APPENDIX E: FUNDS MANAGEMENT

SCENARIO 4.1.1: Create and Post Budgets

Ref #	Scenario	Expected Results	Actual Results	Fit/ Partial /Gap	Severity Level (H, M, L, N/A)
4.1.1	➢ Establish budget amounts by uploading data from an Excel spreadsheet. • Create a two-year appropriation for all budget levels from the appropriation level through sub-assignments (following the same budget structure identified at the beginning of this section). *(D-17, D-22, D-24, D-25, D-27, D-31, D-33, D-35, D-50, D-54, D-277, D-294, D-295, D-296)*	**Script Execution** ▪ Verify that the budgetary data is in the system. **Budget Impact** ▪ Budget strips and amounts are updated appropriately. **SGL Impact** ▪ See section P.1.2.	**Script Execution** 4.1.1-A ▪ The Budgetary data is in the system ▪ See Gap Deliverable for details around the Partial Gap **Budgetary Impact** 4.1.1-B ▪ Budget strips and amounts are updated appropriately. **SGL Impact** 4.1.1.-C See section P.1.2.	Partial	M

SCENARIO 4.1.1: Create and Post Budgets (continued)

Reference #	Actual Results	Screen Print
4.1.1	4.1.1-A ▪ The Budgetary data is in the system See Gap Deliverable for details around the Partial Gap	Flat File Upload Process **Import Commitment Control Budget Journals** Run Control ID: IMPORT_TWO_YR_AUTH Report Manager Process Monitor Run Process Request Parameters *Character Set: ISO_8859-1 Journal ID Mask: Add Delete View Attached File: budget_file_2_year Process Success Process List Server List View Process Request For User ID: VP1 Type: Last: 15 Minutes Refresh Server: Name: KK_JRNL_IMP Instance: to Run Status: Distribution Status Save On Refresh Process List Customize | Find | View All | First 1 of 1 Last Select | Instance | Seq. | Process Type | Process Name | User | Run Date/Time | Run Status | Distribution Status | Details 6837 | Application Engine | KK_JRNL_IMP | VP1 | 08/09/2005 8:41:51PM EDT | Success | Posted | Details Process Details Flat File Journal Import (GL_JRNL_IMP) 2005-08-09 20.42.06.000000 ---------- Processing file budget_file_2_year ... Processing Business unit: HUD01 Ledger Group: APPROP Processing Business unit: HUD01 Ledger Group: APPORTNMT Processing Business unit: HUD01 Ledger Group: ALLOTMENT Processing Business unit: HUD01 Ledger Group: SUBALLOTMT Processing Business unit: HUD01 Ledger Group: ASSIGNMENT Processing Business unit: HUD01 Ledger Group: SUBASSIGN1 Process completed successfully with 6 journals imported. Imported these journals (Unit, Journal ID, Date) : KK000000AA KK000000AB KK000000AC KK000000AD KK000000AE KK000000AF

Reference #	Actual Results	Screen Print
		Budgets Posted **Search Results** View All — First 1-6 of 6 Last

Business Unit	Journal ID	Journal Date	Ledger Group	Budget Header Status	Description
HUD01	KK000000AA	10/01/2004	APPROP	Posted	BUDGET UPLOAD
HUD01	KK000000AB	10/01/2004	APPORTNMT	Posted	BUDGET UPLOAD
HUD01	KK000000AC	10/01/2004	ALLOTMENT	Posted	BUDGET UPLOAD
HUD01	KK000000AD	10/01/2004	SUBALLOTMT	Posted	BUDGET UPLOAD
HUD01	KK000000AE	10/01/2004	ASSIGNMENT	Posted	BUDGET UPLOAD
HUD01	KK000000AF	10/01/2004	SUBASSIGN1	Posted	BUDGET UPLOAD

	Budgetary Impact 4.1.1-B Budget strips and amounts are updated appropriately.	Appropriation **Commitment Control Budget Details** Business Unit: HUD01 · Ledger Group: APPROP · Fund Code: 05WC · Line Item: DR · Bud Ref: B2005 · Budget Period: 2005 **Ledger Amounts** Budget: 100,000,000.00 USD Expense: 0.00 USD Encumbrance: 0.00 USD Pre-Encumbrance: 0.00 USD Associate Revenue: 0.00 USD Attributes · Parent / Children · Associated Budgets · Display Chart **Available Budget** Without Tolerance: 100,000,000.00 USD Percent: (100%) Forecasts With Tolerance: 100,000,000.00 USD Percent: (100%) **Budget Exceptions** Exception Errors: 0 Exception Warnings: 0 Budget Exceptions Apportionment

Commitment Control Budget Details

Business Unit	Ledger Group	Fund Code	Line Item	Bud Ref	Budget Period
HUD01	APPORTNMT	05WC	DR	B2005	2005

Ledger Amounts

Budget:	100,000,000.00	USD
Expense:	0.00	USD
Encumbrance:	0.00	USD
Pre-Encumbrance:	0.00	USD
Associate Revenue:	0.00	USD

Attributes
Parent / Children
Associated Budgets
Display Chart

Available Budget

Without Tolerance:	100,000,000.00	USD	Percent:	(100%)	Forecasts
With Tolerance:	100,000,000.00	USD	Percent:	(100%)	

Budget Exceptions

Exception Errors: 0 Exception Warnings: 0 Budget Exceptions

Allotment

Commitment Control Budget Details

Business Unit	Ledger Group	Account	Fund Code	Line Item	Bud Ref	Division	Budget Period
HUD01	ALLOTMENT		05WC	DR	B2005	CFO	2005

Ledger Amounts

Budget:	100,000,000.00	USD
Expense:	0.00	USD
Encumbrance:	0.00	USD
Pre-Encumbrance:	0.00	USD
Associate Revenue:	0.00	USD

Attributes
Parent / Children
Associated Budgets
Display Chart

Available Budget

Without Tolerance:	100,000,000.00	USD	Percent:	(100%)	Forecasts
With Tolerance:	100,000,000.00	USD	Percent:	(100%)	

Budget Exceptions

Exception Errors: 0 Exception Warnings: 0 Budget Exceptions

Sub-Allotment

Commitment Control Budget Details

Business Unit	Ledger Group	Account	Fund Code	Line Item	Bud Ref	Prog Class	Division	Budget Period
HUD01	SUBALLOTMT		05WC	DR	B2005	2300	CFO	2005

Ledger Amounts

Budget:	50,000,000.00	USD	Attributes / Parent / Children / Associated Budgets / Display Chart
Expense:	0.00	USD	
Encumbrance:	0.00	USD	
Pre-Encumbrance:	0.00	USD	
Associate Revenue:	0.00	USD	

Available Budget

Without Tolerance:	50,000,000.00	USD	**Percent:**	(100%)	Forecasts
With Tolerance:	50,000,000.00	USD	**Percent:**	(100%)	

Budget Exceptions

Exception Errors: 0 **Exception Warnings:** 0 Budget Exceptions

Commitment Control Budget Details

Business Unit	Ledger Group	Account	Fund Code	Line Item	Bud Ref	Prog Class	Division	Budget Period
HUD01	SUBALLOTMT		05WC	DR	B2005	2500	CFO	2005

Ledger Amounts

Budget:	50,000,000.00	USD	Attributes / Parent / Children / Associated Budgets / Display Chart
Expense:	0.00	USD	
Encumbrance:	0.00	USD	
Pre-Encumbrance:	0.00	USD	
Associate Revenue:	0.00	USD	

Available Budget

Without Tolerance:	50,000,000.00	USD	**Percent:**	(100%)	Forecasts
With Tolerance:	50,000,000.00	USD	**Percent:**	(100%)	

Budget Exceptions

Exception Errors: 0 **Exception Warnings:** 0 Budget Exceptions

Assignment

Commitment Control Budget Details

Business Unit	Ledger Group	Account	Fund Code	Line Item	Bud Ref	Prog Class	Prog Code	Division	Budget Period
HUD01	ASSIGNMENT		05WC	DR	B2005	2300	2300	CFO	2005

Ledger Amounts

Budget:	50,000,000.00	USD	Attributes / Parent / Children / Associated Budgets / Display Chart
Expense:	0.00	USD	
Encumbrance:	0.00	USD	
Pre-Encumbrance:	0.00	USD	
Associate Revenue:	0.00	USD	

Available Budget

Without Tolerance:	50,000,000.00	USD	**Percent:**	(100%)	Forecasts
With Tolerance:	50,000,000.00	USD	**Percent:**	(100%)	

Budget Exceptions

Exception Errors: 0 **Exception Warnings:** 0 Budget Exceptions

Business Unit	Ledger Group	Account	Fund Code	Line Item	Bud Ref	Prog Class	Prog Code	Division	Budget Period
HUD01	ASSIGNMENT		05WC	DR	B2005	2500	2500	CFO	2005

Ledger Amounts

Budget:	50,000,000.00	USD	Attributes / Parent / Children / Associated Budgets / Display Chart
Expense:	0.00	USD	
Encumbrance:	0.00	USD	
Pre-Encumbrance:	0.00	USD	
Associate Revenue:	0.00	USD	

Available Budget

Without Tolerance:	50,000,000.00	USD	**Percent:**	(100%)	Forecasts
With Tolerance:	50,000,000.00	USD	**Percent:**	(100%)	

Budget Exceptions

Exception Errors: 0 **Exception Warnings:** 0 Budget Exceptions

Sub-Assignment 1

Commitment Control Budget Details

Business Unit	Ledger Group	Account	Fund Code	Line Item	Bud Ref	Prog Class	Prog Code	Org Code	Division	Budget Object Class	Budget Period
HUD01	SUBASSIGN1		05WC	DR	B2005	2300	2300	FYP	CFO	2300	2005

Ledger Amounts

Budget:	50,000,000.00	USD
Expense:	0.00	USD
Encumbrance:	0.00	USD
Pre-Encumbrance:	0.00	USD
Associate Revenue:	0.00	USD

Attributes
Parent / Children
Associated Budgets
Display Chart

Available Budget

Without Tolerance:	50,000,000.00	USD	**Percent:**	(100%)	Forecasts
With Tolerance:	50,000,000.00	USD	**Percent:**	(100%)	

Budget Exceptions

Exception Errors: 0 **Exception Warnings:** 0 Budget Exceptions

Commitment Control Budget Details

Business Unit	Ledger Group	Account	Fund Code	Line Item	Bud Ref	Prog Class	Prog Code	Org Code	Division	Budget Object Class	Budget Period
HUD01	SUBASSIGN1		05WC	DR	B2005	2500	2500	FYP	CFO	2500	2005

Ledger Amounts

Budget:	50,000,000.00	USD
Expense:	0.00	USD
Encumbrance:	0.00	USD
Pre-Encumbrance:	0.00	USD
Associate Revenue:	0.00	USD

Attributes
Parent / Children
Associated Budgets
Display Chart

Available Budget

Without Tolerance:	50,000,000.00	USD	**Percent:**	(100%)	Forecasts
With Tolerance:	50,000,000.00	USD	**Percent:**	(100%)	

Budget Exceptions

Exception Errors: 0 **Exception Warnings:** 0 Budget Exceptions

SGL Impact
4.1.4-C
See section P.1.2.

Header | Lines | Totals | Errors | Approval

Unit: HUD01 Journal ID: 0000000336 Date: 10/01/2004 *Process: Edit Journal Process

Template List Search Criteria Errors Only Line: 10

Lines

Line	SpeedType	Account	Fund	Line Item	Bud Ref	Prog Class	Prog Code	Org Code	Division	BOC	Trans Partner	Trading Ptnr	Project	Debit Amount	Credit Amount
1		4450	05WC	DR	B2005								(None)		100,000,000.00
2		3101	05WC	DR	B2005								(None)		100,000,000.00
3		4119	05WC	DR	B2005								(None)	100,000,000.00	
4		1010	05WC	DR	B2005								(None)	100,000,000.00	
5		4510	05WC	DR	B2005								(None)		100,000,000.00
6		4450	05WC	DR	B2005								(None)	100,000,000.00	
7		4540	05WC	DR	B2005				CFO				(None)		100,000,000.00
8		4510	05WC	DR	B2005				CFO				(None)	100,000,000.00	
9		4560	05WC	DR	B2005	2300			CFO				(None)		50,000,000.00
10		4560	05WC	DR	B2005	2500			CFO				(None)		50,000,000.00

Totals — Customize | Find | View All | First 1 of 1 Last

Unit	Total Lines	Total Debits	Total Credits	Journal Status	Budget Status
HUD01	16	600,000,000.00	600,000,000.00	P	V

Header | Lines | Totals | Errors | Approval

Unit: HUD01 Journal ID: 0000000337 Date: 10/01/2004 *Process: Edit Journal Process

Template List Search Criteria Errors Only Line: 10

Lines

Line	SpeedType	Account	Fund	Line Item	Bud Ref	Prog Class	Prog Code	Org Code	Division	BOC	Trans Partner	Trading Ptnr	Project	Debit Amount	Credit Amount
1		4619	05WC	DR	B2005	2300	2300	FYP	CFO	2300			(None)		50,000,000.00
2		4619	05WC	DR	B2005	2500	2500	FYP	CFO	2500			(None)		50,000,000.00
3		4610	05WC	DR	B2005	2300	2300	FYP	CFO	2300			(None)	50,000,000.00	
4		4610	05WC	DR	B2005	2500	2500	FYP	CFO	2500			(None)	50,000,000.00	

Totals — Customize | Find | View All | First 1 of 1 Last

Unit	Total Lines	Total Debits	Total Credits	Journal Status	Budget Status
HUD01	4	100,000,000.00	100,000,000.00	P	V

SCENARIO 4.1: CREATE AND POST BUDGETS

Ref #	Scenario	Expected Results	Actual Results	Fit/ Partial/ Gap	Severity Level (S, M, C, N/A)
4.1.2	➢ Demonstrate the capability to electronically prepare and transmit the SF-132 (Apportionment and Reapportionment Schedules and associated financial information required by OMB).	**Script Execution** ▪ Verify that the SF-132 was generated and the amounts are correct. **Budgetary Impact** ▪ Not applicable **SGL Impact** ▪ Not applicable	**Script Execution** ▪ 4.1.2. A.: The SF-132 is generated and the amounts are verified via the drill down process. **Budgetary Impact** ▪ Not applicable **SGL Impact** ▪ Not applicable	Fit	N/A

SCENARIO 4.1: CREATE AND POST BUDGETS (continued)

Reference #	Actual Results	Screen Print
4.1.2	4.1.2. A.: The SF-132 is generated and the amounts are verified via the drill down process.	***Generate the SF-132 nVision report, specifying 09/30/2004 date. It is an excel format can be sent via email.***

2004 [= fiscal year]
01 [= program number. Use the default value of "01" unless OMB tells you to use other numbers]

SF 132 APPORTIONMENT AND REAPPORTIONMENT SCHEDULE

	AGENCY	APPROPRIATION OR FUND TITLE AND SYMBOL		
	HUD Offices			
	BUREAU		Error	
	Error			
	DESCRIPTION	Amount on Latest SF 132	Agency Request	Action by OMB
	PROGRAM LEVEL			
	Guaranteed loan levels:			
	Current year			
	Unused from prior years			
	APPLICATION			
	Apportioned:			
	Category A:			
	First quarter			
	Second quarter			
	Third quarter			
	Fourth quarter			
	Category B:			
	Guaranteed loan program			
	BUDGETARY RESOURCES			
	Budget authority:			
1A	Appropriation		100,000,000	
1B	Borrowing authority			
1C	Contract authority			
1D	Net transfers (+ or -)			
1E	Other			
	Unobligated balance:			
2A	Brought forward October 1			
2B	Net transfers, actual (+ or -)			
2C	Anticipated transfers (+ or -)			
	Spending authority from offsetting collections (gross):			
	Earned			
3A1	Collected		20,610	
3A2	Receivable from Federal sources		11,990	
	Change in unfilled customers' orders (+ or -)			
3B1	Advance received		700,000	
3B2	Without advance from Federal sources		(32,600)	
3C	Anticipated for rest of year, without advance		(700,000)	
	Transfers from trust funds:			

Reference #	Actual Results	Screen Print

3D1	Collected		
3D2	Anticipated		
	Recoveries of prior year obligations:		
4A	Actual		
4B	Anticipated		
5	**Temporarily not available pursuant to P.L. (-)**		
	Permanently not available:		
6A	Cancellations of expired and no-year accounts (-)		
6B	Enacted rescissions (-)		
6C	Capital transfers and redemption of debt (-)		
6D	Other authority withdrawn (-)		
6E	Pursuant to Public Law (-)		
6F	Anticipated rest of year (-)		
7	**Total budgetary resources**		100,000,000
	APPLICATION OF BUDGETARY RESOURCES		
	Apportioned		
	Category A: Memorandum Obligations:		
8A1	First quarter		25,730,360
8A2	Second quarter		25,000,000
8A3	Third quarter		25,000,000
8A4	Fourth quarter		25,000,000
	Category B:		
8B1	(1)		
8B2	(2)		
8B3	(3)		
8B4	(4)		
8B5	(5)		
9	**Withheld pending rescission**		
10	**Deferred**		
11	**Unapportioned balance of revolving fund**		
12	**Total budgetary resources**		OOPS!..ERROR...
	Submitted _____	Apportioned _____	

Perform a drill down by clicking into a cell, and selecting nVision, Drill Down, "JrnlLayout_HIFMIP.xnv" drill down template. In this screen shot, drill down is performed for line 1B.

