mixed Population Developments Housing Needs of Families on the Waiting List

ATTACHMENT B

Waiting list type: (select one)

Section 8 tenant-based assistance

Public Housing (Summary Page of all Site-Based Waiting Lists)

Combined Section 8 and Public Housing

Public Housing Site-Based or sub-jurisdictional waiting list (optional)

If used, identify which development/sub-jurisdiction:

	# of families	% of total families	Annual Turnover
Waiting list total	89	89%	*128 (includes relocation)
Extremely low income <=30% AMI	82	92%	N/A
Very low income (>30% but <=50% AMI)	7	8%	N/A
Low income (>50% but <80% AMI)	-0-	0%	N/A
Families with children	-0-	0%	N/A
Elderly families	42 elderly/disabled	47%	N/A
Families with Disabilities	11 disabled only	12%	N/A
Race/ethnicity	Black/Non-Hispanic	100%	N/A
Characteristics by Bedroom Size (Public Housing Only)			
1 BR			
2 BR	89	100%	109
3 BR			16
4 BR			3
5 BR			

FY 2000 Annual Plan

Statistical data as of 08/30/99 Waiting List

* Represents average turnover from 01/96 - 12/98 (includes relocation)
N/A - information not available

**1-8, 1-9 Mixed Population Developments
Housing Needs of Families on the Waiting List**

Is the waiting list closed (select one)? No Yes

ATTACHMENT B

If yes:

How long has it been closed (# of months)?

Does the PHA expect to reopen the list in the PHA Plan Year? No Yes

Does the PHA permit specific categories of families onto the waiting list, even if

Generally closed? No Yes

**1-27, 1-28 Phoenix Courts - Campus of Learners
Housing Needs of Families on the Waiting List**

ATTACHMENT B

Waiting list type: (select one)

Section 8 tenant-based assistance

Public Housing (Summary Page of all Site-Based Waiting Lists)

Combined Section 8 and Public Housing

Public Housing Site-Based or sub-jurisdictional waiting list (optional)

If used, identify which development/sub-jurisdiction:

	# of families	% of total families	Annual Turnover
Waiting list total	140	100%	*7
Extremely low income <=30% AMI	95	68%	N/A
Very low income (>30% but <=50% AMI)	38	27%	N/A
Low income (>50% but <80% AMI)	7	5%	N/A
Families with children	140	100%	N/A
Elderly families	-0-	0%	N/A
Families with Disabilities	-0-	0%	N/A
Race/ethnicity	Black/Non-Hispanic	100%	N/A
Characteristics by Bedroom Size (Public Housing Only)			
1 BR			
2 BR	109	78%	3
3 BR	23	16%	4
4 BR	8	6%	
5 BR			

FY 2000 Annual Plan

Statistical data as of 08/30/99 Waiting List

* **Represents data from 01/98 - 9/99**
N/A - information not available

**1-27, 1-28 Phoenix Courts - Campus of Learners
Housing Needs of Families on the Waiting List**

Is the waiting list closed (select one)? No Yes

ATTACHMENT B

If yes:

 How long has it been closed (# of months)? Six (6) months

 Does the PHA expect to reopen the list in the PHA Plan Year? No Yes

 Does the PHA permit specific categories of families onto the waiting list, even if generally closed? No Yes

FY 2000 Annual Plan

**ATTACHMENT B
Waiting List Data**

Program	Bedroom Size					Total	
	1-bdrm	2-bdrm	3-bdrm	4-bdrm	5-bdrm		
Public Housing							
Number							
Mixed Population Developments	89	-0-	-0-	-0-	-0-	-0-	89
Family Developments	45	575	306	40	3		969
Average Income							
Below 30%	N/A	N/A	N/A	N/A	N/A		961
30-50%	N/A	N/A	N/A	N/A	N/A		85
50% +	N/A	N/A	N/A	N/A	N/A		12
Race							
Black	134	575	306	40	3		1.058
White	-0-	-0-	-0-	-0-	-0-	-0-	-0-
Other	-0-	-0-	-0-	-0-	-0-	-0-	-0-
Ethnicity							
Hispanic	-0-	-0-	-0-	-0-	-0-	-0-	-0-
Non-Hispanic	134	575	306	40	3		1.058
Section 8							
Existing							
Number							
Elderly	-0-	-0-	-0-	-0-	-0-	-0-	-0-
Family	N/A	N/A	N/A	N/A	N/A		140
Average Income							
Below 30%	N/A	N/A	N/A	N/A	N/A		125
30-50%	N/A	N/A	N/A	N/A	N/A		15
50% +	N/A	N/A	N/A	N/A	N/A		-0-
Race							
Black	N/A	N/A	N/A	N/A	N/A		140
White	N/A	N/A	N/A	N/A	N/A		-0-
Other	N/A	N/A	N/A	N/A	N/A		-0-
Ethnicity							
Hispanic	N/A	N/A	N/A	N/A	N/A		-0-
Non-Hispanic	N/A	N/A	N/A	N/A	N/A		14

Chart B
Summary of Site-Based Waiting Lists
Housing Needs of Families on the Waiting List

Waiting list type: (select one) <input type="checkbox"/> Section 8 tenant-based assistance <input type="checkbox"/> Public Housing (Summary Page of all Site-Based Waiting Lists) <input type="checkbox"/> Combined Section 8 and Public Housing <input type="checkbox"/> Public Housing Site-Based or sub-jurisdictional waiting list (optional) If used, identify which development/sub-jurisdiction:			
	# of families	% of total families	Annual Turnover
Waiting list total	1,058	100%	*476 (includes relocation)
Extremely low income <=30% AMI	961	91%	N/A
Very low income (>30% but <=50% AMI)	85	8%	N/A
Low income (>50% but <80% AMI)	12	1%	N/A
Families with children	915	86%	N/A
Elderly families	116	11	N/A
Families with Disabilities	27	3%	N/A
Race/ethnicity	Black/Non-Hispanic	100%	N/A
Characteristics by Bedroom Size (Public Housing Only)			
1 BR	134	13%	124
2 BR	575	54%	124
3 BR	306	28%	87
4 BR	40	4%	28
5 BR	3	1%	3

FY 2000 Annual Plan

Statistical data as of 08/30/99 Waiting List

* Represents averages over three (3) year period 09/01/96 - 12/98)
N/A - information not available

Chart B (con't)

Summary of Site-Based Waiting Lists Housing Needs of Families on the Waiting List

Is the waiting list closed (select one)? No Yes

If yes:

How long has it been closed (# of months)?

Does the PHA expect to reopen the list in the PHA Plan Year? No Yes

Does the PHA permit specific categories of families onto the waiting list, even if generally closed? No Yes

C. Strategy for Addressing Needs

Provide a brief description of the PHA's strategy for addressing the housing needs of families in the jurisdiction and on the waiting list **IN THE UPCOMING YEAR**, and the Agency's reasons for choosing this strategy.

Need: Shortage of affordable housing for all eligible populations

Strategy 1. Maximize the number of affordable units available to the PHA within its current resources by:

Select all that apply

- Employ effective maintenance and management policies to minimize the number of public housing units off-line
- Reduce turnover time for vacated public housing units
- Reduce time to renovate public housing units
- Seek replacement of public housing units lost to the inventory through mixed finance development
- Seek replacement of public housing units lost to the inventory through section 8 replacement housing resources
- Maintain or increase section 8 lease-up rates by establishing payment standards that will enable families to rent throughout the jurisdiction
- Undertake measures to ensure access to affordable housing among families assisted by the PHA, regardless of unit size required
- Maintain or increase section 8 lease-up rates by marketing the program to owners, particularly those outside of areas of minority and poverty concentration
- Maintain or increase section 8 lease-up rates by effectively screening Section 8 applicants to increase owner acceptance of program
- Participate in the Consolidated Plan development process to ensure coordination with broader community strategies
- Other (list below)

Strategy 2: Increase the number of affordable housing units by:

Select all that apply

- Apply for additional section 8 units should they become available

- Leverage affordable housing resources in the community through the creation of mixed - finance housing
- Pursue housing resources other than public housing or Section 8 tenant-based assistance. (501)C3
- Other: (list below)

Need: Specific Family Types: Families at or below 30% of median

Strategy 1: Target available assistance to families at or below 30 % of AMI

- Select all that apply
- Exceed HUD federal targeting requirements for families at or below 30% of AMI in public housing
 - Exceed HUD federal targeting requirements for families at or below 30% of AMI in tenant-based section 8 assistance
 - Employ admissions preferences aimed at families with economic hardships
 - Adopt rent policies to support and encourage work
 - Other: (list below)

Need: Specific Family Types: Families at or below 50% of median

Strategy 1: Target available assistance to families at or below 50% of AMI

- Select all that apply
- Employ admissions preferences aimed at families who are working
 - Adopt rent policies to support and encourage work
 - Other: (list below)

Need: Specific Family Types: The Elderly

Strategy 1: Target available assistance to the elderly:

- Select all that apply
- Seek designation of public housing for the elderly
 - Apply for special-purpose vouchers targeted to the elderly, should they become available
 - Other: (list below)

Need: Specific Family Types: Families with Disabilities

Strategy 1: Target available assistance to Families with Disabilities:

Select all that apply

- Seek designation of public housing for families with disabilities
- Carry out the modifications needed in public housing based on the section 504 Needs Assessment for Public Housing
- Apply for special-purpose vouchers targeted to families with disabilities, should they become available
- Affirmatively market to local non-profit agencies that assist families with disabilities
- Other: (list below)

Need: Specific Family Types: Races or ethnicities with disproportionate housing needs

Strategy 1: Increase awareness of PHA resources among families of races and ethnicities with disproportionate needs:

Select if applicable

- Affirmatively market to races/ethnicities shown to have disproportionate housing needs
- Other: (list below)

Strategy 2: Conduct activities to affirmatively further fair housing

Select all that apply

- Counsel section 8 tenants as to location of units outside of areas of poverty or minority concentration and assist them to locate those units
- Market the section 8 program to owners outside of areas of poverty /minority concentrations
- Other: (list below)

Other Housing Needs & Strategies: (list needs and strategies below)

(2) Reasons for Selecting Strategies

Of the factors listed below, select all that influenced the PHA's selection of the strategies it will pursue:

- Funding constraints
- Staffing constraints
- Limited availability of sites for assisted housing
- Extent to which particular housing needs are met by other organizations in the community
- Evidence of housing needs as demonstrated in the Consolidated Plan and other information available to the PHA
- Influence of the housing market on PHA programs
- Community priorities regarding housing assistance
- Results of consultation with local or state government
- Results of consultation with residents and the Resident Advisory Board
- Results of consultation with advocacy groups
- Other: (list below)

Statement of Financial Resources

[24 CFR Part 903.7 9 (b)]

