

PHA Plans

5 Year Plan for Fiscal Years 2000 - 2004
Annual Plan for Fiscal Year 2000

**NOTE: THIS PHA PLANS TEMPLATE (HUD 50075) IS TO BE COMPLETED IN
ACCORDANCE WITH INSTRUCTIONS LOCATED IN APPLICABLE PIH NOTICES**

**PHA Plan
Agency Identification**

PHA NAME: LIVINGSTON HOUSING AUTHORITY

PHA Number: AL175

PHA Fiscal Year Beginning: (mm/yyyy) 07/01/2000

Public Access to Information

Information regarding any activities outlined in this plan can be obtained by contacting: (select all that apply)

- Main administrative office of the PHA
- PHA development management offices
- PHA local offices

Display Locations For PHA Plans and Supporting Documents

The PHA Plans (including attachments) are available for public inspection at: (select all that apply)

- Main administrative office of the PHA
- PHA development management offices
- PHA local offices
- Main administrative office of the local government
- Main administrative office of the County government
- Main administrative office of the State government
- Public library
- PHA website
- Other (list below)

PHA Plan Supporting Documents are available for inspection at: (select all that apply)

- Main business office of the PHA
- PHA development management offices
- Other (list below)

5-YEAR PLAN
PHA FISCAL YEARS 2000 - 2004
[24 CFR PART 903.5]

A. MISSION

STATE THE PHA'S MISSION FOR SERVING THE NEEDS OF LOW-INCOME, VERY LOW INCOME, AND EXTREMELY LOW-INCOME FAMILIES IN THE PHA'S JURISDICTION. (SELECT ONE OF THE CHOICES BELOW)

X THE MISSION OF THE PHA IS THE SAME AS THAT OF THE DEPARTMENT OF HOUSING AND URBAN DEVELOPMENT: TO PROMOTE ADEQUATE AND AFFORDABLE HOUSING, ECONOMIC OPPORTUNITY AND A SUITABLE LIVING ENVIRONMENT FREE FROM DISCRIMINATION.

X THE PHA'S MISSION IS : (STATE MISSION HERE) OUR GOAL IS TO PROVIDE DRUG FREE, DECENT, SAFE AND SANITARY HOUSING FOR ELIGIBLE FAMILIES AND TO PROVIDE OPPORTUNITIES AND PROMOTE SELF-SUFFICIENCY AND ECONOMIC INDEPENDENCE FOR RESIDENTS.

RECOGNIZE RESIDENTS AS OUR ULTIMATE CUSTOMER

IMPROVE PUBLIC HOUSING AUTHORITY (HA) MANAGEMENT AND SERVICE DELIVERY EFFORTS THROUGH EFFECTIVE AND EFFICIENT MANAGEMENT OF HA STAFF:

SEEK PROBLEM-SOLVING PARTNERSHIPS WITH RESIDENTS, COMMUNITY AND GOVERNMENT LEADERSHIP:

APPLY HA RESOURCES TO THE EFFECTIVE AND EFFICIENT MANAGEMENT AND OPERATION OF PUBLIC HOUSING PROGRAMS, TAKING INTO ACCOUNT CHANGES IN FEDERAL FUNDING.

B. GOALS

THE GOALS AND OBJECTIVES LISTED BELOW ARE DERIVED FROM HUD'S STRATEGIC GOALS AND OBJECTIVES AND THOSE EMPHASIZED IN RECENT LEGISLATION. PHAS MAY SELECT ANY OF THESE GOALS AND OBJECTIVES AS THEIR OWN, OR IDENTIFY OTHER GOALS AND/OR OBJECTIVES. WHETHER SELECTING THE HUD-SUGGESTED OBJECTIVES OR THEIR OWN, PHAS ARE STRONGLY ENCOURAGED TO IDENTIFY QUANTIFIABLE MEASURES OF SUCCESS IN REACHING THEIR OBJECTIVES OVER THE COURSE OF THE 5 YEARS. (QUANTIFIABLE MEASURES WOULD INCLUDE TARGETS SUCH AS: NUMBERS OF FAMILIES SERVED OR PHAS SCORES ACHIEVED.) PHAS SHOULD IDENTIFY THESE MEASURES IN THE SPACES TO THE RIGHT OF OR BELOW THE STATED OBJECTIVES.

HUD STRATEGIC GOAL: INCREASE THE AVAILABILITY OF DECENT, SAFE, AND AFFORDABLE HOUSING.

X PHA GOAL: EXPAND THE SUPPLY OF ASSISTED HOUSING

OBJECTIVES:

APPLY FOR ADDITIONAL RENTAL VOUCHERS:

REDUCE PUBLIC HOUSING VACANCIES: BY 10%

LEVERAGE PRIVATE OR OTHER PUBLIC FUNDS TO CREATE ADDITIONAL HOUSING

OPPORTUNITIES:

ACQUIRE OR BUILD UNITS OR DEVELOPMENTS

OTHER (LIST BELOW)

PHA GOAL: IMPROVE THE QUALITY OF ASSISTED HOUSING

OBJECTIVES:

IMPROVE PUBLIC HOUSING MANAGEMENT: (PHAS SCORE) 90 OR ABOVE

IMPROVE VOUCHER MANAGEMENT: (SEMAP SCORE)

INCREASE CUSTOMER SATISFACTION:

CONCENTRATE ON EFFORTS TO IMPROVE SPECIFIC MANAGEMENT FUNCTIONS:

(LIST; E.G., PUBLIC HOUSING FINANCE; VOUCHER UNIT INSPECTIONS)

RENOVATE OR MODERNIZE PUBLIC HOUSING UNITS: AS FUNDING PERMITS

DEMOLISH OR DISPOSE OF OBSOLETE PUBLIC HOUSING:

PROVIDE REPLACEMENT PUBLIC HOUSING:

PROVIDE REPLACEMENT VOUCHERS:

OTHER: (LIST BELOW) TO CONTINUE TO MAINTAIN PROPERTY AND GROUNDS AND CONTINUE TO UPGRADE FACILITIES FOR THE BETTERMENT OF RESIDENTS.

PHA GOAL: INCREASE ASSISTED HOUSING CHOICES

OBJECTIVES:

PROVIDE VOUCHER MOBILITY COUNSELING:

CONDUCT OUTREACH EFFORTS TO POTENTIAL VOUCHER LANDLORDS

INCREASE VOUCHER PAYMENT STANDARDS

IMPLEMENT VOUCHER HOMEOWNERSHIP PROGRAM:

IMPLEMENT PUBLIC HOUSING OR OTHER HOMEOWNERSHIP PROGRAMS:

IMPLEMENT PUBLIC HOUSING SITE-BASED WAITING LISTS:

CONVERT PUBLIC HOUSING TO VOUCHERS:

OTHER: (LIST BELOW) TO CONTINUE DECONCENTRATION EFFORTS.

HUD STRATEGIC GOAL: IMPROVE COMMUNITY QUALITY OF LIFE AND ECONOMIC VITALITY

X PHA GOAL: PROVIDE AN IMPROVED LIVING ENVIRONMENT

OBJECTIVES:

IMPLEMENT MEASURES TO DECONCENTRATE POVERTY BY BRINGING HIGHER INCOME PUBLIC HOUSING HOUSEHOLDS INTO LOWER INCOME DEVELOPMENTS:

IMPLEMENT MEASURES TO PROMOTE INCOME MIXING IN PUBLIC HOUSING BY ASSURING ACCESS FOR LOWER INCOME FAMILIES INTO HIGHER INCOME DEVELOPMENTS:

X IMPLEMENT PUBLIC HOUSING SECURITY IMPROVEMENTS:

DESIGNATE DEVELOPMENTS OR BUILDINGS FOR PARTICULAR RESIDENT GROUPS (ELDERLY, PERSONS WITH DISABILITIES)

OTHER: (LIST BELOW)

HUD STRATEGIC GOAL: PROMOTE SELF-SUFFICIENCY AND ASSET DEVELOPMENT OF FAMILIES AND INDIVIDUALS

X PHA GOAL: PROMOTE SELF-SUFFICIENCY AND ASSET DEVELOPMENT OF ASSISTED HOUSEHOLDS

OBJECTIVES:

X INCREASE THE NUMBER AND PERCENTAGE OF EMPLOYED PERSONS IN ASSISTED FAMILIES:

X PROVIDE OR ATTRACT SUPPORTIVE SERVICES TO IMPROVE ASSISTANCE RECIPIENTS' EMPLOYABILITY:

X PROVIDE OR ATTRACT SUPPORTIVE SERVICES TO INCREASE INDEPENDENCE FOR THE ELDERLY OR FAMILIES WITH DISABILITIES.

OTHER: (LIST BELOW)

HUD STRATEGIC GOAL: ENSURE EQUAL OPPORTUNITY IN HOUSING FOR ALL AMERICANS

X PHA GOAL: ENSURE EQUAL OPPORTUNITY AND AFFIRMATIVELY FURTHER FAIR HOUSING

OBJECTIVES:

X UNDERTAKE AFFIRMATIVE MEASURES TO ENSURE ACCESS TO ASSISTED HOUSING REGARDLESS OF RACE, COLOR, RELIGION NATIONAL ORIGIN, SEX, FAMILIAL STATUS, AND DISABILITY:

X UNDERTAKE AFFIRMATIVE MEASURES TO PROVIDE A SUITABLE LIVING ENVIRONMENT FOR FAMILIES LIVING IN ASSISTED HOUSING, REGARDLESS OF RACE, COLOR, RELIGION NATIONAL ORIGIN, SEX, FAMILIAL STATUS, AND DISABILITY:

X UNDERTAKE AFFIRMATIVE MEASURES TO ENSURE ACCESSIBLE HOUSING TO PERSONS WITH ALL VARIETIES OF DISABILITIES REGARDLESS OF UNIT SIZE REQUIRED:

X OTHER: (LIST BELOW) TO PROHIBIT DISCRIMINATION IN ANY MANNER WHATSOEVER.

OTHER PHA GOALS AND OBJECTIVES: (LIST BELOW) THERE HAVE BEEN AN EXCESSIVE NUMBER OF FARMERS HOME UNITS AND TAX CREDIT UNITS CONSTRUCTED IN THE LIVINGSTON AREA THAT OFFER MORE AMENITIES THAN WE CAN OFFER. IN ORDER TO COMBAT A LARGE VACANCY PROBLEM CAUSED BY THESE UNITS, WE PLAN TO IMPROVE THE CONDITION OF OUR CONVENTIONAL UNITS THROUGH MODERNIZATION EFFORTS. WE ARE PLANNING ON IMPROVING THE LIVING CONDITIONS AND ENVIROMENT AT THE LIVINGSTON

HOUSING AUTHORITY. THE ONE STRIKE POLICY MANDATED BY HUD IS HELPING TO GET BETTER RESIDENTS, HOWEVER BECAUSE OF THE STRICT ENFORCEMENT, MANY APPLICANTS ARE NOT ELIGIBLE DUE TO CREDIT CHECKS AND POLICE BACKGROUND CHECKS. MANY APPLICANTS DO NOT RETURN THE APPLICATION BECAUSE OF POLICE BACKGROUND CHECKS. THIS NEEDS TO BE MANDATED THROUGHOUT THE INDUSTRY WHERE APARTMENT ARE SUBSIDIZED WITH FEDERAL MONIES.

ANNUAL PHA PLAN
PHA FISCAL YEAR 2000
[24 CFR PART 903.7]

ANNUAL PLAN TYPE:

SELECT WHICH TYPE OF ANNUAL PLAN THE PHA WILL SUBMIT.

_____ STANDARD PLAN

STREAMLINED PLAN:

_____ HIGH PERFORMING PHA

SMALL AGENCY (<250 PUBLIC HOUSING UNITS)

_____ ADMINISTERING SECTION 8 ONLY

_____ TROUBLED AGENCY PLAN

EXECUTIVE SUMMARY OF THE ANNUAL PHA PLAN

[24 CFR PART 903.7 9 (R)]

PROVIDE A BRIEF OVERVIEW OF THE INFORMATION IN THE ANNUAL PLAN, INCLUDING HIGHLIGHTS OF MAJOR INITIATIVES AND DISCRETIONARY POLICIES THE PHA HAS INCLUDED IN THE ANNUAL PLAN.

**THIS PLAN WAS DEVELOPED PER HUD RULES AND REGULATIONS
IN ORDER TO PROVIDE DECENT, SAFE AND AFFORDABLE HOUSING
FOR RESIDENTS OF OUR COMMUNITY.**

ANNUAL PLAN TABLE OF CONTENTS

[24 CFR PART 903.7 9 (R)]

PROVIDE A TABLE OF CONTENTS FOR THE ANNUAL PLAN, INCLUDING ATTACHMENTS, AND A LIST OF SUPPORTING DOCUMENTS AVAILABLE FOR PUBLIC INSPECTION.

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ANNUAL PLAN

EXECUTIVE SUMMARY

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6. CAPITAL IMPROVEMENT NEEDS

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16. **ASSET MANAGEMENT**
17. **OTHER INFORMATION**

ATTACHMENTS

INDICATE WHICH ATTACHMENTS ARE PROVIDED BY SELECTING ALL THAT APPLY. PROVIDE THE ATTACHMENT'S NAME (A, B, ETC.) IN THE SPACE TO THE LEFT OF THE NAME OF THE ATTACHMENT. NOTE: IF THE ATTACHMENT IS PROVIDED AS A SEPARATE FILE SUBMISSION FROM THE PHA PLANS FILE, PROVIDE THE FILE NAME IN PARENTHESES IN THE SPACE TO THE RIGHT OF THE TITLE.

REQUIRED ATTACHMENTS:

ADMISSIONS POLICY FOR DECONCENTRATION THE OBJECTIVE OF THE DECONCENTRATION RULE FOR PUBLIC HOUSING UNITS IS TO ENSURE THAT FAMILIES ARE HOUSED IN A MANNER THAT WILL PREVENT A CONCENTRATION OF POVERTY FAMILIES AND/OR A CONCENTRATION OF HIGHER INCOME FAMILIES IN ANY ONE DEVELOPMENT. THE SPECIFIC OBJECTIVE OF THE HOUSING AUTHORITY IS TO HOUSE NO LESS THAN 40% OF ITS HOUSING INVENTORY WITH FAMILIES THAT HAVE INCOME AT OR BELOW 30% OF THE AREA MEDIAN INCOME BY PUBLIC HOUSING DEVELOPMENT.

FY 2000 CAPITAL FUND PROGRAM ANNUAL STATEMENT MOST RECENT BOARD-APPROVED OPERATING BUDGET (REQUIRED ATTACHMENT FOR PHAS THAT ARE TROUBLED OR AT RISK OF BEING DESIGNATED TROUBLED ONLY)

OPTIONAL ATTACHMENTS:

- PHA MANAGEMENT ORGANIZATIONAL CHART**
- FY 2000 CAPITAL FUND PROGRAM 5 YEAR ACTION PLAN**
- PUBLIC HOUSING DRUG ELIMINATION PROGRAM (PHDEP) PLAN SEE TABLE LIBRARY AT END OF DOCUMENT.**
- COMMENTS OF RESIDENT ADVISORY BOARD OR BOARDS (MUST BE ATTACHED IF NOT INCLUDED IN PHA PLAN TEXT)**
- OTHER (LIST BELOW, PROVIDING EACH ATTACHMENT NAME)**

SUPPORTING DOCUMENTS AVAILABLE FOR REVIEW

INDICATE WHICH DOCUMENTS ARE AVAILABLE FOR PUBLIC REVIEW BY PLACING A MARK IN THE “APPLICABLE & ON DISPLAY” COLUMN IN THE APPROPRIATE ROWS. ALL LISTED DOCUMENTS MUST BE ON DISPLAY IF APPLICABLE TO THE PROGRAM ACTIVITIES CONDUCTED BY THE PHA.