Reference #	Actual Results	Screen Print

Unit	Ledger	Account	Journal	Line #	Ref	Journal Date	Year	Period	Fund	Line #	Acct	Org Code	Prog Class	Prog Code	Division	BOC	Trans Partner	Trading Ptnr	Department	Monetary Amount	Journal Line Description
HUD01	LOCAL	4119	BUD0000002	10	P.1.2.A	2003-10-01	2004	1	0183	10	4119									100,000,000.00	
HUD01	LOCAL	4119	BUDJOURNAL	10	P.1.2.A	2003-10-01	2004	1	0183	10	4119									100,000,000.00	Other Appropriations Realized
HUD01	LOCAL	4119	BUDJOURN2	10	P.1.2.A	2003-10-01	2004	1	0183	10	4119									(100,000,000.00)	

Perform a drill down by clicking into a cell, and selecting nVision, Drill Down, "JrnlLayout_HIFMIP.xnv" drill down template. In this screen shot, drill down is performed for line 3A1.

Unit	Ledger	Account	Journal	Line #	Ref	Journal Date	Year	Period	Fund	Line #	Acct	Org Code	Class	Prog Code	Division	BOC	Trans Partner	Trading Ptnr	Department	Monetary Amount	Journal Line Description
HUD01	LOCAL	4252	0000000062	2		2003-10-01	2004	1	0183	2	4252	G	2500	2500	OIG		X		G	(100.00)	AR Direct Cash Journal
HUD01	LOCAL	4252	AR00000092	2		2003-10-15	2004	1	0183	2	4252	G	2500	2500	OIG		X		G	600.00	AR Maintenance
HUD01	LOCAL	4252	AR00000093	2		2003-10-15	2004	1	0183	2	4252	G	2500	2500	OIG		X		G	(150.00)	OA-7
HUD01	LOCAL	4252	AR00000093	6		2003-10-15	2004	1	0183	6	4252	G	2500	2500	OIG		X		G	(300.00)	0000000003
HUD01	LOCAL	4252	AR00000093	7		2003-10-15	2004	1	0183	7	4252	G	2500	2500	OIG		X		G	(300.00)	0000000003
HUD01	LOCAL	4252	AR00000093	8		2003-10-15	2004	1	0183	8	4252	G	2500	2500	OIG		X		G	(300.00)	0000000003
HUD01	LOCAL	4252	AR00000109	2		2003-10-15	2004	1	0183	2	4252	G	2500	2500	OIG		X		G	(1,000.00)	5.6.1
HUD01	LOCAL	4252	AR00000124	2		2003-10-15	2004	1	0183	2	4252	G	2500	2500	OIG		X		G	(1,000.00)	AR Maintenance
HUD01	LOCAL	4252	AR00000125	2		2003-10-15	2004	1	0183	2	4252	G	2500	2500	OIG		X		G	1,000.00	5.6.1
HUD01	LOCAL	4252	AR00000135	2		2003-10-15	2004	1	0183	2	4252	G	2500	2500	OIG		X		G	3,000.00	SCENARIO 5.4.1
HUD01	LOCAL	4252	AR00000135	4		2003-10-15	2004	1	0183	4	4252	G	2500	2500	OIG		X		G	3,000.00	OA-8
HUD01	LOCAL	4252	AR00000174	2		2003-10-15	2004	1	0183	2	4252	G	2500	2500	OIG		X		G	60.00	5.6.1.2
HUD01	LOCAL	4252	AR00000180	2		2003-10-15	2004	1	0183	2	4252	G	2500	2500	OIG		X		G	10,000.00	5.5.1
HUD01	LOCAL	4252	0000000055	2		2003-11-01	2004	2	0183	2	4252	G	2500	2500	OIG		X		G	100.00	AR Direct Cash Journal

Perform a drill down by clicking into a cell, and selecting nVision, Drill Down, "JrnlLayout_HIFMIP.xnv" drill down template. In this screen shot, drill down is performed for line 3A2.

Unit	Ledger	Account	Journal	Line #	Ref	Journal Date	Year	Period	Fund	Line #	Acct	Org Code	Prog Class	Prog Code	Division	BOC	Trans Partner	Trading Ptnr	Department	Monetary Amount	Journal Line Description
HUD01	LOCAL	4251	AR00000013	2		2003-10-01	2004	1	0183	2	4251	G	2500	2500	OIG		X		G	500.00	AR Billing
HUD01	LOCAL	4251	AR00000030	2		2003-10-01	2004	1	0183	2	4251	G	2500	2500	OIG		X		G	200.00	AR Billing
HUD01	LOCAL	4251	AR00000039	2		2003-10-01	2004	1	0183	2	4251	G	2500	2500	OIG		X		G	500.00	AR Billing
HUD01	LOCAL	4251	AR00000045	2		2003-10-01	2004	1	0183	2	4251	G	2500	2500	OIG		X		G	200.00	AR Billing
HUD01	LOCAL	4251	0000000062	1		2003-10-01	2004	1	0183	1	4251	G	2500	2500	OIG		X		G	100.00	AR Direct Cash Journal
HUD01	LOCAL	4251	AR00000091	2		2003-10-15	2004	1	0183	2	4251	G	2500	2500	OIG		X		G	1,000.00	AR Billing
HUD01	LOCAL	4251	AR00000092	1		2003-10-15	2004	1	0183	1	4251	G	2500	2500	OIG		X		G	(600.00)	AR Maintenance
HUD01	LOCAL	4251	AR00000093	1		2003-10-15	2004	1	0183	1	4251	G	2500	2500	OIG		X		G	150.00	OA-7
HUD01	LOCAL	4251	AR00000093	3		2003-10-15	2004	1	0183	3	4251	G	2500	2500	OIG		X		G	300.00	0000000003
HUD01	LOCAL	4251	AR00000093	4		2003-10-15	2004	1	0183	4	4251	G	2500	2500	OIG		X		G	300.00	0000000003
HUD01	LOCAL	4251	AR00000093	5		2003-10-15	2004	1	0183	5	4251	G	2500	2500	OIG		X		G	300.00	0000000003
HUD01	LOCAL	4251	AR00000095	2		2003-10-01	2004	1	0183	2	4251	G	2500	2500	OIG		X		G	100.00	AR Billing
HUD01	LOCAL	4251	AR00000109	1		2003-10-15	2004	1	0183	1	4251	G	2500	2500	OIG		X		G	1,000.00	5.6.1
HUD01	LOCAL	4251	AR00000124	1		2003-10-15	2004	1	0183	1	4251	G	2500	2500	OIG		X		G	1,000.00	AR Maintenance
HUD01	LOCAL	4251	AR00000125	1		2003-10-15	2004	1	0183	1	4251	G	2500	2500	OIG		X		G	(1,000.00)	5.6.1
HUD01	LOCAL	4251	AR00000131	2		2003-10-15	2004	1	0183	2	4251	G	2500	2500	OIG		X		G	3,000.00	AR Billing
HUD01	LOCAL	4251	AR00000135	1		2003-10-15	2004	1	0183	1	4251	G	2500	2500	OIG		X		G	(3,000.00)	SCENARIO 5.4.1
HUD01	LOCAL	4251	AR00000135	3		2003-10-15	2004	1	0183	3	4251	G	2500	2500	OIG		X		G	(3,000.00)	OA-8
HUD01	LOCAL	4251	AR00000157	2		2003-10-01	2004	1	0183	2	4251	G	2500	2500	OIG		X		G	10,000.00	AR Billing
HUD01	LOCAL	4251	AR00000172	2		2003-10-01	2004	1	0183	2	4251	G	2500	2500	OIG		X		G	1,000.00	AR Billing
HUD01	LOCAL	4251	AR00000174	1		2003-10-15	2004	1	0183	1	4251	G	2500	2500	OIG		X		G	(60.00)	5.6.1.2
HUD01	LOCAL	4251	AR00000178	2		2003-10-15	2004	1	0183	2	4251	G	2500	2500	OIG		X		G	10,000.00	AR Billing
HUD01	LOCAL	4251	AR00000180	1		2003-10-15	2004	1	0183	1	4251	G	2500	2500	OIG		X		G	(10,000.00)	5.5.1
HUD01	LOCAL	4251	AR00000182	2		2003-10-01	2004	1	0183	2	4251	G	2500	2500	OIG		X		G	100.00	AR Billing
HUD01	LOCAL	4251	0000000055	1		2003-11-01	2004	2	0183	1	4251	G	2500	2500	OIG		X		G	(100.00)	AR Direct Cash Journal

Perform a drill down by clicking into a cell, and selecting nVision, Drill Down, "JrnlLayout_HIFMIP.xnv" drill down template. In this screen shot, drill down is performed for line 3B1.

Unit	Ledger	Account	Journal	Line #	Ref	Journal Date	Year	Period	Fund	Line #	Acct	Org Code	Prog Class	Prog Code	Division	BOC	Trans Partner	Trading Ptnr	Department	Monetary Amount	Journal Line Description
HUD01	LOCAL	4222	0000000147	5		2003-11-01	2004	2	0183	5	4222	G	2500	2500	OIG		X		G	100,000.00	AR Direct Cash Journal
HUD01	LOCAL	4222	0000000147	6		2003-11-01	2004	2	0183	6	4222	G	2500	2500	OIG		X		G	20,000.00	AR Direct Cash Journal
HUD01	LOCAL	4222	0000000147	7		2003-11-01	2004	2	0183	7	4222	G	2500	2500	OIG		X		G	30,000.00	AR Direct Cash Journal
HUD01	LOCAL	4222	0000000147	8		2003-11-01	2004	2	0183	8	4222	G	2500	2500	OIG		X		G	550,000.00	AR Direct Cash Journal

Perform a drill down by clicking into a cell, and selecting nVision, Drill Down, "JrnlLayout_HIFMIP.xnv" drill down template. In this screen shot, drill down is performed for line 3B2.

Reference #	Actual Results	Screen Print

Unit	Ledger	Account	Journal	Line #	Ref	Journal Date	Year	Period	Fund	Line #	Acct	Org Code	Prog Class	Prog Code	Division	BOC	Trans Partner	Trading Ptnr	Department	Monetary Amount	Journal Line Description
HUD01	LOCAL	4221	AR00000013	1		2003-10-01	2004	1	0189	1	4221	G	2500	2500	OIG		X		G	(500.00)	AR Billing
HUD01	LOCAL	4221	AR00000030	1		2003-10-01	2004	1	0189	1	4221	G	2500	2500	OIG		X		G	(200.00)	AR Billing
HUD01	LOCAL	4221	AR00000039	1		2003-10-01	2004	1	0189	1	4221	G	2500	2500	OIG		X		G	(500.00)	AR Billing
HUD01	LOCAL	4221	AR00000045	1		2003-10-01	2004	1	0189	1	4221	G	2500	2500	OIG		X		G	(200.00)	AR Billing
HUD01	LOCAL	4221	AR00000091	1		2003-10-15	2004	1	0189	1	4221	G	2500	2500	OIG		X		G	(1,000.00)	AR Billing
HUD01	LOCAL	4221	AR00000095	1		2003-10-01	2004	1	0189	1	4221	G	2500	2500	OIG		X		G	(100.00)	AR Billing
HUD01	LOCAL	4221	AR00000131	1		2003-10-15	2004	1	0189	1	4221	G	2500	2500	OIG		X		G	(3,000.00)	AR Billing
HUD01	LOCAL	4221	AR00000157	1		2003-10-01	2004	1	0189	1	4221	G	2500	2500	OIG		X		G	(10,000.00)	AR Billing
HUD01	LOCAL	4221	AR00000172	1		2003-10-01	2004	1	0189	1	4221	G	2500	2500	OIG		X		G	(1,000.00)	AR Billing
HUD01	LOCAL	4221	AR00000178	1		2003-10-15	2004	1	0189	1	4221	G	2500	2500	OIG		X		G	(10,000.00)	AR Billing
HUD01	LOCAL	4221	AR00000182	1		2003-10-01	2004	1	0189	1	4221	G	2500	2500	OIG		X		G	(100.00)	AR Billing

Perform a drill down by clicking into a cell, and selecting nVision, Drill Down, "JrnlLayout_HIFMIP.xnv" drill down template. In this screen shot, drill down is performed for line 3C.

Unit	Ledger	Account	Journal	Line #	Ref	Journal Date	Year	Period	Fund	Line #	Acct	Org Code	Prog Class	Prog Code	Division	BOC	Trans Partner	Trading Ptnr	Department	Monetary Amount	Journal Line Description
HUD01	LOCAL	4210	0000000147	1		2003-11-01	2004	2	0189	1	4210	G	2500	2500	OIG		X		G	(100,000.00)	AR Direct Cash Journal
HUD01	LOCAL	4210	0000000147	2		2003-11-01	2004	2	0189	2	4210	G	2500	2500	OIG		X		G	(20,000.00)	AR Direct Cash Journal
HUD01	LOCAL	4210	0000000147	3		2003-11-01	2004	2	0189	3	4210	G	2500	2500	OIG		X		G	(30,000.00)	AR Direct Cash Journal
HUD01	LOCAL	4210	0000000147	4		2003-11-01	2004	2	0189	4	4210	G	2500	2500	OIG		X		G	(550,000.00)	AR Direct Cash Journal

Allotment Revenue Budget for Fund Code 0189 for account 2000 for 2004Q1 to determine collected revenue per account.