List the financial resources that are anticipated to be available to the PHA for the support of Federal public housing and tenant-based Section 8 assistance programs administered by the PHA during the Plan year. Note: the table assumes that Federal public housing or tenant based Section 8 assistance grant funds are expended on eligible purposes; therefore, uses of these funds need not be stated. For other funds, indicate the use for those funds as one of the following categories: public housing operations, public housing capital improvements, public housing safety/security, public housing

Sources	Planned \$	Planned Uses
1. Federal Grants (FY 2000 grants)	5,377,541	
a) Public Housing Operating Fund		Operations
b) Public Housing Capital Fund	4,576,205	Capital Improvements
c) HOPE VI Revitalization	-0-	
d) HOPE VI Demolition		
e) Annual Contributions for Section 8 Tenant-Based Assistance	1,453,814	Rental Assistance
f) Public Housing Drug Elimination Program (including any Technical Assistance funds)	572,600	Safety, Crime and Drug Prevention
g) Resident Opportunity and Self-Sufficiency Grants	-0-	
h) Community Development Block Grant	-0-	
i) HOME		
Other Federal Grants (list below)		
Turnkey III	187,034	Operations
2. Prior Year Federal Grants (unobligated funds only) (list below)		
3. Public Housing Dwelling Rental Income	2,630,981	Operations
Turnkey Dwelling Rental	28,320	
4. Other income (list below)	293,076	Operations
Turnkey	103,000	
4. Non-federal sources (list below)	- 0 -	

Sources	Planned \$	Planned Uses
Total resources	10,646,366	

3. PHA Policies Governing Eligibility, Selection, and Admissions

[24 CFR Part 903.79 (c)]

A. Public Housing

Exemptions: PHAs that do not administer public housing are not required to complete subcomponent

(1) Eligibility

a. When does the PHA verify eligibility for admission to public housing? (select all that apply)

When families are within a certain number of being offered a unit: (state number)

When families are within a certain time of being offered a unit: (state time)

Other: (describe)

b. Which non-income (screening) factors does the PHA use to establish eligibility for admission to public housing (select all that apply)?

Criminal or Drug-related activity

Rental history

Housekeeping

Other (describe) Credit Checks, References, and Utilities

c. Yes No: Does the PHA request criminal records from local law enforcement agencies for screening purposes?

d. Yes No: Does the PHA request criminal records from State law enforcement agencies for screening purposes?

e. Yes No: Does the PHA access FBI criminal records from the FBI for screening purposes? (either directly or through an NCIC-authorized source)

(2) Waiting List Organization

a. Which methods does the PHA plan to use to organize its public housing waiting list (select all that apply)

- Community-wide list
- Sub-jurisdictional lists
- Site-based waiting lists
- Other (describe)

b. Where may interested persons apply for admission to public housing?

- PHA main administrative office
- PHA development site management office
- Other (list below)

c. If the PHA plans to operate one or more site-based waiting lists in the coming year, answer each of the following questions; if not, skip to subsection **(3) Assignment**

1. How many site-based waiting lists will the PHA operate in the coming year? 8

2. Yes No: Are any or all of the PHA's site-based waiting lists new for the upcoming year (that is, they are not part of a previously-HUD-approved site based waiting list plan)?
If yes, how many lists?

3. Yes No: May families be on more than one list simultaneously
If yes, how many lists?

4. Where can interested persons obtain more information about and sign up to be on the site-based waiting lists (select all that apply)?

- PHA main administrative office
- All PHA development management offices
- Management offices at developments with site-based waiting lists
- At the development to which they would like to apply
- Other (list below)

(3) Assignment

a. How many vacant unit choices are applicants ordinarily given before they fall to the bottom of or are removed from the waiting list? (select one)

- One
- Two
- Three or More

b. Yes ___ No: Is this policy consistent across all waiting list types?

c. If answer to b is no, list variations for any other than the primary public housing waiting list/s for the PHA:

(4) Admissions Preferences

a. Income targeting:

Yes ___ No: Does the PHA plan to exceed the federal targeting requirements by ___ targeting more than 40% of all new admissions to public housing ___ to families at or below 30% of median area income?

b. Transfer policies:

In what circumstances will transfers take precedence over new admissions? (list below)

- Emergencies
- ___ Overhoused
- ___ Underhoused
- Medical justification
- Administrative reasons determined by the PHA (e.g., to permit modernization work)
- ___ Resident choice: (state circumstances below)
- ___ Other: (list below)

c. Preferences

1. Yes ___ No: Has the PHA established preferences for admission to public housing (other than date and time of application)? (If “no” ___ is selected, skip to subsection **(5) Occupancy**)

2. Which of the following admission preferences does the PHA plan to employ in the coming year? (select all that apply from either former Federal preferences or other preferences)

Former Federal preferences:

- Involuntary Displacement (Disaster, Government Action, Action of Housing
- ___ Owner, Inaccessibility, Property Disposition)
- ___ Victims of domestic violence
- Substandard housing
- Homelessness
- ___ High rent burden (rent is > 50 percent of income)

Other preferences: (select below)

- Working families and those unable to work because of age or disability
- ___ Veterans and veterans’ families
- Residents who live and/or work in the jurisdiction

- Those enrolled currently in educational, training, or upward mobility programs
- Households that contribute to meeting income goals (broad range of incomes)
- Households that contribute to meeting income requirements (targeting)
- Those previously enrolled in educational, training, or upward mobility programs
- Victims of reprisals or hate crimes
- Other preference(s) (list below)

3. If the PHA will employ admissions preferences, please prioritize by placing a “1” in the space that represents your first priority, a “2” in the box representing your second priority, and so on. If you give equal weight to one or more of these choices (either through an absolute hierarchy or through a point system), place the same number next to each. That means you can use “1” more than once, “2” more than once, etc.

8 Date and Time

Former Federal preferences:

- 2 Involuntary Displacement (Disaster, Government Action, Action of Housing Owner, Inaccessibility, Property Disposition)
- Victims of domestic violence
- 6 Substandard housing
- 3 Homelessness
- High rent burden

Other preferences (select all that apply)

- 1 Working families and those unable to work because of age or disability
- Veterans and veterans’ families
- 7 Residents who live and/or work in the jurisdiction
- 4 Those enrolled currently in educational, training, or upward mobility programs
- Households that contribute to meeting income goals (broad range of incomes)
- 5 Households that contribute to meeting income requirements (targeting)
- Those previously enrolled in educational, training, or upward mobility programs
- Victims of reprisals or hate crimes
- Other preference(s) (list below)

4. Relationship of preferences to income targeting requirements:

- The PHA applies preferences within income tiers
- Not applicable: the pool of applicant families ensures that the PHA will meet income targeting requirements

(5) Occupancy

a. What reference materials can applicants and residents use to obtain information about the rules of occupancy of

public housing (select all that apply)

- The PHA-resident lease
- The PHA's Admissions and (Continued) Occupancy policy
- PHA briefing seminars or written materials
- Other source (list) Management Staff

b. How often must residents notify the PHA of changes in family composition?

(select all that apply)

- At an annual reexamination and lease renewal
- Any time family composition changes
- At family request for revision
- Other (list)

(6) Deconcentration and Income Mixing

a. Yes No: Did the PHA's analysis of its family (general occupancy) developments to determine concentrations of poverty indicate the need for measures to promote deconcentration of poverty or income mixing?

b. Yes No: Did the PHA adopt any changes to its **admissions policies** based on the results of the required analysis of the need to promote deconcentration of poverty or to assure income mixing?

c. If the answer to b was yes, what changes were adopted? (select all that apply)

Adoption of site-based waiting lists
If selected, list targeted developments below:

Employing waiting list "skipping" to achieve deconcentration of poverty or income mixing goals at targeted developments
If selected, list targeted developments below:

Employing new admission preferences at targeted developments
If selected, list targeted developments below:

Other (list policies and developments targeted below)

d. Yes No: Did the PHA adopt any changes to **other** policies based on the results of the required analysis of the need for deconcentration of poverty and income mixing?

e. If the answer to d was yes, how would you describe these changes? (select all that apply)

- Additional affirmative marketing
- Actions to improve the marketability of certain developments
- Adoption or adjustment of ceiling rents for certain developments
- Adoption of rent incentives to encourage deconcentration of poverty and income-mixing
- Other (list below)

f. Based on the results of the required analysis, in which developments will the PHA make special efforts to attract or retain higher-income families? (select all that apply)

- Not applicable: results of analysis did not indicate a need for such efforts
- List (any applicable) developments below: ALL

g. Based on the results of the required analysis, in which developments will the PHA make special efforts to assure access for lower-income families? (select all that apply)

- Not applicable: results of analysis did not indicate a need for such efforts
- List (any applicable) developments below:

B. Section 8

Exemptions: PHAs that do not administer section 8 are not required to complete sub-component 3B.

Unless otherwise specified, all questions in this section apply only to the tenant-based section 8 assistance program (vouchers, and until completely merged into the voucher program, certificates).

a. What is the extent of screening conducted by the PHA? (select all that apply)

- Criminal or drug-related activity only to the extent required by law or regulation
- Criminal and drug-related activity, more extensively than required by law or regulation
- More general screening than criminal and drug-related activity (list factors below)
- Other (list below)
Family Composition, Income Eligibility, Need for Assistance, Disclosure of Social Security Numbers, Citizenship, etc.

b. Yes No: Does the PHA request criminal records from local law enforcement agencies for screening purposes?

- c. Yes No: Does the PHA request criminal records from State law enforcement agencies for screening purposes?
- d. Yes No: Does the PHA access FBI criminal records from the FBI for screening purposes? (either directly or through an NCIC-authorized source)
- e. Indicate what kinds of information you share with prospective landlords? (select all that apply)
- Criminal or drug-related activity
- Other (describe below)
- Previous Landlord Name and Number, etc.

(2) Waiting List Organization

- a. With which of the following program waiting lists is the section 8 tenant-based assistance waiting list merged? (select all that apply)
- None
- Federal public housing
- Federal moderate rehabilitation
- Federal project-based certificate program
- Other federal or local program (list below)
- b. Where may interested persons apply for admission to section 8 tenant-based assistance? (select all that apply)
- PHA main administrative office
- Other (list below)

(3) Search Time

- a. Yes No: Does the PHA give extensions on standard 60-day period to search for a unit?