<i>LIST OF SUPPORTING DOCUMENTS AVAILABLE FOR REVIEW</i>		
<i>APPLICABLE & ON DISPLAY</i>	<i>SUPPORTING DOCUMENT</i>	<i>APPLICABLE PLAN COMPONENT</i>
X	<i>PHA PLAN CERTIFICATIONS OF COMPLIANCE WITH THE PHA PLANS AND RELATED REGULATIONS</i>	<i>5 YEAR AND ANNUAL PLANS</i>
X	<i>STATE/LOCAL GOVERNMENT CERTIFICATION OF CONSISTENCY WITH THE CONSOLIDATED PLAN</i>	<i>5 YEAR AND ANNUAL PLANS</i>
X	<i>FAIR HOUSING DOCUMENTATION: RECORDS REFLECTING THAT THE PHA HAS EXAMINED ITS PROGRAMS OR PROPOSED PROGRAMS, IDENTIFIED ANY IMPEDIMENTS TO FAIR HOUSING CHOICE IN THOSE PROGRAMS, ADDRESSED OR IS ADDRESSING THOSE IMPEDIMENTS IN A REASONABLE FASHION IN VIEW OF THE RESOURCES AVAILABLE, AND WORKED OR IS WORKING WITH LOCAL JURISDICTIONS TO IMPLEMENT ANY OF THE JURISDICTIONS’ INITIATIVES TO AFFIRMATIVELY FURTHER FAIR HOUSING THAT REQUIRE THE PHA’S INVOLVEMENT.</i>	<i>5 YEAR AND ANNUAL PLANS</i>
X	<i>CONSOLIDATED PLAN FOR THE JURISDICTION/S IN WHICH THE PHA IS LOCATED (WHICH INCLUDES THE ANALYSIS OF IMPEDIMENTS TO FAIR HOUSING CHOICE (AI)) AND ANY ADDITIONAL BACKUP DATA TO SUPPORT STATEMENT OF HOUSING NEEDS IN THE JURISDICTION</i>	<i>ANNUAL PLAN: HOUSING NEEDS</i>
X	<i>MOST RECENT BOARD-APPROVED OPERATING BUDGET FOR THE PUBLIC HOUSING PROGRAM</i>	<i>ANNUAL PLAN: FINANCIAL RESOURCES;</i>
X	<i>PUBLIC HOUSING ADMISSIONS AND (CONTINUED) OCCUPANCY POLICY (A&O), WHICH INCLUDES THE TENANT SELECTION AND ASSIGNMENT PLAN [TSAP]</i>	<i>ANNUAL PLAN: ELIGIBILITY, SELECTION, AND ADMISSIONS POLICIES</i>
	<i>SECTION 8 ADMINISTRATIVE PLAN</i>	<i>ANNUAL PLAN: ELIGIBILITY, SELECTION, AND ADMISSIONS POLICIES</i>
X	<i>PUBLIC HOUSING DECONCENTRATION AND INCOME MIXING DOCUMENTATION: PHA BOARD CERTIFICATIONS OF COMPLIANCE WITH DECONCENTRATION REQUIREMENTS (SECTION 16(A) OF THE US HOUSING ACT OF 1937, AS IMPLEMENTED IN THE 2/18/99 QUALITY HOUSING AND WORK RESPONSIBILITY ACT INITIAL GUIDANCE; NOTICE AND ANY FURTHER HUD GUIDANCE) AND 18. DOCUMENTATION OF THE REQUIRED DECONCENTRATION AND INCOME MIXING ANALYSIS</i>	<i>ANNUAL PLAN: ELIGIBILITY, SELECTION, AND ADMISSIONS POLICIES</i>
X	<i>PUBLIC HOUSING RENT DETERMINATION POLICIES,</i>	<i>ANNUAL PLAN: RENT</i>

LIST OF SUPPORTING DOCUMENTS AVAILABLE FOR REVIEW		
APPLICABLE & ON DISPLAY	SUPPORTING DOCUMENT	APPLICABLE PLAN COMPONENT
	INCLUDING THE METHODOLOGY FOR SETTING PUBLIC HOUSING FLAT RENTS CHECK HERE IF INCLUDED IN THE PUBLIC HOUSING A & O POLICY	DETERMINATION
X	SCHEDULE OF FLAT RENTS OFFERED AT EACH PUBLIC HOUSING DEVELOPMENT CHECK HERE IF INCLUDED IN THE PUBLIC HOUSING A & O POLICY	ANNUAL PLAN: RENT DETERMINATION
	SECTION 8 RENT DETERMINATION (PAYMENT STANDARD) POLICIES CHECK HERE IF INCLUDED IN SECTION 8 ADMINISTRATIVE PLAN	ANNUAL PLAN: RENT DETERMINATION
X	PUBLIC HOUSING MANAGEMENT AND MAINTENANCE POLICY DOCUMENTS, INCLUDING POLICIES FOR THE PREVENTION OR ERADICATION OF PEST INFESTATION (INCLUDING COCKROACH INFESTATION)	ANNUAL PLAN: OPERATIONS AND MAINTENANCE
X	PUBLIC HOUSING GRIEVANCE PROCEDURES CHECK HERE IF INCLUDED IN THE PUBLIC HOUSING A & O POLICY	ANNUAL PLAN: GRIEVANCE PROCEDURES
	SECTION 8 INFORMAL REVIEW AND HEARING PROCEDURES CHECK HERE IF INCLUDED IN SECTION 8 ADMINISTRATIVE PLAN	ANNUAL PLAN: GRIEVANCE PROCEDURES
	THE HUD-APPROVED CAPITAL FUND/COMPREHENSIVE GRANT PROGRAM ANNUAL STATEMENT (HUD 52837) FOR THE ACTIVE GRANT YEAR	ANNUAL PLAN: CAPITAL NEEDS
X	MOST RECENT CIAP BUDGET/PROGRESS REPORT (HUD 52825) FOR ANY ACTIVE CIAP GRANT	ANNUAL PLAN: CAPITAL NEEDS
	MOST RECENT, APPROVED 5 YEAR ACTION PLAN FOR THE CAPITAL FUND/COMPREHENSIVE GRANT PROGRAM, IF NOT INCLUDED AS AN ATTACHMENT (PROVIDED AT PHA OPTION)	ANNUAL PLAN: CAPITAL NEEDS
	APPROVED HOPE VI APPLICATIONS OR, IF MORE RECENT, APPROVED OR SUBMITTED HOPE VI REVITALIZATION PLANS OR ANY OTHER APPROVED PROPOSAL FOR DEVELOPMENT OF PUBLIC HOUSING	ANNUAL PLAN: CAPITAL NEEDS
	APPROVED OR SUBMITTED APPLICATIONS FOR DEMOLITION AND/OR DISPOSITION OF PUBLIC HOUSING	ANNUAL PLAN: DEMOLITION AND DISPOSITION
	APPROVED OR SUBMITTED APPLICATIONS FOR DESIGNATION OF PUBLIC HOUSING (DESIGNATED HOUSING PLANS)	ANNUAL PLAN: DESIGNATION OF PUBLIC HOUSING
	APPROVED OR SUBMITTED ASSESSMENTS OF REASONABLE REVITALIZATION OF PUBLIC HOUSING AND APPROVED OR	ANNUAL PLAN: CONVERSION OF PUBLIC

<i>LIST OF SUPPORTING DOCUMENTS AVAILABLE FOR REVIEW</i>		
<i>APPLICABLE & ON DISPLAY</i>	<i>SUPPORTING DOCUMENT</i>	<i>APPLICABLE PLAN COMPONENT</i>
	<i>SUBMITTED CONVERSION PLANS PREPARED PURSUANT TO SECTION 202 OF THE 1996 HUD APPROPRIATIONS ACT</i>	<i>HOUSING</i>
	<i>APPROVED OR SUBMITTED PUBLIC HOUSING HOMEOWNERSHIP PROGRAMS/PLANS</i>	<i>ANNUAL PLAN: HOMEOWNERSHIP</i>
	<i>POLICIES GOVERNING ANY SECTION 8 HOMEOWNERSHIP PROGRAM CHECK HERE IF INCLUDED IN THE SECTION 8 ADMINISTRATIVE PLAN</i>	<i>ANNUAL PLAN: HOMEOWNERSHIP</i>
X	<i>ANY COOPERATIVE AGREEMENT BETWEEN THE PHA AND THE TANF AGENCY</i>	<i>ANNUAL PLAN: COMMUNITY SERVICE & SELF-SUFFICIENCY</i>
	<i>FSS ACTION PLAN/S FOR PUBLIC HOUSING AND/OR SECTION 8</i>	<i>ANNUAL PLAN: COMMUNITY SERVICE & SELF-SUFFICIENCY</i>
	<i>MOST RECENT SELF-SUFFICIENCY (ED/SS, TOP OR ROSS OR OTHER RESIDENT SERVICES GRANT) GRANT PROGRAM REPORTS</i>	<i>ANNUAL PLAN: COMMUNITY SERVICE & SELF-SUFFICIENCY</i>
	<i>THE MOST RECENT PUBLIC HOUSING DRUG ELIMINATION PROGRAM (PHEDEP) SEMI-ANNUAL PERFORMANCE REPORT FOR ANY OPEN GRANT AND MOST RECENTLY SUBMITTED PHDEP APPLICATION (PHDEP PLAN)</i>	<i>ANNUAL PLAN: SAFETY AND CRIME PREVENTION</i>
X	<i>THE MOST RECENT FISCAL YEAR AUDIT OF THE PHA CONDUCTED UNDER SECTION 5(H)(2) OF THE U.S. HOUSING ACT OF 1937 (42 U. S.C. 1437C(H)), THE RESULTS OF THAT AUDIT AND THE PHA'S RESPONSE TO ANY FINDINGS</i>	<i>ANNUAL PLAN: ANNUAL AUDIT</i>
	<i>TROUBLED PHAS: MOA/RECOVERY PLAN</i>	<i>TROUBLED PHAS</i>
	<i>OTHER SUPPORTING DOCUMENTS (OPTIONAL) (LIST INDIVIDUALLY; USE AS MANY LINES AS NECESSARY)</i>	<i>(SPECIFY AS NEEDED)</i>

I. STATEMENT OF HOUSING NEEDS

[24 CFR PART 903.7 9 (A)]

A. HOUSING NEEDS OF FAMILIES IN THE JURISDICTION/S SERVED BY THE PHA

BASED UPON THE INFORMATION CONTAINED IN THE CONSOLIDATED PLAN/S APPLICABLE TO THE JURISDICTION, AND/OR OTHER DATA AVAILABLE TO THE PHA, PROVIDE A STATEMENT OF THE HOUSING NEEDS IN THE JURISDICTION BY COMPLETING THE FOLLOWING TABLE. IN THE "OVERALL" NEEDS COLUMN, PROVIDE THE ESTIMATED NUMBER OF RENTER FAMILIES THAT HAVE HOUSING NEEDS. FOR THE REMAINING CHARACTERISTICS, RATE THE IMPACT OF THAT FACTOR ON THE HOUSING NEEDS FOR EACH FAMILY TYPE, FROM 1 TO 5, WITH 1 BEING "NO IMPACT" AND 5 BEING "SEVERE IMPACT." USE N/A TO INDICATE THAT NO INFORMATION IS AVAILABLE UPON WHICH THE PHA CAN MAKE THIS ASSESSMENT.

HOUSING NEEDS OF FAMILIES IN THE JURISDICTION BY FAMILY TYPE							
FAMILY TYPE	OVERALL	AFFORD- ABILITY	SUPPLY	QUALITY	ACCESS- IBILITY	2. SIZE	LOCA- TION
INCOME <= 30% OF AMI	397	4	2	2	4	4	4
INCOME >30% BUT <=50% OF AMI	312	3	3	3	4	4	4
INCOME >50% BUT <80% OF AMI	144	2	2	2	2	2	2
ELDERLY	216	4	3	3	3	4	4
FAMILIES WITH DISABILITIES							
RACE/ETHNICITY							
RACE/ETHNICITY							
RACE/ETHNICITY							
RACE/ETHNICITY							

**WHAT SOURCES OF INFORMATION DID THE PHA USE TO CONDUCT THIS ANALYSIS?
(CHECK ALL THAT APPLY; ALL MATERIALS MUST BE MADE AVAILABLE FOR PUBLIC INSPECTION.)**

CONSOLIDATED PLAN OF THE JURISDICTION/S

INDICATE YEAR: _____

**U.S. CENSUS DATA: THE COMPREHENSIVE HOUSING AFFORDABILITY STRATEGY
("CHAS") DATASET**

AMERICAN HOUSING SURVEY DATA

INDICATE YEAR: _____

OTHER HOUSING MARKET STUDY

INDICATE YEAR: _____

OTHER SOURCES: (LIST AND INDICATE YEAR OF INFORMATION)

A. HOUSING NEEDS OF FAMILIES ON THE PUBLIC HOUSING AND SECTION 8 TENANT- BASED ASSISTANCE WAITING LISTS

STATE THE HOUSING NEEDS OF THE FAMILIES ON THE PHA'S WAITING LIST/S. COMPLETE ONE TABLE FOR EACH TYPE OF PHA-WIDE WAITING LIST ADMINISTERED BY THE PHA. PHAS MAY PROVIDE SEPARATE TABLES FOR SITE-BASED OR SUB-JURISDICTIONAL PUBLIC HOUSING WAITING LISTS AT THEIR OPTION.

HOUSING NEEDS OF FAMILIES ON THE WAITING LIST

WAITING LIST TYPE: (SELECT ONE)

SECTION 8 TENANT-BASED ASSISTANCE

PUBLIC HOUSING

COMBINED SECTION 8 AND PUBLIC HOUSING

PUBLIC HOUSING SITE-BASED OR SUB-JURISDICTIONAL WAITING LIST (OPTIONAL)

IF USED, IDENTIFY WHICH DEVELOPMENT/SUBJURISDICTION:

	# OF FAMILIES	% OF TOTAL FAMILIES	ANNUAL TURNOVER
WAITING LIST TOTAL	10		25
EXTREMELY LOW INCOME <=30% AMI	10	100	
VERY LOW INCOME (>30% BUT <=50% AMI)			
LOW INCOME (>50% BUT <80% AMI)			
FAMILIES WITH CHILDREN	6	60	
ELDERLY FAMILIES			
FAMILIES WITH DISABILITIES			
RACE/ETHNICITY			
CHARACTERISTICS BY BEDROOM SIZE (PUBLIC HOUSING ONLY)			
1BR	2	20	2
2 BR	7	70	15
3 BR	1	10	8

<i>HOUSING NEEDS OF FAMILIES ON THE WAITING LIST</i>			
<i>4 BR</i>			
<i>5 BR</i>			
<i>5+ BR</i>			
<p><i>IS THE WAITING LIST CLOSED (SELECT ONE)? NO</i></p> <p><i>IF YES:</i></p> <p><i>B. HOW LONG HAS IT BEEN CLOSED (# OF MONTHS)?</i></p> <p><i>DOES THE PHA EXPECT TO REOPEN THE LIST IN THE PHA PLAN YEAR? NO</i></p> <p><i>YES</i></p> <p><i>DOES THE PHA PERMIT SPECIFIC CATEGORIES OF FAMILIES ONTO THE WAITING LIST, EVEN IF GENERALLY CLOSED? NO YES</i></p>			

C. STRATEGY FOR ADDRESSING NEEDS

PROVIDE A BRIEF DESCRIPTION OF THE PHA'S STRATEGY FOR ADDRESSING THE HOUSING NEEDS OF FAMILIES IN THE JURISDICTION AND ON THE WAITING LIST IN THE UPCOMING YEAR, AND THE AGENCY'S REASONS FOR CHOOSING THIS STRATEGY.

(1) STRATEGIES

NEED: SHORTAGE OF AFFORDABLE HOUSING FOR ALL ELIGIBLE POPULATIONS

STRATEGY 1. MAXIMIZE THE NUMBER OF AFFORDABLE UNITS AVAILABLE TO THE PHA WITHIN

ITS CURRENT RESOURCES BY:

SELECT ALL THAT APPLY

EMPLOY EFFECTIVE MAINTENANCE AND MANAGEMENT POLICIES TO MINIMIZE THE

NUMBER OF PUBLIC HOUSING UNITS OFF-LINE

REDUCE TURNOVER TIME FOR VACATED PUBLIC HOUSING UNITS TO LESS THAN 30 DAYS

REDUCE TIME TO RENOVATE PUBLIC HOUSING UNITS

SEEK REPLACEMENT OF PUBLIC HOUSING UNITS LOST TO THE INVENTORY THROUGH MIXED

FINANCE DEVELOPMENT

SEEK REPLACEMENT OF PUBLIC HOUSING UNITS LOST TO THE INVENTORY THROUGH SECTION

8 REPLACEMENT HOUSING RESOURCES

MAINTAIN OR INCREASE SECTION 8 LEASE-UP RATES BY ESTABLISHING PAYMENT STANDARDS

THAT WILL ENABLE FAMILIES TO RENT THROUGHOUT THE JURISDICTION

UNDERTAKE MEASURES TO ENSURE ACCESS TO AFFORDABLE HOUSING AMONG FAMILIES

ASSISTED BY THE PHA, REGARDLESS OF UNIT SIZE REQUIRED

MAINTAIN OR INCREASE SECTION 8 LEASE-UP RATES BY MARKETING THE PROGRAM TO

OWNERS, PARTICULARLY THOSE OUTSIDE OF AREAS OF MINORITY AND POVERTY CONCENTRATION

MAINTAIN OR INCREASE SECTION 8 LEASE-UP RATES BY EFFECTIVELY SCREENING SECTION 8

APPLICANTS TO INCREASE OWNER ACCEPTANCE OF PROGRAM

PARTICIPATE IN THE CONSOLIDATED PLAN DEVELOPMENT PROCESS TO ENSURE

COORDINATION WITH BROADER COMMUNITY STRATEGIES

OTHER (LIST BELOW)

STRATEGY 2: INCREASE THE NUMBER OF AFFORDABLE HOUSING UNITS BY:

SELECT ALL THAT APPLY

APPLY FOR ADDITIONAL SECTION 8 UNITS SHOULD THEY BECOME AVAILABLE

LEVERAGE AFFORDABLE HOUSING RESOURCES IN THE COMMUNITY THROUGH THE CREATION

OF MIXED - FINANCE HOUSING

PURSUE HOUSING RESOURCES OTHER THAN PUBLIC HOUSING OR SECTION 8 TENANT-BASED

ASSISTANCE.

