

**Attachment 3 to A0007  
R-OPC-23287  
Questions and Responses**

No.	Section	Question	Response
92	B.5.02.0014AA	What is the volume of mailings that are completed by the CPTA manually vs. the electronic mailings prepared by Ginnie Mae?	Manual: Approximately volume anywhere between 3000 - 3250 a month - includes Ginnie Mae II checks, customer service correspondence, unclaimed fund checks to issuers, Ginnie Mae MBS prospectus etc.
93	C.2.07(c)	When will the documentation on the BPI applications, databases, integration, be available?	There is documentation on Ginnie Mae.gov that outlines the RFS, Portal and GinnieNET functionality. The replacement for IPMS has not begun yet. It is in the analysis and design phase. Refer to Pre-Conference presentations for more detail.
94	C.2.07(c)	How will the implementation of the BPI impact the legacy applications?	The main goal of the BPI is to retire legacy applications with new infrastructure. Consequently, all data currently stored in various legacy databases will be transferred to the Enterprise-wide Operational Data store (Data Warehouse). The first legacy application for the BPI is the Reporting and Feedback System (RFS) that is managed by the MBSAA.
95	C.2.07(c)	For all the legacy applications that will be replaced through the BPI, please provide a schedule of when the legacy applications will be shut down and when the new replacement system will be implemented.	To date, an implementation plan has not been established for replacing the remaining legacy application (Integrated Pool Management System). It is anticipated that the new systems will be developed during the base period of the contract.
96	C.2.07(c)	How will the integration of legacy applications with new Portal, RFS and EWODS work?	Initially, only RFS will be accessed through the Portal. RFS data will be loaded directly to EWODS. At some point in the future, GinnieNET/IPMS will be accessed through the Portal. However, as of November 2008, legacy IPMS will begin updating EWODS.
97	C.2.07(c)	Are modifications to the legacy applications required with the November implementation of the BPI that was discussed in the pre-proposal conference?	No, modifications to legacy applications do not have anything to do with the implementation of the BPI. Also, see additional clarification to the Statement of Work, which is provided separately in this Amendment.

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98	C.2.07(c)	Security Authentication and entitlements. Who needs access to what application? How is security administered to each application/user group?	This information is proprietary. Upon contract award, this information will be provided.
99	C.3.02	Will all GFITSA delivered under the contract be compliant with IT security requirements required by regulations listed in item (a) of this section?	We expect that all GFITSA will be compliant or mitigated by contract award date.
100	C.3.02	Please provide an inventory of applications (including 3rd party software such as databases, imaging & document management platforms, schedulers, system monitoring tools, archiving tools, etc) that are directly or indirectly used to support Ginnie Mae functions	Please see AMENDMENT posted to the HUD website (or Fedbizops) on 5/31/2008, which outlines proposed hardware and software for the BPI, EWODS and Portal.
101	C.3.02	Please list the entire hardware inventory - including the CPU speeds, MIPS, DASD sizes, RAID, memory, number of processors, hardware vendor, model number, operating system version, service pack level. Also include desktop configurations	This information is proprietary. Ginnie Mae's expectation is that the contractor will determine the appropriate hardware, versions and service pack levels.
102	C.3.02	Provide an inventory and locations of network components including routers, switches, hubs, firewalls, encrypters, csu/dsu, etc that are directly or indirectly used to support Ginnie Mae's business functions, inclusive of listing owners of circuits both internally and connections externally	This information is proprietary. Ginnie Mae's expectation is that the contractor will determine the appropriate hardware, versions and service pack levels.
103	C.3.02	What communication links will the Contractor be responsible for establishing and maintaining (e.g., T-1 lines)?	The contractor shall be responsible for establishing and maintaining the appropriate communication links to support the business functions outlined in this RFP. This may include T1, T3, and other types of secure communication links for the WEB.

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104	C.3.02	Are the existing systems at the standards required by this RFP (e.g. FISMA, NIST, A123, A127 ..)? If not, what are the exceptions?	Ginnie Mae monitors and mitigates any concerns for systems with respect to compliance with all Federal regulations.
105	C.3.02	Have the systems been audited by HUD or any other independent agency? What findings were raised? To what extent have the findings been resolved? Is any such audit contemplated or pending?	Ginnie Mae systems are audited by several entities annually. Findings, if any, are monitored, remediated or mitigated during the year following the audits.
106	C.3.02	Will Ginnie Mae provide copies of prior years technology refresh recommendation reports for the existing contract?	Copies of technology refresh recommendations are proprietary and are not available.
107	C.3.02	What is the current software/version release schedule for all legacy applications?	There are no software/version releases that are currently planned. However, if Ginnie Mae has a business need, there may be a release.
108	C.3.02	Are there any minor/major releases that will be released during the transition period or will need to be released within 90 days after the transition period?	There are no releases that are currently planned to take place during the transition period. However, if Ginnie Mae has a business need for a change, there may be a need for a release during this time.
109	C.3.02	Are there any existing FISMA security findings already documented on these legacy systems that must be addressed between transition AND the deployment of the replacement systems?	There are no major FISMA findings at this time. During the transition period, a certification and accreditation will be performed. Ginnie Mae will follow the most current NIST standards in documenting any major findings that must be addressed during the transition period and the deployment of the replacement systems.
110	C.3.02	Must all of the legacy environment be restored in the 4-hour DR timeframe or does this apply to specific legacy components ONLY? Please specify the systems that the 4 hour recovery period applies.	The RFP requires that all systems are restored within 4 hours. Refer to the RFP.
111	C.3.02	Please provide the requisite service level agreements ( transaction processing speed, report generation speed, batch processing) for IPMS-Pool Reporting Module	There is no SLA for IPMS. Please refer to RFP for specific timelines for processing.

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No.	Section	Question	Response
112	C.3.02	Please provide hardware deployment information (servers, firewalls, etc) for IPMS-Pool Reporting Module-Pool Reporting Module - across dev, qa and prod environments. Also mention any special requirements such as failover, load balancing, replication, etc	The Offeror has to define transition activities related to hardware deployment, failover and replication as part of the response to the RFP. The transition cannot disrupt Ginnie Mae operations.
113	C.3.02	Please provide the system security categorization for IPMS-Pool Reporting Module	IPMS is moderate. Pool Reporting which is a module of IPMS is not evaluated separately from IPMS.
114	C.3.02	Please provide system utilization statistics (CPU, virtual memory, etc) for IPMS-Pool Reporting Module-Pool Reporting Module	We do not have separate system utilization statistics for the Pool Reporting Module.
115	C.3.02	Please specify data archival requirements (frequency, data volumes, etc) for IPMS-Pool Reporting Module	Currently data is archived nightly for IPMS. There are not separate requirements for Pool Reporting.
116	C.3.02	Please provide availability requirements (24X7, 24X5, etc) for IPMS-Pool Reporting Module-Pool Reporting Module	Currently, IPMS, which includes the Pool Reporting module, is available to Ginnie Mae from 7am to 6pm Eastern time. Ginnie Mae's plans are to move to same day pool processing as defined in the RFP, which may
117	C.3.02	Please provide sizing parameters for IPMS-Pool Reporting Module - no. of screens, no. of batches, no. & size of tables/files, etc. Please provide the data storage file types (e.g. DB2, VSAM, Oracle ..) and number of those tables	There are approximately 155 DB2 tables organized in four table spaces. These tables take up 125,000 tracks. There are approximately 50 main VSAM files and the total allocation for VSAM and flat files is about 3.8 million tracks. There are 1311 modules in Endeavor, 260 of which are online screens
118	C.3.02	Please provide interface details for IPMS-Pool Reporting Module-Pool Reporting Module - no. of interfaces, interface type (file, synchronous calls, asynchronous calls, etc), data transfer volumes	Currently for IPMS, there are 54 transmissions using combination of Network Data Mover (NDM) and Bulk Data Transfer (BDT). Pool Reporting is a module is a component of IPMS.
119	C.3.02	Please provide usage parameters (average & peak levels) for IPMS-Pool Reporting Module - transactions per day, concurrent users, response times	For IPMS (Pool Reporting is a module of IPMS), the average number of non-system transactions per day in June is 4,535. The peak number (on June 3) was 11,177. Daily average response time is less than 0.15 seconds.