If yes, state circumstances below:

Market availability, unforeseen circumstances (case-by-case judgement)

(4) Admissions Preferences

- a. Income targeting
- Yes No: Does the PHA plan to exceed the federal targeting requirements by targeting more than 75% of all new admissions to the section 8 program to families at or below 30% of median area income?

b. Preferences

1. Yes ___ No: Has the PHA established preferences for admission to section 8 tenant-based assistance? (other than date and time of application) (if no, skip to subcomponent **(5) Special purpose programs**) **section 8 assistance**

2. Which of the following admission preferences does the PHA plan to employ in the coming year? (select all that apply from either former Federal preferences or other preferences)

Former Federal preferences

- Involuntary Displacement (Disaster, Government Action, Action of Housing Owner, Inaccessibility, Property Disposition)
- Victims of domestic violence
- Substandard housing
- Homelessness
- High rent burden (rent is > 50 percent of income)

Other preferences (select all that apply)

- Working families and those unable to work because of age or disability
- Veterans and veterans' families
- Residents who live and/or work in your jurisdiction
- Those enrolled currently in educational, training, or upward mobility programs
- Households that contribute to meeting income goals (broad range of incomes)
- Households that contribute to meeting income requirements (targeting)
- Those previously enrolled in educational, training, or upward mobility programs
- Victims of reprisals or hate crimes
- Other preference(s) (list below)

3. If the PHA will employ admissions preferences, please prioritize by placing a "1" in the space that represents your first priority, a "2" in the box representing your second priority, and so on. If you give equal weight to one or more of these choices (either through an absolute hierarchy or through a point system), place the same number next to each. That means you can use "1" more than once, "2" more than once, etc.

8 Date and Time

Former Federal preferences

- 2 Involuntary Displacement (Disaster, Government Action, Action of Housing Owner, Inaccessibility, Property Disposition)
- Victims of domestic violence

- 6 Substandard housing
- 3 Homelessness
- ___ High rent burden

Other preferences (select all that apply)

- 1 Working families and those unable to work because of age or disability
- ___ Veterans and veterans' families
- 7 Residents who live and/or work in your jurisdiction
- 4 Those enrolled currently in educational, training, or upward mobility programs
- Households that contribute to meeting income goals (broad range of incomes)
- 5 Households that contribute to meeting income requirements (targeting)
- ___ Those previously enrolled in educational, training, or upward mobility programs
- ___ Victims of reprisals or hate crimes
- ___ Other preference(s) (list below)

4. Among applicants on the waiting list with equal preference status, how are applicants selected? (select one)

- x Date and time of application
- ___ Drawing (lottery) or other random choice technique

5. If the PHA plans to employ preferences for "residents who live and/or work in the jurisdiction" (select one)

- ___ This preference has previously been reviewed and approved by HUD
- x The PHA requests approval for this preference through this PHA Plan

6. Relationship of preferences to income targeting requirements: (select one)

- ___ The PHA applies preferences within income tiers
- x Not applicable: the pool of applicant families ensures that the PHA will meet income targeting requirements

(5) Special Purpose Section 8 Assistance Programs

a. In which documents or other reference materials are the policies governing eligibility, selection, and admissions to any special-purpose section 8 program administered by the PHA contained? (select all that apply)

- x The Section 8 Administrative Plan
- ___ Briefing sessions and written materials

____ Other (list below)

b. How does the PHA announce the availability of any special-purpose section 8 programs to the public?

____ Through published notices

x Other (list below)

Have not done so in past, Special Purpose Section 8 Certificates/Vouchers have always been earmarked for specific clients (i.e. Family Unification Program, Central City Homes Relocation)

4. PHA Rent Determination Policies

[24 CFR Part 903.7 9 (d)]

A. Public Housing

Exemptions: PHAs that do not administer public housing are not required to complete sub-component

(1) Income Based Rent Policies

Describe the PHA's income based rent setting policy/ies for public housing using, including discretionary (that is, not required by statute or regulation) income disregards and exclusions, in the appropriate spaces below.

a. Use of discretionary policies: (select one)

____ The PHA will not employ any discretionary rent-setting policies for income based rent in public housing. Income-based rents are set at the higher of 30% of adjusted monthly income, 10% of unadjusted monthly income, the welfare rent, or minimum rent (less HUD mandatory deductions and exclusions). (If selected, skip to sub-component (2))

---or---

x The PHA employs discretionary policies for determining income based rent (If selected, continue to question b.)

b. Minimum Rent

1. What amount best reflects the PHA's minimum rent? (select one)

____ \$0

____ \$1-\$25

x \$26-\$50

2. x Yes ____ No: Has the PHA adopted any discretionary minimum rent hardship

exemption policies? Will be stated in policies.

3. If yes to question 2, list these policies below:

Exceptions to the application of the minimum monthly rental amount apply to any family unable to pay because of financial hardship. Request must be in writing.

c. Rents set at less than 30% than adjusted income

1. ___ Yes x No: Does the PHA plan to charge rents at a fixed amount or percentage less than 30% of adjusted income?

2. If yes to above, list the amounts or percentages charged and the circumstances under which these will be used below:

d. Which of the discretionary (optional) deductions and/or exclusions policies does the PHA plan to employ (select all that apply)

x For the earned income of a previously unemployed household member

___ For increases in earned income

___ Fixed amount (other than general rent-setting policy)

If yes, state amount/s and circumstances below:

___ Fixed percentage (other than general rent-setting policy)

If yes, state percentage/s and circumstances below:

___ For household heads

___ For other family members

x For transportation expenses

x For the non-reimbursed medical expenses of non-disabled or non-elderly families

___ Other (describe below)

e. Ceiling rents

1. Do you have ceiling rents? (rents set at a level lower than 30% of adjusted income) (select one)

x Yes for all developments

___ Yes but only for some developments

___ No

2. For which kinds of developments are ceiling rents in place? (select all that apply)

- For all developments
- For all general occupancy developments (not elderly or disabled or elderly only)
- For specified general occupancy developments
- For certain parts of developments; e.g., the high-rise portion
- For certain size units; e.g., larger bedroom sizes
- Other (list below)

3. Select the space or spaces that best describe how you arrive at ceiling rents (select all that apply)

- Market comparability study
- Fair market rents (FMR)
- 95th percentile rents
- 75 percent of operating costs
- 100 percent of operating costs for general occupancy (family) developments
- Operating costs plus debt service
- The "rental value" of the unit
- Other (list below)

f. Rent re-determinations:

1. Between income reexaminations, how often must tenants report changes in income or family composition to the PHA such that the changes result in an adjustment to rent? (select all that apply)

- Never
- At family option
- Any time the family experiences an income increase
- Any time a family experiences an income increase above a threshold amount or percentage: (if selected, specify threshold)_____
- Other (list below)

Within 30 days of occurrence

g. x Yes ___ No: Does the PHA plan to implement individual savings accounts for residents (ISAs) as an alternative to the required 12 month disallowance of earned income and phasing in of rent increases in the next year?

(2) Flat Rents

1. In setting the market-based flat rents, what sources of information did the PHA use to establish comparability? (select all that apply.)

- The section 8 rent reasonableness study of comparable housing
- Survey of rents listed in local newspaper
- Survey of similar unassisted units in the neighborhood
- Other (list/describe below)

Survey of Rents listed by Realtors.

B. Section 8 Tenant-Based Assistance

Exemptions: PHAs that do not administer Section 8 tenant-based assistance are not required to complete sub-component 4B. **Unless otherwise specified, all questions in this section apply only to the tenant-based section 8 assistance program (vouchers, and until completely merged into the voucher program).**

(1) Payment Standards

Describe the voucher payment standards and policies.

a. What is the PHA's payment standard? (select the category that best describes your standard)

- At or above 90% but below 100% of FMR
- 100% of FMR
- Above 100% but at or below 110% of FMR
- Above 110% of FMR (if HUD approved; describe circumstances below)

b. If the payment standard is lower than FMR, why has the PHA selected this standard? (select all that apply)

- FMRs are adequate to ensure success among assisted families in the PHA's segment of the FMR area
- The PHA has chosen to serve additional families by lowering the payment standard
- Reflects market or submarket
- Other (list below)

c. If the payment standard is higher than FMR, why has the PHA chosen this level? (select all that apply)

- FMRs are not adequate to ensure success among assisted families in the PHA's segment of the FMR area
- Reflects market or submarket
- To increase housing options for families
- Other (list below)

d. How often are payment standards reevaluated for adequacy? (select one)

- Annually
- Other (list below)

e. What factors will the PHA consider in its assessment of the adequacy of its payment standard? (select all that apply)

- Success rates of assisted families
- Rent burdens of assisted families
- Other (list below)

(2) Minimum Rent

a. What amount best reflects the PHA’s minimum rent? (select one)

- \$0
- \$1-\$25
- \$26-\$50

b. Yes No: Has the PHA adopted any discretionary minimum rent hardship exemption policies? (if yes, list below)

Exemption due to Financial Hardship.

5. Operations and Management

[24 CFR Part 903.7 9 (e)]

Exemptions from Component 5: High performing and small PHAs are not required to complete this section. Section 8 only PHAs must complete parts A, B, and C(2)

A. PHA Management Structure

Select one) PHA’s management structure and organization.

- An organization chart showing the PHA’s management structure and organization is attached.
- A brief description of the management structure and organization of the PHA follows:

B. HUD Programs Under PHA Management

List Federal programs administered by the PHA, number of families served at the beginning of the upcoming fiscal year, and expected turnover in each. (Use “NA” to indicate that the PHA does not operate any of the programs listed below.)

Program Name	Units or Families Served at Year Beginning	Expected Turnover
Public Housing	2020	350
Section 8 Vouchers	71	*49
Section 8 Certificates	169	See * Below

Section 8 Mod Rehab	N/A	N/A
Special Purpose Section 8 Certificates/Vouchers (list individually)	55 Vouchers-Central City Relocation 50 Vouchers- FUP	See * Below
Public Housing Drug Elimination Program (PHDEP)	2020	N/A
Other Federal Programs(list individually)		
Turnkey III- Homeownership	14	N/A

* Expected Turnover - Combination between all programs

C. Management and Maintenance Policies

List the PHA's public housing management and maintenance policy documents, manuals and handbooks that contain the Agency's rules, standards, and policies that govern maintenance and management of public housing, including a description of any measures necessary for the prevention or eradication of pest infestation (which includes cockroach infestation) and the policies governing Campus of Learners Admissions & Continued Occupancy Policy, Family Self-Sufficiency/Action Plan.

(1) Public Housing Maintenance and Management: (list below)
 Maintenance Plan, Management Plan, Admissions & Continued Occupancy Policy

(2) Section 8 Management: (list below)

Section 8 Administrative Plan

(3) Turnkey III-Homeownership

HUD Handbook 7495.3
 Mary A. Class Action Settlement

6. PHA Grievance Procedures

[24 CFR Part 903.7 9 (f)]

Exemptions from component 6: High performing PHAs are not required to complete component 6. Section 8-Only PHAs are exempt from sub-component 6A.

A. Public Housing

1. ___ Yes x No: Has the PHA established any written grievance procedures in addition to federal requirements found at 24 CFR Part 966, Subpart B, for residents of public housing?

If yes, list additions to federal requirements below:

2. Which PHA office should residents or applicants to public housing contact to initiate the PHA grievance process?

(select all that apply)

- PHA main administrative office
- PHA development management offices
- Other (list below)

B. Section 8 Tenant-Based Assistance

1. Yes No: Has the PHA established informal review procedures for applicants to the Section 8 tenant-based assistance program and informal hearing procedures for families assisted by the Section 8 tenant-based assistance program in addition to federal requirements found at 24 CFR 982?