OTHER: (LIST BELOW)

NEED: SPECIFIC FAMILY TYPES: FAMILIES AT OR BELOW 30% OF MEDIAN

STRATEGY 1: TARGET AVAILABLE ASSISTANCE TO FAMILIES AT OR BELOW 30 % OF AMI

SELECT ALL THAT APPLY

EXCEED HUD FEDERAL TARGETING REQUIREMENTS FOR FAMILIES AT OR BELOW 30% OF

AMI IN PUBLIC HOUSING

EXCEED HUD FEDERAL TARGETING REQUIREMENTS FOR FAMILIES AT OR BELOW 30% OF

AMI IN TENANT-BASED SECTION 8 ASSISTANCE

EMPLOY ADMISSIONS PREFERENCES AIMED AT FAMILIES WITH ECONOMIC HARDSHIPS

ADOPT RENT POLICIES TO SUPPORT AND ENCOURAGE WORK

OTHER: (LIST BELOW) FOLLOW THE RULES AND REGULATIONS ISSUED BY HUD AND DO THE BEST POSSIBLE WITH THE BUDGET CUTS TO WHICH WE ARE SUBJECT.

NEED: SPECIFIC FAMILY TYPES: FAMILIES AT OR BELOW 50% OF MEDIAN

STRATEGY I: TARGET AVAILABLE ASSISTANCE TO FAMILIES AT OR BELOW 50% OF AMI

SELECT ALL THAT APPLY

EMPLOY ADMISSIONS PREFERENCES AIMED AT FAMILIES WHO ARE WORKING
 ADOPT RENT POLICIES TO SUPPORT AND ENCOURAGE WORK
 OTHER: (LIST BELOW) FOLLOW THE RULES AND REGULATIONS ISSUED BY HUD AND DO THE BEST POSSIBLE WITH THE BUDGET CUTS TO WHICH WE ARE SUBJECT.

B. NEED: SPECIFIC FAMILY TYPES: THE ELDERLY

STRATEGY I: TARGET AVAILABLE ASSISTANCE TO THE ELDERLY:

SELECT ALL THAT APPLY

SEEK DESIGNATION OF PUBLIC HOUSING FOR THE ELDERLY
 APPLY FOR SPECIAL-PURPOSE VOUCHERS TARGETED TO THE ELDERLY, SHOULD THEY BECOME AVAILABLE
 OTHER: (LIST BELOW)

NEED: SPECIFIC FAMILY TYPES: FAMILIES WITH DISABILITIES

STRATEGY I: TARGET AVAILABLE ASSISTANCE TO FAMILIES WITH DISABILITIES:

SELECT ALL THAT APPLY

SEEK DESIGNATION OF PUBLIC HOUSING FOR FAMILIES WITH DISABILITIES
 CARRY OUT THE MODIFICATIONS NEEDED IN PUBLIC HOUSING BASED ON THE SECTION 504 NEEDS ASSESSMENT FOR PUBLIC HOUSING
 APPLY FOR SPECIAL-PURPOSE VOUCHERS TARGETED TO FAMILIES WITH DISABILITIES, SHOULD THEY BECOME AVAILABLE
 AFFIRMATIVELY MARKET TO LOCAL NON-PROFIT AGENCIES THAT ASSIST FAMILIES WITH DISABILITIES
 OTHER: (LIST BELOW)

NEED: SPECIFIC FAMILY TYPES: RACES OR ETHNICITIES WITH DISPROPORTIONATE HOUSING NEEDS

STRATEGY 1: INCREASE AWARENESS OF PHA RESOURCES AMONG FAMILIES OF RACES AND ETHNICITIES WITH DISPROPORTIONATE NEEDS:

SELECT IF APPLICABLE

AFFIRMATIVELY MARKET TO RACES/ETHNICITIES SHOWN TO HAVE DISPROPORTIONATE HOUSING NEEDS

OTHER: (LIST BELOW)

STRATEGY 2: CONDUCT ACTIVITIES TO AFFIRMATIVELY FURTHER FAIR HOUSING

SELECT ALL THAT APPLY

COUNSEL SECTION 8 TENANTS AS TO LOCATION OF UNITS OUTSIDE OF AREAS OF POVERTY OR

MINORITY CONCENTRATION AND ASSIST THEM TO LOCATE THOSE UNITS

MARKET THE SECTION 8 PROGRAM TO OWNERS OUTSIDE OF AREAS OF POVERTY /MINORITY

CONCENTRATIONS

OTHER: (LIST BELOW)

OTHER HOUSING NEEDS & STRATEGIES: (LIST NEEDS AND STRATEGIES BELOW)

(2) REASONS FOR SELECTING STRATEGIES

OF THE FACTORS LISTED BELOW, SELECT ALL THAT INFLUENCED THE PHA'S SELECTION OF THE STRATEGIES IT WILL PURSUE:

FUNDING CONSTRAINTS

STAFFING CONSTRAINTS

LIMITED AVAILABILITY OF SITES FOR ASSISTED HOUSING

EXTENT TO WHICH PARTICULAR HOUSING NEEDS ARE MET BY OTHER ORGANIZATIONS IN THE COMMUNITY

EVIDENCE OF HOUSING NEEDS AS DEMONSTRATED IN THE CONSOLIDATED PLAN AND OTHER

INFORMATION AVAILABLE TO THE PHA

INFLUENCE OF THE HOUSING MARKET ON PHA PROGRAMS

COMMUNITY PRIORITIES REGARDING HOUSING ASSISTANCE

- RESULTS OF CONSULTATION WITH LOCAL OR STATE GOVERNMENT**
 RESULTS OF CONSULTATION WITH RESIDENTS AND THE RESIDENT ADVISORY BOARD
 RESULTS OF CONSULTATION WITH ADVOCACY GROUPS
 OTHER: (LIST BELOW)

STATEMENT OF FINANCIAL RESOURCES

[24 CFR PART 903.7 9 (B)]

LIST THE FINANCIAL RESOURCES THAT ARE ANTICIPATED TO BE AVAILABLE TO THE PHA FOR THE SUPPORT OF FEDERAL PUBLIC HOUSING AND TENANT-BASED SECTION 8 ASSISTANCE PROGRAMS ADMINISTERED BY THE PHA DURING THE PLAN YEAR. NOTE: THE TABLE ASSUMES THAT FEDERAL PUBLIC HOUSING OR TENANT BASED SECTION 8 ASSISTANCE GRANT FUNDS ARE EXPENDED ON ELIGIBLE PURPOSES; THEREFORE, USES OF THESE FUNDS NEED NOT BE STATED. FOR OTHER FUNDS, INDICATE THE USE FOR THOSE FUNDS AS ONE OF THE FOLLOWING CATEGORIES: PUBLIC HOUSING OPERATIONS, PUBLIC HOUSING CAPITAL IMPROVEMENTS, PUBLIC HOUSING SAFETY/SECURITY, PUBLIC HOUSING SUPPORTIVE SERVICES, SECTION 8 TENANT-BASED ASSISTANCE, SECTION 8 SUPPORTIVE SERVICES OR OTHER.

FINANCIAL RESOURCES: PLANNED SOURCES AND USES		
SOURCES	PLANNED \$	PLANNED USES
1. FEDERAL GRANTS (FY 2000 GRANTS)		
A) PUBLIC HOUSING OPERATING FUND	87,900	
B) PUBLIC HOUSING CAPITAL FUND	214,452	
C) HOPE VI REVITALIZATION		
D) HOPE VI DEMOLITION		
E) ANNUAL CONTRIBUTIONS FOR SECTION 8 TENANT-BASED ASSISTANCE		
F) PUBLIC HOUSING DRUG ELIMINATION PROGRAM (INCLUDING ANY TECHNICAL ASSISTANCE FUNDS)	25,000	
G) RESIDENT OPPORTUNITY AND SELF-SUFFICIENCY GRANTS		
H) COMMUNITY DEVELOPMENT BLOCK GRANT		
I) HOME		
OTHER FEDERAL GRANTS (LIST BELOW)		
2. PRIOR YEAR FEDERAL GRANTS		

FINANCIAL RESOURCES: PLANNED SOURCES AND USES		
SOURCES	PLANNED \$	PLANNED USES
(UNOBLIGATED FUNDS ONLY) (LIST BELOW)		
3. PUBLIC HOUSING DWELLING RENTAL INCOME	9,400	
4. OTHER INCOME (LIST BELOW)		
4. NON-FEDERAL SOURCES (LIST BELOW)		
TOTAL RESOURCES	336,752	

3. PHA POLICIES GOVERNING ELIGIBILITY, SELECTION, AND ADMISSIONS

[24 CFR PART 903.7 9 (C)]

A. PUBLIC HOUSING

EXEMPTIONS: PHAS THAT DO NOT ADMINISTER PUBLIC HOUSING ARE NOT REQUIRED TO COMPLETE SUBCOMPONENT 3A.

(1) ELIGIBILITY

A. WHEN DOES THE PHA VERIFY ELIGIBILITY FOR ADMISSION TO PUBLIC HOUSING?

(SELECT ALL THAT APPLY)

___ WHEN FAMILIES ARE WITHIN A CERTAIN NUMBER OF BEING OFFERED A UNIT:
(STATE NUMBER)

WHEN FAMILIES ARE WITHIN A CERTAIN TIME OF BEING OFFERED A UNIT: PRESCREENING
DONE ON CRIMINAL HISTORY AND CREDIT REPORTS, THEN THE REMAINDER IS SENT FOR CERTIFICATION APPROXIMATELY 10 DAYS PRIOR TO THE ANTICIPATED MOVE IN.
____ **OTHER: (DESCRIBE)**

B. WHICH NON-INCOME (SCREENING) FACTORS DOES THE PHA USE TO ESTABLISH ELIGIBILITY FOR

ADMISSION TO PUBLIC HOUSING (SELECT ALL THAT APPLY)?

- CRIMINAL OR DRUG-RELATED ACTIVITY**
- RENTAL HISTORY**
- HOUSEKEEPING**
- OTHER (DESCRIBE) ANY OTHER CRITERIA SET BY HUD**

C. YES ____ NO: DOES THE PHA REQUEST CRIMINAL RECORDS FROM LOCAL LAW

ENFORCEMENT AGENCIES FOR SCREENING PURPOSES?

D. YES ____ NO: DOES THE PHA REQUEST CRIMINAL RECORDS FROM STATE LAW

ENFORCEMENT AGENCIES FOR SCREENING PURPOSES?

E. YES ____ NO: DOES THE PHA ACCESS FBI CRIMINAL RECORDS FROM THE FBI FOR

SCREENING PURPOSES? (EITHER DIRECTLY OR THROUGH AN NCIC-AUTHORIZED SOURCE) IF NEEDED.

(2) WAITING LIST ORGANIZATION

A. WHICH METHODS DOES THE PHA PLAN TO USE TO ORGANIZE ITS PUBLIC HOUSING WAITING LIST

(SELECT ALL THAT APPLY)

- COMMUNITY-WIDE LIST**
- ____ **SUB-JURISDICTIONAL LISTS**
- ____ **SITE-BASED WAITING LISTS**
- ____ **OTHER (DESCRIBE)**

B. WHERE MAY INTERESTED PERSONS APPLY FOR ADMISSION TO PUBLIC HOUSING?

- PHA MAIN ADMINISTRATIVE OFFICE**
- ____ **PHA DEVELOPMENT SITE MANAGEMENT OFFICE**
- ____ **OTHER (LIST BELOW)**

C. IF THE PHA PLANS TO OPERATE ONE OR MORE SITE-BASED WAITING LISTS IN THE COMING YEAR, ANSWER EACH OF THE FOLLOWING QUESTIONS; IF NOT, SKIP TO SUBSECTION (3) ASSIGNMENT

1. HOW MANY SITE-BASED WAITING LISTS WILL THE PHA OPERATE IN THE COMING YEAR?

2. YES NO: ARE ANY OR ALL OF THE PHA'S SITE-BASED WAITING LISTS NEW FOR THE UPCOMING YEAR (THAT IS, THEY ARE NOT PART OF A PREVIOUSLY-HUD-APPROVED SITE BASED WAITING LIST PLAN)?

IF YES, HOW MANY LISTS?

3. YES NO: MAY FAMILIES BE ON MORE THAN ONE LIST SIMULTANEOUSLY

IF YES, HOW MANY LISTS?

4. WHERE CAN INTERESTED PERSONS OBTAIN MORE INFORMATION ABOUT AND SIGN UP TO BE ON THE SITE-BASED WAITING LISTS (SELECT ALL THAT APPLY)?

PHA MAIN ADMINISTRATIVE OFFICE

ALL PHA DEVELOPMENT MANAGEMENT OFFICES

MANAGEMENT OFFICES AT DEVELOPMENTS WITH SITE-BASED WAITING LISTS

AT THE DEVELOPMENT TO WHICH THEY WOULD LIKE TO APPLY

OTHER (LIST BELOW)

(3) ASSIGNMENT

A. HOW MANY VACANT UNIT CHOICES ARE APPLICANTS ORDINARILY GIVEN BEFORE THEY FALL TO THE BOTTOM OF OR ARE REMOVED FROM THE WAITING LIST? (SELECT ONE)

ONE

TWO

THREE OR MORE

B. YES NO: IS THIS POLICY CONSISTENT ACROSS ALL WAITING LIST TYPES?

C. IF ANSWER TO B IS NO, LIST VARIATIONS FOR ANY OTHER THAN THE PRIMARY PUBLIC HOUSING WAITING LIST/S FOR THE PHA:

(4) ADMISSIONS PREFERENCES

A. INCOME TARGETING:

YES NO: DOES THE PHA PLAN TO EXCEED THE FEDERAL TARGETING REQUIREMENTS BY TARGETING MORE THAN 40% OF ALL NEW ADMISSIONS TO PUBLIC HOUSING TO FAMILIES AT OR BELOW 30% OF MEDIAN AREA INCOME?

B. TRANSFER POLICIES:

IN WHAT CIRCUMSTANCES WILL TRANSFERS TAKE PRECEDENCE OVER NEW ADMISSIONS? (LIST BELOW)

EMERGENCIES
 OVERHOUSED
 UNDERHOUSED
 MEDICAL JUSTIFICATION
 ADMINISTRATIVE REASONS DETERMINED BY THE PHA (E.G., TO PERMIT MODERNIZATION WORK)

RESIDENT CHOICE: (STATE CIRCUMSTANCES BELOW)

OTHER: (LIST BELOW)

A. PREFERENCES

1. YES NO: HAS THE PHA ESTABLISHED PREFERENCES FOR ADMISSION TO PUBLIC HOUSING (OTHER THAN DATE AND TIME OF APPLICATION)? (IF "NO" IS SELECTED, SKIP TO SUBSECTION (5) OCCUPANCY)

1. WHICH OF THE FOLLOWING ADMISSION PREFERENCES DOES THE PHA PLAN TO EMPLOY IN THE COMING YEAR? (SELECT ALL THAT APPLY FROM EITHER FORMER FEDERAL PREFERENCES OR OTHER PREFERENCES)

FORMER FEDERAL PREFERENCES:

INVOLUNTARY DISPLACEMENT (DISASTER, GOVERNMENT ACTION, ACTION OF HOUSING

OWNER, INACCESSIBILITY, PROPERTY DISPOSITION)

VICTIMS OF DOMESTIC VIOLENCE

SUBSTANDARD HOUSING

HOMELESSNESS

HIGH RENT BURDEN (RENT IS > 50 PERCENT OF INCOME)

OTHER PREFERENCES: (SELECT BELOW)

- WORKING FAMILIES AND THOSE UNABLE TO WORK BECAUSE OF AGE OR DISABILITY**
- VETERANS AND VETERANS' FAMILIES**
- RESIDENTS WHO LIVE AND/OR WORK IN THE JURISDICTION**
- THOSE ENROLLED CURRENTLY IN EDUCATIONAL, TRAINING, OR UPWARD MOBILITY PROGRAMS**
- HOUSEHOLDS THAT CONTRIBUTE TO MEETING INCOME GOALS (BROAD RANGE OF INCOMES)**
- HOUSEHOLDS THAT CONTRIBUTE TO MEETING INCOME REQUIREMENTS (TARGETING)**
- THOSE PREVIOUSLY ENROLLED IN EDUCATIONAL, TRAINING, OR UPWARD MOBILITY PROGRAMS**
- VICTIMS OF REPRISALS OR HATE CRIMES**
- OTHER PREFERENCE(S) (LIST BELOW) INCOME OF \$8500.00 PER YEAR WHO HAVE BEEN WORKING FOR SIX CONSECUTIVE MONTHS**

3. IF THE PHA WILL EMPLOY ADMISSIONS PREFERENCES, PLEASE PRIORITIZE BY PLACING A "1" IN THE SPACE THAT REPRESENTS YOUR FIRST PRIORITY, A "2" IN THE BOX REPRESENTING YOUR SECOND PRIORITY, AND SO ON. IF YOU GIVE EQUAL WEIGHT TO ONE OR MORE OF THESE CHOICES (EITHER THROUGH AN ABSOLUTE HIERARCHY OR THROUGH A POINT SYSTEM), PLACE THE SAME NUMBER NEXT TO EACH. THAT MEANS YOU CAN USE "1" MORE THAN ONCE, "2" MORE THAN ONCE, ETC.