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120	C.3.02	Please mention the average number of support/enhancement requests (per month) for IPMS-Pool Reporting Module-Pool Reporting Module.	The number of systems modifications and enhancements for IPMS varies depending on requests by Ginnie Mae
121	C.3.02	Please provide estimated growth statistics for IPMS-Pool Reporting Module over the base period, option period 1, option period 2 - in terms of number of users, growth in data volumes	There are no growth statistics for the Pool Reporting System. There are currently less than 100 user ids for IPMS mainframe access for Ginnie Mae and contractor staff.
122	C.3.02	Are there any known performance related issues with IPMS-Pool Reporting Module-Pool Reporting Module - such as online response times, batch processing windows, etc	IPMS, and Pool Reporting which is part of IPMS, has no known performance issues currently.
123	C.3.02	Please mention any known environment/design/architectural issues that make it difficult to extend/enhance IPMS-Pool Reporting Module	IPMS is a legacy COBOL/Db 2 application that will be rewritten during the base period of the contract in order to utilize more current technology. Legacy source code documentation is available in Binders 10, 11,13, 14, 14 (a), 15, 15(a), 16, 17, 17 (a) and 22 in the Ginnie Mae reading room.
124	C.3.02	Please provide the list of enhancements that are planned for IPMS-Pool Reporting Module-Pool Reporting Module over the next 12 months	IPMS which is a legacy system will begin to be rewritten in the next 12 months.
125	C.3.02	Please provide a list of third party software licenses that are required for IPMS-Pool Reporting Module. Also mention the estimated annual licensing cost and indicate whether these licenses are transferable	There are no third party software licenses that are required for the IPMS-Pool Reporting Module.
126	C.3.02	Please provide the requisite service level agreements ( transaction processing speed, report generation speed, batch processing) for GinnieNET	There are no SLAs. Please refer to RFP for specific timelines for processing.
127	C.3.02	Please provide hardware deployment information (servers, firewalls, etc) for GinnieNET - across dev, qa and prod environments. Also mention any special requirements such as failover, load balancing, replication, etc	The Offeror has to define transition activities related to hardware deployment, failover and replication as part of the response to the RFP. The transition cannot disrupt Ginnie Mae operations.

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128	C.3.02	Please provide the system security categorization for GinnieNET	Moderate
129	C.3.02	Please provide system utilization statistics (CPU, virtual memory, etc) for GinnieNET	Utilization statistics are not currently captured for GinnieNET.
130	C.3.02	Please specify data archival requirements (frequency, data volumes, etc) for GinnieNET	GinnieNET data is archived nightly. Frequency and volumes will vary according to market conditions.
131	C.3.02	Please provide availability requirements (24X7, 24X5, etc) for GinnieNET	The system is available except for a maintenance window from 2:00am to 4:00am
132	C.3.02	Please provide sizing parameters for GinnieNET - no. of screens, no. of batches, no. & size of tables/files, etc	There are 96 pages in GinnieNET on the Web. There are 72 screens in non-Web applications. There are 84 batches. The GinnieNET database contains 1,182 tables, indexes, etc using 14 GB. All of GinnieNET consumes 132 GB
133	C.3.02	Please provide interface details for GinnieNET - no. of interfaces, interface type (file, synchronous calls, asynchronous calls, etc), data transfer volumes	GinnieNET interfaces with IPMS and the Web . Citrix is used internally by incumbent. Refer to RFP for data transfer volumes.
134	C.3.02	Please provide usage parameters (average & peak levels) for GinnieNET - transactions per day, concurrent users, response times	There are 1700 registered users and the usage is peak in the first 5 business day of a month. There are over 50,000 hits per day during the peak period. The average excluding the monthly peak period is about 5000 hits per day.
135	C.3.02	Please mention the average number of support/enhancement requests (per month) for GinnieNET.	Ginnie Mae enhancements/modifications average 4 to 5 a year. We do not have monthly statistics.
136	C.3.02	Please provide estimated growth statistics for GinnieNET over the base period, option period 1, option period 2 - in terms of number of users, growth in data volumes	GinnieNET was launched as a web base application during Option Year 1. Growth statistics are unavailable. There are approximately 880 users off the system.
137	C.3.02	Are there any known performance related issues with GinnieNET - such as online response times, batch processing windows, etc	There are no performance related issues at this time.

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138	C.3.02	Please mention any known environment/design/architectural issues that make it difficult to extend/enhance GinnieNET	None at this time. As part of Ginnie Mae's modernization efforts, new technologies and best practices are considered. Any new business requirements defined by Ginnie Mae, may result in changes.
139	C.3.02	Please provide the list of enhancements that are planned for GinnieNET over the next 12 months	Currently, GinnieNET is being enhanced to add new pool types, additional data fields to support RFS and data disclosure.
140	C.3.02	Please provide a list of third party software licenses that are required for GinnieNET. Also mention the estimated annual licensing cost and indicate whether these licenses are transferable	Sargent Technology, Inc. site license for Geo Scan Libraries. Annual licensing costs should be obtained from Sargent Technology Inc. This license is held by the Contractor.
141	C.3.02	Please provide the requisite service level agreements ( transaction processing speed, report generation speed, batch processing) for the Letter of Credit Module	There are no SLAs.
142	C.3.02	Please provide hardware deployment information (servers, firewalls, etc) for the Letter of Credit Module - across dev, qa and prod environments. Also mention any special requirements such as failover, load balancing, replication, etc	The Offeror has to define transition activities related to hardware deployment, failover and replication as part of the response to the RFP. The transition cannot disrupt Ginnie Mae operations.
143	C.3.02	Please mention any special security requirements (user access, data security & privacy) for the Letter of Credit Module	There are no other special requirements. It complies with NIST and Federal regulations.
144	C.3.02	Please provide system utilization statistics (CPU, virtual memory, etc) for the Letter of Credit Module	We do not have separate system utilization statistics for the LOC module.
145	C.3.02	Please specify data archival requirements (frequency, data volumes, etc) for the Letter of Credit Module	IPMS The Letter of Credit Module is archived nightly as a component of IPMS.
146	C.3.02	Please provide availability requirements (24X7, 24X5, etc) for the Letter of Credit Module	The application is available from 7:00 AM thru 6:00 PM Monday through Friday. Batch processing takes place nightly.