If yes, list additions to federal requirements below:

2. Which PHA office should applicants or assisted families contact to initiate the informal review and informal hearing processes? (select all that apply)

- PHA main administrative office
- Other (list below)

7. Capital Improvement Needs

[24 CFR Part 903.79 (g)]

Exemptions from Component 7: Section 8 only PHAs are not required to complete this component and may skip to Component 8.

A. Capital Fund Activities

Exemptions from sub-component 7A: PHAs that will not participate in the Capital Fund Program may skip to component 7B. All other PHAs must complete 7A as instructed.

(1) Capital Fund Program Annual Statement

Using parts I, II, and III of the Annual Statement for the Capital Fund Program (CFP), identify capital activities the PHA is proposing for the upcoming year to ensure long-term physical and social viability of its public housing developments. This statement can be completed by using the CFP Annual Statement tables provided in the table library at the end of the PHA Plan template OR, at the PHA's option, by completing and attaching a properly updated HUD-52837.

Select one:
The Capital Fund Program Annual Statement is provided as an attachment to the PHA Plan at Attachment (state name) (52387 attached)

-or-

The Capital Fund Program Annual Statement is provided below: (if selected, copy the CFP Annual Statement from the Table Library and insert here)

(2) Optional 5-Year Action Plan

Agencies are encouraged to include a 5-Year Action Plan covering capital work items. This statement can be completed by using the 5-Year Action Plan table provided in the table library at the end of the PHA Plan template OR by completing and attaching a properly updated HUD-52834. **NO. Is the PHA providing an optional 5-Year Action Plan for the Capital Fund? (if no, skip to sub-component 7B)**

b. If yes to question a, select one:

The Capital Fund Program 5-Year Action Plan is provided as an attachment to the PHA Plan at Attachment (state name) (52834 attached)

-or-

The Capital Fund Program 5-Year Action Plan is provided below: (if selected, copy the CFP optional 5 Year Action Plan from the Table Library and insert here)

B. HOPE VI and Public Housing Development and Replacement Activities (Non-Capital Fund)

Applicability of sub-component 7B: All PHAs administering public housing. Identify any approved HOPE VI and/or public housing development or replacement activities not described in the Capital Fund Program Annual Statement.

Yes No: a) Has the PHA received a HOPE VI revitalization grant? (if no, skip to question c; if yes, provide responses to question b for each grant, copying and completing as many times as necessary)
b) Status of HOPE VI revitalization grant (complete one set of questions for each grant)

1. Development name:

2. Development (project) number:

3. Status of grant: (select the statement that best describes the current status)

- Revitalization Plan under development
- Revitalization Plan submitted, pending approval
- Revitalization Plan approved
- Activities pursuant to an approved Revitalization Plan underway

Yes No: c) Does the PHA plan to apply for a HOPE VI Revitalization grant in the Plan year?

If yes, list development name/s below:

Yes No: d) Will the PHA be engaging in any mixed-finance development activities for public housing in the Plan year?

If yes, list developments or activities below:

Mixed-finance development is waived for public housing project IL06P001048.

8. Demolition and Disposition

[24 CFR Part 903.7 9 (h)]

Applicability of component 8: Section 8 only PHAs are not required to complete this section.

1. Yes No: Does the PHA plan to conduct any demolition or disposition activities (pursuant to section 18 of the U.S. Housing Act of 1937 (42 U.S.C. 1437p)) in the plan Fiscal Year? (If “No”, skip to component 9; if “yes”, complete one activity description for each development.)

2. Activity Description

Yes No: Has the PHA provided the activities description information in the optional Public Housing Asset Management Table? (If “yes”, skip to component 9. If “No”, complete the Activity Description table below.)

<u>Demolition/Disposition Activity Description</u>
1a. Development name: Turnkey III 1b. Development (project) number: 1-18
2. Activity type: <input checked="" type="checkbox"/> Demolition <input checked="" type="checkbox"/> Disposition
3. Application status (select one) <input type="checkbox"/> Approved <input checked="" type="checkbox"/> Submitted, pending approval <input type="checkbox"/> Planned application
4. Date application approved, submitted, or planned for submission: (02/06/98)
5. Number of units affected: Coverage of action (select one) <input checked="" type="checkbox"/> Part of the development <input type="checkbox"/> Total development
7. Timeline for activity: a. Actual or projected start date of activity: 04/01/00 b. Projected end date of activity: 03/31/01

8. Demolition and Disposition

[24 CFR Part 903.79 (h)]

Applicability of component 8: Section 8 only PHAs are not required to complete this section.

1. Yes No: Does the PHA plan to conduct any demolition or disposition activities (pursuant to section 18 of the U.S. Housing Act of 1937 (42 U.S.C. 1437p)) in the plan Fiscal Year? (If “No”, skip to component 9; if “yes”, complete one activity description for each development.)

2. Activity Description

Yes No: Has the PHA provided the activities description information in the optional Public Housing Asset Management Table? (If “yes”, skip to component 9. If “No”, complete the Activity Description table below.)

Demolition/Disposition Activity Description
1a. Development name: Turnkey III
1b. Development (project) number: 1-20
2. Activity type: <input checked="" type="checkbox"/> Demolition <input checked="" type="checkbox"/> Disposition
3. Application status (select one) <input type="checkbox"/> Approved <input checked="" type="checkbox"/> Submitted, pending approval <input type="checkbox"/> Planned application
4. Date application approved, submitted, or planned for submission: (02/06/98)
5. Number of units affected: Coverage of action (select one) <input checked="" type="checkbox"/> Part of the development <input type="checkbox"/> Total development
7. Timeline for activity: a. Actual or projected start date of activity: 04/01/00 b. Projected end date of activity: 03/31/01

8. Demolition and Disposition

[24 CFR Part 903.79 (h)]

Applicability of component 8: Section 8 only PHAs are not required to complete this section.

1. Yes No: Does the PHA plan to conduct any demolition or disposition activities (pursuant to section 18 of the U.S. Housing Act of 1937 (42 U.S.C. 1437p)) in the plan Fiscal Year? (If “No”, skip to component 9; if “yes”, complete one activity description for each development.)

2. Activity Description

x Yes ___ No: Has the PHA provided the activities description information in the optional Public Housing Asset Management Table? (If “yes”, skip to component 9. If “No”, complete the Activity Description table below.)

Demolition/Disposition Activity Description
1a. Development name: Turnkey III 1b. Development (project) number: 1-22
2. Activity type: x Demolition x Disposition
3. Application status (select one) ___ Approved x Submitted, pending approval ___ Planned application
4. Date application approved, submitted, or planned for submission: (02/06/98)
5. Number of units affected: Coverage of action (select one) x Part of the development ___ Total development
7. Timeline for activity: a. Actual or projected start date of activity: 04/01/00 b. Projected end date of activity: 03/31/01

8. Demolition and Disposition

[24 CFR Part 903.79 (h)]

Applicability of component 8: Section 8 only PHAs are not required to complete this section.

1. x Yes ___ No: Does the PHA plan to conduct any demolition or disposition activities (pursuant to section 18 of the U.S. Housing Act of 1937 (42 U.S.C. 1437p)) in the plan Fiscal Year? (If “No”, skip to component 9; if “yes”, complete one activity description for each development.)

2. Activity Description

x Yes ___ No: Has the PHA provided the activities description information in the optional Public Housing Asset Management Table? (If “yes”, skip to component 9. If “No”, complete the Activity Description table below.)

Demolition/Disposition Activity Description

1a. Development name: Turnkey III 1b. Development (project) number: 1-23
2. Activity type: <input checked="" type="checkbox"/> Demolition <input checked="" type="checkbox"/> Disposition
3. Application status (select one) <input type="checkbox"/> Approved <input checked="" type="checkbox"/> Submitted, pending approval <input type="checkbox"/> Planned application
4. Date application approved, submitted, or planned for submission: <u>(02/06/98)</u>
5. Number of units affected: Coverage of action (select one) <input checked="" type="checkbox"/> Part of the development <input type="checkbox"/> Total development
7. Timeline for activity: a. Actual or projected start date of activity: 04/01/00 b. Projected end date of activity: 03/31/01

9. Designation of Public Housing for Occupancy by Elderly Families or Families with Disabilities or Elderly Families and Families with Disabilities

[24 CFR Part 903.79 (i)]

Exemptions from Component 9; Section 8 only PHAs are not required to complete this section.

1. Yes No: Has the PHA designated or applied for approval to designate or does the PHA plan to apply to designate any public housing for occupancy only by the elderly families or only by families with disabilities, or by elderly families and families with disabilities or will apply for designation for occupancy by only elderly families or only families with disabilities, or by elderly families and families with disabilities as provided by section 7 of the U.S. Housing Act of 1937 (42 U.S.C. 1437e) in the upcoming fiscal year? (If “No”, skip to component 10. If “yes”, complete one activity description for each development, unless the PHA is eligible to complete a

streamlined submission; PHAs

completing streamlined submissions may skip to component 10.)

2. Activity Description

___ Yes ___ No: Has the PHA provided all required activity description information for this component in the optional Public Housing Asset Management Table? If “yes”, skip to component 10. If “No”, complete the Activity Description/ table below.

Designation of Public Housing Activity Description
1a. Development name: 1b. Development (project) number:
2. Designation type: ___ Occupancy by only the elderly ___ Occupancy by families with disabilities ___ Occupancy by only elderly families and families with disabilities
3. Application status (select one) ___ Approved; included in the PHA’s Designation Plan ___ Submitted, pending approval ___ Planned application
4. Date this designation approved, submitted, or planned for submission: (DD/MM/YY)
5. If approved, will this designation constitute a (select one) ___ New Designation Plan ___ Revision of a previously-approved Designation Plan?
1. Number of units affected: 7. Coverage of action (select one) ___ Part of the development ___ Total development

10. Conversion of Public Housing to Tenant-Based Assistance

[24 CFR Part 903.7 9 (j)]

Exemptions from Component 10; Section 8 only PHAs are not required to complete this section.

A. Assessments of Reasonable Revitalization Pursuant to section 202 of the HUD FY 1996 HUD Appropriations Act

1. ___ Yes x No: Have any of the PHA’s developments or portions of developments been identified by HUD or the PHA as covered under section 202 of the HUD FY 1996 HUD Appropriations Act? (If “No”, skip to component 11; if “yes”, complete one activity description for each identified development, unless eligible to complete a streamlined submission. PHAs completing streamlined submissions may skip to component 11.)

2. Activity Description

___ Yes ___ No: Has the PHA provided all required activity description information for this component in the **optional** Public Housing Asset Management Table? If “yes”, skip to component 11. If “No”, complete the Activity Description table below.