Commitment Control Budget Details

Business Unit	Ledger Group	Account	Fund Code	Line Item	Bud Ref	Division	Budget Period
HUD01	ALLOT_REV	2000	0189	SE	B2004	OIG	2004Q1

Ledger Amounts

Revenue Estimate:	0.00 USD	Attributes
Recognized Revenue:	700,000.00 USD	Parent / Children
Collected Revenue:	700,000.00 USD	Associated Budgets
Uncollected Revenue (Rec-Coll):	0.00 USD	

Display Chart

Available Budget

Without Tolerance:	-700,000.00 USD	**Percent:**	(0%)
With Tolerance:	-700,000.00 USD	**Percent:**	(0%)

Budget Exceptions

Exception Errors: 0 **Exception Warnings:** 0 Budget Exceptions

Allotment Revenue Activity Log for Fund Code 0189 for account 2000 for 2004Q1 to determine collected revenue per account.

Reference #	Actual Results	Screen Print
		:tivity Log edger: ALT_REV_CO udget Activity Lines — Customize | Find | View All | First 1-4 of 4 Last Tran ID / Tran Date / Tran Line / Ref Bdgt? / Account / Fund Code / Line Item / Bud Ref / Division / Budget Period / Year / Period / Foreign Amount / Monetary Amount 0000000735 07/19/2005 1 N 2000 0189 SE B2004 OIG 2004Q1 2004 2 100000.00 USD 100000.00 0000000735 07/19/2005 2 N 2000 0189 SE B2004 OIG 2004Q1 2004 2 20000.00 USD 20000.00 0000000735 07/19/2005 3 N 2000 0189 SE B2004 OIG 2004Q1 2004 2 30000.00 USD 30000.00 0000000735 07/19/2005 4 N 2000 0189 SE B2004 OIG 2004Q1 2004 2 550000.00 USD 550000.00 ***Allotment Revenue Budget for Fund Code 0189 for account 5000 for 2004Q1 to determine collected revenue per account.***

Reference #	Actual Results	Screen Print
		Commitment Control Budget Details Business Unit: HUD01 · Ledger Group: ALLOT_REV · Account: 5000 · Fund Code: 0189 · Line Item: SE · Bud Ref: B2004 · Division: OIG · Budget Period: 2004Q1 **Ledger Amounts** Revenue Estimate: 0.00 USD Recognized Revenue: 34,100.00 USD Collected Revenue: 30,510.00 USD Uncollected Revenue (Rec-Coll): 3,590.00 USD Attributes · Display Chart · Parent / Children · Associated Budgets **Available Budget** Without Tolerance: -34,100.00 USD Percent: (0%) With Tolerance: -34,100.00 USD Percent: (0%) **Budget Exceptions** Exception Errors: 0 Exception Warnings: 0 Budget Exceptions *Allotment Revenue Activity Log for Fund Code 0189 for 2004Q1 for account 5000 to determine collected revenue per account.*

Reference #	Actual Results	Screen Print
		Activity Log Ledger: ALT_REV_CO

Budget Activity Lines — Customize | Find | View All | First 1-15

Tran ID	Tran Date	Tran Line	Ref Bdgt?	Account	Fund Code	Line Item	Bud Ref	Division	Budget Period	Year	Period	Foreign Amount
0000000683	07/14/2005	1	N	5000	0189	SE	B2004	OIG	2004Q1	2004	2	100.00 USD
0000000688	07/15/2005	1	N	5000	0189	SE	B2004	OIG	2004Q1	2004	1	-100.00 USD
0000000693	07/15/2005	1	N	5000	0189	SE	B2004	OIG	2004Q1	2004	1	300.00 USD
0000000695	07/15/2005	1	N	5000	0189	SE	B2004	OIG	2004Q1	2004	1	-300.00 USD
0000000697	07/17/2005	1	N	5000	0189	SE	B2004	OIG	2004Q1	2004	1	300.00 USD
0000000698	07/18/2005	1	N	5000	0189	SE	B2004	OIG	2004Q1	2004	1	-300.00 USD
0000000699	07/18/2005	1	N	5000	0189	SE	B2004	OIG	2004Q1	2004	1	300.00 USD
0000000726	07/18/2005	1	N	5000	0189	SE	B2004	OIG	2004Q1	2004	1	1000.00 USD
0000000734	07/19/2005	1	N	5000	0189	SE	B2004	OIG	2004Q1	2004	1	-1000.00 USD
0000000746	07/19/2005	1	N	5000	0189	SE	B2004	OIG	2004Q1	2004	1	1000.00 USD
0000000756	07/19/2005	1	N	5000	0189	SE	B2004	OIG	2004Q1	2004	1	9000.00 USD
0000000770	07/20/2005	1	N	5000	0189	SE	B2004	OIG	2004Q1	2005	10	150.00 USD
0000000787	07/20/2005	1	N	5000	0189	SE	B2004	OIG	2004Q1	2004	1	60.00 USD
0000000789	07/21/2005	1	N	5000	0189	SE	B2004	OIG	2004Q1	2004	1	10000.00 USD
0000000791	07/21/2005	1	N	5000	0189	SE	B2004	OIG	2004Q1	2004	1	10000.00 USD

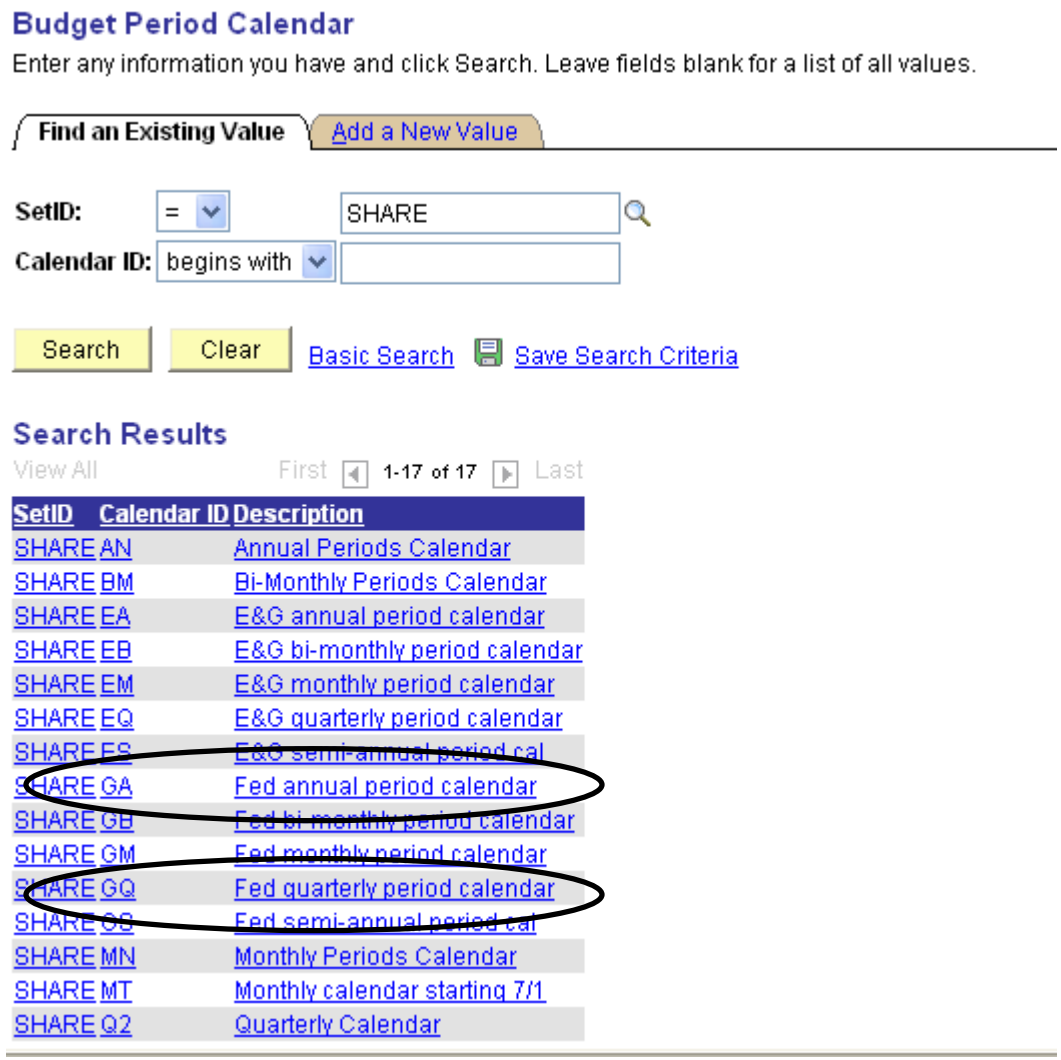
SCENARIO 4.1.3: CREATE AND POST BUDGETS

Ref #	Scenario	Expected Results	Actual Results	Fit/ Partial/ Gap	Severity Level (S, M, C, N/A)
4.1.3	➢ Demonstrate how the apportionment period is controlled (i.e., opened from quarter to quarter, automated versus manual).	**Script Execution** ▪ Verify that the apportionment period was updated. ▪ Verify that in the 2nd quarter, funds left over from the 1st quarter can be spent automatically **Budgetary Impact** ▪ Not applicable **SGL Impact** ▪ Not applicable	**Script Execution** ▪ 4.13. A: Apportionment period was updated. ▪ 4.1.3. B: In the 2nd quarter, funds left over from the 1st quarter can be spent automatically. **Budgetary Impact** ▪ Not applicable **SGL Impact** ▪ Not applicable	Fit	N/A

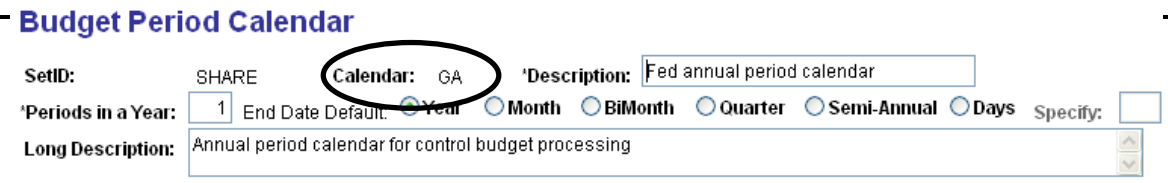
SCENARIO 4.1.3: CREATE AND POST BUDGETS (continued)

Reference #	Actual Results	Screen Print

Reference #	Actual Results	Screen Print
4.1.3	4.13. A: Apportionment period was updated.	***Identify the existing calendar IDs. GA (Federal annual period calendar) and GQ (Federal quarterly period calendar) are utilized for this project.***



Details for calendar ID GA (Federal annual period calendar) calendar.



Reference #	Actual Results	Screen Print
	4.1.3. B: In the 2nd quarter, funds left over from the 1st quarter can be spent automatically.	***Record, edit and budget entry a journal entry in 2004Q2 dated 02/01/2004, greater than the 2004Q2 budgeted amount of zero, but less than the combination of the 2004Q1 and 2004Q4 budgeted amount ($2,500,000).***

Header | Lines | Totals | Errors | Approval

Unit: HUD01 Journal ID: 4.1.3 Date: 02/01/2004 Process: Edit Journal Process

Template List Search Criteria Change Values Inter/IntraUnit Errors Only Line: 10

Lines

Line	Account	Fund	Line Item	Bud Ref	Prog Class	Prog Code	Org Code	Division	BOC	Trans Partner	Trading Ptnr	Project	Amount	Budget Date
1	1010	0189	SE	B2004	1100	1236	GFB	OIG	1236			(None)	2,000.00	02/01/2004
2	6100	0189	SE	B2004	1100	1236	GFB	OIG	1236	F	070797	(None)	-2,000.00	02/01/2004

Lines to add: 1

Totals

Customize | Find | View All | First 1 of 1 Last

Unit	Total Lines	Total Debits	Total Credits	Journal Status	Budget Status
HUD01	2	2,000.00	2,000.00	V	V

SCENARIO 4.1.4: Create and Post Budget

Ref #	Scenario	Expected Results	Actual Results	Fit/ Partial /Gap	Severity Level (L, M, H, N/A)
4.1.4	Using the one-year appropriation established prior to the OCD: ▪ At the end of the Fiscal Year, change a one-year appropriation to a two-year appropriation. (Show carryover as appropriate).	**Script Execution** • Verify that the one year appropriation was converted into a two year appropriation. **Budgetary Impact** • Old budget strips and amounts are transferred to new budget strips`. **SGL Impact** • Show how all GL postings under the old fund are now under the new fund.	See Gap Section in the evaluation report for scenario 4.1.4	Gap	H
	▪ Process transactions in the new year (showing recoveries).	**Script Execution** ▪ Vouchers were processed successfully. **Budgetary Impact** ▪ Obligation amount is decreased. ▪ Expense amount is increased. **SGL Impact** ▪ DR 4801 Undelivered Orders - Obligations, Unpaid ▪ CR 4901 Delivered Orders - Obligations, Paid ▪ DR 6100 Operating Expenses/Program Costs ▪ CR 2110 Accounts Payable ▪ DR 3107 Unexpended Appropriations - Used ▪ CR 5700 Expended Appropriations			
	▪ For one of the budgets created as a no-year fund, change from no-year to single year in the middle of the year. Demonstrate the audit trail for the change to the fund type & what information is captured.	**Script Execution** • Budget definitions have been updated to a single year. **Budgetary Impact** • Not Applicable **SGL Impact** • Not Applicable			

	▪ At the end of the second year, show the appropriation as being expired.	**Script Execution** • Budget attributes indicate status of 'hold' for expired years. **Budgetary Impact** • Not Applicable **SGL Impact** • Not Applicable			
	▪ At the end of the Fiscal Year, change a no-year appropriation to a one-year appropriation.	**Script Execution** • Verify that the one year appropriation was converted into a two year appropriation. **Budgetary Impact** • Old budget strips and amounts are transferred to new budget strips. **SGL Impact** • Show how all GL postings under the old fund are now under the new fund.			
	▪ Process transactions in the New Year.	**Script Execution** • Purchase Orders were processed successfully **Budgetary Impact** • Budget amount is reduced. • Obligation amount is increased. **SGL Impact** • DR 4619 Suballmts Avail – Commt/Oblig • CR 4801 Undelivered Orders –Obligations-Unpaid			