DATE AND TIME

FORMER FEDERAL PREFERENCES:

- INVOLUNTARY DISPLACEMENT (DISASTER, GOVERNMENT ACTION, ACTION OF HOUSING**
- OWNER, INACCESSIBILITY, PROPERTY DISPOSITION)**
- VICTIMS OF DOMESTIC VIOLENCE**
- SUBSTANDARD HOUSING**
- HOMELESSNESS**
- HIGH RENT BURDEN**

OTHER PREFERENCES (SELECT ALL THAT APPLY)

- 2** **WORKING FAMILIES AND THOSE UNABLE TO WORK BECAUSE OF AGE OR DISABILITY**
- VETERANS AND VETERANS' FAMILIES**

- 3 RESIDENTS WHO LIVE AND/OR WORK IN THE JURISDICTION**
 _____ **THOSE ENROLLED CURRENTLY IN EDUCATIONAL, TRAINING, OR UPWARD MOBILITY PROGRAMS**
 _____ **HOUSEHOLDS THAT CONTRIBUTE TO MEETING INCOME GOALS (BROAD RANGE OF INCOMES)**
 _____ **HOUSEHOLDS THAT CONTRIBUTE TO MEETING INCOME REQUIREMENTS (TARGETING)**
 _____ **THOSE PREVIOUSLY ENROLLED IN EDUCATIONAL, TRAINING, OR UPWARD MOBILITY PROGRAMS**
 _____ **VICTIMS OF REPRISALS OR HATE CRIMES**
1 OTHER PREFERENCE(S) (LIST BELOW) FAMILIES WITH INCOMES OF \$8500.00 PER YEAR
WHO HAVE BEEN WORKING FOR SIX CONSECUTIVE MONTHS.

- 4. RELATIONSHIP OF PREFERENCES TO INCOME TARGETING REQUIREMENTS:**
 _____ **THE PHA APPLIES PREFERENCES WITHIN INCOME TIERS**
X NOT APPLICABLE: THE POOL OF APPLICANT FAMILIES ENSURES THAT THE PHA WILL MEET INCOME TARGETING REQUIREMENTS

(5) OCCUPANCY

A. WHAT REFERENCE MATERIALS CAN APPLICANTS AND RESIDENTS USE TO OBTAIN INFORMATION

ABOUT THE RULES OF OCCUPANCY OF PUBLIC HOUSING (SELECT ALL THAT APPLY)

- X THE PHA-RESIDENT LEASE**
X THE PHA'S ADMISSIONS AND (CONTINUED) OCCUPANCY POLICY
X PHA BRIEFING SEMINARS OR WRITTEN MATERIALS
 _____ **OTHER SOURCE (LIST)**

B. HOW OFTEN MUST RESIDENTS NOTIFY THE PHA OF CHANGES IN FAMILY COMPOSITION?

(SELECT ALL THAT APPLY)

- _____ **AT AN ANNUAL REEXAMINATION AND LEASE RENEWAL**
 _____ **ANY TIME FAMILY COMPOSITION CHANGES**
 _____ **AT FAMILY REQUEST FOR REVISION**
X OTHER (LIST) WITHIN 10 DAYS OF OCCURANCE (SEE ACOP AND LEASE)

(6) DECONCENTRATION AND INCOME MIXING

A. YES NO: DID THE PHA'S ANALYSIS OF ITS FAMILY (GENERAL OCCUPANCY)

INDICATE DEVELOPMENTS TO DETERMINE CONCENTRATIONS OF POVERTY
THE NEED FOR MEASURES TO PROMOTE DECONCENTRATION OF
POVERTY OR INCOME MIXING?

B. YES NO: DID THE PHA ADOPT ANY CHANGES TO ITS ADMISSIONS POLICIES

NEED TO BASED ON THE RESULTS OF THE REQUIRED ANALYSIS OF THE
PROMOTE DECONCENTRATION OF POVERTY OR TO ASSURE
INCOME MIXING?

C. IF THE ANSWER TO B WAS YES, WHAT CHANGES WERE ADOPTED? (SELECT ALL THAT APPLY)

ADOPTION OF SITE-BASED WAITING LISTS

IF SELECTED, LIST TARGETED DEVELOPMENTS BELOW:

EMPLOYING WAITING LIST "SKIPPING" TO ACHIEVE DECONCENTRATION OF POVERTY OR

INCOME MIXING GOALS AT TARGETED DEVELOPMENTS

IF SELECTED, LIST TARGETED DEVELOPMENTS BELOW:

EMPLOYING NEW ADMISSION PREFERENCES AT TARGETED DEVELOPMENTS

IF SELECTED, LIST TARGETED DEVELOPMENTS BELOW:

OTHER (LIST POLICIES AND DEVELOPMENTS TARGETED BELOW)

D. YES NO: DID THE PHA ADOPT ANY CHANGES TO OTHER POLICIES BASED ON THE

RESULTS OF THE REQUIRED ANALYSIS OF THE NEED FOR DECONCENTRATION OF POVERTY AND INCOME MIXING?

E. IF THE ANSWER TO D WAS YES, HOW WOULD YOU DESCRIBE THESE CHANGES? (SELECT ALL THAT APPLY)

ADDITIONAL AFFIRMATIVE MARKETING

ACTIONS TO IMPROVE THE MARKETABILITY OF CERTAIN DEVELOPMENTS

ADOPTION OR ADJUSTMENT OF CEILING RENTS FOR CERTAIN DEVELOPMENTS

ADOPTION OF RENT INCENTIVES TO ENCOURAGE DECONCENTRATION OF POVERTY AND INCOME-MIXING
 OTHER (LIST BELOW)

F. BASED ON THE RESULTS OF THE REQUIRED ANALYSIS, IN WHICH DEVELOPMENTS WILL THE PHA

MAKE SPECIAL EFFORTS TO ATTRACT OR RETAIN HIGHER-INCOME FAMILIES? (SELECT ALL THAT APPLY)

NOT APPLICABLE: RESULTS OF ANALYSIS DID NOT INDICATE A NEED FOR SUCH EFFORTS

LIST (ANY APPLICABLE) DEVELOPMENTS BELOW: NORTHSIDE VILLAGE AND WILLOW APTS.

G. BASED ON THE RESULTS OF THE REQUIRED ANALYSIS, IN WHICH DEVELOPMENTS WILL THE PHA

MAKE SPECIAL EFFORTS TO ASSURE ACCESS FOR LOWER-INCOME FAMILIES? (SELECT ALL THAT APPLY)

NOT APPLICABLE: RESULTS OF ANALYSIS DID NOT INDICATE A NEED FOR SUCH EFFORTS

LIST (ANY APPLICABLE) DEVELOPMENTS BELOW:

B. SECTION 8

EXEMPTIONS: PHAS THAT DO NOT ADMINISTER SECTION 8 ARE NOT REQUIRED TO COMPLETE SUB-COMPONENT 3B.

UNLESS OTHERWISE SPECIFIED, ALL QUESTIONS IN THIS SECTION APPLY ONLY TO THE TENANT-BASED SECTION 8 ASSISTANCE PROGRAM (VOUCHERS, AND UNTIL COMPLETELY MERGED INTO THE VOUCHER PROGRAM, CERTIFICATES).

(1) ELIGIBILITY

A. WHAT IS THE EXTENT OF SCREENING CONDUCTED BY THE PHA? (SELECT ALL THAT APPLY)

CRIMINAL OR DRUG-RELATED ACTIVITY ONLY TO THE EXTENT REQUIRED BY LAW OR REGULATION

CRIMINAL AND DRUG-RELATED ACTIVITY, MORE EXTENSIVELY THAN REQUIRED BY LAW OR REGULATION

____ **MORE GENERAL SCREENING THAN CRIMINAL AND DRUG-RELATED ACTIVITY
(LIST FACTORS
BELOW)**
____ **OTHER (LIST BELOW)**

B. ____ **YES** ____ **NO: DOES THE PHA REQUEST CRIMINAL RECORDS FROM LOCAL
LAW
ENFORCEMENT AGENCIES FOR SCREENING PURPOSES?**

C. ____ **YES** ____ **NO: DOES THE PHA REQUEST CRIMINAL RECORDS FROM STATE
LAW
ENFORCEMENT AGENCIES FOR SCREENING PURPOSES?**

D. ____ **YES** ____ **NO: DOES THE PHA ACCESS FBI CRIMINAL RECORDS FROM THE
FBI FOR
SCREENING PURPOSES? (EITHER DIRECTLY OR THROUGH AN NCIC-
AUTHORIZED SOURCE)**

E. **INDICATE WHAT KINDS OF INFORMATION YOU SHARE WITH PROSPECTIVE
LANDLORDS? (SELECT ALL
THAT APPLY)**

____ **CRIMINAL OR DRUG-RELATED ACTIVITY**
____ **OTHER (DESCRIBE BELOW)**

(2) WAITING LIST ORGANIZATION

A. **WITH WHICH OF THE FOLLOWING PROGRAM WAITING LISTS IS THE SECTION 8
TENANT-BASED
ASSISTANCE WAITING LIST MERGED? (SELECT ALL THAT APPLY)**

____ **NONE**
____ **FEDERAL PUBLIC HOUSING**
____ **FEDERAL MODERATE REHABILITATION**
____ **FEDERAL PROJECT-BASED CERTIFICATE PROGRAM**
____ **OTHER FEDERAL OR LOCAL PROGRAM (LIST BELOW)**

B. **WHERE MAY INTERESTED PERSONS APPLY FOR ADMISSION TO SECTION 8 TENANT-
BASED
ASSISTANCE? (SELECT ALL THAT APPLY)**

____ **PHA MAIN ADMINISTRATIVE OFFICE**
____ **OTHER (LIST BELOW)**

(3) SEARCH TIME

A. **YES** **NO: DOES THE PHA GIVE EXTENSIONS ON STANDARD 60-DAY PERIOD TO SEARCH FOR A UNIT?**

IF YES, STATE CIRCUMSTANCES BELOW:

(4) ADMISSIONS PREFERENCES

A. INCOME TARGETING

YES **NO: DOES THE PHA PLAN TO EXCEED THE FEDERAL TARGETING REQUIREMENTS BY TARGETING MORE THAN 75% OF ALL NEW ADMISSIONS TO THE SECTION 8 PROGRAM TO FAMILIES AT OR BELOW 30% OF MEDIAN AREA INCOME?**

B. PREFERENCES

1. **YES** **NO: HAS THE PHA ESTABLISHED PREFERENCES FOR ADMISSION TO SECTION 8 TENANT-BASED ASSISTANCE? (OTHER THAN DATE AND TIME OF APPLICATION) (IF NO, SKIP TO SUBCOMPONENT (5) SPECIAL PURPOSE SECTION 8 ASSISTANCE PROGRAMS)**

2. **WHICH OF THE FOLLOWING ADMISSION PREFERENCES DOES THE PHA PLAN TO EMPLOY IN THE COMING YEAR? (SELECT ALL THAT APPLY FROM EITHER FORMER FEDERAL PREFERENCES OR OTHER PREFERENCES)**

FORMER FEDERAL PREFERENCES

INVOLUNTARY DISPLACEMENT (DISASTER, GOVERNMENT ACTION, ACTION OF HOUSING

OWNER, INACCESSIBILITY, PROPERTY DISPOSITION)

VICTIMS OF DOMESTIC VIOLENCE

SUBSTANDARD HOUSING

HOMELESSNESS

HIGH RENT BURDEN (RENT IS > 50 PERCENT OF INCOME)

OTHER PREFERENCES (SELECT ALL THAT APPLY)

WORKING FAMILIES AND THOSE UNABLE TO WORK BECAUSE OF AGE OR DISABILITY

VETERANS AND VETERANS' FAMILIES

RESIDENTS WHO LIVE AND/OR WORK IN YOUR JURISDICTION

THOSE ENROLLED CURRENTLY IN EDUCATIONAL, TRAINING, OR UPWARD MOBILITY PROGRAMS

_____ **HOUSEHOLDS THAT CONTRIBUTE TO MEETING INCOME GOALS (BROAD RANGE OF INCOMES)**

_____ **HOUSEHOLDS THAT CONTRIBUTE TO MEETING INCOME REQUIREMENTS (TARGETING)**

_____ **THOSE PREVIOUSLY ENROLLED IN EDUCATIONAL, TRAINING, OR UPWARD MOBILITY PROGRAMS**

_____ **VICTIMS OF REPRISALS OR HATE CRIMES**

_____ **OTHER PREFERENCE(S) (LIST BELOW)**

3. IF THE PHA WILL EMPLOY ADMISSIONS PREFERENCES, PLEASE PRIORITIZE BY PLACING A "1" IN

THE SPACE THAT REPRESENTS YOUR FIRST PRIORITY, A "2" IN THE BOX REPRESENTING YOUR

SECOND PRIORITY, AND SO ON. IF YOU GIVE EQUAL WEIGHT TO ONE OR MORE OF THESE

CHOICES (EITHER THROUGH AN ABSOLUTE HIERARCHY OR THROUGH A POINT SYSTEM), PLACE THE

SAME NUMBER NEXT TO EACH. THAT MEANS YOU CAN USE "1" MORE THAN ONCE, "2" MORE

THAN ONCE, ETC.

_____ **DATE AND TIME**

FORMER FEDERAL PREFERENCES

_____ **INVOLUNTARY DISPLACEMENT (DISASTER, GOVERNMENT ACTION, ACTION OF HOUSING**

OWNER, INACCESSIBILITY, PROPERTY DISPOSITION)

_____ **VICTIMS OF DOMESTIC VIOLENCE**

_____ **SUBSTANDARD HOUSING**

_____ **HOMELESSNESS**

_____ **HIGH RENT BURDEN**

OTHER PREFERENCES (SELECT ALL THAT APPLY)

_____ **WORKING FAMILIES AND THOSE UNABLE TO WORK BECAUSE OF AGE OR DISABILITY**

_____ **VETERANS AND VETERANS' FAMILIES**

_____ **RESIDENTS WHO LIVE AND/OR WORK IN YOUR JURISDICTION**

_____ **THOSE ENROLLED CURRENTLY IN EDUCATIONAL, TRAINING, OR UPWARD MOBILITY PROGRAMS**

_____ **HOUSEHOLDS THAT CONTRIBUTE TO MEETING INCOME GOALS (BROAD RANGE OF INCOMES)**

___ **HOUSEHOLDS THAT CONTRIBUTE TO MEETING INCOME REQUIREMENTS
(TARGETING)**

___ **THOSE PREVIOUSLY ENROLLED IN EDUCATIONAL, TRAINING, OR UPWARD
MOBILITY**

___ **PROGRAMS**

___ **VICTIMS OF REPRISALS OR HATE CRIMES**

___ **OTHER PREFERENCE(S) (LIST BELOW)**

**4. AMONG APPLICANTS ON THE WAITING LIST WITH EQUAL PREFERENCE STATUS,
HOW ARE**

APPLICANTS SELECTED? (SELECT ONE)

___ **DATE AND TIME OF APPLICATION**

___ **DRAWING (LOTTERY) OR OTHER RANDOM CHOICE TECHNIQUE**

**5. IF THE PHA PLANS TO EMPLOY PREFERENCES FOR “RESIDENTS WHO LIVE AND/OR
WORK IN THE**

JURISDICTION” (SELECT ONE)

___ **THIS PREFERENCE HAS PREVIOUSLY BEEN REVIEWED AND APPROVED BY HUD**

___ **THE PHA REQUESTS APPROVAL FOR THIS PREFERENCE THROUGH THIS PHA
PLAN**

**6. RELATIONSHIP OF PREFERENCES TO INCOME TARGETING REQUIREMENTS:
(SELECT ONE)**

___ **THE PHA APPLIES PREFERENCES WITHIN INCOME TIERS**

___ **NOT APPLICABLE: THE POOL OF APPLICANT FAMILIES ENSURES THAT THE
PHA WILL MEET
INCOME TARGETING REQUIREMENTS**

(5) SPECIAL PURPOSE SECTION 8 ASSISTANCE PROGRAMS

**A. IN WHICH DOCUMENTS OR OTHER REFERENCE MATERIALS ARE THE POLICIES
GOVERNING**

**ELIGIBILITY, SELECTION, AND ADMISSIONS TO ANY SPECIAL-PURPOSE SECTION 8
PROGRAM**

ADMINISTERED BY THE PHA CONTAINED? (SELECT ALL THAT APPLY)

___ **THE SECTION 8 ADMINISTRATIVE PLAN**

___ **BRIEFING SESSIONS AND WRITTEN MATERIALS**

___ **OTHER (LIST BELOW)**

A. **HOW DOES THE PHA ANNOUNCE THE AVAILABILITY OF ANY SPECIAL-PURPOSE SECTION 8 PROGRAMS TO THE PUBLIC?**

_____ **THROUGH PUBLISHED NOTICES**
_____ **OTHER (LIST BELOW)**

4. PHA RENT DETERMINATION POLICIES

[24 CFR PART 903.7 9 (D)]

A. PUBLIC HOUSING

EXEMPTIONS: PHAS THAT DO NOT ADMINISTER PUBLIC HOUSING ARE NOT REQUIRED TO COMPLETE SUB-COMPONENT 4A.

(1) INCOME BASED RENT POLICIES

DESCRIBE THE PHA'S INCOME BASED RENT SETTING POLICY/IES FOR PUBLIC HOUSING USING, INCLUDING DISCRETIONARY (THAT IS, NOT REQUIRED BY STATUTE OR REGULATION) INCOME DISREGARDS AND EXCLUSIONS, IN THE APPROPRIATE SPACES BELOW.

A. USE OF DISCRETIONARY POLICIES: (SELECT ONE)

_____ **THE PHA WILL NOT EMPLOY ANY DISCRETIONARY RENT-SETTING POLICIES FOR INCOME BASED RENT IN PUBLIC HOUSING. INCOME-BASED RENTS ARE SET AT THE HIGHER OF 30% OF ADJUSTED MONTHLY INCOME, 10% OF UNADJUSTED MONTHLY INCOME, THE WELFARE RENT, OR MINIMUM RENT (LESS HUD MANDATORY DEDUCTIONS AND EXCLUSIONS). (IF SELECTED, SKIP TO SUB-COMPONENT (2))**

---OR---

X THE PHA EMPLOYS DISCRETIONARY POLICIES FOR DETERMINING INCOME BASED RENT (IF SELECTED, CONTINUE TO QUESTION B.)