Conversion of Public Housing Activity Description
1a. Development name: 1b. Development (project) number:
2. What is the status of the required assessment? <input type="checkbox"/> Assessment underway <input type="checkbox"/> Assessment results submitted to HUD <input type="checkbox"/> Assessment results approved by HUD (if marked, proceed to next question) <input type="checkbox"/> Other (explain below)
3. ___ Yes ___ No: Is a Conversion Plan required? (If yes, go to block 4; if no, go to block 5.)
4. Status of Conversion Plan (select the statement that best describes the current status) <input type="checkbox"/> Conversion Plan in development <input type="checkbox"/> Conversion Plan submitted to HUD on: (DD/MM/YYYY) <input type="checkbox"/> Conversion Plan approved by HUD on: (DD/MM/YYYY) <input type="checkbox"/> Activities pursuant to HUD-approved Conversion Plan underway
5. Description of how requirements of Section 202 are being satisfied by means other than conversion (select one) <input type="checkbox"/> Units addressed in a pending or approved demolition application (date submitted or approved: <input type="checkbox"/> Units addressed in a pending or approved HOPE VI demolition application (date submitted or approved:) <input type="checkbox"/> Units addressed in a pending or approved HOPE VI Revitalization Plan (date submitted or approved:) <input type="checkbox"/> Requirements no longer applicable: vacancy rates are less than 10 percent <input type="checkbox"/> Requirements no longer applicable: site now has less than 300 units <input type="checkbox"/> Other: (describe below)

B. Reserved for Conversions pursuant to Section 22 of the U.S. Housing Act of 1937

C. Reserved for Conversions pursuant to Section 33 of the U.S. Housing Act of 1937

11. Homeownership Programs Administered by the PHA

[24 CFR Part 903.79 (k)]

A. Public Housing

Exemptions from Component 11A: Section 8 only PHAs are not required to complete 11A.

1. Yes No: Does the PHA administer any Homeownership programs administered by the PHA under an approved section 5(h) Homeownership program (42 U.S.C. 1437c(h)), or an approved HOPE I program (42 U.S.C. 1437aaa) or has the PHA applied or plan to apply to administer any Homeownership programs under section 5(h), the HOPE I program, or section 32 of the U.S. Housing Act of 1937 (42 U.S.C. 1437z-4). (If “No”, skip to component 11B; if “yes”, complete one activity description for each applicable program/plan, unless eligible to complete a streamlined submission due to **small PHA** or **high performing PHA** status. PHAs completing streamlined submissions may skip to component 11B.)

2. Activity Description

Yes No: Has the PHA provided all required activity description information for this component in the **optional** Public Housing Asset Management Table? (If “yes”, skip to component 12. If “No”, complete the Activity Description table below.)

Public Housing Homeownership Activity Description (Complete one for each development affected)	
1a. Development name: 1b. Development (project) number:	
2. Federal Program authority: <input type="checkbox"/> HOPE I <input type="checkbox"/> 5(h) <input checked="" type="checkbox"/> Turnkey III <input type="checkbox"/> Section 32 of the USHA of 1937 (effective 10/1/99)	
3. Application status: (select one) <input type="checkbox"/> Approved; included in the PHA’s Homeownership Plan/Program <input type="checkbox"/> Submitted, pending approval <input type="checkbox"/> Planned application	
4. Date Homeownership Plan/Program approved, submitted, or planned for submission: (DD/MM/YYYY)	
5. Number of units affected: 6. Coverage of action: (select one) <input type="checkbox"/> Part of the development <input type="checkbox"/> Total development	

B. Section 8 Tenant Based Assistance

1. Yes No: Does the PHA plan to administer a Section 8 Homeownership program pursuant to Section 8(y) of the U.S.H.A. of 1937, as implemented by 24 CFR part 982 ? (If “No”, skip to component 12; if “yes”, describe each program using the table below (copy and complete questions for each program identified), unless the PHA is eligible to complete a streamlined submission due to high performer status. **High performing PHAs** may skip to component 12.)

2. Program Description:

a. Size of Program

Yes No: Will the PHA limit the number of families participating in the section 8 Homeownership option?

If the answer to the question above was yes, which statement best describes the number of participants? (select one)

- 25 or fewer participants
- 26 - 50 participants
- 51 to 100 participants
- more than 100 participants

b. PHA-established eligibility criteria

Yes No: Will the PHA’s program have eligibility criteria for participation in its Section 8 Homeownership Option program in addition to HUD criteria?

If yes, list criteria below:

12. PHA Community Service and Self-sufficiency Programs

[24 CFR Part 903.7 9 (l)]

Exemptions from Component 12: High performing and small PHAs are not required to complete this

~~A. PHA Coordination with the Welfare (TANF) Agency~~ component C.

1. Cooperative agreements:

Yes No: Has the PHA has entered into a cooperative agreement with the TANF Agency, to share information and/or target supportive services (as contemplated by section 12(d)(7) of the Housing Act of 1937)?

If yes, what was the date that agreement was signed? 05/18/99

2. Other coordination efforts between the PHA and TANF agency (select all that apply)

- Client referrals
- Information sharing regarding mutual clients (for rent determinations and otherwise)
- Coordinate the provision of specific social and self-sufficiency services and programs to eligible families
- Jointly administer programs
- Partner to administer a HUD Welfare-to-Work voucher program
- Joint administration of other demonstration program
- Other (describe)

B. Services and programs offered to residents and participants

(1) General

a. Self-Sufficiency Policies

Which, if any of the following discretionary policies will the PHA employ to enhance the economic and social self-sufficiency of assisted families in the following areas? (select all that apply)

- Public housing rent determination policies
- Public housing admissions policies
- Section 8 admissions policies
- Preference in admission to section 8 for certain public housing families
- Preferences for families working or engaging in training or education programs for non-housing programs operated or coordinated by the PHA
- Preference/eligibility for public housing Homeownership option participation
- Preference/eligibility for section 8 homeownership option participation
- Other policies (list below)

b. Economic and Social self-sufficiency programs

- Yes No: Does the PHA coordinate, promote or provide any programs to enhance the economic and social self-sufficiency of residents? (If "yes", complete the following table; if "no" skip to sub-component 2, Family Self Sufficiency Programs. The position of the table may be altered to facilitate its use.)

Services and Programs				
Program Name & Description (including location, if appropriate)	Estimated Size	Allocation Method (waiting list/random selection/specific criteria/other)	Access (development office / PHA main office / other provider name)	Eligibility (public housing or section 8 participants or both)
Campus of Learners Program	72	specific criteria	The ESLHA main office & Phoenix Courts Development	public housing

(2) Family Self Sufficiency program/s

a. Participation Description

Family Self Sufficiency (FSS) Participation		
Program	Required Number of Participants (start of FY 2000 Estimate)	Actual Number of Participants (As of: DD/MM/YY)
Public Housing	30	125
Section 8	50	36

b. Yes No: If the PHA is not maintaining the minimum program size required by HUD, does the most recent FSS Action Plan address the steps the PHA plans to take to achieve at least the minimum program size?

If no, list steps the PHA will take below:

C. Welfare Benefit Reductions

1. The PHA is complying with the statutory requirements of section 12(d) of the U.S. Housing Act of 1937 (relating to the treatment of income changes resulting from welfare program requirements) by: (select all that apply)

- Adopting appropriate changes to the PHA's public housing rent determination policies and train staff to carry out those policies
- Informing residents of new policy on admission and reexamination
- Actively notifying residents of new policy at times in addition to admission and reexamination.
- Establishing or pursuing a cooperative agreement with all appropriate TANF agencies regarding the exchange of information and coordination of services
- Establishing a protocol for exchange of information with all appropriate TANF agencies
- Other: (list below)

D. Reserved for Community Service Requirement pursuant to section 12(c) of the U.S. Housing Act of 1937

13. PHA Safety and Crime Prevention Measures

[24 CFR Part 903.79 (m)]

Exemptions from Component 13: High performing and small PHAs not participating in PHDEP and Section 8 D for PHAs seeking to ensure the safety of public housing residents are participating in PHDEP and are submitting a PHDEP Plan with this PHA Plan may skip to sub-component D.

1. Describe the need for measures to ensure the safety of public housing residents (select all that apply)

- High incidence of violent and/or drug-related crime in some or all of the PHA's developments
- High incidence of violent and/or drug-related crime in the areas surrounding or adjacent to the PHA's developments
- Residents fearful for their safety and/or the safety of their children
- Observed lower-level crime, vandalism and/or graffiti
- People on waiting list unwilling to move into one or more developments due to perceived and/or actual levels of violent and/or drug-related crime
- Other (describe below)

2. What information or data did the PHA used to determine the need for PHA actions to improve safety of residents (select all that apply).

- Safety and security survey of residents
- Analysis of crime statistics over time for crimes committed "in and around" public housing authority
- Analysis of cost trends over time for repair of vandalism and removal of graffiti
- Resident reports
- PHA employee reports
- Police reports
- Demonstrable, quantifiable success with previous or ongoing anticrime/anti drug programs

_____ Other (describe below)

3. Which developments are most affected? (list below)

All Developments

B. Crime and Drug Prevention activities the PHA has undertaken or plans to undertake in the next PHA fiscal year

1. List the crime prevention activities the PHA has undertaken or plans to undertake: (select all that apply)

- Contracting with outside and/or resident organizations for the provision of crime- and/or drug-prevention activities
- Crime Prevention Through Environmental Design
- Activities targeted to at-risk youth, adults, or seniors
- Volunteer Resident Patrol/Block Watchers Program
- _____ Other (describe below)

2. Which developments are most affected? (list below)

All Developments

C. Coordination between PHA and the police

1. Describe the coordination between the PHA and the appropriate police precincts for carrying out crime prevention measures and activities: (select all that apply)

- Police involvement in development, implementation, and/or ongoing evaluation of drug-elimination plan
- Police provide crime data to housing authority staff for analysis and action
- Police have established a physical presence on housing authority property (e.g., community policing office, officer in residence)
- Police regularly testify in and otherwise support eviction cases
- Police regularly meet with the PHA management and residents
- Agreement between PHA and local law enforcement agency for provision of above-baseline law enforcement services
- _____ Other activities (list below)
Anti-Drug Rally Activity

2. Which developments are most affected? (list below)

All Developments

D. Additional information as required by PHDEP/PHDEP Plan

PHAs eligible for FY 2000 PHDEP funds must provide a PHDEP Plan meeting specified requirements prior to receipt of PHDEP funds.

Yes _____ No: Is the PHA eligible to participate in the PHDEP in the fiscal year _____

covered by this PHA Plan?

x Yes ___ No: Has the PHA included the PHDEP Plan for FY 2000 in this PHA Plan?

x Yes ___ No: This PHDEP Plan is an Attachment. (Attachment Filename: ___)

14. RESERVED FOR PET POLICY

15. Civil Rights Certifications

[24 CFR Part 903.7 9 (o)]

Civil rights certifications are included in the PHA Plan Certifications of Compliance with the PHA Plans and Related Regulations.