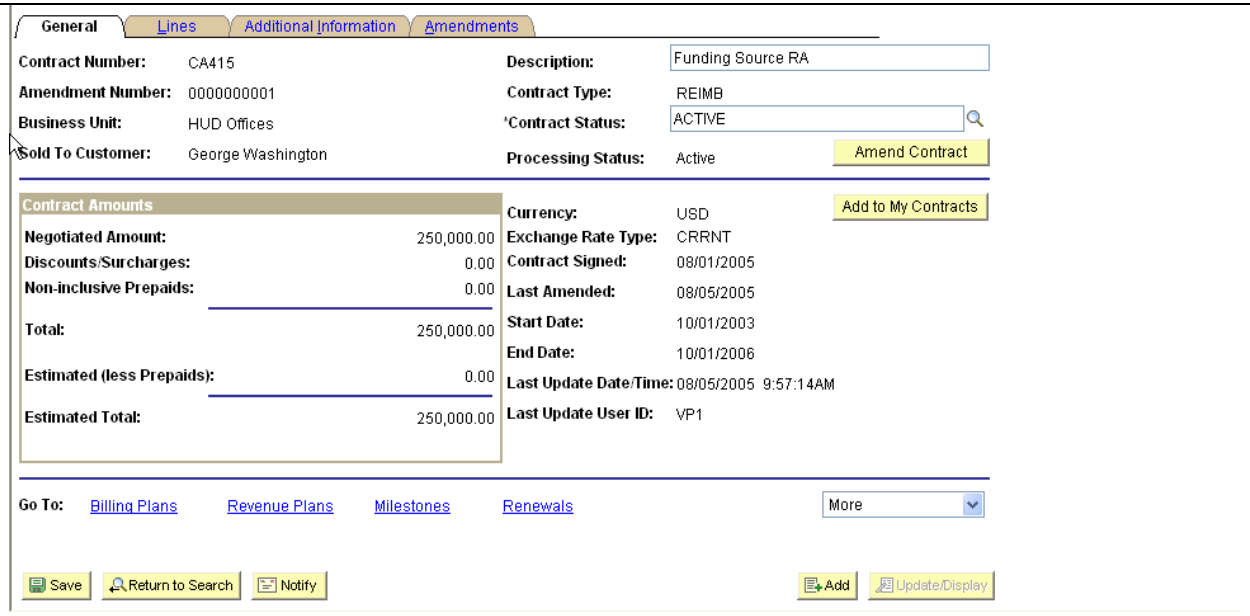
	▪ Show upward and downward spending adjustments. *(D-17, D-22, D-24, D-25, D-27, D-31, D-33, D-35, D-50, D-54, D-277, D-294, D-295, D-296)*	**Script Execution** ▪ Vouchers were processed successfully. **Budgetary Impact** ▪ Obligation amount decreased. ▪ Expense amount increased **SGL Impact** Upward adjustment: ▪ DR 4650 Allotments-Expired Authority ▪ CR 4901 Delivered Orders - Obligations Unpaid ▪ DR 3107 Unexpended Appropriations – Used ▪ CR 5700 Expended Appropriations ▪ DR 6100 Operating Expenses ▪ CR 2110 Accounts Payable Purchase Order ▪ DR 4801 Undelivered Orders – Obligations ▪ CR 4650 Allotments-Expired Authority Delta Amount: ▪ DR 4650 Allotments-Expired Authority ▪ CR 4981 Upward Adjustments of Prior-Year Delivered Orders -Obligations, Unpaid • DR 3107 Unexpended Appropriations - Used • CR 5700 Expended Appropriations • DR 6100 Operating Expenses • CR 2110 Accounts Payable			

SCENARIO 4.1.5: Create and Post Budget

Ref #	Scenario	Expected Results	Actual Results	Fit/ Partial/ Gap	Severity Level (L, M, H, N/A)
4.1.5	➢ Create a project (Working Capital Fund) that will be funded from multiple sources and will last 3 years.	**Script Execution** ▪ Reimbursable Agreement was created and contains specified information. ▪ Budget Journal entry is added. **Budgetary Impact** ▪ Project budget is created for $ 500,000 **SGL Impact** ▪ Debit 4450 Unapportioned Authority Available ▪ Credit 4590 Apportioned Unavailable ▪ Debit 4210 Anticipated Reimbursements and Income ▪ Credit4450 Unapportioned Authority	**Script Execution** ▪ 4.1.5.A: Reimbursable Agreement was created and contains specified information ▪ 4.1.5. B: Budget Journal entry is added. **Budgetary Impact** ▪ 4.1.5.C: Project budget is created for $ 500,000 **SGL Impact** 4.1.5.D: ▪ Debit 4450 Unapportioned Authority Available ▪ Credit 4590 Apportioned Unavailable ▪ Debit 4210 Anticipated Reimbursements and Income ▪ Credit 4450Unapportioned Authority ▪ Debit 4590Apportionments Unavailable ▪ Credit 4619 Suballmts Avail – Commt/Oblig ▪ Debit 4421Unfilled Customer Orders ▪ Credit 4210 Anticipated Reimbursements and Income	Fit	N/A

	▪ Establish a project that will last 3 years.	**Script Execution** • Project is created.	**Script Execution** • 4.1.5.E: Project is created.		
		Budgetary Impact ▪ Reimbursable Budget amount is updated appropriately. **SGL Impact** ▪ Debit 4421Unfilled Customer Orders ▪ Credit 4210 Anticipated Reimbursements and Income ▪ Debit 4590Apportionments Unavailable ▪ Credit 4619 Suballmts Avail – Commt/Oblig	**Budgetary Impact** ▪ Budget amount is updated in step 4.1.5.C **SGL Impact** ▪ GL impact is updated in step 4.1.5.D		
	▪ Link the project to both internal and external funding sources.	**Script Execution** • Project linked to the funding sources **Budgetary Impact** • Not applicable **SGL Impact** • Not applicable	**Script Execution** • 4.1.5.F: Project linked to the funding sources **Budgetary Impact** • Not applicable **SGL Impact** • Not applicable		
	▪ Process obligations and payments against the project.	**Script Execution** ▪ Obligation and Payments are created **Budgetary Impact** ▪ Budget amount is decreased. ▪ Obligation amount is increased**.** ▪ Obligation amount is decreased. ▪ Expense amount is increased.	**Script Execution** ▪ 4.1.5.G: Purchase Order is created ▪ 4.1.5.H: AP Voucher is created **Budgetary Impact** **4.1.5.I:** ▪ Budget amount is decreased. ▪ Obligation amount is increased**.** **4.1.5.J:** ▪ Obligation amount is decreased. ▪ Expense amount is increased.		

		SGL Impact Purchase Order ▪ DR 4619 Suballmts Avail – Commt/Oblig ▪ CR 4801Un Delivered Orders Unpaid AP Voucher ▪ DR 4801 Undelivered Orders - Obligations, Unpaid ▪ CR 4901 Delivered Orders - Obligations, Paid ▪ DR 6100 Operating Materials and Supplies Held for Use ▪ CR 2110 Accounts Payable ▪ DR 3107 Unexpended Appropriations – Used CR 5700 Expended Appropriations	**SGL Impact** 4.1.5.K: Purchase Order ▪ DR 4619 Suballmts Avail – Commt/Oblig ▪ CR 4801Un Delivered Orders Unpaid 4.1.5.L: AP Voucher ▪ DR 4801 Undelivered Orders - Obligations, Unpaid ▪ CR 4901 Delivered Orders - Obligations, Paid ▪ DR 6100 Operating Materials and Supplies Held for Use ▪ CR 2110 Accounts Payable ▪ DR 3107 Unexpended Appropriations – Used ▪ CR 5700 Expended Appropriations		
	▪ Bill project expenses back to funding sources. *(D-27)*	**Script Execution** • Bills are Created **Budgetary Impact** • Revenue Budget Recognized amount is increased. **SGL Impact** • 1310 Accounts Receivable • 5200 Revenue from Services Provided • 4251 Reimbursements and Income Earned - Receivable • 4221 Unfilled Customer Orders without Advance	**Script Execution** • 4.1.5.M: Bills are Created **Budgetary Impact** **4.1.5.N** • Revenue Budget Recognized amount is increased. **SGL Impact** **4.1.5.O** • 1310 Accounts Receivable • 5200 Revenue from Services Provided • 4251 Reimbursements and Income Earned - Receivable • 4221 Unfilled Customer Orders without Advance		

4.1.5	4.1.5.A: Reimbursable Agreement was created and contains specified information	

General | Lines | Additional Information | Amendments

Contract Number: CA415
Amendment Number: 0000000001
Business Unit: HUD Offices
*Sold To Customer: George Washington

Description: Funding Source RA
Contract Type: REIMB
*Contract Status: ACTIVE
Processing Status: Active
Amend Contract

Contract Amounts

Negotiated Amount:	250,000.00
Discounts/Surcharges:	0.00
Non-inclusive Prepaids:	0.00
Total:	250,000.00
Estimated (less Prepaids):	0.00
Estimated Total:	250,000.00

Currency: USD
Exchange Rate Type: CRRNT
Contract Signed: 08/01/2005
Last Amended: 08/05/2005
Start Date: 10/01/2003
End Date: 10/01/2006
Last Update Date/Time: 08/05/2005 9:57:14AM
Last Update User ID: VP1

Add to My Contracts

Go To: Billing Plans Revenue Plans Milestones Renewals More

Save | Return to Search | Notify | Add | Update/Display

General | Lines | Additional Information | Amendments

Contract Number:	CA415	Description:	Funding Source RA
Amendment Number:	0000000001	Contract Type:	REIMB
Business Unit:	HUD Offices	Contract Status:	ACTIVE
Sold To Customer:	George Washington	Processing Status:	Active
Contract Admin:	Angelini,Gina	Currency Code:	USD

Amend Contract

Contract Lines — Customize | Find | View All | First 1 of 1 Last

General | Detail | Amount Detail

Line	Product	Description	Price Type	Start Date	End Date	Status
1	CONS_GENERAL_01	Consulting Services	Rate	10/01/2003	10/01/2006	Active

Go To: Billing Plans | Revenue Plans | Milestones | Renewals | More

Save | Return to Search | Notify | Add | Update/Display

Related Projects | Contract Amendments

Contract Number:	CA415	Description:	Funding Source RA
Amendment Number:	0000000001	Contract Type:	REIMB
Business Unit:	HUD Offices	Contract Status:	ACTIVE
Sold To Customer:	George Washington	Processing Status:	Active
Contract Admin:	Angelini,Gina	Currency Code:	USD

Amend Contract

Contract Line:	1	Description:	Consulting Services
Product:	CONS_GENERAL_01	Price Type:	Rate

PC Business Unit: HUD01 — Discount ID: — Retainage ID:

☐ Tiered Pricing — Limit Amount: 250,000.00 — ☐ Limit Override

Associated Rates — Find — First 1 of 1 Last

	Effective Date	Status	Rate Template Option	Rate Template ID	View Rates
1	01/01/1901	Active	Use Rate Template	FS RATE	View Rates

Create Project | Create Activity | All Activities

Associated Projects & Activities — First 1 of 1 Last

*Project	Description	*Activity	Description
FSALL	FS Entire Project	000000000000001	Internal Source Funding

4.1.5. B:
Budget Journal entry is added.

Budget Header | Budget Lines | Budget Errors

Unit: HUD01 Journal ID: 0000000226 Date: 10/01/2003 Budget Header Status: Posted

Process: Post Journal Process

Lines — Customize | Find | View All | First 1-2 of 2 Last

Chartfields and Amounts | Base Currency Details

Line	Ledger	Budget Period	Speed Type	Fund	Line Item	Bud Ref	Set Options	Entry Event	Currency	Amount
1	APPROP_BUD	2004	Speed Type	0189	SE	B2004	Set Options	REIMBAUTH	USD	250,000.00
2	APPROP_BUD	2004	Speed Type	03CF	EA	B2004	Set Options	REIMBAUTH	USD	250,000.00

From Line: To: Generate Budget Period Lines

Totals

Total Lines:	Total Debits:	Total Credits:
2	0.00	500,000.00

Save | Return to Search | Notify | Add | Update/Display

Budget Header | Budget Lines | Budget Errors

Unit: HUD01 Journal ID: 0000000227 Date: 10/01/2003 Budget Header Status: Posted

Process: Post Journal Process

Lines — Customize | Find | View All | First 1-2 of 2 Last

Chartfields and Amounts | Base Currency Details

Line	Ledger	Budget Period	Speed Type	Fund	Line Item	Bud Ref	Set Options	Entry Event	Currency	Amount
1	APPORT_BUD	2004Q1	Speed Type	0189	SE	B2004	Set Options	REIMBAUTH	USD	250,000.00
2	APPORT_BUD	2004	Speed Type	03CF	EA	B2004	Set Options	REIMBAUTH	USD	250,000.00

From Line: To: Generate Budget Period Lines

Totals

Total Lines:	Total Debits:	Total Credits:
2	0.00	500,000.00

Save | Return to Search | Notify | Add | Update/Display

Budget Header | Budget Lines | Budget Errors

Budget Header | Budget Lines | Budget Errors

Unit: HUD01 Journal ID: 0000000228 Date: 10/01/2003 Budget Header Status: Posted

Process: Post Journal Process

Lines — Customize | Find | View All | First 1-2 of 2

Chartfields and Amounts | Base Currency Details

Line	Ledger	Budget Period	Speed Type	Account	Fund	Line Item	Bud Ref	Division	Set Options	Entry Event	Currency	Amount
1	ALLOT_BUD	2004Q1	Speed Type	6000	0189	SE	B2004	OIG	Set Options	REIMBAUTH	USD	250,000.00
2	ALLOT_BUD	2004	Speed Type		03CF	EA	B2004	HSNG	Set Options	REIMBAUTH	USD	250,000.00

From Line: To: Generate Budget Period Lines

Totals

Total Lines:	Total Debits:	Total Credits:
2	0.00	500,000.00

Save | Return to Search | Notify | Add

Budget Header | Budget Lines | Budget Errors

et Header | Budget Lines | Budget Errors

HUD01 Journal ID: 0000000148 Date: 10/01/2003 Budget Header Status: Posted

Process: Post Journal Process

es — Customize | Find | View All |

rtfields and Amounts | Base Currency Details

Ledger	Budget Period	Speed Type	Account	Fund	Line Item	Bud Ref	Division	BOC	Project	Set Options	Entry Event	Currency	Amount
REIM_BUD	2004	Speed Type	6000	0189	SE	B2004	OIG		FSALL	Set Options		USD	250,000.00
REIM_BUD	2004	Speed Type	6000	03CF	EA	B2004	HSNG		FSALL	Set Options		USD	250,000.00

From Line: To: Generate Budget Period Lines

l Lines:	Total Debits:	Total Credits:
	0.00	500,000.00

Return to Search | Previous in List | Next in List | Notify

Header | Budget Lines | Budget Errors

		Budget Header | Budget Lines | Budget Errors Unit: HUD01 Journal ID: 0000000147 Date: 10/01/2003 Budget Header Status: Posted Process: Post Journal Process Lines — Customize | Find | View All | First 1-2 of 2 Last Chartfields and Amounts | Base Currency Details Line Ledger Speed Type Project Set Options Funding Source Entry Event Currency Amount 1 FS_BUD Speed Type FSALL Set Options INT USD 250,000.00 2 FS_BUD Speed Type FSALL Set Options EXT USD 250,000.00 From Line: To: Generate Budget Period Lines Totals Total Lines: 2 Total Debits: 0.00 Total Credits: 500,000.00 Save Return to Search Notify Add Update/Display Budget Header | Budget Lines | Budget Errors
	4.1.5.C: Project budget is created for $ 500,000	***Reimbursable Budget is increased***

Commitment Control Budget Details

Business Unit	Ledger Group	Account	Fund Code	Line Item	Bud Ref	Division	Budget Object Class	Project	Budget Period
HUD01	REIM_EXP	6000	03CF	EA	B2004	HSNG		FSALL	2004

Ledger Amounts

Budget:	250,000.00	USD
Expense:	0.00	USD
Encumbrance:	0.00	USD
Pre-Encumbrance:	0.00	USD
Associate Revenue:	0.00	USD

Attributes | Display Chart

Parent / Children

Associated Budgets

Available Budget

Without Tolerance:	250,000.00	USD	**Percent:**	(100%)
With Tolerance:	250,000.00	USD	**Percent:**	(100%)