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147	C.3.02	Please provide sizing parameters for the Letter of Credit Module - no. of screens, no. of batches, no. & size of tables/files, etc	VFP framework - Flash Mgt – 596 files, 33 form libraries, 12 program files, 22 report files, 113 VFP DB files 249MB
148	C.3.02	Please provide interface details for the Letter of Credit Module - no. of interfaces, interface type (file, synchronous calls, asynchronous calls, etc), data transfer volumes	The LOC is a module of IPMS. IPMS posts reports to eNotification.
149	C.3.02	Please provide usage parameters (average & peak levels) for the Letter of Credit Module - transactions per day, concurrent users, response times	The LOC is a module of IPMS. Currently, there are 5 users that have access to LOC.
150	C.3.02	Please mention the average number of support/enhancement requests (per month) for the Letter of Credit Module. For an average maintenance request, what is the typical FTE requirement?	The LOC is a module of IPMS. The changes to this module are rare. There is no separate FTE requirement for this module.
151	C.3.02	Please provide estimated growth statistics for the Letter of Credit Module over the base period, option period 1, option period 2 - in terms of number of users, growth in data volumes	This information is not available.
152	C.3.02	Are there any known performance related issues with the Letter of Credit Module - such as online response times, batch processing windows, etc	No, there are no performance related issues with the Letter of Credit Module at this time.
153	C.3.02	Please mention any known environment/design/architectural issues that make it difficult to extend/enhance the Letter of Credit Module	There are no issues at this time.
154	C.3.02	Please provide the list of enhancements that are planned for the Letter of Credit Module over the next 12 months	Currently, there are no planned enhancements over the next 12 months.
155	C.3.02	Please provide a list of third party software licenses that are required for the Letter of Credit Module. Also mention the estimated annual licensing cost and indicate whether these licenses are transferable	See Attachment B

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156	C.3.02	Please provide the requisite service level agreements ( transaction processing speed, report generation speed, batch processing) for Multifamily DB Module	There are no SLAs for the Multifamily Database Module
157	C.3.02	Please provide hardware deployment information (servers, firewalls, etc) for Multifamily DB Module - across dev, qa and prod environments. Also mention any special requirements such as failover, load balancing, replication, etc	The Offeror has to define transition activities related to hardware deployment, failover and replication as part of the response to the RFP. The transition cannot disrupt Ginnie Mae operations.
158	C.3.02	Please provide the system security categorization for Multifamily DB Module	The Multifamily DB module is a component of GinnieNET. GinnieNET is moderate.
159	C.3.02	Please provide system utilization statistics (CPU, virtual memory, etc) for Multifamily DB Module	We do not have separate system utilization statistics for the MF database module.
160	C.3.02	Please specify data archival requirements (frequency, data volumes, etc) for Multifamily DB Module	The Multifamily DB module is archived nightly.
161	C.3.02	Please provide availability requirements (24X7, 24X5, etc) for Multifamily DB Module	This application is available 24x7 via the web.
162	C.3.02	Please provide sizing parameters for Multifamily DB Module - no. of screens, no. of batches, no. & size of tables/files, etc	4 Web Pages, 3 Data entry screens, batches and database included in GinnieNET
163	C.3.02	Please provide interface details for Multifamily DB Module - no. of interfaces, interface type (file, synchronous calls, asynchronous calls, etc), data transfer volumes	Refer to Question 58.
164	C.3.02	Please provide usage parameters (average & peak levels) for Multifamily DB Module - transactions per day, concurrent users, response times	The Multifamily DB module on the Web averages 150 inquiries per day. The highest to lowest range of inquiries is 640 to 4. Concurrent users and response time is not available.

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165	C.3.02	Please mention the average number of support/enhancement requests (per month) for Multifamily DB Module.	Less than one a month.
166	C.3.02	Please provide estimated growth statistics for Multifamily DB Module over the base period, option period 1, option period 2 - in terms of number of users, growth in data volumes	This information is not available.
167	C.3.02	Are there any known performance related issues with Multifamily DB Module - such as online response times, batch processing windows, etc	There are no known performance related issues at this time.
168	C.3.02	Please mention any known environment/design/architectural issues that make it difficult to extend/enhance Multifamily DB Module	There are no known environmental/design/architectural issues.
169	C.3.02	Please provide the list of enhancements that are planned for Multifamily DB Module over the next 12 months	Currently, there are no planned enhancements for the Multifamily DB.
170	C.3.02	Please provide a list of third party software licenses that are required for Multifamily DB Module. Also mention the estimated annual licensing cost and indicate whether these licenses are transferable	See Attachment B
171	C.3.02	Please provide the requisite service level agreements ( transaction processing speed, report generation speed, batch processing) for New Issuer Module	There are no SLAs for IPMS (the New Issuer Module is part of IPMS).
172	C.3.02	Please provide hardware deployment information (servers, firewalls, etc) for New Issuer Module - across dev, qa and prod environments. Also mention any special requirements such as failover, load balancing, replication, etc	The Offeror has to define transition activities related to hardware deployment, failover and replication as part of the response to the RFP. The transition cannot disrupt Ginnie Mae operations.
173	C.3.02	Please provide the system security categorization for New Issuer Module	This is a module of IPMS. IPMS is Moderate.

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174	C.3.02	Please provide system utilization statistics (CPU, virtual memory, etc) for New Issuer Module	We do not have separate system utilization statistics for the New Issuer Module.
175	C.3.02	Please specify data archival requirements (frequency, data volumes, etc) for New Issuer Module	IPMS (which the new Issuer module is a part of) is archived nightly.
176	C.3.02	Please provide availability requirements (24X7, 24X5, etc) for New Issuer Module	The application is available from 7:00 AM thru 6:00 PM Eastern time, Monday through Friday. Batch processing takes place nightly.
177	C.3.02	Please provide sizing parameters for New Issuer Module - no. of screens, no. of batches, no. & size of tables/files, etc	VFP framework - Flash Mgt – 596 files, 101 program files, 59 forms libraries, 18 reports,95 VFP DB files 11 MB
178	C.3.02	Please provide interface details for New Issuer Module - no. of interfaces, interface type (file, synchronous calls, asynchronous calls, etc), data transfer volumes	The New Issuer Module is part of IPMS. Currently, there are 10 users with access to the New Issuer Module. There is minimal data transfer activity.
179	C.3.02	Please provide usage parameters (average & peak levels) for New Issuer Module - transactions per day, concurrent users, response times	The New Issuer Module averages 1-2 inquiries per month.
180	C.3.02	Please mention the average number of support/enhancement requests (per month) for New Issuer Module.	This information is not available.
181	C.3.02	Please provide estimated growth statistics for New Issuer Module over the base period, option period 1, option period 2 - in terms of number of users, growth in data volumes	This information is not available.
182	C.3.02	Are there any known performance related issues with New Issuer Module - such as online response times, batch processing windows, etc	There are no issues at this time.
183	C.3.02	Please mention any known environment/design/architectural issues that make it difficult to extend/enhance New Issuer Module	None, at this time.