16. Fiscal Audit

[24 CFR Part 903.7 9 (p)]

1. x Yes ___ No: Is the PHA required to have an audit conducted under section 5(h)(2) of the U.S. Housing Act of 1937 (42 U.S.C. 1437c(h))? (If no, skip to component 17.)
2. x Yes ___ No: Was the most recent fiscal audit submitted to HUD?
3. ___ Yes x No: Were there any findings as the result of that audit?
4. ___ Yes ___ No: If there were any findings, do any remain unresolved? If yes, how many unresolved findings remain? ___
5. ___ Yes ___ No: Have responses to any unresolved findings been submitted to HUD? If not, when are they due (state below)?

17. PHA Asset Management

[24 CFR Part 903.7 9 (q)]

Exemptions from component 17: Section 8 Only PHAs are not required to complete this component.

High performing and small PHAs are not required to complete this component.

1. x Yes ___ No: Is the PHA engaging in any activities that will contribute to the long-term asset management of its public housing stock , including how the Agency will plan for long-term operating, capital investment, rehabilitation, modernization, disposition, and other needs that have **not** been addressed elsewhere in this PHA Plan?

2. What types of asset management activities will the PHA undertake? (select all that apply)
___ Not applicable
___ Private management
___ Development-based accounting

- Comprehensive stock assessment
 Other: (list below)

3. Yes No: Has the PHA included descriptions of asset management activities in the **optional** Public Housing Asset Management Table?

18. Other Information

[24 CFR Part 903.7 9 (r)]

A. Resident Advisory Board Recommendations

1. Yes No: Did the PHA receive any comments on the PHA Plan from the Resident Advisory Board/s?

2. If yes, the comments are: (if comments were received, the PHA **MUST** select one)

Attached at Attachment (File name)

Provided below:

3. In what manner did the PHA address those comments? (select all that apply)

Considered comments, but determined that no changes to the PHA Plan were necessary.

The PHA changed portions of the PHA Plan in response to comments

List changes below:

Other: (list below)

B. Description of Election process for Residents on the PHA Board

1. Yes No: Does the PHA meet the exemption criteria provided section 2(b)(2) of the U.S. Housing Act of 1937? (If no, continue to question 2; if yes, skip to sub-component C.)

2. Yes No: Was the resident who serves on the PHA Board elected by the residents? (If yes, continue to question 3; if no, skip to sub-component C.)

3. Description of Resident Election Process

a. Nomination of candidates for place on the ballot: (select all that apply)

- Candidates were nominated by resident and assisted family organizations
- Candidates could be nominated by any adult recipient of PHA assistance
- Self-nomination: Candidates registered with the PHA and requested a place on ballot
- Other: (describe)

b. Eligible candidates: (select one)

- Any recipient of PHA assistance
- Any head of household receiving PHA assistance
- Any adult recipient of PHA assistance
- Any adult member of a resident or assisted family organization
- Other (list) Any Resident Council Presidents

c. Eligible voters: (select all that apply)

- All adult recipients of PHA assistance (public housing and section 8 tenant-based assistance)
- Representatives of all PHA resident and assisted family organizations
- Other (list) Any Resident Council Presidents

C. Statement of Consistency with the Consolidated Plan

For each applicable Consolidated Plan, make the following statement (copy questions as many times as necessary)

1. Consolidated Plan jurisdiction: (provide name here) East St. Louis, Illinois

2. The PHA has taken the following steps to ensure consistency of this PHA Plan with the Consolidated Plan for the jurisdiction: (select all that apply)

- The PHA has based its statement of needs of families in the jurisdiction on the needs expressed in the Consolidated Plan/s.
- The PHA has participated in any consultation process organized and offered by the Consolidated Plan agency in the development of the Consolidated Plan.
- The PHA has consulted with the Consolidated Plan agency during the development of this PHA Plan.
- Activities to be undertaken by the PHA in the coming year are consistent with the initiatives contained in the Consolidated Plan. (list below)

Continuation of remaining 361 replacement units

Expend approximately 3,800,000 for modernization & upgrade of existing units.

Other: (list below)

4. The Consolidated Plan of the jurisdiction supports the PHA Plan with the following actions and commitments: (describe below)

Projects located in the proximity of: 12th St. & Bond, Gay Ave., South 8th St.

at Piggott Ave Pump Station Piggott Ave 11-1200 Blocks

D. Other Information Required by HUD

Use this section to provide any additional information requested by HUD.

February 24, 2000

Debra Torres, Director
Office of Public Housing
Illinois State Office
77 West Johnson Blvd., Suite 2400
Chicago, Illinois 60604-3507

Attention: Elmore Richardson,
C. R. & I. Specialist

Re: Five (5) Year Agency/Annual Plans

Dear Ms. Torres,

The Housing Authority of the City of East St. Louis (ESLHA) electronically submitted its five (5) year Agency/Annual Plans on Friday February 24, 2000.

The following attachments; (Admissions Policy for Deconcentration, FY '2000 Capital Fund Program Annual Statement and the most recent board approved operating budget); are being forwarded to you as required by the regulations governing the five (5) year plans.

If you have any questions and need to contact me, I can be reached at (618) 271-0498 or you can contact Ruby Mister, Director of Resident Services at ext. 241.

Sincerely,

William E. Wilkins
Executive Director

WEW/cw
Enclosure

Public Housing Drug Elimination Program Plan

Note: THIS PHDEP Plan template (HUD 50075-PHDEP Plan) is to be completed in accordance with Instructions located in applicable PIH Notices.

Annual PHDEP Plan Table of Contents:

1. General Information/History
2. PHDEP Plan Goals/Budget
3. Milestones
4. Certifications

Section 1: General Information/History

- A. Amount of PHDEP Grant \$ 481,500**
B. Eligibility type (Indicate with an "x") N1 _____ N2 _____ R x
C. FFY in which funding is requested 2000
D. Executive Summary of Annual PHDEP Plan

In the space below, provide a brief overview of the PHDEP Plan, including highlights of major initiatives or activities undertaken. It may include a description of the expected outcomes. The summary must not be more than five (5) sentences long

The Housing Authority of the City of East St. Louis (ESLHA) requests eligible PHDEP funds to continue to support the safety and well being of residents living in and around ESLHA developments. ESLHA has designed a comprehensive Weed and Seed approach that is supported by many state and local organizations. ESLHA proposes to utilize Community Oriented Police (COP) Officers, the Metropolitan Law Enforcement Group of Southwestern Illinois (MEGSI), resident patrols, and new Neighborhood Watch programs to combat covert and overt criminal activity and drug activity. Two Community Relations Specialists will be hired to promote these efforts and monitor their progress. ESLHA proposes to continue the six (6) After School Programs, Rookie Baseball Program, Gompers Youth Leadership Program and add a Youth Police Academy.

E. Target Areas

Complete the following table by indicating each PHDEP Target Area (development or site where activities will be conducted), the total number of units in each PHDEP Target Area, and the total number of individuals expected to participate in PHDEP sponsored activities in each Target Area.

PHDEP Target Areas (Name of development(s) or site)	Total # of Units within the PHDEP Target Area(s)	Total Population to be Served within the PHDEP Target Area(s)
All sites	2189	6887

F. Duration of Program

Indicate the duration (number of months funds will be required) of the PHDEP Program proposed under this Plan (place an "x" to indicate the length of program by # of months. For "Other", identify the # of months).

6 Months _____ 12 Months _____ 18 Months _____ 24 Months x Other _____

G. PHDEP Program History

Indicate each FY that funding has been received under the PHDEP Program (place an “x” by each applicable Year) and provide amount of funding received. If previously funded programs have not been closed out at the time of this submission, indicate the fund balance and anticipated completion date. For grant extensions received, place “GE” in column or “W” for waivers.

Fiscal Year of Funding	PHDEP Funding Received	Grant #	Fund Balance as of Date of this Submission	Grant Extensions or Waivers	Anticipated Completion Date
FY 1995 x	\$583,750	IL06DEP0010195	0	0	Closed
FY 1996 x	\$570,250	IL06DEP0010196	0	0	Closed
FY 1997 x	\$581,100	IL06DEP0010197	0	0	Closed
FY 1998 x	\$572,260	IL06DEP0010198	\$406,188.19	0	11/30/00
FY 1999 x	\$451,098	IL06DEP0010199	\$451,098	0	01/03/02

Section 2: PHDEP Plan Goals and Budget

A. PHDEP Plan Summary

In the space below, summarize the PHDEP strategy to address the needs of the target population/target area(s). Your summary should briefly identify: the broad goals and objectives, the role of plan partners, and your system or process for monitoring and evaluating PHDEP-funded activities. This summary should not exceed 5-10 sentences.

ESLHA’s PHDEP plan is holistic Weed and Seed approach in that it addresses the transient nature of criminal offenders in our developments and addresses the risk factors that make people more likely to abuse drugs or become involved in drug-related criminal activity. ESLHA will utilize COP Officers, MEGSI, Resident Patrols and new Neighborhood Watch organizations to combat overt and covert drug trafficking and drug-related crime as part of its Weed effort. COP Officers will assist in training residents to report and observe crime, conduct safety workshops and maintain a visible presence at resident activities and on patrols. Our law enforcement objectives include reducing part I and part II crimes in our developments, improving enforcement of One Strike You’re Out and grievance procedures. Developing new Neighborhood Watch groups and improving ESLHA management staff’s ability to track, report on, and evict criminals and lease violators in our developments. ESLHA proposes the continuation of the prevention programs as part of its Seed effort, which includes; six (6) After School Programs the Rookie Baseball Program, the Gompers Youth Leadership Program and the new Youth Police Academy. Approximately 1200 youth are actively involved in these programs on a consistent basis. Our goal is to increase the participants grades, increase the number of high school seniors attending college, maintain a drug free status among participants, increase their social skills and conflict resolution skills so that they will be prepared to combat the negative factors that lead to drug and criminal activity.

B. PHDEP Budget Summary

Enter the total amount of PHDEP funding allocated to each line item.

FY <u>2000</u> PHDEP Budget Summary	
Budget Line Item	Total Funding
9110 - Reimbursement of Law Enforcement	\$104,871.03
9120 - Security Personnel	
9130 - Employment of Investigators	
9140 - Voluntary Tenant Patrol	
9150 - Physical Improvements	
9160 - Drug Prevention	\$130,000
9170 - Drug Intervention	
9180 - Drug Treatment	
9190 - Other Program Costs	\$246,708.97
TOTAL PHDEP FUNDING	\$481,580

C. PHDEP Plan Goals and Activities

In the tables below, provide information on the PHDEP strategy summarized above by budget line item. Each goal and objective should be numbered sequentially for each budget line item (where applicable). Use as many rows as necessary to list proposed activities (additional rows may be inserted in the tables). PHAs are not required to provide information in shaded boxes. Information provided must be concise—not to exceed two sentences in any column. Tables for line items in which the PHA has no planned goals or activities may be deleted.