Budget Exceptions

Exception Errors: 0 **Exception Warnings:** 0 Budget Exceptions

Commitment Control Budget Details

Business Unit	Ledger Group	Account	Fund Code	Line Item	Bud Ref	Division	Budget Object Class	Project	Budget Period
HUD01	REIM_EXP	6000	0189	SE	B2004	OIG		FSALL	2004

Ledger Amounts

Budget:	250,000.00	USD
Expense:	0.00	USD
Encumbrance:	0.00	USD
Pre-Encumbrance:	0.00	USD
Associate Revenue:	0.00	USD

Attributes | Display Chart

Parent / Children

Associated Budgets

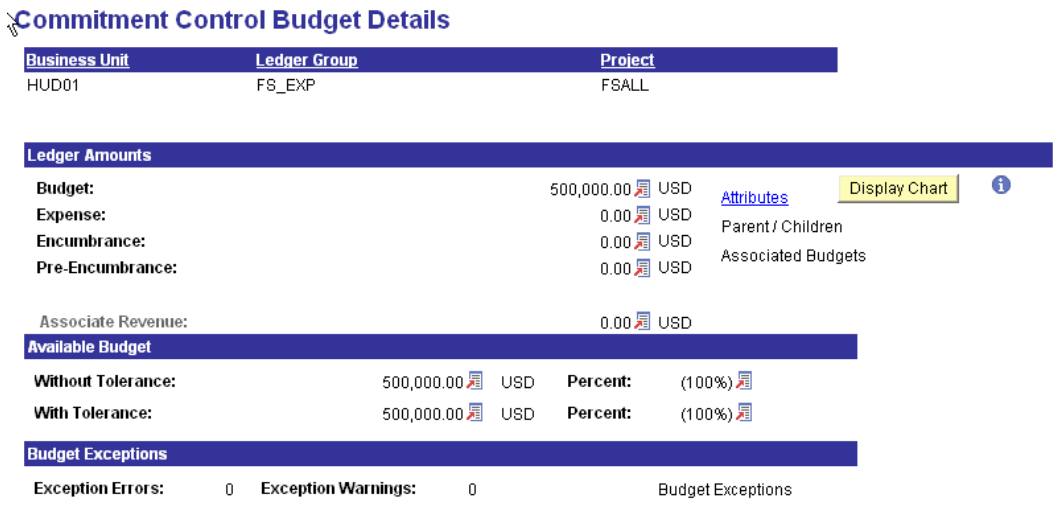
Available Budget

Without Tolerance:	250,000.00	USD	**Percent:**	(100%)
With Tolerance:	250,000.00	USD	**Percent:**	(100%)

Budget Exceptions

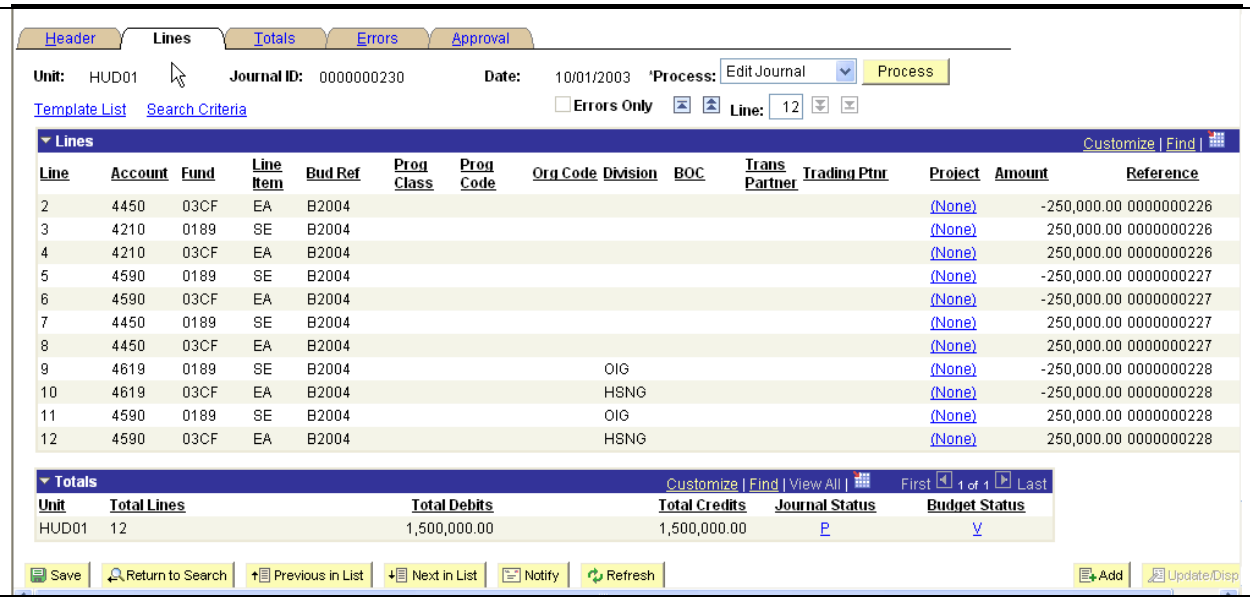
Exception Errors: 0 **Exception Warnings:** 0 Budget Exceptions

Funding Source Budget is increased



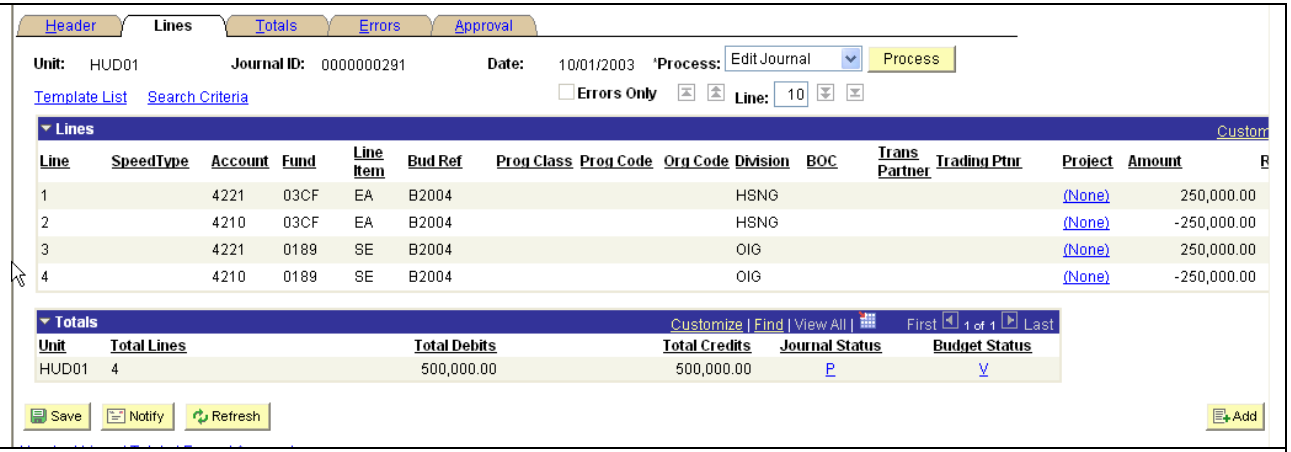
4.1.5.D:

- Debit 4450 Unapportioned Authority Available
- Credit 4590 Apportioned Unavailable
- Debit 4210 Anticipated Reimbursements and Income
- Credit 4450Unapportioned Authority
- Debit 4590Apportionments Unavailable
- Credit 4619 Suballmts Avail – Commt/Oblig
- Debit 4421Unfilled Customer Orders
- Credit 4210 Anticipated Reimbursements and Income



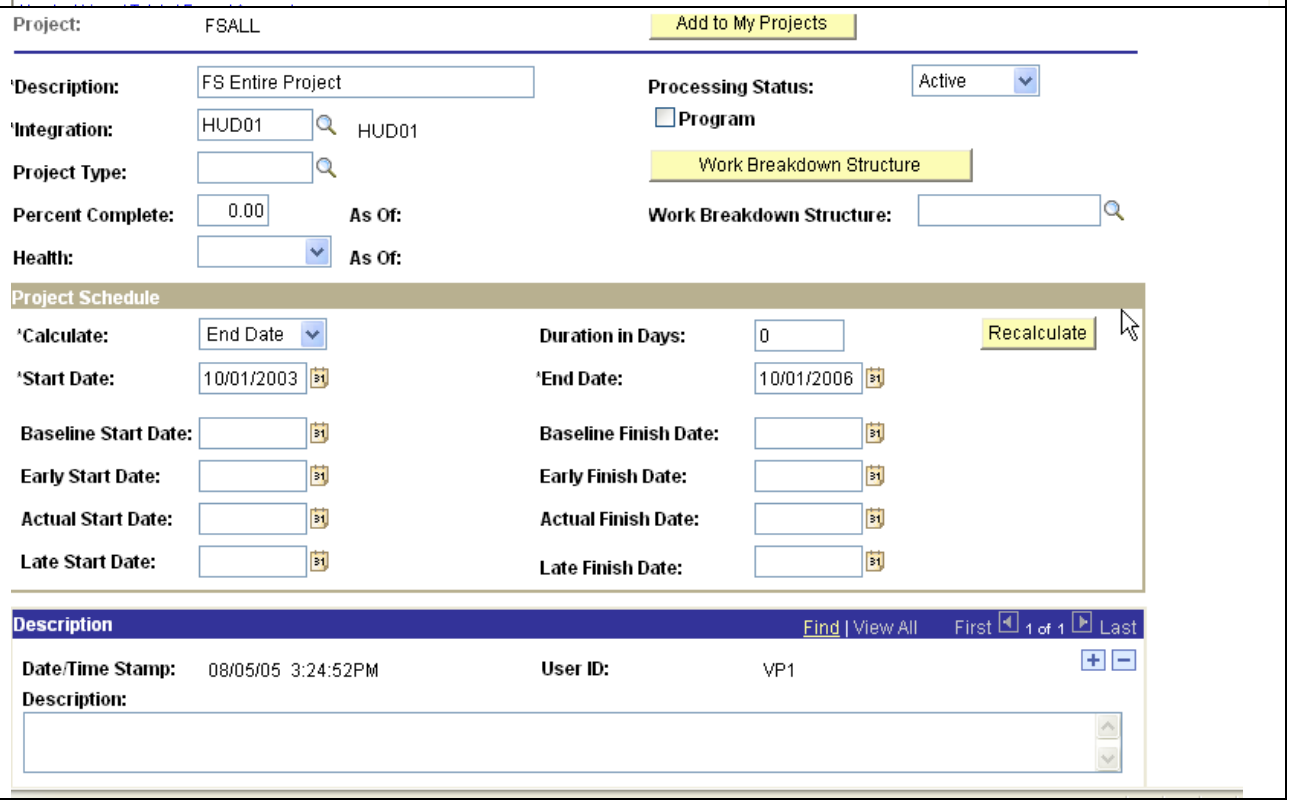
Line	Account	Fund	Line Item	Bud Ref	Prog Class	Prog Code	Org Code	Division	BOC	Trans Partner	Trading Ptnr	Project	Amount	Reference
2	4450	03CF	EA	B2004								(None)	-250,000.00	0000000226
3	4210	0189	SE	B2004								(None)	250,000.00	0000000226
4	4210	03CF	EA	B2004								(None)	250,000.00	0000000226
5	4590	0189	SE	B2004								(None)	-250,000.00	0000000227
6	4590	03CF	EA	B2004								(None)	-250,000.00	0000000227
7	4450	0189	SE	B2004								(None)	250,000.00	0000000227
8	4450	03CF	EA	B2004								(None)	250,000.00	0000000227
9	4619	0189	SE	B2004				OIG				(None)	-250,000.00	0000000228
10	4619	03CF	EA	B2004				HSNG				(None)	-250,000.00	0000000228
11	4590	0189	SE	B2004				OIG				(None)	250,000.00	0000000228
12	4590	03CF	EA	B2004				HSNG				(None)	250,000.00	0000000228

Unit	Total Lines	Total Debits	Total Credits	Journal Status	Budget Status
HUD01	12	1,500,000.00	1,500,000.00	P	V



Script Execution

- 4.1.5.E: Project is created.



	4.1.5.F: Project linked to the funding sources	**Commitment Control Funding Source Allocation** Business Unit: HUD01 Ledger Group: FS_EXP Project: FSALL Project Status: Start Date: 10/01/2003 End Date: 10/01/2006 Overall Amount: 500,000.00 USD Manager: Allocated Amount: 500,000.00 USD Funding Source Error Projects Please review your key chartfield setup for revenue types to insure consistency with the allocations below. It is important that the key chartfields are populated below if the budget setup requires them. Funding Source Allocation Details — Customize | Find | View All | First 1-2 of 2 Last Funding Source / *Spend Option / Spending Cap / Available Amt / % of Overall / Revenue % INT Budgeted 250,000.00 250,000.00 50.000000 EXT Budgeted 250,000.00 250,000.00 50.000000 Transfer to Budget Entry Save Return to Search Notify Add Update/Display
	4.1.5.G: Purchase Order is created	**Purchase Order** Unit: HUD01 PO Status: Dispatched PO ID: PO415 Budget Status: Valid Copy From: Hold From Further Processing Header *PO Date: 12/01/2003 Vendor Search Doc Tol Status: Valid Vendor V-02-001 Vendor Details Backorder Status: None Create BackOrder *Vendor ID: V-02 JMK Company Receipt Status: Not Recvd *Buyer: VP1 Kenneth Schumacher *Dispatch Method: Phone Dispatch PO Reference: Amount Summary Header Details PO Activities Add ShipTo Comments Merchandise: 1500.00 PO Defaults Document Status Freight/Tax/Misc.: 0.00 Calculate Add Comments Requisitions Total Amount: 1500.00 USD Add Items From Select Lines To Display Purchasing Kit Catalog Item Search Line: To: Retrieve Lines — Customize | Find | View All | First 1-2 of 2 Last Details Ship To/Due Date Statuses Item Information Attributes RFQ Contract Receiving Line / Item / Description / PO Qty / *UOM / Category / Price / Amount / Status 1 External Funding Source 10.0000 EA ACCES 100.00000 1000.00 Active 2 Internal Funding Source 5.0000 EA ACCES 100.00000 500.00 Active

SpeedChart: Multi-SpeedCharts Doc. Base Amount: 1000.00 USD

Distribution — Customize | Find | View All | First 1 of 1

Chartfields | Details/Tax | Asset Information | Req Detail | Statuses

PO Qty	*GL Unit	Entry Event	*Account	Fund	Line Item	Bud Ref	Prog Class	Prog Code	Org Code	Division	Budget Object Class	Trans Partner	Trading Ptnr	Project
10.0000	HUD01	PO_AP	6100	03CF	EA	B2004	CFS8A	H1PSA	1GHM	HSNG		X		FSALL

SpeedChart: Multi-SpeedCharts Doc. Base Amount: 500.00 USD

Distribution — Customize | Find | View All | First 1 of 1

Chartfields | Details/Tax | Asset Information | Req Detail | Statuses

PO Qty	*GL Unit	Entry Event	*Account	Fund	Line Item	Bud Ref	Prog Class	Prog Code	Org Code	Division	Budget Object Class	Trans Partner	Trading Ptnr	Project
5.0000	HUD01	PO_AP	6100	0189	SE	B2004	1100	1100	G	OIG	1100	X		FSALL