B. MINIMUM RENT

1. WHAT AMOUNT BEST REFLECTS THE PHA'S MINIMUM RENT? (SELECT ONE)

- \$0
- \$1-\$25
- \$26-\$50

2. YES NO: HAS THE PHA ADOPTED ANY DISCRETIONARY MINIMUM RENT HARDSHIP EXEMPTION POLICIES?

3. IF YES TO QUESTION 2, LIST THESE POLICIES BELOW: SEE LEASE AND ACOP

A. RENTS SET AT LESS THAN 30% THAN ADJUSTED INCOME

1. YES NO: DOES THE PHA PLAN TO CHARGE RENTS AT A FIXED AMOUNT OR

PERCENTAGE LESS THAN 30% OF ADJUSTED INCOME?

2. IF YES TO ABOVE, LIST THE AMOUNTS OR PERCENTAGES CHARGED AND THE CIRCUMSTANCES

UNDER WHICH THESE WILL BE USED BELOW: MINIMUM RENT IN THE AMOUNT OF \$50.00 WILL

BE CHARGED IF 30% OF GROSS INCOME FALLS BELOW \$50.00.

D. WHICH OF THE DISCRETIONARY (OPTIONAL) DEDUCTIONS AND/OR EXCLUSIONS POLICIES DOES THE PHA PLAN TO EMPLOY (SELECT ALL THAT APPLY)

FOR THE EARNED INCOME OF A PREVIOUSLY UNEMPLOYED HOUSEHOLD MEMBER

FOR INCREASES IN EARNED INCOME

FIXED AMOUNT (OTHER THAN GENERAL RENT-SETTING POLICY)

IF YES, STATE AMOUNT/S AND CIRCUMSTANCES BELOW:

FIXED PERCENTAGE (OTHER THAN GENERAL RENT-SETTING POLICY)

IF YES, STATE PERCENTAGE/S AND CIRCUMSTANCES BELOW:

FOR HOUSEHOLD HEADS

FOR OTHER FAMILY MEMBERS

FOR TRANSPORTATION EXPENSES

FOR THE NON-REIMBURSED MEDICAL EXPENSES OF NON-DISABLED OR NON-ELDERLY

FAMILIES

OTHER (DESCRIBE BELOW)

E. CEILING RENTS

**1. DO YOU HAVE CEILING RENTS? (RENTS SET AT A LEVEL LOWER THAN 30% OF ADJUSTED INCOME)
(SELECT ONE)**

- YES FOR ALL DEVELOPMENTS**
- YES BUT ONLY FOR SOME DEVELOPMENTS**
- NO**

CEILING RENTS			
0BR ELECTRIC	\$ 216.00	2BR GAS	\$ 309.00
1BR ELECTRIC	\$ 263.00	3BR GAS	\$ 386.00
1BR GAS	\$ 263.00	4BR GAS	\$ 433.00
		5BR GAS	\$ 497.00

2. FOR WHICH KINDS OF DEVELOPMENTS ARE CEILING RENTS IN PLACE? (SELECT ALL THAT APPLY)

- FOR ALL DEVELOPMENTS**
- FOR ALL GENERAL OCCUPANCY DEVELOPMENTS (NOT ELDERLY OR DISABLED OR ELDERLY ONLY)**
- FOR SPECIFIED GENERAL OCCUPANCY DEVELOPMENTS**
- FOR CERTAIN PARTS OF DEVELOPMENTS; E.G., THE HIGH-RISE PORTION**
- FOR CERTAIN SIZE UNITS; E.G., LARGER BEDROOM SIZES**
- OTHER (LIST BELOW)**

3. SELECT THE SPACE OR SPACES THAT BEST DESCRIBE HOW YOU ARRIVE AT CEILING RENTS (SELECT ALL THAT APPLY)

- MARKET COMPARABILITY STUDY**
- FAIR MARKET RENTS (FMR)**
- 95TH PERCENTILE RENTS**
- 75 PERCENT OF OPERATING COSTS**
- 100 PERCENT OF OPERATING COSTS FOR GENERAL OCCUPANCY (FAMILY) DEVELOPMENTS**
- OPERATING COSTS PLUS DEBT SERVICE**
- THE "RENTAL VALUE" OF THE UNIT**
- OTHER (LIST BELOW)**

F. RENT RE-DETERMINATIONS:

1. BETWEEN INCOME REEXAMINATIONS, HOW OFTEN MUST TENANTS REPORT CHANGES IN INCOME

OR FAMILY COMPOSITION TO THE PHA SUCH THAT THE CHANGES RESULT IN AN ADJUSTMENT TO

RENT? (SELECT ALL THAT APPLY)

NEVER

AT FAMILY OPTION

ANY TIME THE FAMILY EXPERIENCES AN INCOME INCREASE

ANY TIME A FAMILY EXPERIENCES AN INCOME INCREASE ABOVE A THRESHOLD AMOUNT OR

PERCENTAGE: (IF SELECTED, SPECIFY THRESHOLD) _____

OTHER (LIST BELOW) MUST BE REPORTED WITHIN 10 DAYS PER REGULATIONS, THE ACOP AND LEASE.

G. YES NO: DOES THE PHA PLAN TO IMPLEMENT INDIVIDUAL SAVINGS ACCOUNTS FOR RESIDENTS (ISAS) AS AN ALTERNATIVE TO THE REQUIRED 12 MONTH DISALLOWANCE OF EARNED INCOME AND PHASING IN OF RENT INCREASES IN THE NEXT YEAR?

(2) FLAT RENTS

1. IN SETTING THE MARKET-BASED FLAT RENTS, WHAT SOURCES OF INFORMATION DID THE PHA USE

TO ESTABLISH COMPARABILITY? (SELECT ALL THAT APPLY.)

THE SECTION 8 RENT REASONABLENESS STUDY OF COMPARABLE HOUSING

SURVEY OF RENTS LISTED IN LOCAL NEWSPAPER

SURVEY OF SIMILAR UNASSISTED UNITS IN THE NEIGHBORHOOD

OTHER (LIST/DESCRIBE BELOW) NEARBY ASSISTED UNITS.

B. SECTION 8 TENANT-BASED ASSISTANCE

EXEMPTIONS: PHAS THAT DO NOT ADMINISTER SECTION 8 TENANT-BASED ASSISTANCE ARE NOT REQUIRED TO COMPLETE SUB-COMPONENT 4B. UNLESS OTHERWISE SPECIFIED, ALL QUESTIONS IN THIS SECTION APPLY ONLY TO THE TENANT-BASED SECTION 8 ASSISTANCE PROGRAM (VOUCHERS, AND UNTIL COMPLETELY MERGED INTO THE VOUCHER PROGRAM, CERTIFICATES).

(1) PAYMENT STANDARDS

DESCRIBE THE VOUCHER PAYMENT STANDARDS AND POLICIES.

A. WHAT IS THE PHA'S PAYMENT STANDARD? (SELECT THE CATEGORY THAT BEST DESCRIBES YOUR STANDARD)

- AT OR ABOVE 90% BUT BELOW 100% OF FMR**
- 100% OF FMR**
- ABOVE 100% BUT AT OR BELOW 110% OF FMR**
- ABOVE 110% OF FMR (IF HUD APPROVED; DESCRIBE CIRCUMSTANCES BELOW)**

B. IF THE PAYMENT STANDARD IS LOWER THAN FMR, WHY HAS THE PHA SELECTED THIS STANDARD? (SELECT ALL THAT APPLY)

- FMRs ARE ADEQUATE TO ENSURE SUCCESS AMONG ASSISTED FAMILIES IN THE PHA'S SEGMENT OF THE FMR AREA**
- THE PHA HAS CHOSEN TO SERVE ADDITIONAL FAMILIES BY LOWERING THE PAYMENT STANDARD**
- REFLECTS MARKET OR SUBMARKET**
- OTHER (LIST BELOW)**

C. IF THE PAYMENT STANDARD IS HIGHER THAN FMR, WHY HAS THE PHA CHOSEN THIS LEVEL? (SELECT ALL THAT APPLY)

- FMRs ARE NOT ADEQUATE TO ENSURE SUCCESS AMONG ASSISTED FAMILIES IN THE PHA'S SEGMENT OF THE FMR AREA**
- REFLECTS MARKET OR SUBMARKET**
- TO INCREASE HOUSING OPTIONS FOR FAMILIES**
- OTHER (LIST BELOW)**

D. HOW OFTEN ARE PAYMENT STANDARDS REEVALUATED FOR ADEQUACY? (SELECT ONE)

- ANNUALLY**
- OTHER (LIST BELOW)**

E. WHAT FACTORS WILL THE PHA CONSIDER IN ITS ASSESSMENT OF THE ADEQUACY OF ITS PAYMENT STANDARD? (SELECT ALL THAT APPLY)

- SUCCESS RATES OF ASSISTED FAMILIES**
- RENT BURDENS OF ASSISTED FAMILIES**

_____ **OTHER (LIST BELOW)**

(2) MINIMUM RENT

A. WHAT AMOUNT BEST REFLECTS THE PHA'S MINIMUM RENT? (SELECT ONE)

- _____ **\$0**
_____ **\$1-\$25**
_____ **\$26-\$50**

**B. _____ YES _____ NO: HAS THE PHA ADOPTED ANY DISCRETIONARY MINIMUM RENT
HARDSHIP**

EXEMPTION POLICIES? (IF YES, LIST BELOW)

5. OPERATIONS AND MANAGEMENT

[24 CFR PART 903.7 9 (E)]

**EXEMPTIONS FROM COMPONENT 5: HIGH PERFORMING AND SMALL PHAS ARE NOT REQUIRED TO
COMPLETE THIS SECTION. SECTION 8 ONLY PHAS MUST COMPLETE PARTS A, B, AND C(2)**

A. PHA MANAGEMENT STRUCTURE

DESCRIBE THE PHA'S MANAGEMENT STRUCTURE AND ORGANIZATION.

(SELECT ONE)

_____ **AN ORGANIZATION CHART SHOWING THE PHA'S MANAGEMENT STRUCTURE
AND
ORGANIZATION IS ATTACHED.**

_____ **A BRIEF DESCRIPTION OF THE MANAGEMENT STRUCTURE AND ORGANIZATION
OF THE PHA
FOLLOWS:**

B. HUD PROGRAMS UNDER PHA MANAGEMENT

**_. LIST FEDERAL PROGRAMS ADMINISTERED BY THE PHA, NUMBER OF FAMILIES SERVED AT
THE BEGINNING OF THE UPCOMING FISCAL YEAR, AND EXPECTED TURNOVER IN EACH. (USE "NA" TO
INDICATE THAT THE PHA DOES NOT OPERATE ANY OF THE PROGRAMS LISTED BELOW.)**

PROGRAM NAME	UNITS OR FAMILIES SERVED AT YEAR BEGINNING	EXPECTED TURNOVER
---------------------	---	------------------------------

<i>PUBLIC HOUSING</i>		
<i>SECTION 8 VOUCHERS</i>		
<i>SECTION 8 CERTIFICATES</i>		
<i>SECTION 8 MOD REHAB</i>		
<i>SPECIAL PURPOSE SECTION 8 CERTIFICATES/VOUCHERS (LIST INDIVIDUALLY)</i>		
<i>PUBLIC HOUSING DRUG ELIMINATION PROGRAM (PHDEP)</i>		
<i>OTHER FEDERAL PROGRAMS(LIST INDIVIDUALLY)</i>		

C. MANAGEMENT AND MAINTENANCE POLICIES

LIST THE PHA'S PUBLIC HOUSING MANAGEMENT AND MAINTENANCE POLICY DOCUMENTS, MANUALS AND HANDBOOKS THAT CONTAIN THE AGENCY'S RULES, STANDARDS, AND POLICIES THAT GOVERN MAINTENANCE AND MANAGEMENT OF PUBLIC HOUSING, INCLUDING A DESCRIPTION OF ANY MEASURES NECESSARY FOR THE PREVENTION OR ERADICATION OF PEST INFESTATION (WHICH INCLUDES COCKROACH INFESTATION) AND THE POLICIES GOVERNING SECTION 8 MANAGEMENT.

(1) PUBLIC HOUSING MAINTENANCE AND MANAGEMENT: (LIST BELOW)

(2) SECTION 8 MANAGEMENT: (LIST BELOW)

6. PHA GRIEVANCE PROCEDURES

[24 CFR PART 903.7 9 (F)]

EXEMPTIONS FROM COMPONENT 6: HIGH PERFORMING PHAS ARE NOT REQUIRED TO COMPLETE COMPONENT 6. SECTION 8-ONLY PHAS ARE EXEMPT FROM SUB-COMPONENT 6A.

A. PUBLIC HOUSING

1. YES NO: HAS THE PHA ESTABLISHED ANY WRITTEN GRIEVANCE PROCEDURES IN ADDITION TO FEDERAL REQUIREMENTS FOUND AT 24 CFR PART 966, SUBPART B, FOR RESIDENTS OF PUBLIC HOUSING?

IF YES, LIST ADDITIONS TO FEDERAL REQUIREMENTS BELOW: THE GRIEVANCE PROCEDURE MEETS ALL REQUIREMENTS AND WAS APPROVED BY THE LOCAL HUD OFFICE. THE STATE OF ALABAMA DOES NOT HAVE RIGHT OF DISCOVERY.

2. WHICH PHA OFFICE SHOULD RESIDENTS OR APPLICANTS TO PUBLIC HOUSING CONTACT TO INITIATE THE PHA GRIEVANCE PROCESS? (SELECT ALL THAT APPLY)

- PHA MAIN ADMINISTRATIVE OFFICE
- PHA DEVELOPMENT MANAGEMENT OFFICES
- OTHER (LIST BELOW)

B. SECTION 8 TENANT-BASED ASSISTANCE

1. YES NO: HAS THE PHA ESTABLISHED INFORMAL REVIEW PROCEDURES FOR APPLICANTS TO THE SECTION 8 TENANT-BASED ASSISTANCE PROGRAM AND INFORMAL HEARING PROCEDURES FOR FAMILIES ASSISTED BY THE SECTION 8 TENANT-BASED ASSISTANCE PROGRAM IN ADDITION TO FEDERAL REQUIREMENTS FOUND AT 24 CFR 982?

IF YES, LIST ADDITIONS TO FEDERAL REQUIREMENTS BELOW:

2. WHICH PHA OFFICE SHOULD APPLICANTS OR ASSISTED FAMILIES CONTACT TO INITIATE THE INFORMAL REVIEW AND INFORMAL HEARING PROCESSES? (SELECT ALL THAT APPLY)

- PHA MAIN ADMINISTRATIVE OFFICE
- OTHER (LIST BELOW)

7. CAPITAL IMPROVEMENT NEEDS

[24 CFR PART 903.7 9 (G)]

EXEMPTIONS FROM COMPONENT 7: SECTION 8 ONLY PHAS ARE NOT REQUIRED TO COMPLETE THIS COMPONENT AND MAY SKIP TO COMPONENT 8.

A. CAPITAL FUND ACTIVITIES

EXEMPTIONS FROM SUB-COMPONENT 7A: PHAS THAT WILL NOT PARTICIPATE IN THE CAPITAL FUND PROGRAM MAY SKIP TO COMPONENT 7B. ALL OTHER PHAS MUST COMPLETE 7A AS INSTRUCTED.

(1) CAPITAL FUND PROGRAM ANNUAL STATEMENT

USING PARTS I, II, AND III OF THE ANNUAL STATEMENT FOR THE CAPITAL FUND PROGRAM (CFP), IDENTIFY CAPITAL ACTIVITIES THE PHA IS PROPOSING FOR THE UPCOMING YEAR TO ENSURE LONG-TERM PHYSICAL AND SOCIAL VIABILITY OF ITS PUBLIC HOUSING DEVELOPMENTS. THIS STATEMENT CAN BE COMPLETED BY USING THE CFP ANNUAL STATEMENT TABLES PROVIDED IN THE TABLE LIBRARY AT THE END OF THE PHA PLAN TEMPLATE OR, AT THE PHA'S OPTION, BY COMPLETING AND ATTACHING A PROPERLY UPDATED HUD-52837.

SELECT ONE:

THE CAPITAL FUND PROGRAM ANNUAL STATEMENT IS PROVIDED AS AN ATTACHMENT TO THE PHA PLAN AT ATTACHMENT (STATE NAME)

-OR-

THE CAPITAL FUND PROGRAM ANNUAL STATEMENT IS PROVIDED BELOW: (IF SELECTED, COPY THE CFP ANNUAL STATEMENT FROM THE TABLE LIBRARY AND INSERT HERE)

SEE TABLE LIBRARY

(2) OPTIONAL 5-YEAR ACTION PLAN

AGENCIES ARE ENCOURAGED TO INCLUDE A 5-YEAR ACTION PLAN COVERING CAPITAL WORK ITEMS. THIS STATEMENT CAN BE COMPLETED BY USING THE 5 YEAR ACTION PLAN TABLE PROVIDED IN THE TABLE LIBRARY AT THE END OF THE PHA PLAN TEMPLATE OR BY COMPLETING AND ATTACHING A PROPERLY UPDATED HUD-52834.