9110 - Reimbursement of Law Enforcement						Total PHDEP Funding: \$104,871.03	
Goal(s)		To reduce part I and Part II crimes by 3% .					
Objectives		To conduct covert and overt investigations, conduct patrols, work with residents, submit reports.					
Proposed Activities	# of Persons Served	Target Population	Start Date	Expected Complete Date	PHDEP Funding	Other Funding (Amount/Source)	Performance Indicators
1. East St. Louis Police Dept. COP Officers			12/1/00	11/30/01	\$67,871.03	\$117,000/ Capital Fund	Monthly and quarterly narrative reports and statistics.
2. MEGSI Investigators			12/1/00	11/30/01	\$37,000	\$38,000/ Capital Fund	Monthly and quarterly narrative reports and statistics.

9120 - Security Personnel						Total PHDEP Funding: \$	
Goal(s)							
Objectives							
Proposed Activities	# of Persons Served	Target Population	Start Date	Expected Complete Date	PHDEP Funding	Other Funding (Amount/Source)	Performance Indicators
1.							
2.							
3.							

9130 - Employment of Investigators						Total PHDEP Funding: \$	
Goal(s)							
Objectives							
Proposed Activities	# of Persons Served	Target Population	Start Date	Expected Complete Date	PHDEP Funding	Other Funding (Amount/Source)	Performance Indicators
1.							
2.							
3.							

9140 - Voluntary Tenant Patrol					Total PHDEP Funding: \$		
Goal(s)							
Objectives							
Proposed Activities	# of Persons Served	Target Population	Start Date	Expected Complete Date	PHEDEP Funding	Other Funding (Amount /Source)	Performance Indicators
1.							
2.							
3.							

9150 - Physical Improvements					Total PHDEP Funding: \$		
Goal(s)							
Objectives							
Proposed Activities	# of Persons Served	Target Population	Start Date	Expected Complete Date	PHEDEP Funding	Other Funding (Amount /Source)	Performance Indicators
1.							
2.							
3.							

9160 - Drug Prevention					Total PHDEP Funding: \$130,000		
Goal(s)							
To increase participants grades and social skills, increase number attending post-secondary education and increase their employability, maintaining their drug free status.							
Objectives							
Maintain high program attendance rates, monitor youths grades and skills, provide educational and recreational opportunities designed for the participants level.							
Proposed Activities	# of Persons Served	Target Population	Start Date	Expected Complete Date	PHEDEP Funding	Other Funding (Amount /Source)	Performance Indicators
1. Youth Police Academy	160	Children from the Family Developments	12/1/01	11/30/02	\$10,000	\$10,000/ IL State Police	Site, visits, monthly reports, statistics.
2. After School Programs: Roosevelt Homes Program Orr Weathers Program	640	Children from the Family Developments	12/1/01	11/30/02	\$40,000	\$60,500/ Griffin Center	Site visits, monthly reports, statistics
3. After School Programs: John DeShields Homes Villa Griffin Homes John Robinson Homes Norman E. Owens Homes	640	Children from the Family Developments	01/4/01	01/03/02	\$80,000	\$88,974/ Griffin Center	Site visits, monthly reports, statistics

9170 - Drug Intervention					Total PHDEP Funding: \$		
Goal(s)							
Objectives							
Proposed Activities	# of Persons Served	Target Population	Start Date	Expected Complete Date	PHDEP Funding	Other Funding (Amount /Source)	Performance Indicators
1.							
2.							
3.							

9180 - Drug Treatment					Total PHDEP Funding: \$		
Goal(s)							
Objectives							
Proposed Activities	# of Persons Served	Target Population	Start Date	Expected Complete Date	PHDEP Funding	Other Funding (Amount /Source)	Performance Indicators
1.							
2.							
3.							

9190 - Other Program Costs					Total PHDEP Funds: \$246,580		
Goal(s)							
To make the most effective and cost efficient use of PHDEP and other leveraged funds and to implement the PHDEP programs in the most effective way to benefit participants in our effort to prevent crime and drug activity.							
Objectives							
Monitor program success and activities monthly, submit reports in a timely manner, monitor program expenditures, procure contracts, submit billings, coordinate community and resident support.							
Proposed Activities	# of Persons Served	Target Population	Start Date	Expected Complete Date	PHDEP Funding	Other Funding (Amount /Source)	Performance Indicators
1. Community Relations Specialist			6/1/01	5/31/02	\$41,298	0	Monthly goals reports, PHAS indicators
2. Community Relations Specialist			12/1/00	11/30/01	\$26,935	0	Monthly goals reports, PHAS indicators
3. Grants Manager			8/1/01	7/31/02	\$56,232	0	Monthly goals reports, PHAS indicators
4. Director of Resident Services			8/1/01	7/31/02	122,244	0	Monthly goals reports, PHAS indicators

Section 3: Expenditure/Obligation Milestones

Indicate by Budget Line Item and the Proposed Activity (based on the information contained in Section 2 PHDEP Plan Budget and Goals), the % of funds that will be expended (at least 25% of the total grant award) and obligated (at least 50% of the total grant award) within 12 months of grant execution.

Budget Line Item #	25% Expenditure of Total Grant Funds By Activity #	Total PHDEP Funding Expended (sum of the activities)	50% Obligation of Total Grant Funds by Activity #	Total PHDEP Funding Obligated (sum of the activities)
<i>e.g Budget Line Item # 9120</i>	<i>Activities 1, 3</i>		<i>Activity 2</i>	
9110	Activity 1 & 2	\$104,871	Activity 1 & 2	\$104,871.03
9120				
9130				
9140				
9150				
9160	Activity 1 & 3	\$82,000	Activity 1, 2 & 3	\$130,000
9170				
9180				
9190	Activity 1, 2, 3 & 4	\$118,326	Activity 1, 2, 3 & 4	\$246,708.97
TOTAL		\$305,197		\$481,580

Section 4: Certifications

A comprehensive certification of compliance with respect to the PHDEP Plan submission is included in the “PHA Certifications of Compliance with the PHA Plan and Related Regulations.”

1-1 Samuel Gompers

Housing Needs of Families on the Waiting List

ATTACHMENT B

Waiting list type: (select one)

Section 8 tenant-based assistance

Public Housing

Combined Section 8 and Public Housing

Public Housing Site-Based or sub-jurisdictional waiting list (optional)

If used, identify which development/sub-jurisdiction:

	# of families	% of total families	Annual Turnover
Waiting list total	68	100%	*51
Extremely low income <=30% AMI	67	99%	N/A
Very low income (>30% but <=50% AMI)	1	1%	N/A
Low income (>50% but <80% AMI)	-0-	-0-	N/A
Families with children	34	50%	N/A
Elderly families	22	32%	N/A
Families with Disabilities	12	18%	N/A
Race/ethnicity	Black/Non-Hispanic	100%	N/A
Characteristics by Bedroom Size (Public Housing Only)			
1 BR	27	39%	6
2 BR	10	15%	26
3 BR	29	43%	14
4 BR	2	3%	8
5 BR			

FY 2000 Annual Plan

Statistical data as of 08/30/99 Waiting List

* Represents averages over three (3) years (01/96 - 12/98)

N/A - information not available

**1-1 Samuel Gompers
Housing Needs of Families on the Waiting List**

Is the waiting list closed (select one)? No Yes

ATTACHMENT B

If yes:

 How long has it been closed (# of months)?

 Does the PHA expect to reopen the list in the PHA Plan Year? No Yes

 Does the PHA permit specific categories of families onto the waiting list, even if generally closed? No Yes

1-2, 1-3 John Robinson/John DeShields Housing Needs of Families on the Waiting List

ATTACHMENT B

Waiting list type: (select one)

Section 8 tenant-based assistance

Public Housing

Combined Section 8 and Public Housing

Public Housing Site-Based or sub-jurisdictional waiting list (optional)

If used, identify which development/sub-jurisdiction:

	# of families	% of total families	Annual Turnover
Waiting list total	234	100%	*79
Extremely low income <=30% AMI	218	93%	N/A
Very low income (>30% but <=50% AMI)	16	7%	N/A
Low income (>50% but <80% AMI)	-0-	-0-	N/A
Families with children	193	82%	N/A
Elderly families	40	17%	N/A
Families with Disabilities	1	1%	N/A
Race/ethnicity	Black/Non-Hispanic	100%	N/A
Characteristics by Bedroom Size (Public Housing Only)			
1 BR	5	2%	*8
2 BR	153	66%	*39
3 BR	63	30%	*22
4 BR	2	1%	*6
5 BR	1	1%	*2

FY 2000 Annual Plan

Statistical data as of 08/30/99 Waiting List

* Represents averages turnover from 01/96 - 12/98 (includes relocation)

N/A - information not available

**1-2, 1-3 John Robinson/John DeShields
Housing Needs of Families on the Waiting List**

Is the waiting list closed (select one)? No Yes

ATTACHMENT B

If yes:

 How long has it been closed (# of months)?

 Does the PHA expect to reopen the list in the PHA Plan Year? No Yes

 Does the PHA permit specific categories of families onto the waiting list, even if
 generally closed? No Yes

1-4, 1-26 Roosevelt Housing Needs of Families on the Waiting List

ATTACHMENT B

Waiting list type: (select one)

Section 8 tenant-based assistance

Public Housing

Combined Section 8 and Public Housing

Public Housing Site-Based or sub-jurisdictional waiting list (optional)

If used, identify which development/sub-jurisdiction:

	# of families	% of total families	Annual Turnover
Waiting list total	178	100%	*13
Extremely low income <=30% AMI	172	97%	N/A
Very low income (>30% but <=50% AMI)	6	3%	N/A
Low income (>50% but <80% AMI)	-0-	-0-	N/A
Families with children	173	97%	N/A
Elderly families	-0-	-0-	N/A
Families with Disabilities	5	3%	N/A
Race/ethnicity	Black/Non-Hispanic	100%	N/A
Characteristics by Bedroom Size (Public Housing Only)			
1 BR	2	1%	*1
2 BR	104	58%	*5
3 BR	60	34%	*3
4 BR	12	7%	*3
5 BR			

FY 2000 Annual Plan

Statistical data as of 08/30/99 Waiting List

* Represents averages turnover from 01/96 - 12/98 (includes relocation)

N/A - information not available

1-4, 1-26 Roosevelt
Housing Needs of Families on the Waiting List

Is the waiting list closed (select one)? No Yes

ATTACHMENT B

If yes:

 How long has it been closed (# of months)?