4.1.5.H:
AP Voucher is created

Summary | Invoice Information | Payments | Voucher Attributes | Error Summary

Business Unit:	HUD01	**Invoice No:**	88999
Voucher ID:	00000008	**Invoice Date:**	12/01/2003
Voucher Style:	Regular	**Gross Amt:**	1,500.00 USD
Contract ID:			
Vendor Name:	JMK Company 121 Irving Street Arlington, VA 22204	**Pay Terms:**	NET30
		Origin:	ONL
Entry Status:	Postable	**Voucher Source:**	Online
Match Status:	Overridden	**Post Status:**	Posted
Approval Status:	Approved		
Budget Header Status:	Valid Budget Check		
Non-Prorated Budget Status:	Valid Budget Check	**Entered on:**	08/04/2005
Document Tolerance Status:	Valid	**Created By:**	VP1
ERS Type:	Not Applicable	**Last Updated:**	08/04/2005
Close Status Indicator:	Open	**Updated By:**	VP1

Save | Return to Search | Previous in List | Next in List | Notify | Refresh | Add | Update/Display

Summary | Invoice Information | Payments | Voucher Attributes | Error Summary

Business Unit: HUD01
Voucher ID: 00000008
Voucher Style: Regular

Vendor: V-02
Name: V-02-001
Location: V-02
*Address: 1

JMK Company
121 Irving Street

Arlington, VA 22204
Advanced Vendor Search

*Pay Terms: NET30
Pay Schedule: Other

Invoice Number: 88999
Invoice Date: 12/01/2003
Basis Date Calculation

Misc. Amount:
Non Merchandise Summary
Freight Amount:
Sales Tax:
VAT:
VAT Summary
Total: 1,500.00
Balance: 0.00
Tax Exempt Flag
Use Tax: 0.00
Comments

Accounting Date: 12/01/2003
*Currency: USD

Action: Run
Go To: Run

		Copy from a Source Document PO Unit: HUD01 Purchase Order: PO415 Copy PO Worksheet Copy Option: None Invoice Lines Find | View 1 First 1-2 of Line 1 'Distribute by Quantity Item Description External Funding Source Quantity 10.0000 UOM EA Unit Price 100.00000 Extended Amount 1,000.00 Ship To HUDDIVA SpeedChart Use One Asset ID Sales/Use Tax VAT Force Price View PO/Receiver Associate Receiver(s) Distribution Lines Customize | Find | View All | First 1 of 1 Last GL ChartFields 1 GL ChartFields 2 Exchange Rate Statistics Assets Amount Quantity 'GL Unit Account Fund Line Item Bud Ref Prog Class Prog Code Org Code Division BOC Trans Partner Trading Ptnr Affiliate 1 1,000.00 10.0000 HUD01 6100 03CF EA B2004 CFS8A H1PSA 1GHM HSNG X Projects Line 2 'Distribute by Quantity Item Description Internal Funding Source Quantity 5.0000 UOM EA Unit Price 100.00000 Extended Amount 500.00 Ship To HUDDIVA SpeedChart Use One Asset ID Sales/Use Tax VAT Force Price View PO/Receiver Associate Receiver(s) Distribution Lines Customize | Find | View All | First 1 of 1 Last GL ChartFields 1 GL ChartFields 2 Exchange Rate Statistics Assets Amount Quantity 'GL Unit Account Fund Line Item Bud Ref Prog Class Prog Code Org Code Division BOC Trans Partner Trading Ptnr Affiliate 1 500.00 5.0000 HUD01 6100 0189 SE B2004 1100 1100 G OIG 1100 X Projects Save Return to Search Previous in List Next in List Notify Refresh Add Summary | Invoice Information | Payments | Voucher Attributes | Error Summary
	4.1.5.I: ▪ Budget amount is decreased. ▪ Obligation amount is increased.	***Before Budget Checking Purchase Order for the overall budget definition***

Commitment Control Budget Details

Business Unit	Ledger Group	Account	Fund Code	Line Item	Bud Ref	Prog Class	Prog Code	Org Code	Division	Budget Object Class	Budget Period
HUD01	SUBASSIGN1	6000	0189	SE	B2004	1100	1100	G	OIG	1100	2004Q1

Ledger Amounts

Budget:	5,000,000.00	USD
Expense:	0.00	USD
Encumbrance:	2,530.00	USD
Pre-Encumbrance:	2,530.00	USD
Associate Revenue:	0.00	USD

Attributes
Parent / Children
Associated Budgets
Display Chart

Available Budget

Without Tolerance:	4,994,940.00	USD	Percent:	(99.9%)
With Tolerance:	4,994,940.00	USD	Percent:	(99.9%)

Budget Exceptions

Exception Errors: 0 Exception Warnings: 0 Budget Exceptions

Commitment Control Budget Details

Business Unit	Ledger Group	Fund Code	Line Item	Bud Ref	Prog Class	Prog Code	Org Code	Division	Budget Period
HUD01	SUBASSIGN2	03CF	EA	B2004	CFS8A	H1PSA	1GHM	HSNG	2004

Ledger Amounts

Budget:	30,000,000.00	USD
Expense:	3,600.00	USD
Encumbrance:	2,000.00	USD
Pre-Encumbrance:	0.00	USD
Associate Revenue:	0.00	USD

Attributes
Parent / Children
Associated Budgets
Display Chart

Available Budget

Without Tolerance:	29,994,400.00	USD	Percent:	(99.98%)
With Tolerance:	29,994,400.00	USD	Percent:	(99.98%)

Budget Exceptions

Exception Errors: 0 Exception Warnings: 0 Budget Exceptions

		After Budget Checking the PO and before budget checking the Voucher ***Overall Budget*** **Commitment Control Budget Details** Business Unit: HUD01 Ledger Group: SUBASSIGN1 Account: 6000 Fund Code: 0189 Line Item: SE Bud Ref: B2004 Prog Class: 1100 Prog Code: 1100 Org Code: G Division: OIG Budget Object Class: 1100 Budget Period: 2004Q1 **Ledger Amounts** Budget: 5,000,000.00 USD Expense: 0.00 USD Encumbrance: 3,030.00 USD Pre-Encumbrance: 2,530.00 USD Associate Revenue: 0.00 USD Attributes Parent / Children Associated Budgets Display Chart **Available Budget** Without Tolerance: 4,994,440.00 USD Percent: (99.89%) With Tolerance: 4,994,440.00 USD Percent: (99.89%) **Budget Exceptions** Exception Errors: 0 Exception Warnings: 0 Budget Exceptions

Commitment Control Budget Details

Business Unit	Ledger Group	Fund Code	Line Item	Bud Ref	Prog Class	Prog Code	Org Code	Division	Budget Period
HUD01	SUBASSIGN2	03CF	EA	B2004	CFS8A	H1PSA	1GHM	HSNG	2004

Ledger Amounts

Budget:	30,000,000.00	USD
Expense:	3,600.00	USD
Encumbrance:	3,000.00	USD
Pre-Encumbrance:	0.00	USD
Associate Revenue:	0.00	USD

Attributes
Parent / Children
Associated Budgets
Display Chart

Available Budget

Without Tolerance:	29,993,400.00	USD	**Percent:**	(99.98%)
With Tolerance:	29,993,400.00	USD	**Percent:**	(99.98%)

Budget Exceptions

Exception Errors: 0 **Exception Warnings:** 0 Budget Exceptions

After Budget Checking Purchase Order for the Reimbursable Budget Definition

Commitment Control Budget Details

Business Unit	Ledger Group	Account	Fund Code	Line Item	Bud Ref	Division	Budget Object Class	Project	Budget Period
HUD01	REIM_EXP	6000	0189	SE	B2004	OIG		FSALL	2004

Ledger Amounts

Budget:	250,000.00	USD	Attributes / Display Chart
Expense:	0.00	USD	Parent / Children
Encumbrance:	500.00	USD	Associated Budgets
Pre-Encumbrance:	0.00	USD	
Associate Revenue:	0.00	USD	

Available Budget

Without Tolerance:	249,500.00	USD	**Percent:**	(99.8%)
With Tolerance:	249,500.00	USD	**Percent:**	(99.8%)

Budget Exceptions

Exception Errors: 0 **Exception Warnings:** 0 Budget Exceptions

Commitment Control Budget Details

Business Unit	Ledger Group	Account	Fund Code	Line Item	Bud Ref	Division	Budget Object Class	Project	Budget Period
HUD01	REIM_EXP	6000	03CF	EA	B2004	HSNG		FSALL	2004

Ledger Amounts

Budget:	250,000.00	USD	Attributes / Display Chart
Expense:	0.00	USD	Parent / Children
Encumbrance:	1,000.00	USD	Associated Budgets
Pre-Encumbrance:	0.00	USD	
Associate Revenue:	0.00	USD	

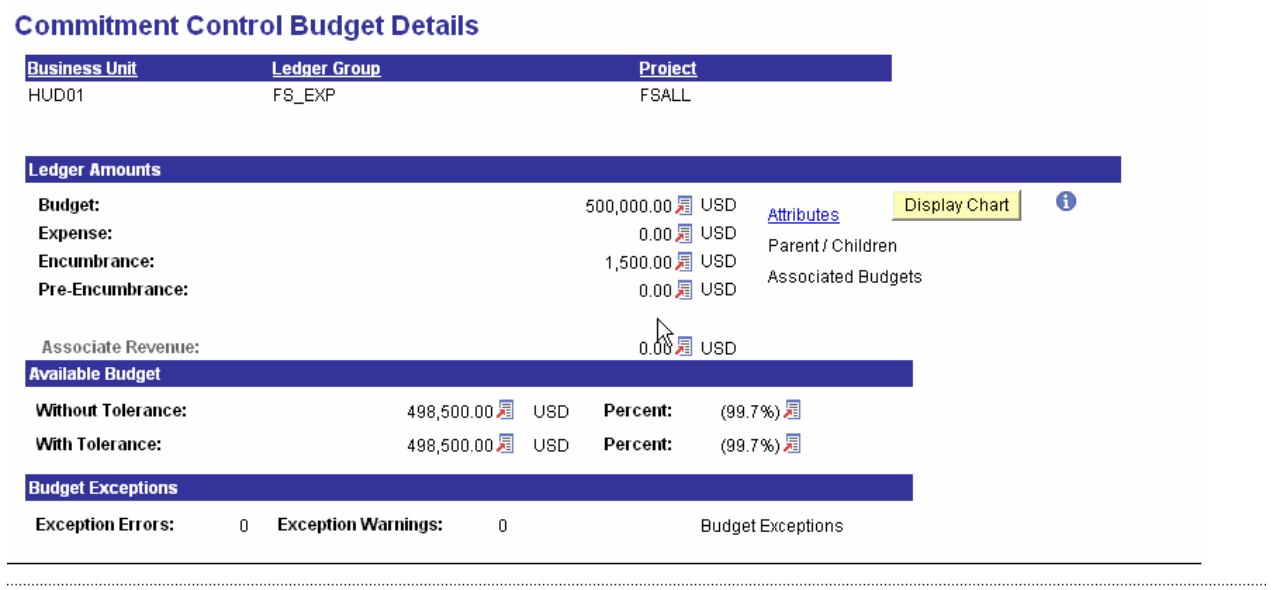
Available Budget

Without Tolerance:	249,000.00	USD	**Percent:**	(99.6%)
With Tolerance:	249,000.00	USD	**Percent:**	(99.6%)

Budget Exceptions

Exception Errors: 0 **Exception Warnings:** 0 Budget Exceptions

After Budget Checking Purchase Order for the Funding Source Budget Definition



Commitment Control Budget Details

Business Unit	Ledger Group	Project
HUD01	FS_EXP	FSALL

Ledger Amounts

Budget:	500,000.00	USD	Attributes / Display Chart
Expense:	0.00	USD	Parent / Children
Encumbrance:	1,500.00	USD	Associated Budgets
Pre-Encumbrance:	0.00	USD	
Associate Revenue:	0.00	USD	

Available Budget

Without Tolerance:	498,500.00	USD	Percent:	(99.7%)
With Tolerance:	498,500.00	USD	Percent:	(99.7%)

Budget Exceptions

Exception Errors: 0 Exception Warnings: 0 Budget Exceptions

After Budget Checking the voucher for overall Budget Definition

Commitment Control Budget Details

Business Unit	Ledger Group	Account	Fund Code	Line Item	Bud Ref	Prog Class	Prog Code	Org Code	Division	Budget Object Class	Budget Period
HUD01	SUBASSIGN1	6000	0189	SE	B2004	1100	1100	G	OIG	1100	2004Q1

Ledger Amounts

Budget:	5,000,000.00	USD
Expense:	500.00	USD
Encumbrance:	2,530.00	USD
Pre-Encumbrance:	2,530.00	USD
Associate Revenue:	0.00	USD

Attributes
Parent / Children
Associated Budgets
Display Chart

Available Budget

Without Tolerance:	4,994,440.00	USD	Percent:	(99.89%)
With Tolerance:	4,994,440.00	USD	Percent:	(99.89%)

Budget Exceptions

Exception Errors: 0 Exception Warnings: 0 Budget Exceptions

Commitment Control Budget Details

Business Unit	Ledger Group	Fund Code	Line Item	Bud Ref	Prog Class	Prog Code	Org Code	Division	Budget Period
HUD01	SUBASSIGN2	03CF	EA	B2004	CFS8A	H1PSA	1GHM	HSNG	2004

Ledger Amounts

Budget:	30,000,000.00	USD
Expense:	4,600.00	USD
Encumbrance:	2,000.00	USD
Pre-Encumbrance:	0.00	USD
Associate Revenue:	0.00	USD

Attributes
Parent / Children
Associated Budgets
Display Chart

Available Budget

Without Tolerance:	29,993,400.00	USD	Percent:	(99.98%)
With Tolerance:	29,993,400.00	USD	Percent:	(99.98%)

Budget Exceptions

Exception Errors: 0 Exception Warnings: 0 Budget Exceptions

		After Budget Checking the Voucher for the Reimbursable Agreement Budget

Commitment Control Budget Details

Business Unit	Ledger Group	Account	Fund Code	Line Item	Bud Ref	Division	Budget Object Class	Project	Budget Period
HUD01	REIM_EXP	6000	03CF	EA	B2004	HSNG		FSALL	2004

Ledger Amounts

Budget:	250,000.00	USD	Attributes / Display Chart
Expense:	1,000.00	USD	Parent / Children
Encumbrance:	0.00	USD	Associated Budgets
Pre-Encumbrance:	0.00	USD	
Associate Revenue:	0.00	USD	

Available Budget

Without Tolerance:	249,000.00	USD	**Percent:**	(99.6%)
With Tolerance:	249,000.00	USD	**Percent:**	(99.6%)

Budget Exceptions

Exception Errors: 0 **Exception Warnings:** 0 Budget Exceptions

Commitment Control Budget Details

Business Unit	Ledger Group	Account	Fund Code	Line Item	Bud Ref	Division	Budget Object Class	Project	Budget Period
HUD01	REIM_EXP	6000	0189	SE	B2004	OIG		FSALL	2004

Ledger Amounts

Budget:	250,000.00	USD	Attributes / Display Chart
Expense:	500.00	USD	Parent / Children
Encumbrance:	0.00	USD	Associated Budgets
Pre-Encumbrance:	0.00	USD	
Associate Revenue:	0.00	USD	