A. YES NO: IS THE PHA PROVIDING AN OPTIONAL 5-YEAR ACTION PLAN FOR THE CAPITAL FUND? (IF NO, SKIP TO SUB-COMPONENT 7B)

B. IF YES TO QUESTION A, SELECT ONE:

THE CAPITAL FUND PROGRAM 5-YEAR ACTION PLAN IS PROVIDED AS AN ATTACHMENT TO THE PHA PLAN AT ATTACHMENT (STATE NAME)

-OR-

THE CAPITAL FUND PROGRAM 5-YEAR ACTION PLAN IS PROVIDED BELOW: (IF SELECTED,

COPY THE CFP OPTIONAL 5 YEAR ACTION PLAN FROM THE TABLE LIBRARY AND INSERT HERE)

SEE TABLE LIBRARY

B. HOPE VI AND PUBLIC HOUSING DEVELOPMENT AND REPLACEMENT ACTIVITIES (NON-CAPITAL FUND)

APPLICABILITY OF SUB-COMPONENT 7B: ALL PHAS ADMINISTERING PUBLIC HOUSING. IDENTIFY ANY APPROVED HOPE VI AND/OR PUBLIC HOUSING DEVELOPMENT OR REPLACEMENT ACTIVITIES NOT DESCRIBED IN THE CAPITAL FUND PROGRAM ANNUAL STATEMENT.

___ YES X NO: A) HAS THE PHA RECEIVED A HOPE VI REVITALIZATION GRANT? (IF NO, SKIP TO QUESTION C; IF YES, PROVIDE RESPONSES TO QUESTION B FOR EACH GRANT, COPYING AND COMPLETING AS MANY TIMES AS NECESSARY)

B) STATUS OF HOPE VI REVITALIZATION GRANT (COMPLETE ONE SET OF QUESTIONS FOR EACH GRANT)

- 1. DEVELOPMENT NAME:**
- 2. DEVELOPMENT (PROJECT) NUMBER:**
- 3. STATUS OF GRANT: (SELECT THE STATEMENT THAT BEST DESCRIBES**

THE CURRENT STATUS)

- ___ REVITALIZATION PLAN UNDER DEVELOPMENT**
- ___ REVITALIZATION PLAN SUBMITTED, PENDING APPROVAL**
- ___ REVITALIZATION PLAN APPROVED**
- ___ ACTIVITIES PURSUANT TO AN APPROVED REVITALIZATION PLAN UNDERWAY**

___ YES X NO: C) DOES THE PHA PLAN TO APPLY FOR A HOPE VI REVITALIZATION GRANT IN THE PLAN YEAR?

IF YES, LIST DEVELOPMENT NAME/S BELOW:

YES NO: D) WILL THE PHA BE ENGAGING IN ANY MIXED-FINANCE DEVELOPMENT

ACTIVITIES FOR PUBLIC HOUSING IN THE PLAN YEAR?

IF YES, LIST DEVELOPMENTS OR ACTIVITIES BELOW:

YES NO: E) WILL THE PHA BE CONDUCTING ANY OTHER PUBLIC HOUSING DEVELOPMENT OR REPLACEMENT ACTIVITIES NOT DISCUSSED IN THE CAPITAL FUND PROGRAM ANNUAL STATEMENT?

IF YES, LIST DEVELOPMENTS OR ACTIVITIES BELOW:

8. DEMOLITION AND DISPOSITION

[24 CFR PART 903.7 9 (H)]

APPLICABILITY OF COMPONENT 8: SECTION 8 ONLY PHAS ARE NOT REQUIRED TO COMPLETE THIS SECTION.

1. YES NO: DOES THE PHA PLAN TO CONDUCT ANY DEMOLITION OR DISPOSITION

ACTIVITIES (PURSUANT TO SECTION 18 OF THE U.S. HOUSING ACT OF 1937 (42 U.S.C. 1437P)) IN THE PLAN FISCAL YEAR? (IF "NO", SKIP TO COMPONENT 9; IF "YES", COMPLETE ONE ACTIVITY DESCRIPTION FOR EACH DEVELOPMENT.)

2. ACTIVITY DESCRIPTION

YES NO: HAS THE PHA PROVIDED THE ACTIVITIES DESCRIPTION INFORMATION IN THE OPTIONAL PUBLIC HOUSING ASSET MANAGEMENT TABLE? (IF "YES", SKIP TO COMPONENT 9. IF "NO", COMPLETE THE ACTIVITY DESCRIPTION TABLE BELOW.)

DEMOLITION/DISPOSITION ACTIVITY DESCRIPTION
1A. DEVELOPMENT NAME:
1B. DEVELOPMENT (PROJECT) NUMBER:
2. ACTIVITY TYPE: <input type="checkbox"/> DEMOLITION <input type="checkbox"/> DISPOSITION
3. APPLICATION STATUS (SELECT ONE) <input type="checkbox"/> APPROVED <input type="checkbox"/> SUBMITTED, PENDING APPROVAL <input type="checkbox"/> PLANNED APPLICATION
4. DATE APPLICATION APPROVED, SUBMITTED, OR PLANNED FOR SUBMISSION: (DD/MM/YY)
5. NUMBER OF UNITS AFFECTED:

COVERAGE OF ACTION (SELECT ONE)

PART OF THE DEVELOPMENT

TOTAL DEVELOPMENT

7. TIMELINE FOR ACTIVITY:

A. ACTUAL OR PROJECTED START DATE OF ACTIVITY:

B. PROJECTED END DATE OF ACTIVITY:

9. DESIGNATION OF PUBLIC HOUSING FOR OCCUPANCY BY ELDERLY FAMILIES OR FAMILIES WITH DISABILITIES OR ELDERLY FAMILIES AND FAMILIES WITH DISABILITIES

[24 CFR PART 903.7 9 (1)]

EXEMPTIONS FROM COMPONENT 9; SECTION 8 ONLY PHAS ARE NOT REQUIRED TO COMPLETE THIS SECTION.

1. YES X NO: HAS THE PHA DESIGNATED OR APPLIED FOR APPROVAL TO DESIGNATE OR DOES THE PHA PLAN TO APPLY TO DESIGNATE ANY PUBLIC HOUSING FOR OCCUPANCY ONLY BY THE ELDERLY FAMILIES OR ONLY BY FAMILIES WITH DISABILITIES, OR BY ELDERLY FAMILIES AND FAMILIES WITH DISABILITIES OR WILL APPLY FOR DESIGNATION FOR OCCUPANCY BY ONLY ELDERLY FAMILIES OR ONLY FAMILIES WITH DISABILITIES, OR BY ELDERLY FAMILIES AND FAMILIES WITH DISABILITIES AS PROVIDED BY SECTION 7 OF THE U.S. HOUSING ACT OF 1937 (42 U.S.C. 1437E) IN THE UPCOMING FISCAL YEAR? (IF "NO", SKIP TO COMPONENT 10. IF "YES", COMPLETE ONE ACTIVITY DESCRIPTION FOR EACH DEVELOPMENT, UNLESS THE PHA IS ELIGIBLE TO COMPLETE A STREAMLINED SUBMISSION; PHAS COMPLETING STREAMLINED SUBMISSIONS MAY SKIP TO COMPONENT 10.)

2. ACTIVITY DESCRIPTION

YES NO: HAS THE PHA PROVIDED ALL REQUIRED ACTIVITY DESCRIPTION INFORMATION FOR THIS COMPONENT IN THE OPTIONAL PUBLIC HOUSING ASSET MANAGEMENT TABLE? IF "YES", SKIP TO COMPONENT 10. IF "NO", COMPLETE THE ACTIVITY DESCRIPTION TABLE BELOW.

DESIGNATION OF PUBLIC HOUSING ACTIVITY DESCRIPTION

1A. DEVELOPMENT NAME:

1B. DEVELOPMENT (PROJECT) NUMBER:

2. DESIGNATION TYPE:

<input type="checkbox"/> OCCUPANCY BY ONLY THE ELDERLY <input type="checkbox"/> OCCUPANCY BY FAMILIES WITH DISABILITIES <input type="checkbox"/> OCCUPANCY BY ONLY ELDERLY FAMILIES AND FAMILIES WITH DISABILITIES
3. APPLICATION STATUS (SELECT ONE) <input type="checkbox"/> APPROVED; INCLUDED IN THE PHA'S DESIGNATION PLAN <input type="checkbox"/> SUBMITTED, PENDING APPROVAL <input type="checkbox"/> PLANNED APPLICATION
4. DATE THIS DESIGNATION APPROVED, SUBMITTED, OR PLANNED FOR SUBMISSION: (DD/MM/YY)
5. IF APPROVED, WILL THIS DESIGNATION CONSTITUTE A (SELECT ONE) <input type="checkbox"/> NEW DESIGNATION PLAN <input type="checkbox"/> REVISION OF A PREVIOUSLY-APPROVED DESIGNATION PLAN?
1. NUMBER OF UNITS AFFECTED: 7. COVERAGE OF ACTION (SELECT ONE) <input type="checkbox"/> PART OF THE DEVELOPMENT <input type="checkbox"/> TOTAL DEVELOPMENT

10. CONVERSION OF PUBLIC HOUSING TO TENANT-BASED ASSISTANCE

[24 CFR PART 903.7 9 (J)]

EXEMPTIONS FROM COMPONENT 10; SECTION 8 ONLY PHAS ARE NOT REQUIRED TO COMPLETE THIS SECTION.

A. ASSESSMENTS OF REASONABLE REVITALIZATION PURSUANT TO SECTION 202 OF THE HUD FY 1996 HUD APPROPRIATIONS ACT

1. YES NO: HAVE ANY OF THE PHA'S DEVELOPMENTS OR PORTIONS OF DEVELOPMENTS BEEN IDENTIFIED BY HUD OR THE PHA AS COVERED UNDER SECTION 202 OF THE HUD FY 1996 HUD APPROPRIATIONS ACT? (IF "NO", SKIP TO COMPONENT 11; IF "YES", COMPLETE ONE ACTIVITY DESCRIPTION FOR EACH IDENTIFIED DEVELOPMENT, UNLESS ELIGIBLE TO COMPLETE A STREAMLINED SUBMISSION. PHAS COMPLETING STREAMLINED SUBMISSIONS MAY SKIP TO COMPONENT 11.)

2. ACTIVITY DESCRIPTION

YES NO: HAS THE PHA PROVIDED ALL REQUIRED ACTIVITY DESCRIPTION INFORMATION FOR THIS COMPONENT IN THE OPTIONAL PUBLIC HOUSING ASSET MANAGEMENT TABLE? IF "YES", SKIP TO COMPONENT 11. IF "NO", COMPLETE THE ACTIVITY DESCRIPTION TABLE BELOW.

CONVERSION OF PUBLIC HOUSING ACTIVITY DESCRIPTION

1A. DEVELOPMENT NAME:

1B. DEVELOPMENT (PROJECT) NUMBER:

2. WHAT IS THE STATUS OF THE REQUIRED ASSESSMENT?

ASSESSMENT UNDERWAY

ASSESSMENT RESULTS SUBMITTED TO HUD

ASSESSMENT RESULTS APPROVED BY HUD (IF MARKED, PROCEED TO NEXT QUESTION)

OTHER (EXPLAIN BELOW)

3. YES NO: IS A CONVERSION PLAN REQUIRED? (IF YES, GO TO BLOCK 4; IF NO, GO TO BLOCK 5.)

4. STATUS OF CONVERSION PLAN (SELECT THE STATEMENT THAT BEST DESCRIBES THE CURRENT STATUS)

CONVERSION PLAN IN DEVELOPMENT

CONVERSION PLAN SUBMITTED TO HUD ON: (DD/MM/YYYY)

CONVERSION PLAN APPROVED BY HUD ON: (DD/MM/YYYY)

ACTIVITIES PURSUANT TO HUD-APPROVED CONVERSION PLAN UNDERWAY

5. DESCRIPTION OF HOW REQUIREMENTS OF SECTION 202 ARE BEING SATISFIED BY MEANS OTHER THAN CONVERSION (SELECT ONE)

UNITS ADDRESSED IN A PENDING OR APPROVED DEMOLITION APPLICATION (DATE SUBMITTED OR APPROVED:)

UNITS ADDRESSED IN A PENDING OR APPROVED HOPE VI DEMOLITION APPLICATION (DATE SUBMITTED OR APPROVED:)

UNITS ADDRESSED IN A PENDING OR APPROVED HOPE VI REVITALIZATION PLAN (DATE SUBMITTED OR APPROVED:)

REQUIREMENTS NO LONGER APPLICABLE: VACANCY RATES ARE LESS THAN 10 PERCENT

REQUIREMENTS NO LONGER APPLICABLE: SITE NOW HAS LESS THAN 300 UNITS

OTHER: (DESCRIBE BELOW)

B. RESERVED FOR CONVERSIONS PURSUANT TO SECTION 22 OF THE U.S. HOUSING ACT OF 1937

C. RESERVED FOR CONVERSIONS PURSUANT TO SECTION 33 OF THE U.S. HOUSING ACT OF 1937

11. HOMEOWNERSHIP PROGRAMS ADMINISTERED BY THE PHA

[24 CFR PART 903.7 9 (K)]

A. PUBLIC HOUSING

EXEMPTIONS FROM COMPONENT 11A: SECTION 8 ONLY PHAS ARE NOT REQUIRED TO COMPLETE 11A.

1. YES NO: DOES THE PHA ADMINISTER ANY HOMEOWNERSHIP PROGRAMS ADMINISTERED BY THE PHA UNDER AN APPROVED SECTION 5(H) HOMEOWNERSHIP PROGRAM (42 U.S.C. 1437C(H)), OR AN APPROVED HOPE I PROGRAM (42 U.S.C. 1437AAA) OR HAS THE PHA APPLIED OR PLAN TO APPLY TO ADMINISTER ANY HOMEOWNERSHIP PROGRAMS UNDER SECTION 5(H), THE HOPE I PROGRAM, OR SECTION 32 OF THE U.S. HOUSING ACT OF 1937 (42 U.S.C. 1437z-4). (IF "NO", SKIP TO COMPONENT 11B; IF "YES", COMPLETE ONE ACTIVITY DESCRIPTION FOR EACH APPLICABLE PROGRAM/PLAN, UNLESS ELIGIBLE TO COMPLETE A STREAMLINED SUBMISSION DUE TO SMALL PHA OR HIGH PERFORMING PHA STATUS. PHAS COMPLETING STREAMLINED SUBMISSIONS MAY SKIP TO COMPONENT 11B.)

2. ACTIVITY DESCRIPTION

YES NO: HAS THE PHA PROVIDED ALL REQUIRED ACTIVITY DESCRIPTION INFORMATION FOR THIS COMPONENT IN THE OPTIONAL PUBLIC HOUSING ASSET MANAGEMENT TABLE? (IF "YES", SKIP TO COMPONENT 12. IF "NO", COMPLETE THE ACTIVITY DESCRIPTION TABLE BELOW.)

PUBLIC HOUSING HOMEOWNERSHIP ACTIVITY DESCRIPTION (COMPLETE ONE FOR EACH DEVELOPMENT AFFECTED)
1A. DEVELOPMENT NAME:
1B. DEVELOPMENT (PROJECT) NUMBER:
2. FEDERAL PROGRAM AUTHORITY: <input type="checkbox"/> HOPE I <input type="checkbox"/> 5(H) <input type="checkbox"/> TURNKEY III <input type="checkbox"/> SECTION 32 OF THE USHA OF 1937 (EFFECTIVE 10/1/99)
3. APPLICATION STATUS: (SELECT ONE) <input type="checkbox"/> APPROVED; INCLUDED IN THE PHA'S HOMEOWNERSHIP PLAN/PROGRAM <input type="checkbox"/> SUBMITTED, PENDING APPROVAL <input type="checkbox"/> PLANNED APPLICATION
4. DATE HOMEOWNERSHIP PLAN/PROGRAM APPROVED, SUBMITTED, OR PLANNED FOR SUBMISSION: (DD/MM/YYYY)
5. NUMBER OF UNITS AFFECTED:
6. COVERAGE OF ACTION: (SELECT ONE) <input type="checkbox"/> PART OF THE DEVELOPMENT <input type="checkbox"/> TOTAL DEVELOPMENT

B. SECTION 8 TENANT BASED ASSISTANCE

1. **YES** **NO**: **DOES THE PHA PLAN TO ADMINISTER A SECTION 8 HOMEOWNERSHIP**

PROGRAM PURSUANT TO SECTION 8(Y) OF THE U.S.H.A. OF 1937, AS IMPLEMENTED BY 24 CFR PART 982 ? (IF "NO", SKIP TO COMPONENT 12; IF "YES", DESCRIBE EACH PROGRAM USING THE TABLE BELOW (COPY AND COMPLETE QUESTIONS FOR EACH PROGRAM IDENTIFIED), UNLESS THE PHA IS ELIGIBLE TO COMPLETE A STREAMLINED SUBMISSION DUE TO HIGH PERFORMER STATUS. HIGH PERFORMING PHAS MAY SKIP TO COMPONENT 12.)