 Does the PHA expect to reopen the list in the PHA Plan Year? No Yes

 Does the PHA permit specific categories of families onto the waiting list, even if generally closed? No Yes

1-5 Villa Griffin Housing Needs of Families on the Waiting List

ATTACHMENT B

Waiting list type: (select one)

Section 8 tenant-based assistance

Public Housing (Summary Page of all Site-Based Waiting Lists)

Combined Section 8 and Public Housing

Public Housing Site-Based or sub-jurisdictional waiting list (optional)

If used, identify which development/sub-jurisdiction:

	# of families	% of total families	Annual Turnover
Waiting list total	23	100%	*43
Extremely low income <=30% AMI	23	100%	N/A
Very low income (>30% but <=50% AMI)	-0-	0%	N/A
Low income (>50% but <80% AMI)	-0-	0%	N/A
Families with children	12	52%	N/A
Elderly families	11	48%	N/A
Families with Disabilities	11	48%	N/A
Race/ethnicity	Black/Non-Hispanic	100%	N/A
Characteristics by Bedroom Size (Public Housing Only)			
1 BR	11	48%	*0
2 BR	5	21%	*11
3 BR	5	21%	*23
4 BR	2	10%	*8
5 BR			

FY 2000 Annual Plan

Statistical data as of 08/30/99 Waiting List

* Represents average turnover from 01/96 - 12/98 (includes relocation)

N/A - information not available

1-5 Villa Griffin
Housing Needs of Families on the Waiting List

Is the waiting list closed (select one)? No Yes

ATTACHMENT B

If yes:

 How long has it been closed (# of months)?

 Does the PHA expect to reopen the list in the PHA Plan Year? No Yes

 Does the PHA permit specific categories of families onto the waiting list, even if
 generally closed? No Yes

**1-7, 1-21, 1-44 Orr-Weathers/Norman E. Owens
Housing Needs of Families on the Waiting List**

ATTACHMENT B

Waiting list type: (select one)

Section 8 tenant-based assistance

Public Housing (Summary Page of all Site-Based Waiting Lists)

Combined Section 8 and Public Housing

Public Housing Site-Based or sub-jurisdictional waiting list (optional)

If used, identify which development/sub-jurisdiction:

	# of families	% of total families	Annual Turnover
Waiting list total	153	100%	*128
Extremely low income <=30% AMI	148	97%	N/A
Very low income (>30% but <=50% AMI)	5	3%	N/A
Low income (>50% but <80% AMI)	-0-	0%	N/A
Families with children	153	100%	N/A
Elderly families	0	0%	N/A
Families with Disabilities	0	0%	N/A
Race/ethnicity	Black/Non-Hispanic	100%	N/A
Characteristics by Bedroom Size (Public Housing Only)			
1 BR			
2 BR	102	67%	*16
3 BR	46	30%	*3
4 BR	4	2%	*0
5 BR	1	1%	*0

FY 2000 Annual Plan

Statistical data as of 08/30/99 Waiting List

* Represents average turnover from 01/96 - 12/98 (includes relocation)

N/A - information not available

**1-7, 1-21, 1-44 Orr-Weathers/Norman E. Owens
Housing Needs of Families on the Waiting List**

Is the waiting list closed (select one)? No Yes

ATTACHMENT B

If yes:

How long has it been closed (# of months)?

Does the PHA expect to reopen the list in the PHA Plan Year? No Yes

Does the PHA permit specific categories of families onto the waiting list, even if generally closed? No Yes

**1-11, 1-12, 1-13, 1-25, 1-45, 1-46, 1-47, 1-50, 1-51 Scattered Sites
Housing Needs of Families on the Waiting List**

ATTACHMENT B

Waiting list type: (select one)

Section 8 tenant-based assistance

Public Housing (Summary Page of all Site-Based Waiting Lists)

Combined Section 8 and Public Housing

Public Housing Site-Based or sub-jurisdictional waiting list (optional)

If used, identify which development/sub-jurisdiction:

	# of families	% of total families	Annual Turnover
Waiting list total	173	100%	*27
Extremely low income <=30% AMI	156	90%	N/A
Very low income (>30% but <=50% AMI)	12	7%	N/A
Low income (>50% but <80% AMI)	5	3%	N/A
Families with children	173	100%	N/A
Elderly families	0	0%	N/A
Families with Disabilities	9	5%	N/A
Race/ethnicity	Black/Non-Hispanic	100%	N/A
Characteristics by Bedroom Size (Public Housing Only)			
1 BR			
2 BR	82	47%	*8
3 BR	80	46%	*15
4 BR	10	6%	*0
5 BR	1	1%	*0

FY 2000 Annual Plan

Statistical data as of 08/30/99 Waiting List

* Represents average turnover from 01/96 - 12/98 (includes relocation)

N/A - information not available

**1-11, 1-12, 1-13, 1-25, 1-45, 1-46, 1-47, 1-48, 1-50, 1-51 Scattered Sites
Housing Needs of Families on the Waiting List**

Is the waiting list closed (select one)? No Yes

ATTACHMENT B

If yes:

How long has it been closed (# of months)?

Does the PHA expect to reopen the list in the PHA Plan Year? No Yes

Does the PHA permit specific categories of families onto the waiting list, even if generally closed? No Yes

1-8, 1-9 Mixed Population Developments Housing Needs of Families on the Waiting List

ATTACHMENT B

Waiting list type: (select one)

Section 8 tenant-based assistance

Public Housing (Summary Page of all Site-Based Waiting Lists)

Combined Section 8 and Public Housing

Public Housing Site-Based or sub-jurisdictional waiting list (optional)

If used, identify which development/sub-jurisdiction:

	# of families	% of total families	Annual Turnover
Waiting list total	89	89%	*128 (includes relocation)
Extremely low income <=30% AMI	82	92%	N/A
Very low income (>30% but <=50% AMI)	7	8%	N/A
Low income (>50% but <80% AMI)	-0-	0%	N/A
Families with children	-0-	0%	N/A
Elderly families	42 elderly/disabled	47%	N/A
Families with Disabilities	11 disabled only	12%	N/A
Race/ethnicity	Black/Non-Hispanic	100%	N/A
Characteristics by Bedroom Size (Public Housing Only)			
1 BR			
2 BR	89	100%	109
3 BR			16
4 BR			3
5 BR			

FY 2000 Annual Plan

Statistical data as of 08/30/99 Waiting List

* Represents average turnover from 01/96 - 12/98 (includes relocation)

N/A - information not available

**1-8, 1-9 Mixed Population Developments
Housing Needs of Families on the Waiting List**

Is the waiting list closed (select one)? No Yes

ATTACHMENT B

If yes:

 How long has it been closed (# of months)?

 Does the PHA expect to reopen the list in the PHA Plan Year? No Yes

 Does the PHA permit specific categories of families onto the waiting list, even if
 generally closed? No Yes

**1-27, 1-28 Phoenix Courts - Campus of Learners
Housing Needs of Families on the Waiting List**

ATTACHMENT B

Waiting list type: (select one)

Section 8 tenant-based assistance

Public Housing (Summary Page of all Site-Based Waiting Lists)

Combined Section 8 and Public Housing

Public Housing Site-Based or sub-jurisdictional waiting list (optional)

If used, identify which development/sub-jurisdiction:

	# of families	% of total families	Annual Turnover
Waiting list total	140	100%	*7
Extremely low income <=30% AMI	95	68%	N/A
Very low income (>30% but <=50% AMI)	38	27%	N/A
Low income (>50% but <80% AMI)	7	5%	N/A
Families with children	140	100%	N/A
Elderly families	-0-	0%	N/A
Families with Disabilities	-0-	0%	N/A
Race/ethnicity	Black/Non-Hispanic	100%	N/A
Characteristics by Bedroom Size (Public Housing Only)			
1 BR			
2 BR	109	78%	3
3 BR	23	16%	4
4 BR	8	6%	
5 BR			

FY 2000 Annual Plan

Statistical data as of 08/30/99 Waiting List

* Represents data from 01/98 - 9/99

N/A - information not available

**1-27, 1-28 Phoenix Courts - Campus of Learners
Housing Needs of Families on the Waiting List**

Is the waiting list closed (select one)? No Yes

ATTACHMENT B

If yes:

How long has it been closed (# of months)? **Six (6) months**

Does the PHA expect to reopen the list in the PHA Plan Year? No Yes

Does the PHA permit specific categories of families onto the waiting list, even if generally closed? No Yes

**‘1-27, 1-28 Phoenix Courts - Campus of Learners
Housing Needs of Families on the Waiting List**

ATTACHMENT B

Waiting list type: (select one)

Section 8 tenant-based assistance

Public Housing (Summary Page of all Site-Based Waiting Lists)

Combined Section 8 and Public Housing

Public Housing Site-Based or sub-jurisdictional waiting list (optional)

If used, identify which development/sub-jurisdiction:

	# of families	% of total families	Annual Turnover
Waiting list total	140	100%	*7
Extremely low income <=30% AMI	95	68%	N/A
Very low income (>30% but <=50% AMI)	38	27%	N/A
Low income (>50% but <80% AMI)	7	5%	N/A
Families with children	140	100%	N/A
Elderly families	-0-	0%	N/A
Families with Disabilities	-0-	0%	N/A
Race/ethnicity	Black/Non-Hispanic	100%	N/A
Characteristics by Bedroom Size (Public Housing Only)			
1 BR			
2 BR	109	78%	3
3 BR	23	16%	4
4 BR	8	6%	
5 BR			

Statistical data as of 08/30/99 Waiting List

* Represents data from 01/98 - 9/99

N/A - information not available

**1-27, 1-28 Phoenix Courts - Campus of Learners
Housing Needs of Families on the Waiting List**

Is the waiting list closed (select one)? No Yes

ATTACHMENT B

If yes:

How long has it been closed (# of months)? **Six (6) months**

Does the PHA expect to reopen the list in the PHA Plan Year? No Yes

Does the PHA permit specific categories of families onto the waiting list, even if generally closed? No Yes

ATTACHMENT C Cost of Public Housing Versus Vouchers
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Development	Total Units	P.U.M. Cost Public Housing	P.U.M. Cost Vouchers	Difference
Mixed Population	595	337	356	(19)
Family	1,513	374	396	(22)

Note:

1. The costs of public housing consists purely of current funding under the Operating, CGP, and Drug Elimination programs. The agency does not currently have a system of “project budgeting/accounting.” Consequently, figures were allocated according to the number of units at each property. Mixed Population developments were allocated 90 percent of agency-wide funding.
2. Voucher costs are based on average HAP under the voucher/certificate program for different bedroom sizes, plus administrative fee.
3. The above calculations do not follow current HUD guidelines for cost comparisons under Revitalization of Severely Distressed Public Housing since the above properties are not covered under such regulations. Rather, these figures provide a more direct comparison between the actual costs today of operating vouchers/certificates and the funding the agency currently receives for public housing.

ATTACHMENT D
Civil Rights Certificate