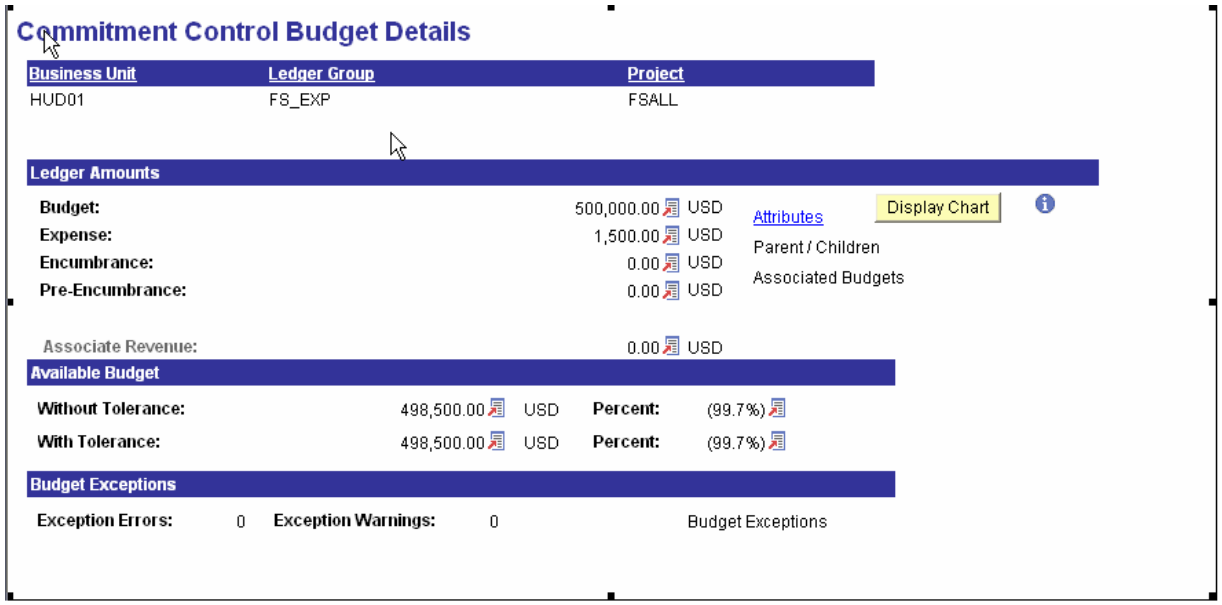
Available Budget

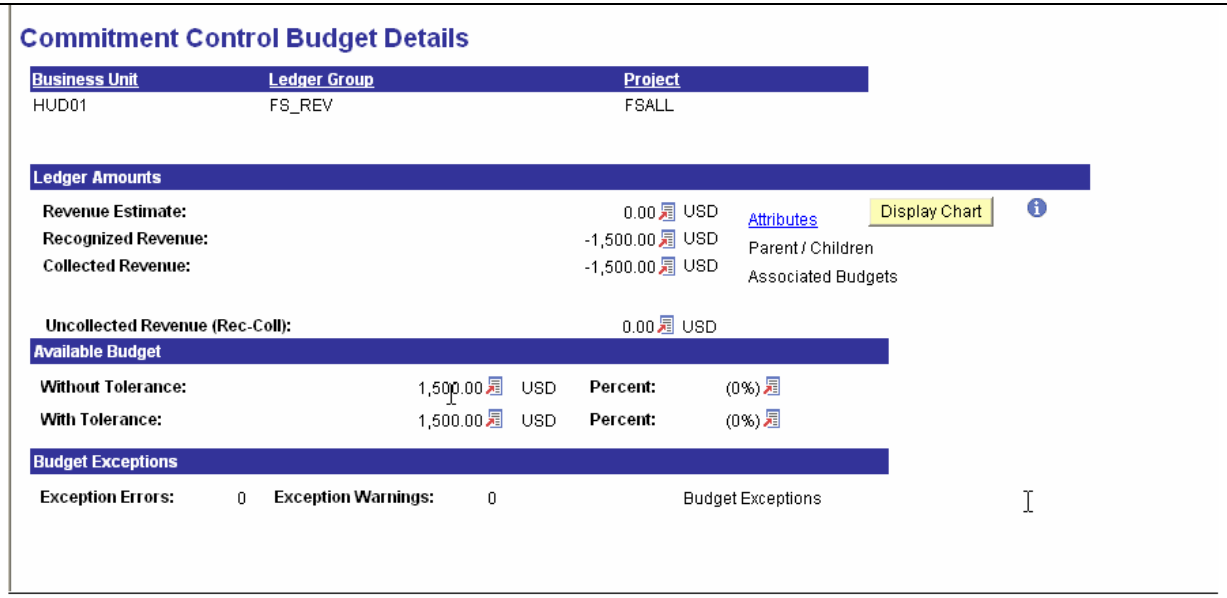
Without Tolerance:	249,500.00	USD	**Percent:**	(99.8%)
With Tolerance:	249,500.00	USD	**Percent:**	(99.8%)

Budget Exceptions

Exception Errors: 0 **Exception Warnings:** 0 Budget Exceptions

After Budget Checking the Voucher

		Funding Source Budget  Commitment Control Budget Details Business Unit: HUD01 Ledger Group: FS_EXP Project: FSALL Ledger Amounts Budget: 500,000.00 USD Expense: 1,500.00 USD Encumbrance: 0.00 USD Pre-Encumbrance: 0.00 USD Associate Revenue: 0.00 USD Attributes Display Chart Parent / Children Associated Budgets Available Budget Without Tolerance: 498,500.00 USD Percent: (99.7%) With Tolerance: 498,500.00 USD Percent: (99.7%) Budget Exceptions Exception Errors: 0 Exception Warnings: 0 Budget Exceptions


Commitment Control Budget Details
Business Unit
Ledger Group
Project
HUD01
FS_REV
FSALL
Ledger Amounts
Revenue Estimate: 0.00 USD
Recognized Revenue: -1,500.00 USD
Collected Revenue: -1,500.00 USD
Uncollected Revenue (Rec-Coll): 0.00 USD
Attributes
Display Chart
Parent / Children
Associated Budgets
Available Budget
Without Tolerance: 1,500.00 USD Percent: (0%)
With Tolerance: 1,500.00 USD Percent: (0%)
Budget Exceptions
Exception Errors: 0 Exception Warnings: 0
Budget Exceptions

4.1.5.J:

- Obligation amount is decreased.
- Expense amount is increased

Commitment Control Activity Log

Transaction Type: PO_POENC Commit Control ID: 0000000768 Commit Control Date: 08/04/2005

Process Instance: 5579 Process Status: Valid Maximum Rows: 100 Fetch

Commitment Control Activity Log Lines Customize | Find | View All | First 1-19 of 19 Last

Ledger	Document ID	Account	Fund Code	Line Item	Bud Ref	Prog Class	Prog Code	Org Code	Division	Budget Object Class	Transaction Partner	Project	Transactio Amoun
ALLOT_ENC	PO415		03CF	EA	B2004				HSNG				1000.0
APPORT_ENC	PO415		03CF	EA	B2004								1000.0
APPROP_ENC	PO415		03CF	EA	B2004								1000.0
ASGN_ENC	PO415		03CF	EA	B2004	CFS8A	H1PSA		HSNG				1000.0
DETAIL_ENC	PO415	6100	03CF	EA	B2004	CFS8A	H1PSA	1GHM	HSNG		X	FSALL	1000.0
FS_ENC	PO415											FSALL	1000.0
REIM_ENC	PO415	6000	03CF	EA	B2004				HSNG			FSALL	1000.0
SALLOT_ENC	PO415		03CF	EA	B2004	CFS8A			HSNG				1000.0
SASP1_ENC	PO415		03CF	EA	B2004	CFS8A	H1PSA	1AHM	HSNG				1000.0
SASP2_ENC	PO415		03CF	EA	B2004	CFS8A	H1PSA	1GHM	HSNG				1000.0
ALLOT_ENC	PO415	6000	0189	SE	B2004				OIG				500.0
APPORT_ENC	PO415		0189	SE	B2004								500.0
APPROP_ENC	PO415		0189	SE	B2004								500.0
ASGN_ENC	PO415	6000	0189	SE	B2004	1100	1100		OIG				500.0
DETAIL_ENC	PO415	6100	0189	SE	B2004	1100	1100	G	OIG	1100	X	FSALL	500.0
FS_ENC	PO415											FSALL	500.0
REIM_ENC	PO415	6000	0189	SE	B2004				OIG			FSALL	500.0
SALLOT_ENC	PO415	6000	0189	SE	B2004	1100			OIG				500.0
SASP1_ENC	PO415	6000	0189	SE	B2004	1100	1100	G	OIG	1100			500.0

Commitment Control Activity Log

Transaction Type: AP_VOUCHER **Commit Control ID:** 0000000782 **Commit Control Date:** 08/04/2005

Process Instance: 5745 **Process Status:** Valid **Maximum Rows:** 100 Fetch

Commitment Control Activity Log Lines Customize | Find | View All | First 1-42 of 42 Last

Ledger	Document ID	Account	Fund Code	Line Item	Bud Ref	Prog Class	Prog Code	Org Code	Division	Budget Object Class	Transaction Partner	Project	Transactio Amou
ALLOT_ENC	00000008		03CF	EA	B2004				HSNG				-1000.(
ALLOT_EXP	00000008		03CF	EA	B2004				HSNG				1000.(
APPORT_ENC	00000008		03CF	EA	B2004								-1000.(
APPORT_EXP	00000008		03CF	EA	B2004								1000.(
APPROP_ENC	00000008		03CF	EA	B2004								-1000.(
APPROP_EXP	00000008		03CF	EA	B2004								1000.(
ASGN_ENC	00000008		03CF	EA	B2004	CFS8A	H1PSA		HSNG				-1000.(
ASGN_EXP	00000008		03CF	EA	B2004	CFS8A	H1PSA		HSNG				1000.(
DETAIL_ENC	00000008	6100	03CF	EA	B2004	CFS8A	H1PSA	1GHM	HSNG		X	FSALL	-1000.(
DETAIL_EXP	00000008	6100	03CF	EA	B2004	CFS8A	H1PSA	1GHM	HSNG		X	FSALL	1000.(
FS_ENC	00000008											FSALL	-1000.(
FS_EXP	00000008											FSALL	1000.(
FS_COLL	00000008											FSALL	1000.(
FS_REC	00000008											FSALL	1000.(
REIM_ENC	00000008	6000	03CF	EA	B2004				HSNG			FSALL	-1000.(
REIM_EXP	00000008	6000	03CF	EA	B2004				HSNG			FSALL	1000.(
SALLOT_ENC	00000008		03CF	EA	B2004	CFS8A			HSNG				-1000.(
SALLOT_EXP	00000008		03CF	EA	B2004	CFS8A			HSNG				1000.(
SASP1_ENC	00000008		03CF	EA	B2004	CFS8A	H1PSA	1AHM	HSNG				-1000.(
SASP1_EXP	00000008		03CF	EA	B2004	CFS8A	H1PSA	1AHM	HSNG				1000.(
SASP2_ENC	00000008		03CF	EA	B2004	CFS8A	H1PSA	1GHM	HSNG				-1000.(
SASP2_EXP	00000008		03CF	EA	B2004	CFS8A	H1PSA	1GHM	HSNG				1000.(

ALLOT_ENC	00000008	6000	0189	SE	B2004				OIG					-500.(
ALLOT_EXP	00000008	6000	0189	SE	B2004				OIG					500.(
APPORT_ENC	00000008		0189	SE	B2004									-500.(
APPORT_EXP	00000008		0189	SE	B2004									500.(
APPROP_ENC	00000008		0189	SE	B2004									-500.(
APPROP_EXP	00000008		0189	SE	B2004									500.(
ASGN_ENC	00000008	6000	0189	SE	B2004	1100	1100		OIG					-500.(
ASGN_EXP	00000008	6000	0189	SE	B2004	1100	1100		OIG					500.(
DETAIL_ENC	00000008	6100	0189	SE	B2004	1100	1100	G	OIG	1100	X		FSALL	-500.(
DETAIL_EXP	00000008	6100	0189	SE	B2004	1100	1100	G	OIG	1100	X		FSALL	500.(
FS_ENC	00000008												FSALL	-500.(
FS_EXP	00000008												FSALL	500.(
FS_COLL	00000008												FSALL	500.(
FS_REC	00000008												FSALL	500.(
REIM_ENC	00000008	6000	0189	SE	B2004				OIG				FSALL	-500.(
REIM_EXP	00000008	6000	0189	SE	B2004				OIG				FSALL	500.(
SALLOT_ENC	00000008	6000	0189	SE	B2004	1100			OIG					-500.(
SALLOT_EXP	00000008	6000	0189	SE	B2004	1100			OIG					500.(
SASP1_ENC	00000008	6000	0189	SE	B2004	1100	1100	G	OIG	1100				-500.(
SASP1_EXP	00000008	6000	0189	SE	B2004	1100	1100	G	OIG	1100				500.(

Return to Search | Previous in List | Next in List | Notify

4.1.5.K: Purchase Order
- DR 4619 Suballmts Avail – Commt/Oblig
- CR 4801Un Delivered Orders Unpaid

Header | Lines | Totals | Errors | Approval

Unit: HUD01 Journal ID: EEP0000171 Date: 12/01/2003 *Process: Edit Journal Process

Template List Search Criteria Errors Only Line: 10

Lines — Customize | Find |

Line	Account	Fund	Line Item	Bud Ref	Prog Class	Prog Code	Org Code	Division	BOC	Trans Partner	Trading Ptnr	Project	Amount	Reference
1	4801	03CF	EA	B2004	CFS8A	H1PSA	1GHM	HSNG		X		FSALL	-1,000.00	PO415
2	4801	0189	SE	B2004	1100	1100	G	OIG	1100	X		FSALL	-500.00	PO415
3	4619	03CF	EA	B2004	CFS8A	H1PSA	1GHM	HSNG		X		FSALL	1,000.00	PO415
4	4619	0189	SE	B2004	1100	1100	G	OIG	1100	X		FSALL	500.00	PO415

Totals — Customize | Find | View All | First 1 of 1 Last

Unit	Total Lines	Total Debits	Total Credits	Journal Status	Budget Status
HUD01	4	1,500.00	1,500.00	P	V

Save | Return to Search | Previous in List | Next in List | Notify | Refresh | Add | Update/Disp

Header | Lines | Totals | Errors | Approval

4.1.5.L: AP Voucher

- DR 4801 Undelivered Orders - Obligations, Unpaid
- CR 4901 Delivered Orders - Obligations, Paid
- DR 6100 Operating Materials and Supplies Held for Use
- CR 2110 Accounts Payable
- DR 3107 Unexpended Appropriations – Use
- CR 5700 Expended Appropriations

Header | Lines | Totals | Errors | Approval

Unit: HUD01 Journal ID: EEP0000290 Date: 12/01/2003 *Process: Edit Journal Process

Template List Search Criteria Errors Only Line: 10

Lines — Customize | Fi

Line	SpeedType	Account	Fund	Line Item	Bud Ref	Prog Class	Prog Code	Org Code	Division	BOC	Trans Partner	Trading Ptnr	Project	Amount	Referen
1		4619	03CF	EA	B2004	CFS8A	H1PSA	1GHM	HSNG		X		FSALL	-1,000.00	PO415
2		4619	0189	SE	B2004	1100	1100	G	OIG	1100	X		FSALL	-500.00	PO415
3		4801	03CF	EA	B2004	CFS8A	H1PSA	1GHM	HSNG		X		FSALL	1,000.00	PO415
4		4801	0189	SE	B2004	1100	1100	G	OIG	1100	X		FSALL	500.00	PO415