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184	C.3.02	Please provide the list of enhancements that are planned for New Issuer Module over the next 12 months	None, at this time.
185	C.3.02	Please provide a list of third party software licenses that are required for New Issuer Module. Also mention the estimated annual licensing cost and indicate whether these licenses are transferable	See Attachment B
186	C.3.02	Please provide the requisite service level agreements ( transaction processing speed, report generation speed, batch processing) for Pool Transfer Module	There are no SLAs for the Pool Transfer module which is part of IPMS.
187	C.3.02	Please provide hardware deployment information (servers, firewalls, etc) for Pool Transfer Module - across dev, qa and prod environments. Also mention any special requirements such as failover, load balancing, replication, etc	The Offeror has to define transition activities related to hardware deployment, failover and replication as part of the response to the RFP. The transition cannot disrupt Ginnie Mae operations.
188	C.3.02	Please provide the system security categorization for Pool Transfer Module	This is a module of IPMS. IPMS is Moderate.
189	C.3.02	Please provide system utilization statistics (CPU, virtual memory, etc) for Pool Transfer Module	We do not have separate system utilization statistics for the Pool Transfer Module.
190	C.3.02	Please specify data archival requirements (frequency, data volumes, etc) for Pool Transfer Module	The Pool Transfer Module within IPMS is archived nightly.
191	C.3.02	Please provide availability requirements (24X7, 24X5, etc) for Pool Transfer Module	The IPMS application is available from 7:00 AM thru 6:00 PM Monday through Friday. Batch processing takes place nightly.
192	C.3.02	Please provide sizing parameters for Pool Transfer Module - no. of screens, no. of batches, no. & size of tables/files, etc	VFP framework - Flash Mgt – 596 files, 188 program files, 66 forms libraries, 53 reports,73 VFP DB files 149 MB

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193	C.3.02	Please provide interface details for Pool Transfer Module - no. of interfaces, interface type (file, synchronous calls, asynchronous calls, etc), data transfer volumes	Citrix (Ginnie Mae Staff, BNY Operations), CONNECT: Direct
194	C.3.02	Please provide usage parameters (average & peak levels) for Pool Transfer Module - transactions per day, concurrent users, response times	There are about 20 users. The transactions are minimal.
195	C.3.02	Please mention the average number of support/enhancement requests (per month) for Pool Transfer Module.	There has been one or less requests for the Pool Transfer Module.
196	C.3.02	Please provide estimated growth statistics for Pool Transfer Module over the base period, option period 1, option period 2 - in terms of number of users, growth in data volumes	This information is not available.
197	C.3.02	Are there any known performance related issues with Pool Transfer Module - such as online response times, batch processing windows, etc	There are no known issues
198	C.3.02	Please mention any known environment/design/architectural issues that make it difficult to extend/enhance Pool Transfer Module	Application platform nearing end of life
199	C.3.02	Please provide the list of enhancements that are planned for Pool Transfer Module over the next 12 months	None
200	C.3.02	Please provide a list of third party software licenses that are required for Pool Transfer Module. Also mention the estimated annual licensing cost and indicate whether these licenses are transferable	See Attachment B
201	C.3.02	Please provide the requisite service level agreements ( transaction processing speed, report generation speed, batch processing) for Unclaimed Funds System	There are no SLAs for the Unclaimed Funds system.

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202	C.3.02	Please provide hardware deployment information (servers, firewalls, etc) for Unclaimed Funds System - across dev, qa and prod environments. Also mention any special requirements such as failover, load balancing, replication, etc	The Offeror has to define transition activities related to hardware deployment, failover and replication as part of the response to the RFP. The transition cannot disrupt Ginnie Mae operations.
203	C.3.02	Please Provide the system security categorization for the Unclaimed Funds System	Moderate
204	C.3.02	Please provide system utilization statistics (CPU, virtual memory, etc) for Unclaimed Funds System	System utilization statistics are not available for Unclaimed Funds System.
205	C.3.02	Please specify data archival requirements (frequency, data volumes, etc) for Unclaimed Funds System	The Unclaimed Funds System is archived nightly.
206	C.3.02	Please provide availability requirements (24X7, 24X5, etc) for Unclaimed Funds System	The application is available from 7:00 AM thru 6:00 PM Monday through Friday. Batch processing takes place nightly.
207	C.3.02	Please provide sizing parameters for Unclaimed Funds System - no. of screens, no. of batches, no. & size of tables/files, etc	45 form libraries, 5 program files, 40 report files, 71 VFP DB files, 191 MB
208	C.3.02	Please provide interface details for Unclaimed Funds System - no. of interfaces, interface type (file, synchronous calls, asynchronous calls, etc), data transfer volumes	The Unclaimed Funds System interfaces with the PPA's LAN.
209	C.3.02	Please provide usage parameters (average & peak levels) for Unclaimed Funds System - transactions per day, concurrent users, response times	There are about 5 users with minimal transaction
210	C.3.02	Please mention the average number of support/enhancement requests (per month) for Unclaimed Funds System.	There has been one or less requests for the Unclaimed Funds System.

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211	C.3.02	Please provide estimated growth statistics for Unclaimed Funds System over the base period, option period 1, option period 2 - in terms of number of users, growth in data volumes	This information is not available.
212	C.3.02	Are there any known performance related issues with Unclaimed Funds System - such as online response times, batch processing windows, etc	There are no issues at this time.
213	C.3.02	Please mention any known environment/design/architectural issues that make it difficult to extend/enhance Unclaimed Funds System	Application platform nearing end of life
214	C.3.02	Please provide the list of enhancements that are planned for Unclaimed Funds System over the next 12 months	None
215	C.3.02	Please provide a list of third party software licenses that are required for Unclaimed Funds System. Also mention the estimated annual licensing cost and indicate whether these licenses are transferable	See Attachment B
216	C.3.02	Please provide the requisite service level agreements ( transaction processing speed, report generation speed, batch processing) for REMIC & Platinum Securities Search Pages	There is no SLA particular for this application
217	C.3.02	Please provide hardware deployment information (servers, firewalls, etc) for REMIC & Platinum Securities Search Pages - across dev, qa and prod environments. Also mention any special requirements such as failover, load balancing, replication, etc	The Offeror has to define transition activities related to hardware deployment, failover and replication as part of the response to the RFP. The transition cannot disrupt Ginnie Mae operations.
218	C.3.02	Please provide the system security categorization for the REMIC & Platinum Securities Search Pages	This is a module of IPMS. IPMS is Moderate.
219	C.3.02	Please provide system utilization statistics (CPU, virtual memory, etc) for REMIC & Platinum Securities Search Pages	Separate system utilization statistics are not available for the REMIC and Platinum Securities Search Pages.