2. **PROGRAM DESCRIPTION:**

A. **SIZE OF PROGRAM**

YES **NO**: **WILL THE PHA LIMIT THE NUMBER OF FAMILIES PARTICIPATING IN THE SECTION 8 HOMEOWNERSHIP OPTION?**

IF THE ANSWER TO THE QUESTION ABOVE WAS YES, WHICH STATEMENT BEST DESCRIBES THE NUMBER OF PARTICIPANTS? (SELECT ONE)

- 25 OR FEWER PARTICIPANTS**
- 26 - 50 PARTICIPANTS**
- 51 TO 100 PARTICIPANTS**
- MORE THAN 100 PARTICIPANTS**

B. **PHA-ESTABLISHED ELIGIBILITY CRITERIA**

YES **NO**: **WILL THE PHA'S PROGRAM HAVE ELIGIBILITY CRITERIA FOR PARTICIPATION IN ITS SECTION 8 HOMEOWNERSHIP OPTION PROGRAM IN ADDITION TO HUD CRITERIA?**

IF YES, LIST CRITERIA BELOW:

12. PHA COMMUNITY SERVICE AND SELF-SUFFICIENCY PROGRAMS

[24 CFR PART 903.7 9 (L)]

EXEMPTIONS FROM COMPONENT 12: HIGH PERFORMING AND SMALL PHAS ARE NOT REQUIRED TO COMPLETE THIS COMPONENT. SECTION 8-ONLY PHAS ARE NOT REQUIRED TO COMPLETE SUB-COMPONENT C.

WE ARE A SMALL PHA AND NOT REQUIRED TO COMPLETE THIS COMPONENT.

A. PHA COORDINATION WITH THE WELFARE (TANF) AGENCY

1. COOPERATIVE AGREEMENTS:

YES NO: HAS THE PHA HAS ENTERED INTO A COOPERATIVE AGREEMENT WITH THE TANF AGENCY, TO SHARE INFORMATION AND/OR TARGET SUPPORTIVE SERVICES (AS CONTEMPLATED BY SECTION 12(D)(7) OF THE HOUSING ACT OF 1937)?

**IF YES, WHAT WAS THE DATE THAT AGREEMENT WAS SIGNED?
MM/DD/YY**

2. OTHER COORDINATION EFFORTS BETWEEN THE PHA AND TANF AGENCY (SELECT ALL THAT APPLY)

CLIENT REFERRALS

INFORMATION SHARING REGARDING MUTUAL CLIENTS (FOR RENT DETERMINATIONS AND OTHERWISE)

COORDINATE THE PROVISION OF SPECIFIC SOCIAL AND SELF-SUFFICIENCY SERVICES AND PROGRAMS TO ELIGIBLE FAMILIES

JOINTLY ADMINISTER PROGRAMS

PARTNER TO ADMINISTER A HUD WELFARE-TO-WORK VOUCHER PROGRAM

JOINT ADMINISTRATION OF OTHER DEMONSTRATION PROGRAM

OTHER (DESCRIBE)

B. SERVICES AND PROGRAMS OFFERED TO RESIDENTS AND PARTICIPANTS

(1) GENERAL

A. SELF-SUFFICIENCY POLICIES

WHICH, IF ANY OF THE FOLLOWING DISCRETIONARY POLICIES WILL THE PHA EMPLOY TO

ENHANCE THE ECONOMIC AND SOCIAL SELF-SUFFICIENCY OF ASSISTED FAMILIES IN THE

FOLLOWING AREAS? (SELECT ALL THAT APPLY)

PUBLIC HOUSING RENT DETERMINATION POLICIES

PUBLIC HOUSING ADMISSIONS POLICIES

SECTION 8 ADMISSIONS POLICIES

- PREFERENCE IN ADMISSION TO SECTION 8 FOR CERTAIN PUBLIC HOUSING FAMILIES**
- PREFERENCES FOR FAMILIES WORKING OR ENGAGING IN TRAINING OR EDUCATION**
- PROGRAMS FOR NON-HOUSING PROGRAMS OPERATED OR COORDINATED BY THE PHA**
- PREFERENCE/ELIGIBILITY FOR PUBLIC HOUSING HOMEOWNERSHIP OPTION PARTICIPATION**
- PARTICIPATION**
- PREFERENCE/ELIGIBILITY FOR SECTION 8 HOMEOWNERSHIP OPTION PARTICIPATION**
- OTHER POLICIES (LIST BELOW)**

B. ECONOMIC AND SOCIAL SELF-SUFFICIENCY PROGRAMS

YES **NO: DOES THE PHA COORDINATE, PROMOTE OR PROVIDE ANY PROGRAMS TO ENHANCE THE ECONOMIC AND SOCIAL SELF-SUFFICIENCY OF RESIDENTS? (IF "YES", COMPLETE THE FOLLOWING TABLE; IF "NO" SKIP TO SUB-COMPONENT 2, FAMILY SELF SUFFICIENCY PROGRAMS. THE POSITION OF THE TABLE MAY BE ALTERED TO FACILITATE ITS USE.)**

SERVICES AND PROGRAMS				
PROGRAM NAME & DESCRIPTION (INCLUDING LOCATION, IF APPROPRIATE)	ESTIMATED SIZE	ALLOCATION METHOD (WAITING LIST/RANDOM SELECTION/SPECIFIC CRITERIA/OTHER)	ACCESS (DEVELOPMENT OFFICE / PHA MAIN OFFICE / OTHER PROVIDER NAME)	ELIGIBILITY (PUBLIC HOUSING OR SECTION 8 PARTICIPANTS OR BOTH)

(2) FAMILY SELF SUFFICIENCY PROGRAM/S

A. PARTICIPATION DESCRIPTION

<i>FAMILY SELF SUFFICIENCY (FSS) PARTICIPATION</i>		
<i>PROGRAM</i>	<i>REQUIRED NUMBER OF PARTICIPANTS (START OF FY 2000 ESTIMATE)</i>	<i>ACTUAL NUMBER OF PARTICIPANTS (AS OF: DD/MM/YY)</i>
<i>PUBLIC HOUSING</i>		
<i>SECTION 8</i>		

B. YES NO: IF THE PHA IS NOT MAINTAINING THE MINIMUM PROGRAM SIZE REQUIRED BY HUD, DOES THE MOST RECENT FSS ACTION PLAN ADDRESS THE STEPS THE PHA PLANS TO TAKE TO ACHIEVE AT LEAST THE MINIMUM PROGRAM SIZE?

IF NO, LIST STEPS THE PHA WILL TAKE BELOW:

C. WELFARE BENEFIT REDUCTIONS

1. THE PHA IS COMPLYING WITH THE STATUTORY REQUIREMENTS OF SECTION 12(D) OF THE U.S.

HOUSING ACT OF 1937 (RELATING TO THE TREATMENT OF INCOME CHANGES RESULTING FROM

WELFARE PROGRAM REQUIREMENTS) BY: (SELECT ALL THAT APPLY)

ADOPTING APPROPRIATE CHANGES TO THE PHA'S PUBLIC HOUSING RENT DETERMINATION

POLICIES AND TRAIN STAFF TO CARRY OUT THOSE POLICIES

INFORMING RESIDENTS OF NEW POLICY ON ADMISSION AND REEXAMINATION

ACTIVELY NOTIFYING RESIDENTS OF NEW POLICY AT TIMES IN ADDITION TO ADMISSION AND REEXAMINATION.

ESTABLISHING OR PURSUING A COOPERATIVE AGREEMENT WITH ALL APPROPRIATE TANF

AGENCIES REGARDING THE EXCHANGE OF INFORMATION AND COORDINATION OF SERVICES

ESTABLISHING A PROTOCOL FOR EXCHANGE OF INFORMATION WITH ALL APPROPRIATE TANF

AGENCIES

OTHER: (LIST BELOW)

D. RESERVED FOR COMMUNITY SERVICE REQUIREMENT PURSUANT TO SECTION 12(C) OF THE U.S. HOUSING ACT OF 1937

13. PHA SAFETY AND CRIME PREVENTION MEASURES

[24 CFR PART 903.7 9 (M)]

EXEMPTIONS FROM COMPONENT 13: HIGH PERFORMING AND SMALL PHAS NOT PARTICIPATING IN PHDEP AND SECTION 8 ONLY PHAS MAY SKIP TO COMPONENT 15. HIGH PERFORMING AND SMALL PHAS THAT ARE PARTICIPATING IN PHDEP AND ARE SUBMITTING A PHDEP PLAN WITH THIS PHA PLAN MAY SKIP TO SUB-COMPONENT D.

A. NEED FOR MEASURES TO ENSURE THE SAFETY OF PUBLIC HOUSING RESIDENTS

1. DESCRIBE THE NEED FOR MEASURES TO ENSURE THE SAFETY OF PUBLIC HOUSING RESIDENTS

(SELECT ALL THAT APPLY)

_____ **HIGH INCIDENCE OF VIOLENT AND/OR DRUG-RELATED CRIME IN SOME OR ALL OF THE PHA'S DEVELOPMENTS**

_____ **HIGH INCIDENCE OF VIOLENT AND/OR DRUG-RELATED CRIME IN THE AREAS SURROUNDING OR ADJACENT TO THE PHA'S DEVELOPMENTS**

_____ **RESIDENTS FEARFUL FOR THEIR SAFETY AND/OR THE SAFETY OF THEIR CHILDREN**

_____ **OBSERVED LOWER-LEVEL CRIME, VANDALISM AND/OR GRAFFITI**

_____ **PEOPLE ON WAITING LIST UNWILLING TO MOVE INTO ONE OR MORE DEVELOPMENTS DUE TO**

PERCEIVED AND/OR ACTUAL LEVELS OF VIOLENT AND/OR DRUG-RELATED CRIME

_____ **OTHER (DESCRIBE BELOW)**

2. WHAT INFORMATION OR DATA DID THE PHA USED TO DETERMINE THE NEED FOR PHA ACTIONS

TO IMPROVE SAFETY OF RESIDENTS (SELECT ALL THAT APPLY).

_____ **SAFETY AND SECURITY SURVEY OF RESIDENTS**

_____ **ANALYSIS OF CRIME STATISTICS OVER TIME FOR CRIMES COMMITTED "IN AND AROUND"**

PUBLIC HOUSING AUTHORITY

_____ **ANALYSIS OF COST TRENDS OVER TIME FOR REPAIR OF VANDALISM AND REMOVAL OF GRAFFITI**

- RESIDENT REPORTS**
- PHA EMPLOYEE REPORTS**
- POLICE REPORTS**
- DEMONSTRABLE, QUANTIFIABLE SUCCESS WITH PREVIOUS OR ONGOING ANTICRIME/ANTI DRUG PROGRAMS**
- OTHER (DESCRIBE BELOW)**

3. WHICH DEVELOPMENTS ARE MOST AFFECTED? (LIST BELOW)

B. CRIME AND DRUG PREVENTION ACTIVITIES THE PHA HAS UNDERTAKEN OR PLANS TO UNDERTAKE IN THE NEXT PHA FISCAL YEAR

1. LIST THE CRIME PREVENTION ACTIVITIES THE PHA HAS UNDERTAKEN OR PLANS TO UNDERTAKE:

(SELECT ALL THAT APPLY)

- CONTRACTING WITH OUTSIDE AND/OR RESIDENT ORGANIZATIONS FOR THE PROVISION OF CRIME- AND/OR DRUG-PREVENTION ACTIVITIES**
- CRIME PREVENTION THROUGH ENVIRONMENTAL DESIGN**
- ACTIVITIES TARGETED TO AT-RISK YOUTH, ADULTS, OR SENIORS**
- VOLUNTEER RESIDENT PATROL/BLOCK WATCHERS PROGRAM**
- OTHER (DESCRIBE BELOW)**

2. WHICH DEVELOPMENTS ARE MOST AFFECTED? (LIST BELOW)

C. COORDINATION BETWEEN PHA AND THE POLICE

1. DESCRIBE THE COORDINATION BETWEEN THE PHA AND THE APPROPRIATE POLICE PRECINCTS FOR CARRYING OUT CRIME PREVENTION MEASURES AND ACTIVITIES: (SELECT ALL THAT APPLY)

- POLICE INVOLVEMENT IN DEVELOPMENT, IMPLEMENTATION, AND/OR ONGOING EVALUATION OF DRUG-ELIMINATION PLAN**
- POLICE PROVIDE CRIME DATA TO HOUSING AUTHORITY STAFF FOR ANALYSIS AND ACTION**

____ **POLICE HAVE ESTABLISHED A PHYSICAL PRESENCE ON HOUSING AUTHORITY PROPERTY (E.G., COMMUNITY POLICING OFFICE, OFFICER IN RESIDENCE)**
____ **POLICE REGULARLY TESTIFY IN AND OTHERWISE SUPPORT EVICTION CASES**
____ **POLICE REGULARLY MEET WITH THE PHA MANAGEMENT AND RESIDENTS**
____ **AGREEMENT BETWEEN PHA AND LOCAL LAW ENFORCEMENT AGENCY FOR PROVISION OF ABOVE-BASELINE LAW ENFORCEMENT SERVICES**
OTHER ACTIVITIES (LIST BELOW)

2. **WHICH DEVELOPMENTS ARE MOST AFFECTED? (LIST BELOW)**

D. ADDITIONAL INFORMATION AS REQUIRED BY PHDEP/PHDEP PLAN

PHAS ELIGIBLE FOR FY 2000 PHDEP FUNDS MUST PROVIDE A PHDEP PLAN MEETING SPECIFIED REQUIREMENTS PRIOR TO RECEIPT OF PHDEP FUNDS.

X YES ____ NO: IS THE PHA ELIGIBLE TO PARTICIPATE IN THE PHDEP IN THE FISCAL YEAR COVERED BY THIS PHA PLAN?

X YES ____ NO: HAS THE PHA INCLUDED THE PHDEP PLAN FOR FY 2000 IN THIS PHA PLAN?

____ YES ____ NO: THIS PHDEP PLAN IS AN ATTACHMENT. (ATTACHMENT FILENAME: ____)

14. RESERVED FOR PET POLICY

[24 CFR PART 903.7 9 (N)]

15. CIVIL RIGHTS CERTIFICATIONS

[24 CFR PART 903.7 9 (O)]

CIVIL RIGHTS CERTIFICATIONS ARE INCLUDED IN THE PHA PLAN CERTIFICATIONS OF COMPLIANCE WITH THE PHA PLANS AND RELATED REGULATIONS.

16. FISCAL AUDIT

[24 CFR PART 903.7 9 (P)]

1. YES NO: IS THE PHA REQUIRED TO HAVE AN AUDIT CONDUCTED UNDER SECTION

5(H)(2) OF THE U.S. HOUSING ACT OF 1937 (42 U.S.C.

1437C(H))?

(IF NO, SKIP TO COMPONENT 17.)

2. YES NO: WAS THE MOST RECENT FISCAL AUDIT SUBMITTED TO HUD?

3. YES NO: WERE THERE ANY FINDINGS AS THE RESULT OF THAT AUDIT?

4. YES NO: IF THERE WERE ANY FINDINGS, DO ANY REMAIN UNRESOLVED?

IF YES, HOW MANY UNRESOLVED FINDINGS REMAIN? _____

5. YES NO: HAVE RESPONSES TO ANY UNRESOLVED FINDINGS BEEN SUBMITTED TO HUD?

IF NOT, WHEN ARE THEY DUE (STATE BELOW)?

17. PHA ASSET MANAGEMENT

[24 CFR PART 903.79 (Q)]

EXEMPTIONS FROM COMPONENT 17: SECTION 8 ONLY PHAS ARE NOT REQUIRED TO COMPLETE THIS COMPONENT. HIGH PERFORMING AND SMALL PHAS ARE NOT REQUIRED TO COMPLETE THIS COMPONENT.

WE ARE A SMALL PHA NOT REQUIRED TO COMPLETE THIS COMPONENT.

1. YES NO: IS THE PHA ENGAGING IN ANY ACTIVITIES THAT WILL CONTRIBUTE TO THE

LONG-TERM ASSET MANAGEMENT OF ITS PUBLIC HOUSING STOCK,

INCLUDING HOW THE AGENCY WILL PLAN FOR LONG-TERM OPERATING,

CAPITAL INVESTMENT, REHABILITATION, MODERNIZATION, DISPOSITION,

AND OTHER NEEDS THAT HAVE NOT BEEN ADDRESSED ELSEWHERE IN THIS

PHA PLAN?

2. WHAT TYPES OF ASSET MANAGEMENT ACTIVITIES WILL THE PHA UNDERTAKE? (SELECT ALL THAT APPLY)

NOT APPLICABLE

PRIVATE MANAGEMENT

DEVELOPMENT-BASED ACCOUNTING

___ *COMPREHENSIVE STOCK ASSESSMENT*

___ *OTHER: (LIST BELOW)*

3. ___ *YES* ___ *NO*: *HAS THE PHA INCLUDED DESCRIPTIONS OF ASSET MANAGEMENT*

ACTIVITIES IN THE OPTIONAL PUBLIC HOUSING ASSET MANAGEMENT TABLE?