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No.	Section	Question	Response
220	C.3.02	Please specify data archival requirements (frequency, data volumes, etc) for REMIC & Platinum Securities Search Pages	REMIC and Platinum Securities search pages are archived nightly.
221	C.3.02	Please provide availability requirements (24X7, 24X5, etc) for REMIC & Platinum Securities Search Pages	24x7
222	C.3.02	Please provide sizing parameters for REMIC & Platinum Securities Search Pages - no. of screens, no. of batches, no. & size of tables/files, etc	5 Web Pages, batch and database included in GinnieNET
223	C.3.02	Please provide interface details for REMIC & Platinum Securities Search Pages - no. of interfaces, interface type (file, synchronous calls, asynchronous calls, etc), data transfer volumes	Web, CONNECT: Direct
224	C.3.02	Please provide usage parameters (average & peak levels) for REMIC & Platinum Securities Search Pages - transactions per day, concurrent users, response times	The average is about 500 hits per day
225	C.3.02	Please mention the average number of support/enhancement requests (per month) for REMIC & Platinum Securities Search Pages.	There were two or less requests for REMIC & Platinum Securities Search Pages.
226	C.3.02	Please provide estimated growth statistics for REMIC & Platinum Securities Search Pages over the base period, option period 1, option period 2 - in terms of number of users, growth in data volumes	This information is not available.
227	C.3.02	Are there any known performance related issues with REMIC & Platinum Securities Search Pages such as online response times, batch processing windows, etc	There are no known issues
228	C.3.02	Please mention any known environment/design/architectural issues that make it difficult to extend/enhance REMIC & Platinum Securities Search Pages	None

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No.	Section	Question	Response
229	C.3.02	Please provide the list of enhancements that are planned for REMIC & Platinum Securities Search Pages over the next 12 months	None
230	C.3.02	Please provide a list of third party software licenses that are required for REMIC & Platinum Securities Search Pages. Also mention the estimated annual licensing cost and indicate whether these licenses are transferable	See Attachment B
231	C.3.02	Please provide the requisite service level agreements ( transaction processing speed, report generation speed, batch processing) for REMIC Database	There is no SLA particular for this application
232	C.3.02	Please provide hardware deployment information (servers, firewalls, etc) for REMIC Database - across dev, qa and prod environments. Also mention any special requirements such as failover, load balancing, replication, etc	The Offeror has to define transition activities related to hardware deployment, failover and replication as part of the response to the RFP. The transition cannot disrupt Ginnie Mae operations.
233	C.3.02	Please provide the system security categorization for the REMIC Database	This is a module of IPMS. IPMS is Moderate.
234	C.3.02	Please provide system utilization statistics (CPU, virtual memory, etc) for REMIC Database	Runs on a server shared with other applications. Cannot isolate.
235	C.3.02	Please specify data archival requirements (frequency, data volumes, etc) for REMIC Database	The REMIC database is archived nightly.
236	C.3.02	Please provide availability requirements (24X7, 24X5, etc) for REMIC Database	24x7
237	C.3.02	Please provide sizing parameters for REMIC Database - no. of screens, no. of batches, no. & size of tables/files, etc	This overlaps with the REMIC & Platinum Search above. 5 Web Pages, batch and database included in GinnieNET

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No.	Section	Question	Response
238	C.3.02	Please provide interface details for REMIC Database - no. of interfaces, interface type (file, synchronous calls, asynchronous calls, etc), data transfer volumes	Web, CONNECT: Direct
239	C.3.02	Please provide usage parameters (average & peak levels) for REMIC Database - transactions per day, concurrent users, response times	The average is about 1500 hits per day
240	C.3.02	Please mention the average number of support/enhancement requests (per month) for REMIC Database.	There was less than one request for the REMIC Database.
241	C.3.02	Please provide estimated growth statistics for REMIC Database over the base period, option period 1, option period 2 - in terms of number of users, growth in data volumes	This information is not available.
242	C.3.02	Are there any known performance related issues with REMIC Database - such as online response times, batch processing windows, etc	There are no issues at this time.
243	C.3.02	Please mention any known environment/design/architectural issues that make it difficult to extend/enhance REMIC Database	None
244	C.3.02	Please provide the list of enhancements that are planned for REMIC Database over the next 12 months	None
245	C.3.02	Please provide a list of third party software licenses that are required for REMIC Database. Also mention the estimated annual licensing cost and indicate whether these licenses are transferable	See Attachment B
246	C.3.02	Please provide the requisite service level agreements ( transaction processing speed, report generation speed, batch processing) for IPMS-New Pool Processing Module	There is no SLAs for IPMS. Please refer to RFP for specific timelines for processing.

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No.	Section	Question	Response
247	C.3.02	Please provide hardware deployment information (servers, firewalls, etc) for IPMS-New Pool Processing Module - across dev, qa and prod environments. Also mention any special requirements such as failover, load balancing, replication, etc	The Offeror has to define transition activities related to hardware deployment, failover and replication as part of the response to the RFP. The transition cannot disrupt Ginnie Mae operations.
248	C.3.02	Please provide the system security categorization for the IPMS-New Pool Processing Module	IPMS is moderate. New Pool Processing is not evaluated separately from IPMS.
249	C.3.02	Please provide system utilization statistics (CPU, virtual memory, etc) for IPMS-New Pool Processing Module	We do not have separate system utilization statistics for the Pool Reporting Module.
250	C.3.02	Please specify data archival requirements (frequency, data volumes, etc) for IPMS-New Pool Processing Module	Currently data is archived nightly for IPMS. There are not separate requirements for Pool Processing.
251	C.3.02	Please provide availability requirements (24X7, 24X5, etc) for IPMS-New Pool Processing Module	IPMS, which includes the Pool Processing module, is currently available to Ginnie Mae 7am to 6pm Eastern time.
252	C.3.02	Please provide sizing parameters for IPMS-New Pool Processing Module - no. of screens, no. of batches, no. & size of tables/files, etc	Please see answer for IPMS-Pool Reporting Module
253	C.3.02	Please provide interface details for IPMS-New Pool Processing Module - no. of interfaces, interface type (file, synchronous calls, asynchronous calls, etc), data transfer volumes	Please see answer for IPMS-Pool Reporting Module
254	C.3.02	Please provide usage parameters (average & peak levels) for IPMS-New Pool Processing Module - transactions per day, concurrent users, response times	Please see answer for IPMS-Pool Reporting Module
255	C.3.02	Please mention the average number of support/enhancement requests (per month) for IPMS-New Pool Processing Module.	Please see answer for IPMS-Pool Reporting Module

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No.	Section	Question	Response
256	C.3.02	Please provide estimated growth statistics for IPMS-New Pool Processing Module over the base period, option period 1, option period 2 - in terms of number of users, growth in data volumes	There are no growth statistics for the Pool Reporting System. There are currently less than 100 user ids for IPMS mainframe access for Ginnie Mae and contractor staff.
257	C.3.02	Are there any known performance related issues with IPMS-New Pool Processing Module - such as online response times, batch processing windows, etc	IPMS, and Pool Processing which is part of IPMS, has no performance issues currently.
258	C.3.02	Please mention any known environment/design/architectural issues that make it difficult to extend/enhance IPMS-New Pool Processing Module	IPMS is a legacy COBOL/Db 2 application that will be rewritten during the base period of the contract, in order to utilize more current technology. Legacy source code documentation is available in Binders 10, 11,13, 14, 14 (a), 15, 15(a), 16, 17, 17 (a) and 22 in the Ginnie Mae reading room.
259	C.3.02	Please provide the list of enhancements that are planned for IPMS-New Pool Processing Module over the next 12 months	Currently there are no new requirements identified, however Ginnie Mae may obtain additional requirements during that period that may require change.
260	C.3.02	Please provide a list of third party software licenses that are required for IPMS-New Pool Processing Module. Also mention the estimated annual licensing cost and indicate whether these licenses are transferable	There is no third party software associated with IPMS.
261	C.3.02	Please provide the requisite service level agreements ( transaction processing speed, report generation speed, batch processing) for Master Agreement Database	There is no SLAs for IPMS. Please refer to RFP for specific timelines for processing.
262	C.3.02	Please provide hardware deployment information (servers, firewalls, etc) for Master Agreement Database - across dev, qa and prod environments. Also mention any special requirements such as failover, load balancing, replication, etc	The Offeror has to define transition activities related to hardware deployment, failover and replication as part of the response to the RFP. The transition cannot disrupt Ginnie Mae operations.
263	C.3.02	Please provide the system security categorization for the Master Agreement Database	IPMS is moderate. Master Agreement Module is not evaluated separately from IPMS.

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No.	Section	Question	Response
264	C.3.02	Please provide system utilization statistics (CPU, virtual memory, etc) for Master Agreement Database	We do not have separate system utilization statistics for the Master Agreement Module.
265	C.3.02	Please specify data archival requirements (frequency, data volumes, etc) for Master Agreement Database	Currently data is archived nightly for IPMS. There are not separate requirements for Master Agreement Module.
266	C.3.02	Please provide availability requirements (24X7, 24X5, etc) for Master Agreement Database	The Master Agreement Module with in IPMS is available 24x7.
267	C.3.02.01	What is the business/financial impact that is driving the desired Recovery Time Objective (RTO) of 4 hours or less?	The ability for Ginnie Mae to conduct business on a daily basis.
268	C.3.02.01	What is the current Disaster Recovery solution being provided by the current provider partner?	This proprietary information, therefore the information cannot be made available.
269	C.3.02.01	Are there any Memorandum of Agreement/Memorandum of Understanding/Interconnected Services Agreement (MOA/MOU/ISA) with other parties that we need to take into consideration (i.e. Federal Reserve Bank)?	Two: FRBNY is the depository and MERS is the mortgage electronic registry system.
270	C.3.02.01	The paragraph on COOP references Ginnie Mae's essential business Processes. Please describe the essential processes.	Pool Processing and Payment Functionalities - Issuance of Securities, RPB Collection, Payments to Security Holders etc.
271	C.3.02.03	Within the RFP, there is no reference in section L to describe where we should address tasks related to conferences, training, travel, and technical assistance; will you consider adding additional pages?	No special requirements exist that would require additional pages. Preparation for these tasks would be fulfilled in cooperation with Ginnie Mae.

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No.	Section	Question	Response
272	C.3.03	This section requires contractor to perform daily cash reconciliation of funds paid according to fee schedule. Is this a data reconciliation? How does the process work?	When a request for Commitment Authority has been received by the Contractor, the Offeror verifies with Ginnie Mae, Office of Finance that the proper commitment fee has been received by Ginnie Mae. Once verified that the proper fees have been paid the Contractor puts the Commitment Authority request on hold in IPMS for processing by a Ginnie Mae Account Executive. Daily the Contractor performs a reconciliation that the proper amount of funds have been received for the amount.
273	C.3.03	What functions does the PPA/CPTA need to perform to assist Ginnie Mae with new Issuer applications?	Largely the PPA/CPTA process required Ginnie Mae Agreements, and enroll Issuers on Ginnie NET, etc.
274	C.3.03.01	Paragraph (h) requires the Contractor to acquire and maintain a site license for Geo Scan Libraries. What is the cost of that license?	Offeror should inquire with Geo Scan for licensing costs.
275	C.3.03.01	Is the Contractor required to have any other site licenses besides Geo Scan Libraries? If so, what are they, and what do they cost?	The Offeror needs to determine what Site Licenses are needed for their operations and contact the appropriate vendor for pricing..
276	C.3.03.01	What are the Geo Scan Libraries system requirements?	Offeror should inquire with Geo Scan for system requirements.
277	C.3.03.02	In this section, and in a number of other sections, time deadlines are stated in Eastern Standard Time. Is Eastern Daylight Time applicable when Daylight Savings Time is in effect?	No. Daylight Savings Time would take precedence.
278	C.3.03.02	Do both the IPMS and GinnieNET edit checks verify that the pool is in compliance with the Ginnie Mae Guide? Are they in sync with each other?	Yes; GinnieNET edits provide both soft (warning) edits and hard edits (Pool Processing Cancelled) to alert both the Issuer and Ginnie Mae of potential pooling abnormalities.

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No.	Section	Question	Response
279	C.3.03.02	Is there any special processing required related to HECMs? If so, can you provide the relevant documentation?	Yes. See Chapter 35 and Appendixes III-28 and VI-17 of the MBS Guide
280	C.3.03.03	Please clarify the following discrepancy between Sections B.5 and C.3: in Section C.3.03.03, the task references CLIN 201; however, there is no CLIN 201 listed in Section B.5.	The reference to CLIN 201 should be 0202
281	C.3.03.04	Please clarify the following discrepancy between Sections B.5 and C.3: in Section C.3.03.04, the task references CLIN 201; however, there is no CLIN 201 in Section B.5.	The reference to CLIN 201 should be 0202
282	C.3.03.04	Are all transfer of servicing requests submitted through GinnieNET? If not, please describe the ways in which they can be submitted.	All transfer requests are communicated by letter correspondence. See Chapter 21-8 of the MBS Guide.
283	C.3.03.05	Please clarify the following discrepancy between Sections B.5 and C.3: in Section C.3.03.05, the task references CLIN 201; however, there is no 201 listed in Section B.5.	The reference to CLIN 201 should be 0202
284	C.3.03.05	Should the reference in Paragraph (g) to "Data Collection and Risk Management Agent" read "MBS Administration Agent"?	Yes.
285	C.3.03.05	Does IPMS assign the CUSIP numbers to a pool or is there another system or manual process?	The New Pool Processing module assigns the CUSIP number.
286	C.3.03.06	Please clarify the discrepancy between Sections B.5 and C.3: in Section C.3.03.06, the task references CLIN 201; however there is no CLIN 201 listed in Section B.5.	The reference to CLIN 201 should be 0202
287	C.3.03.07	Is the prospectus for the multiple issuer pool generated from IPMS or another Ginnie Mae system?	The prospectus is generated by GinnieNET.

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No.	Section	Question	Response
288	C.3.03.08	What are the required hours of operations and days of the week for the Help Desk?	Customer Service Help Desk is 5 days a week from 8:30 AM - 4:30 PM EST, GinnieNET Help Desk is also 5 days a week from 8:00 AM - 7:00 PM EST, New Pool Help Desk 5 days a week from 9:00 AM - 5:00 PM EST, Multiclass Help Desk 5 days a week from 9:00 AM - 5:00 PM EST
289	C.3.03.08	What methods of communication are required to be available for use contacting the Help Desk?	Email, Telephone, and Fax
290	C.3.04	Who collects fees associated with transfer of servicing?	The contractor collects the fees and wires to Ginnie Mae, Office of Finance.
291	C.3.04	Is there a Ginnie Mae system that tracks fees associated with transfer of servicing?	PTS calculates the amount of the transfer fee, but the rest of the fees are tracked manually.
292	C.3.05	Are there any Uniform Commercial Codes (UCC) associated with letter of credit?	Article 5 of the UCC applies to the Letter of Credit.
293	C.3.05	What is the required method of safeguarding a letter of credit?	LOCs are maintained in the vault at the incumbent's site.
294	C.3.06	Is a blank certificate (unissued inventory) generic stock that will represent issuance for all issuers?	Blank certificates exist for each of several Ginnie Mae programs, typically broken down by pool type.
295	C.3.06	Can we alter the authentication box which is at the current CPTA?	No, Ginnie Mae believes that there is not a need to alter the authentication box because the certificate is authenticated when the PPA signs the certificate.