18. OTHER INFORMATION

[24 CFR PART 903.7 9 (R)]

A. RESIDENT ADVISORY BOARD RECOMMENDATIONS

1. ___ *YES* *X* *NO*: *DID THE PHA RECEIVE ANY COMMENTS ON THE PHA PLAN FROM THE RESIDENT ADVISORY BOARD/S?*

2. *IF YES, THE COMMENTS ARE: (IF COMMENTS WERE RECEIVED, THE PHA MUST SELECT ONE)*

___ *ATTACHED AT ATTACHMENT (FILE NAME)*

___ *PROVIDED BELOW:*

3. *IN WHAT MANNER DID THE PHA ADDRESS THOSE COMMENTS? (SELECT ALL THAT APPLY)*

___ *CONSIDERED COMMENTS, BUT DETERMINED THAT NO CHANGES TO THE PHA PLAN WERE NECESSARY.*

___ *THE PHA CHANGED PORTIONS OF THE PHA PLAN IN RESPONSE TO COMMENTS*

___ *LIST CHANGES BELOW:*

___ *OTHER: (LIST BELOW)*

B. DESCRIPTION OF ELECTION PROCESS FOR RESIDENTS ON THE PHA BOARD

1. *X* *YES* ___ *NO*: *DOES THE PHA MEET THE EXEMPTION CRITERIA PROVIDED SECTION*

2(B)(2) OF THE U.S. HOUSING ACT OF 1937? (IF NO, CONTINUE TO QUESTION 2; IF YES, SKIP TO SUB-COMPONENT C.)

2. **YES** **NO: WAS THE RESIDENT WHO SERVES ON THE PHA BOARD ELECTED BY THE RESIDENTS? (IF YES, CONTINUE TO QUESTION 3; IF NO, SKIP TO SUB-COMPONENT C.)**

3. DESCRIPTION OF RESIDENT ELECTION PROCESS

A. NOMINATION OF CANDIDATES FOR PLACE ON THE BALLOT: (SELECT ALL THAT APPLY)

CANDIDATES WERE NOMINATED BY RESIDENT AND ASSISTED FAMILY ORGANIZATIONS

CANDIDATES COULD BE NOMINATED BY ANY ADULT RECIPIENT OF PHA ASSISTANCE

SELF-NOMINATION: CANDIDATES REGISTERED WITH THE PHA AND REQUESTED A PLACE ON BALLOT

OTHER: (DESCRIBE)

B. ELIGIBLE CANDIDATES: (SELECT ONE)

ANY RECIPIENT OF PHA ASSISTANCE

ANY HEAD OF HOUSEHOLD RECEIVING PHA ASSISTANCE

ANY ADULT RECIPIENT OF PHA ASSISTANCE

ANY ADULT MEMBER OF A RESIDENT OR ASSISTED FAMILY ORGANIZATION

OTHER (LIST)

C. ELIGIBLE VOTERS: (SELECT ALL THAT APPLY)

ALL ADULT RECIPIENTS OF PHA ASSISTANCE (PUBLIC HOUSING AND SECTION 8 TENANT-BASED ASSISTANCE)

REPRESENTATIVES OF ALL PHA RESIDENT AND ASSISTED FAMILY ORGANIZATIONS

OTHER (LIST)

C. STATEMENT OF CONSISTENCY WITH THE CONSOLIDATED PLAN

FOR EACH APPLICABLE CONSOLIDATED PLAN, MAKE THE FOLLOWING STATEMENT (COPY QUESTIONS AS MANY TIMES AS NECESSARY).

1. CONSOLIDATED PLAN JURISDICTION: STATE OF ALABAMA

2. THE PHA HAS TAKEN THE FOLLOWING STEPS TO ENSURE CONSISTENCY OF THIS PHA PLAN WITH THE CONSOLIDATED PLAN FOR THE JURISDICTION: (SELECT ALL THAT APPLY)

THE PHA HAS BASED ITS STATEMENT OF NEEDS OF FAMILIES IN THE JURISDICTION ON THE NEEDS EXPRESSED IN THE CONSOLIDATED PLAN/S.

THE PHA HAS PARTICIPATED IN ANY CONSULTATION PROCESS ORGANIZED AND OFFERED BY THE CONSOLIDATED PLAN AGENCY IN THE DEVELOPMENT OF THE CONSOLIDATED PLAN.

THE PHA HAS CONSULTED WITH THE CONSOLIDATED PLAN AGENCY DURING THE DEVELOPMENT OF THIS PHA PLAN.

_____ **ACTIVITIES TO BE UNDERTAKEN BY THE PHA IN THE COMING YEAR ARE CONSISTENT WITH THE INITIATIVES CONTAINED IN THE CONSOLIDATED PLAN. (LIST BELOW)**

_____ **OTHER: (LIST BELOW)**

4. THE CONSOLIDATED PLAN OF THE JURISDICTION SUPPORTS THE PHA PLAN WITH THE FOLLOWING ACTIONS AND COMMITMENTS: (DESCRIBE BELOW)

D. OTHER INFORMATION REQUIRED BY HUD

USE THIS SECTION TO PROVIDE ANY ADDITIONAL INFORMATION REQUESTED BY HUD.

ATTACHMENTS

USE THIS SECTION TO PROVIDE ANY ADDITIONAL ATTACHMENTS REFERENCED IN THE PLANS.

**COMPONENT 7
CAPITAL FUND PROGRAM ANNUAL STATEMENT
PARTS I, II, AND II**

**ANNUAL STATEMENT
CAPITAL FUND PROGRAM (CFP) PART I: SUMMARY**

LINE NO.	SUMMARY BY DEVELOPMENT ACCOUNT	TOTAL ESTIMATED
1	TOTAL NON-CGP FUNDS	
2	1406 OPERATIONS	42,052 00
3	1408 MANAGEMENT IMPROVEMENTS	20,000 00
4	1410 ADMINISTRATION	
5	1411 AUDIT	9,000 00
6	1415 LIQUIDATED DAMAGES	
7	1430 FEES AND COSTS	
8	1440 SITE ACQUISITION	
9	1450 SITE IMPROVEMENT	
10	1460 DWELLING STRUCTURES	90,000 00
11	1465.1 DWELLING EQUIPMENT-NONEXPENDABLE	53,000 00
12	1470 NONDWELLING STRUCTURES	
13	1475 NONDWELLING EQUIPMENT	
14	1485 DEMOLITION	
15	1490 REPLACEMENT RESERVE	
16	1492 MOVING TO WORK DEMONSTRATION	
17	1495.1 RELOCATION COSTS	
18	1498 MOD USED FOR DEVELOPMENT	
19	1502 CONTINGENCY	
20	AMOUNT OF ANNUAL GRANT (SUM OF LINES 2-19)	214,452 00
21	AMOUNT OF LINE 20 RELATED TO LBP ACTIVITIES	
22	AMOUNT OF LINE 20 RELATED TO SECTION 504	
23	AMOUNT OF LINE 20 RELATED TO SECURITY	
24	AMOUNT OF LINE 20 RELATED TO ENERGY CONSERVATION	

ANNUAL STATEMENT

CAPITAL FUND PROGRAM (CFP) PART II: SUPPORTING TABLE

DEVELOPMENT NUMBER/NAME HA-WIDE	GENERAL DESCRIPTION OF MAJOR WORK CATEGORIES	DEVELOPME T ACCOUNT NUMBER	TOTAL ESTIMATED COST
AT OPP 175 005 00			
PHA WIDE	OPERATIONS	1,406	42,052 00
PHA WIDE	UPDATE COMPUTERS CONVERTING TO GAAP	1,408	20,000 00
PHA WIDE	EXTRA ADMIN WORK EXTRA AUDITING	1,410	9,000 00
175-01	RE-ROOF 27 BLDGS	1,460	90,000 00
175-01	DWELLING EQUIPMENT	1,465	
	REFRIGERATORS		12,000 00
	STOVES		9,000 00
	STOVE VENTS		5,000 00
	STOVE BACKSPLASHES		2,400 00
	EXTERIOR DOORS		18,000 00
	INTERIOR DOORS		7,000 00

ANNUAL STATEMENT

CAPITAL FUND PROGRAM (CFP) PART III: IMPLEMENTATION SCHEDULE

DEVELOPMENT NUMBER/NAME HA-WIDE	ALL FUNDS OBLIGATED (QUARTER ENDING DATE)	ALL FUNDS EXPENDED (QUARTER ENDING DATE)
175.01 PHA WIDE 01 & 02	09-30-2001	10-01-2003

OPTIONAL TABLE FOR 5-YEAR ACTION PLAN FOR CAPITAL FUND (COMPONENT 7)

Table Library

Table Library

COMPLETE ONE TABLE FOR EACH DEVELOPMENT IN WHICH WORK IS PLANNED IN THE NEXT 5 PHA FISCAL YEARS. COMPLETE A TABLE FOR ANY

Table Library

PHA-WIDE PHYSICAL OR MANAGEMENT IMPROVEMENTS

Table Library

PLANNED IN THE NEXT 5 PHA FISCAL YEAR. COPY THIS TABLE AS MANY TIMES AS NECESSARY. NOTE: PHAS NEED NOT INCLUDE INFORMATION

Table Library

FROM YEAR ONE OF THE 5-YEAR CYCLE, BECAUSE THIS

Table Library

INFORMATION IS INCLUDED IN THE CAPITAL FUND PROGRAM ANNUAL STATEMENT.

Table Library

Table Library

Table Library

<i>DEVELOPMENT NUMBER</i>	<i>DEVELOPMENT NAME (OR INDICATE PHA WIDE)</i>	<i>NUMBER VACANT UNITS</i>	<i>% VACANCIES IN DEVELOPMENT</i>
-------------------------------	--	------------------------------------	---------------------------------------

Table Library

<i>AL 175</i>	PHA WIDE	8	7.2
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Table Library

<i>DESCRIPTION OF NEEDED PHYSICAL IMPROVEMENTS OR MANAGEMENT IMPROVEMENTS</i>	<i>ESTIMATED COST</i>	<i>PLANNED START DATE (HA FISCAL YEAR)</i>
---	-----------------------	--

Table Library

<i>AIR CONDITION APARTMENTS PHA WIDE</i>	100,000	2,002
	100,000	
<i>REWIRE NORTHSIDE VILLAGE 175-1</i>		
	100,000	
<i>REWORK PLUMBING NORTHSIDE VILLAGE 175-1</i>		
	100,000	
<i>REPLACE FACIA AND SIDEING NORTHSIDE VILLAGE 175-1</i>		
	100,000	
<i>MANAGEMENT IMPROVEMENTS PHA WIDE</i>	140,772	
<i>LANDSCAPING PHA WIDE</i>	100,000	
<i>REPLACE MOWING EQUIPMENT</i>	100,000	

Table Library

<i>TOTAL ESTIMATED COST OVER NEXT 5 YEARS</i>	840,772	
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Table Library

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Table Library

OPTIONAL PUBLIC HOUSING ASSET MANAGEMENT TABLE

Table Library

Table Library

SEE TECHNICAL GUIDANCE FOR INSTRUCTIONS ON THE USE OF THIS TABLE, INCLUDING INFORMATION TO BE PROVIDED.

Table Library

Table Library

PUBLIC HOUSING ASSET MANAGEMENT

Table Library

*DEVELOPMENT
CLASSIFICATION*

ACTIVITY DESCRIPTION

Table Library

NUMBER AND TYPE OF UNITS	CAPITAL FUND PROGRAM PARTS II AND III COMPONENT 7A	DEVELOPMENT ACTIVITIES COMPONENT 7B	DEMOLITION/ DISPOSITION COMPONENT 8	DESIGNATED HOUSING COMPONENT 9	CONVERSION COMPONENT 10	HOME- OWNERSHIP COMPONEN T 11A
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Table Library

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Table Library

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Table Library

Public Housing Drug Elimination Program Plan

Note: THIS PHDEP Plan template (HUD 50075-PHDEP Plan) is to be completed in accordance with Instructions located in applicable PIH Notices.

Annual PHDEP Plan Table of Contents:

1. General Information/History
2. PHDEP Plan Goals/Budget
3. Milestones
4. Certifications

Section 1: General Information/History

- A. Amount of PHDEP Grant \$ 25,000.00
- B. Eligibility type (Indicate with an “x”) N1 _____ N2 _____ R **X**
- C. FFY in which funding is requested 2000
- D. Executive Summary of Annual PHDEP Plan

In the space below, provide a brief overview of the PHDEP Plan, including highlights of major initiatives or activities undertaken. It may include a description of the expected outcomes. The summary must not be more than five (5) sentences long

The Livingston Housing Authority, Livingston, Alabama, proposes to address the problems of drugs, crime and violence in public housing with additional police patrols and community policing. Partnerships with Law Enforcement will also provide special drug educational programs for the youth in public housing. Partnerships with other community agencies to provide prevention programs for youth and adults.

E. Target Areas

Complete the following table by indicating each PHDEP Target Area (development or site where activities will be conducted), the total number of units in each PHDEP Target Area, and the total number of individuals expected to participate in PHDEP sponsored activities in each Target Area.

PHDEP Target Areas (Name of development(s) or site)	Total # of Units within the PHDEP Target Area(s)	Total Population to be Served within the PHDEP Target Area(s)
Northside Village	60	133
Willow Apartments	50	111

F. Duration of Program

Indicate the duration (number of months funds will be required) of the PHDEP Program proposed under this Plan (place an “x” to indicate the length of program by # of months. For “Other”, identify the # of months).

6 Months _____ 12 Months _____ 18 Months _____ 24 Months **X** Other _____

1 PHDEP Plan Goals and Activities

In the tables below, provide information on the PHDEP strategy summarized above by budget line item. Each goal and objective should be numbered sequentially for each budget line item (where applicable). Use as many rows as necessary to list proposed activities (additional rows may be inserted in the tables). PHAs are not required to provide information in shaded boxes. Information provided must be concise—not to exceed two sentences in any column. Tables for line items in which the PHA has no planned goals or activities may be deleted.

9110 - Reimbursement of Law Enforcement					Total PHDEP Funding: \$ 23,500.00		
Goal(s)							
Objectives							
Proposed Activities	# of Persons Served	Target Population	Start Date	Expected Complete Date	PHDEP Funding	Other Funding (Amount/Source)	Performance Indicators
1 Additional Patrols			1/01	12/01	23,500		The LPD is evaluated by several indicators: 1) Statistical Data from I&O Reports 2) UCR Part 1 Crimes and Drug arrests in public housing 3) Resident surveys 4) Resident Complaints 5) Local crime/drug data
2							
3							

9120 - Security Personnel					Total PHDEP Funding: \$		
Goal(s)							
Objectives							
Proposed Activities	# of Persons Served	Target Population	Start Date	Expected Complete Date	PHDEP Funding	Other Funding (Amount/Source)	Performance Indicators
1							
2							
3							

9130 - Employment of Investigators					Total PHDEP Funding: \$		
Goal(s)							
Objectives							
Proposed Activities	# of Persons Served	Target Population	Start Date	Expected Complete Date	PHDEP Funding	Other Funding (Amount /Source)	Performance Indicators
1							
2							
3							

9140 - Voluntary Tenant Patrol					Total PHDEP Funding: \$		
Goal(s)							
Objectives							
Proposed Activities	# of Persons Served	Target Population	Start Date	Expected Complete Date	PHDEP Funding	Other Funding (Amount /Source)	Performance Indicators
1							
2							
3							

9150 - Physical Improvements					Total PHDEP Funding: \$		
Goal(s)							
Objectives							
Proposed Activities	# of Persons Served	Target Population	Start Date	Expected Complete Date	PHDEP Funding	Other Funding (Amount /Source)	Performance Indicators
1							
2							
3							

9160 - Drug Prevention					Total PHDEP Funding: \$		
Goal(s)							
Objectives							
Proposed Activities	# of Persons Served	Target Population	Start Date	Expected Complete Date	PHDEP Funding	Other Funding (Amount /Source)	Performance Indicators

1							
2							
3							

9170 - Drug Intervention						Total PHDEP Funding: \$	
Goal(s)							
Objectives							
Proposed Activities	# of Persons Served	Target Population	Start Date	Expected Complete Date	PHDEP Funding	Other Funding (Amount /Source)	Performance Indicators
1							
2							
3							

9180 - Drug Treatment						Total PHDEP Funding: \$	
Goal(s)							
Objectives							
Proposed Activities	# of Persons Served	Target Population	Start Date	Expected Complete Date	PHDEP Funding	Other Funding (Amount /Source)	Performance Indicators
1							
2							
3							

9190 - Other Program Costs						Total PHDEP Funds: \$	
Goal(s)							
Objectives							
Proposed Activities	# of Persons Served	Target Population	Start Date	Expected Complete Date	PHDEP Funding	Other Funding (Amount /Source)	Performance Indicators
1 Consultants Residents surveys 6 months reports			10/01	11/01	1,000		Evaluations based on: 1)Reports done in timely manner and before deadline 2)Evaluation results
2							
3							

Section 3: Expenditure/Obligation Milestones

Indicate by Budget Line Item and the Proposed Activity (based on the information contained in Section 2 PHDEP Plan Budget and Goals), the % of funds that will be expended (at least 25% of the total grant award) and obligated (at least 50% of the total grant award) within 12 months of grant execution.

Budget Line Item #	25% Expenditure of Total Grant Funds By Activity #	Total PHDEP Funding Expended (sum of the activities)	50% Obligation of Total Grant Funds by Activity #	Total PHDEP Funding Obligated (sum of the activities)
<i>e.g Budget Line Item # 9120</i>	<i>Activities 1, 3</i>		<i>Activity 2</i>	
9,110	100%	23,500		
9,120				
9,130				
9,140				
9,150				
9,160				
9,170				
9,180				
9,190	100%	1,500		
TOTAL		25,000		\$

Section 4: Certifications

A comprehensive certification of compliance with respect to the PHDEP Plan submission is included in the “PHA Certifications of Compliance with the PHA Plan and Related Regulations.”