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No.	Section	Question	Response
296	C.3.06	For electronic notification of issuer, regarding issue of certificate, is it generated through IPMS or another system? How is the electronic notification delivered to the issuer? What information is included in the notification?	The notification is generated by IPMS - GMBS through e-Access that is maintained by Pool Number, Certificate Number, Amount, Investor's Name and Tax ID Number.
297	C.3.06	Does IPMS calculate the RPB for multiple issuer pools?	IPMS does the calculation.
298	C.3.06	What is the volume of physical certificates vs. book entry?	Book Entry at 271,703 positions and Physical certificates at 30, 431 positions.
299	C.3.06.04	How is the information transferred to the Fed? What information is transferred?	Pool Details: CUSIP Number, PAR Amount and the delivery instructions as stated in the form HUD 11705. The information is transferred via the Bank of New York's Fedwire securities delivery system - Government Securities Exchange System
300	C.3.07	Please provide a copy of current contract with Standard and Poor's to purchase CUSIP numbers to facilitate the trading of Ginnie Mae securities.	There is no formal agreement with CUSIP.
301	C.3.08.01	"The contractor shall maintain an electronic real time on-line system that contains at a minimum the following information for each certificate:..." Since GMBS is being provided as GFP are we to assume that GMBS satisfies the real time, on-line and data element requirements outlined in this section?	Yes, GMBS does satisfy the requirement.
302	C.3.08.01	Please provide documentation that describes the functions supported by GMBS.	Please refer to the system slides presented during the pre-proposal conference, which can also be accessed online and in the Ginnie Mae Reading Room.
303	C.3.08.02	Please clarify the volumes for dealer versus certificate holder vs. issuer?	This information is not available.

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No.	Section	Question	Response
304	C.3.08.02	Please provide sample inquiries and CRS maintenance updates	Sample of Inquiries: * If a particular certificate has been transferred? * Is the Certificate still open? * Has the pool matured or been paid off. * PAR amount of a particular certificate. * Paying Agent of a given pool. * Did not receive my payment?
305	C.3.08.02	Is relevant information stored on a Ginnie Mae system that is maintained by BNY or is there a different source of information to respond to inquiries?	Information is stored on Ginnie Mae's proprietary systems that are maintained by the Contractor.
306	C.3.08.05	This task requires Ginnie Mae to have access to information as well as others (as requested by Ginnie Mae) - are these standard reports or "ad hoc" upon request & as defined pursuant to each request?	Some are canned reports and others are ad hoc reports.
307	C.3.09.02	Since the SEC discourages the use of perforation as a method of cancellation, will our customary method of using a cancellation stamp be acceptable?	No.
308	C.3.09.02	Who is responsible for cancelled certificate retention/destruction? Describe the final payment surrender mechanics, process, and differentiate them for Ginnie Mae 1 & 2.	The Contractor, under Ginnie Mae's direction, is responsible for retention/destruction. Under the Ginnie Mae II Program the Contractor notifies the Security Holder, prior to the final payment that the security holder has to submit the physical certificate to the Contractor, prior to the final payment being made to the Security Holder. Under the Ginnie Mae I program the Issuer notifies the Security Holder upon receiving the physical certificate that the Issuer pays the Security Holder and send the physical certificate to the Contractor for cancellation.
309	C.3.09.04	Do systems currently in place calculate transfer fees based on updates? Is this fee also payable for transfers between book entry holders?	The IPMS/GMBS system calculates the certificate transfer fees due Ginnie Mae as well as the portion due to the Ginnie Mae I Issuer. Transfer fees do not apply to securities held in book-entry.
310	C.3.10.02	What is the average amount of manual RPB updates/edits on a monthly basis?	RPBs are submitted electronically. Approximately 4,000 RPB edits are processed monthly.

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No.	Section	Question	Response
311	C.3.10.02	How do the Issuers provide RPB's to GinnieNET currently?	Issuers submit RPBs in various ways. The majority are sent to a dedicated servicer via Secure FTP, dial up bulletin board or as a VPN. Two Issuers submit RPBs via NDM. The remainder are submitted through
312	C.3.10.03	Are all of the data verification points listed in section C.3.10.03 (a) automatically analyzed by IPMS or some other system?	Yes they are analyzed by IPMS.
313	C.3.10.05	What method is used to distribute information to Sector, Inc?	The method is electronic.
314	C.3.10.05	Please confirm that the \$125 fee is retained by the contractor. How is the information currently disseminated?	Yes, the fee is retained by the Contractor. The information is disseminated by tape.
315	C.3.10.06	When will the file layouts for the new issuance and disclosure information be provided? What is the document called?	See the All Participants Memorandum (APM) 08-02 dated January 18, 2008 and APM 08-12, dated July 18, 2008 on Ginnie Mae's website. File layout for disclosure information has not been completed.
316	C.3.11(c)	How many Ginnie Mae II pools were issued in 2007?	There were 6,412 pools issued in 2007.
317	C.3.11.04	What is the frequency of payment (and to whom) for uninvested cash?	Payment is monthly and paid to Ginnie Mae, Office of Finance
318	C.3.11.07	Are certificated positions/holders required to present their physical security for Final Principal payments? If yes, what is the estimated volume? If no, what are the requirements prior to making final payment to certificated holders?	Yes, Approximately 22,300 per year, but can vary based on prepayments, foreclosures, and rates. Also see response to question 324.
319	C.3.11.07	What are the due diligence requirements?	It is the responsibility of the Contractor to determine and perform the necessary due diligent requirements.

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No.	Section	Question	Response
320	C.3.11.07	What due diligence mailings are required for unclaimed funds?	It is the responsibility of the Contractor to determine and perform the necessary due diligent requirements.
321	C.3.11.09	How would we be notified of the need to make emergency payments and what is the required turnaround?	Notification comes from the GTR as soon as possible, but no later than 24 hours.
322	C.3.11.10	Is this a physical advice or through electronic delivery such as an email? Is this produced systematically by the system today?	Remittance advice are created by the IPMS/GMBS system and mailed to Investors.
323	C.3.11.11	What do we do with the information from Issuers regarding their Federal & State filing requirements?	This requirement is covered in Section C.3.11.11 of the Request for Proposal.
324	C.3.11.15	How are the Monthly Trigger Holders Recon and Factor Edits Error Log provided to the PPA/CPTA? Can you provide a copy? Please provide a sample of the information contained in this log.	Both reports relate to the factor edits and are provided to the Contractor by the Depository. See Attachment for sample file.
325	C.3.11.15	How does the Proof Exception report for Ginnie Mae 1 pools get generated? Please provide a sample.	<p>IPMS Reconciliation between Central Registry and Pool Reporting: For all Ginnie Mae I pools, for the record date (last business day of the month) the sum of the par amount of open positions (Book Entry plus Physical Certificates) on the Central Registry is compared against the original aggregate amount of all active Ginnie Mae I pools on Pool Reporting. Fed reconciliation: Daily the Fed transmits a Ginnie Mae position file via NDM to the contractor and an automated process is in place whereby the Fed positions are compared to the Fed's position on Ginnie Mae's Central Registry.</p> <p>Ginnie Mae I Payment Reconciliation: The Fed transmits via NDM a "Debit File" that reflects the expected P&amp;I for each Ginnie Mae I pool for which the Fed has a position. This file is compared to the P&amp;I payment calculations generated from Ginnie Mae's GMBS system.</p>

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No.	Section	Question	Response
326	C.3.11.15	Is the Fed balancing that is completed at the position level completed 2-way?	Yes.
327	C.3.11.15	Is the Payment cash balancing pre and post payment date balancing?	Yes.
328	c.3.12	Is an actual physical platinum trust agreement issued and signed off by Ginnie Mae?	A Principal Only bond is issued in physical form.
329	c.3.12	For platinum securities, what is the Ginnie Mae system that creates the prospectus? Please describe the process for creating the prospectus.	Depositors submit the collateral list via the Platinum Upload page (Ginnie Mae Website - Multiclass e-Access). The data is sent to the mainframe (IPMS) for processing. Each night a scheduled 'connect direct job' is run and looks at the Pool Reporting System for new Platinum issuance. In the morning data - pool and collateral - is retrieved from the mainframe and is used to populate the Platinum prospectus.
330	C.3.12	Which Ginnie Mae system calculates the portion of the principal only and related interest only portion for the Platinum trustee?	IPMS/Pool Reporting System
331	C.3.12	Does the GMBS have a separate record for a platinum security for the principal only portion? In whose name is it registered?	Yes. The Ginnie Mae Trustee that issued the Platinum Security.
332	C.3.12	What system calculates the weighted average maturity (WAM), weighted average loan age (WALA) for a platinum security?	Pool Reporting System, which is a subsystem of IPMS.
333	C.3.12	What Ginnie Mae system verifies that monthly P&I payments are made to FRBNY?	The General Mortgage Backed Securities (GMBS) which is a subsystem of IPMS.

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No.	Section	Question	Response
334	C.3.12	What is the system or database that Ginnie Mae uses to maintain information on outstanding platinum?	The Pool Reporting System, which is a subsystem of IPMS.
335	C.3.12	What is the system that is used to calculate the amount of deferred Platinum guarantee fees needed to cover the servicing costs on the Platinum securities?	The Platinum calculator is used to calculate the upfront guaranty fee.
336	C.3.12	Is the PO Bond currently physical? Can it be book entry?	The PO Bond is currently physical. It could also be book entry.
337	C.3.12	What happens to the interest side of the \$5000?	Interest is paid to the Ginnie Mae Platinum Trustee.
338	C.3.12	What is the Ginnie Mae system used to create Offering Circular and what is the process?	REMIC Offering circulars in .pdf format are emailed to the Contractor by the printers appointed by the REMIC Trustees. The contractor then loads the Offering Circulars to Ginnie Mae's website - Multiclass e-Access.
339	C.3.12	Which Ginnie Mae system calculates the interest on the PO Bond?	The Pool Reporting System, which is a subsystem of IPMS.
340	C.3.12	Does the CRS have a separate record for the PO security and in whose name is it?	Yes, they do. The name of the Ginnie Mae Pool Processing Agent.
341	C.3.12	What system calculates WAM and WALA?	The Pool Reporting System, which is a subsystem of IPMS.
342	C.3.12b	Please confirm that purchase of CUSIP numbers from Standard and Poor's is subject to receipt of payment for same from Issuer.	No. Contractor to include the cost of CUSIP Numbers in their prices for issuing securities.

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No.	Section	Question	Response
343	C.3.13	Is required tax reporting for payments made to investors or is the platinum pool a trust (e.g., grantor trust)? Appears to require tracking whether platinum pool is assigned to a REMIC trust - how is that handled currently? Need further information/clarification on the process for deferred guaranty fees.	As the Ginnie Mae Platinum Trustee the Contractor is responsible for all IRS Tax Reporting. Yes, tracking is required.
344	C.3.13	What is the Ginnie Mae system used to track the underlying certificates for the platinum securities and calculate the factors?	GMBS tracks certificates and IPMS/ Pool Reporting calculates the factors.
345	C.3.13	How does the PPA/CPTA get notified about a transfer decision?	Platinum transfers are only done if a Trustee goes out of business. In this instance, Ginnie Mae would notify the PPA/CPTA.
346	C.3.13	What Ginnie Mae system performs the payment for platinum pools to FRBNY?	IPMS/ Pool Reporting and GMBS
347	C.3.13	Does the Fed perform similar processes on the pool side as on the REMIC side (i.e. load file to their system, create error report, create a debit file? Please provide a credit file on the MBS.	Yes. Same of credit file is attached.
348	C.3.13	What system is used to track the certificates underlying the Platins and calculate the Platinum pool factors?	IPMS/ Pool Reporting and GMBS
349	C.3.13	What system automatically verifies monthly P&I payments made to the Fed?	IPMS/GMBS
350	C.3.13	Please clarify how deferred guarantee fees will ultimately be paid.	Fees are collected upfront. From an Accounting standpoint, Platinum guaranty fee income is amortized over the life of the Platinum security.
351	C.3.14	This section identifies the minimum services that are to be performed. Please provide the complete list of services to be performed.	The listed services are the primary services that are required to be performed by the Contractor.

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No.	Section	Question	Response
352	C.3.16	Within the RFP, there is no reference in Section L to describe where we should address the SAS 70 Audit; will you consider adding additional pages?	The control objectives for the SAS70 will be set through discussion between Ginnie Mae, HUD IG, and the contractor.
353	C.3.09.02f	Sections C3.09.02(f), the RFP states that monthly reporting must be completed no later than the 3rd business day following record date. In section C3.08.01(e), the RFP indicates that monthly reporting must be completed no later than the 2nd business day following the record date. Please confirm which timeframe is correct.	The second business day of the month is the first RPB reporting deadline. Final RPBs must be submitted NLT the 5th business day of each month. Issuers typically elect the last day of the month as their cutoff date, however, they do retain the right to select any day from the 25th through the first of the next month as their cutoff date.
354	L.12.1	The sections says that the Offeror shall complete the chart in Section H-7 summarizing the Offeror's experience; however, the only chart in H-7 is for Key Personnel. Is there a chart to demonstrate the Offeror's experience?	Refer to Section L, Technical Proposal; Evaluation factor 1 (2)(b)
355	L.12.2	Within the RFP, there is no reference in Section L to describe where we should address the Offeror's ability to recruit, train, and provide adequate staff resources; will you consider adding additional pages?	Adequate space currently exists for each Offeror to briefly describe such plans.
356	L.13.2	What is the significance of the two dates, "June 3" and "June 17"?	Excluded due to time expiration.
357	Page 2 (1)	Amendment A0003 included a document labeled "(PROPOSED) Portal Hardware & Software". Pages 6 of 10 through 10 of 10 contain no text. Is there information that should have been included in these pages?	No, it is a formatting conversion error.

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No.	Section	Question	Response
358	1.7 (c)	<p>This section sets the total duration of this contract at "5 years, 9 months", including any extensions. At the Pre-Proposal conference, the Contracting Officer stated that the original contract term was 5 years and 5 months (before any extensions). Now that the Transition-In period has been extended from 5 months to 6 months, we assume that the original contract term will be 5 years and 6 months. Is our assumption correct? What will the total duration of the contract be, including any extensions granted under Section 1.7?</p>	<p>The total duration of the contract is 5 years. If FAR 52.217-8 needs to be exercised, then the contract can be extended a maximum of 6 months.</p>