Totals — Customize | Find | View All | First 1 of 1 Last

Unit	Total Lines	Total Debits	Total Credits	Journal Status	Budget Status
HUD01	4	1,500.00	1,500.00	P	V

Save | Return to Search | Previous in List | Next in List | Notify | Refresh | Add | Up

eader | Lines | Totals | Errors | Approval

Header | Lines | Totals | Errors | Approval

Unit: HUD01 Journal ID: AP00000203 Date: 12/01/2003 *Process: Edit Journal Process

Template List Search Criteria Errors Only Line: 10

Lines — Customize | Find |

Line	Account	Fund	Line Item	Bud Ref	Prog Class	Prog Code	Org Code	Division	BOC	Trans Partner	Trading Ptnr	Project	Amount	Reference
1	4901	03CF	EA	B2004	CFS8A	H1PSA	1GHM	HSNG		X		FSALL	-1,000.00	00000008
2	4901	0189	SE	B2004	1100	1100	G	OIG	1100	X		FSALL	-500.00	00000008
3	5700	03CF	EA	B2004	CFS8A	H1PSA	1GHM	HSNG		X		FSALL	-1,000.00	00000008
4	5700	0189	SE	B2004	1100	1100	G	OIG	1100	X		FSALL	-500.00	00000008
5	4619	03CF	EA	B2004	CFS8A	H1PSA	1GHM	HSNG		X		FSALL	1,000.00	00000008
6	4619	0189	SE	B2004	1100	1100	G	OIG	1100	X		FSALL	500.00	00000008
7	3107	03CF	EA	B2004	CFS8A	H1PSA	1GHM	HSNG		X		FSALL	1,000.00	00000008
8	3107	0189	SE	B2004	1100	1100	G	OIG	1100	X		FSALL	500.00	00000008

Totals — Customize | Find | View All | First 1 of 1 Last

Unit	Total Lines	Total Debits	Total Credits	Journal Status	Budget Status
HUD01	8	3,000.00	3,000.00	P	V

Save | Return to Search | Previous in List | Next in List | Notify | Refresh | Add | Update/Display

eader | Lines | Totals | Errors | Approval

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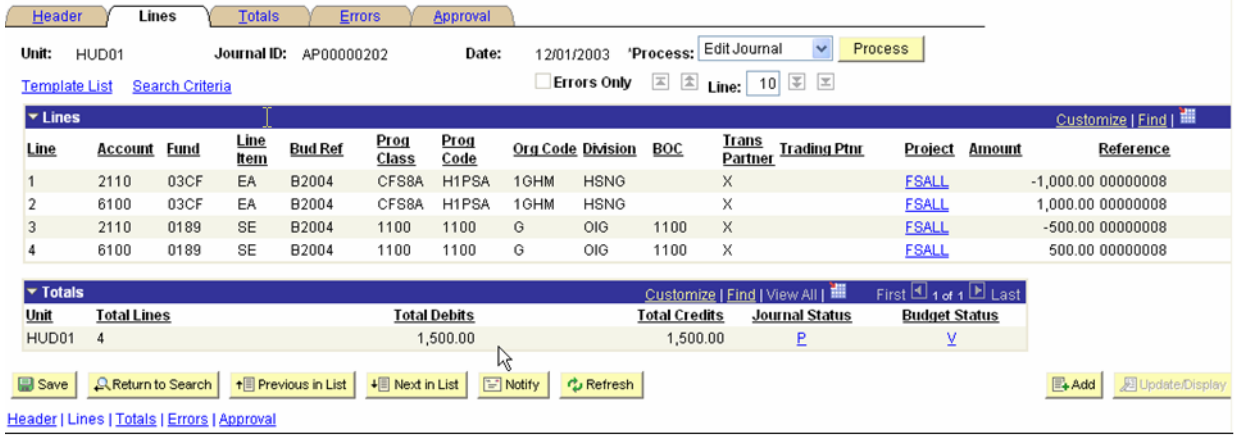
<td>


</td>
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<tr>
<td></td>
<td>4.1.5.M:
Bills are Created</td>
<td></td>
</tr>
</table>

INVOICE

Please Remit To:
Department of Treasury
2222 Street
Washington DC 11111
United States

Page:	1
Invoice No:	0000000019
Invoice Date:	12/01/2003
Customer Number:	W
Payment Terms:	Immediate
Due Date:	12/01/2003

Bill To:
George Washington
123 Main Street
Washington DC 20001
United States

AMOUNT DUE: 1,000.00 USD

Amount Remitted

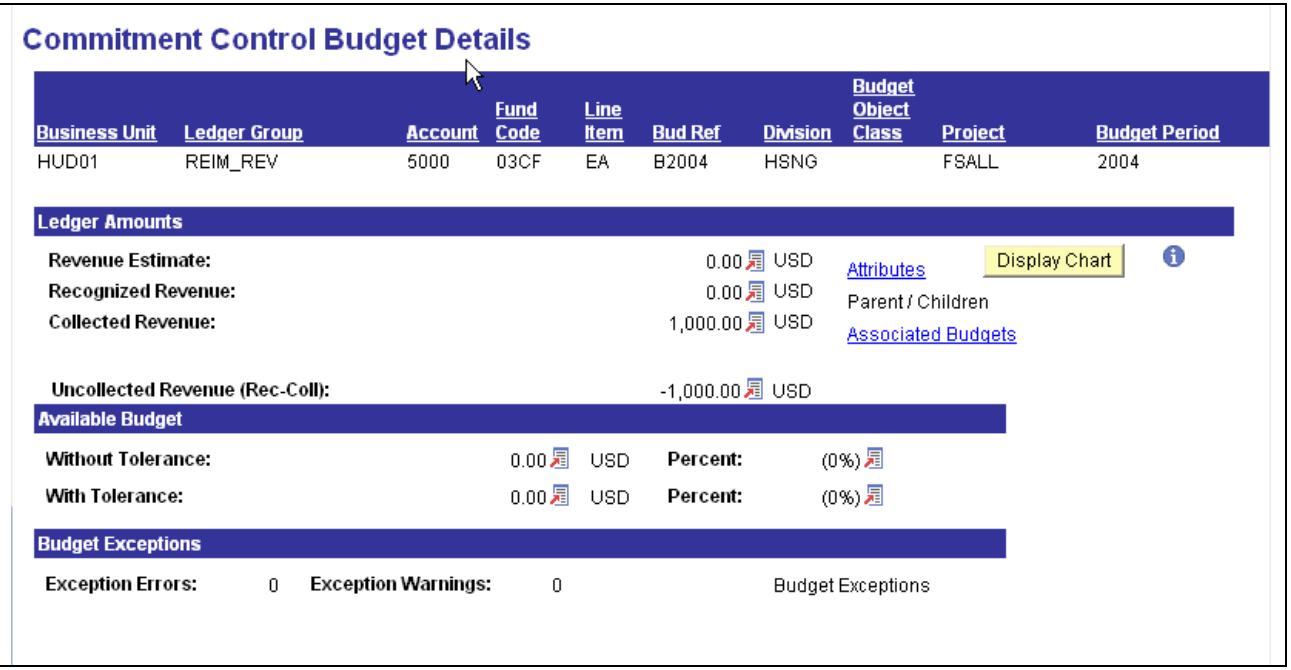
For billing questions, please call 800-246-8000

Line	Adj	Identifier	Description	Quantity	UOM	Unit Amt	Net Amount
1		CONS_GENERAL_	Bill FS	1.00	EA	1,000.00	1,000.00

SUBTOTAL: 1,000.00

TOTAL AMOUNT DUE : 1,000.00

4.1.5. N
• Revenue Budget Recognized amount is increased.



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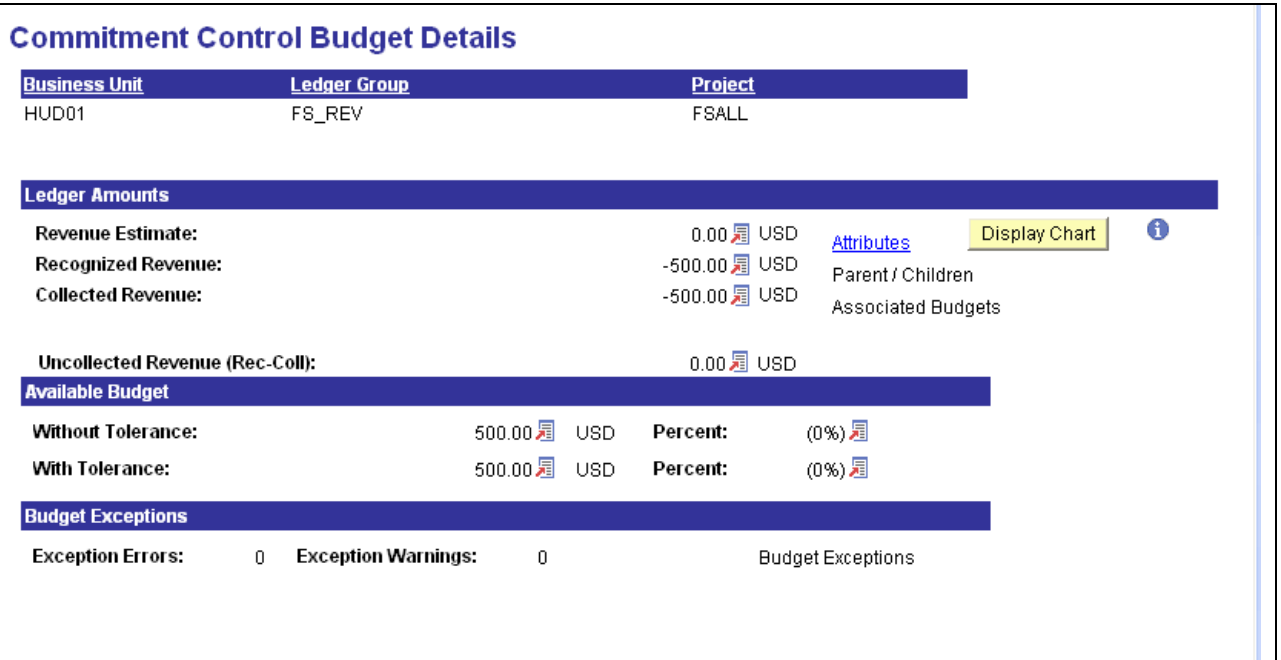
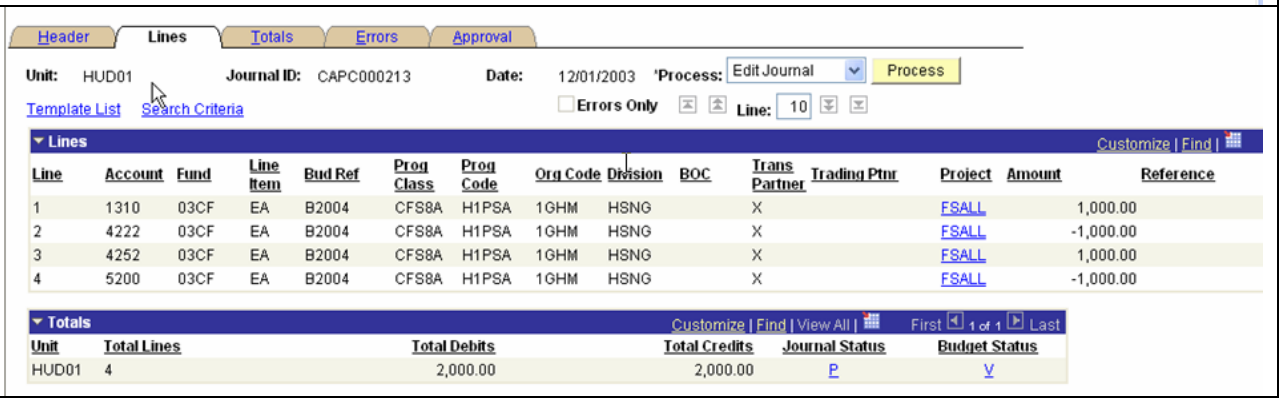


</td>
</tr>
<tr>
<td></td>
<td>4.1.5.O
• 1310 Accounts Receivable
• 5200 Revenue from Services Provided
• 4251 Reimbursements and Income Earned - Receivable
• 4221 Unfilled Customer Orders without Advance</td>
<td>


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SCENARIO 4.1.6: Create and Post Budget

Ref #	Scenario	Expected Results	Actual Results	Fit/ Partial / Gap	Severity Level (S, M, C, N/A)
4.1.6	▪ Pre-establish carryover of an unobligated balance of $500,000 of direct no-year authority from FY 2004 to FY 2005. Assume the prior year's apportionment is the same as current year (see 4.1.1).	**Script Execution** ▪ Unobligated balance is brought forward. **Budgetary Impact** ▪ Old budget amount is decreased. ▪ New budget amount is increased. **SGL Impact** ▪ Debit 4201 Total Actual Resources - Collected ▪ Credit 4450 Unapportioned Authority ▪ Debit 1010 Fund Balance with Treasury ▪ Credit 3310 Cumulative Results of Operations	**Script Execution** The Budget Definition for apportionments included a Budget Period Calendar that separated budget activity by year for control purposes. This appeared to be a reasonable approach during configuration; however it is a suboptimal approach for HUD in a Production environment. This scenario is best addressed through PeopleSoft's inherent ability to roll forward activity for no-year funds using a single Budget Period. For that reason Budget Period 2004 will be construed as X and therefore will be used for fiscal period 2005 use of this budget. **Budgetary Impact** ▪ N/A **SGL Impact** ▪ N/A	Fit	N/A

	During this part of the demonstration, the vendor should simply show that the designated balances have been established. In addition, the vendor should illustrate how the funding can be traced to its original budget year. *(D-39)*	**Script Execution** • A query is created to display original budget journal.	**Script Execution** • N/A		
		Budgetary Impact • Not applicable **SGL Impact** • Not applicable	**Budgetary Impact** ▪ N/A **SGL Impact** ▪ N/A		

SCENARIO 4.1.7: Create and Post Budgets

Ref #	Scenario	Expected Results	Actual Results	Fit/ Partial /Gap	Severity Level (H, M, L, N/A)
4.1.7	Create a current year budget for an appropriation and its associated distributed funds (e.g., allotments, assignments) based on a percentage of the appropriation's prior year funding. This scenario reflects the normal process that occurs under continuing resolution. *(Note: this scenario will require vendors to have established some prior year budgets with spending against them.)* *(D-34)*	**Script Execution** ▪ Current year budget for an appropriation and its associated distributed funds is created. **Budget Impact** ▪ Budget amount is increased. **SGL Impact** ▪ Debit 4119 Other Appropriations Realized ▪ Credit 4450 Unapportioned Authority *The rest of the postings should be the same as P.1.2	**Script Execution** 4.1.7-A ▪ See Gap Deliverable for details around the Partial Gap with regards to the Excel spreadsheet upload. ▪ Refer to Scenario 4.1.1 to reference a budget upload **Budgetary Impact** 4.1.7-B ▪ See Gap Deliverable for details around the Partial Gap with regards to the Excel spreadsheet upload. **SGL Impact** 4.1.7-C ▪ See Gap Deliverable for details around the Partial Gap with regards to the Excel spreadsheet upload.	Gap	L

SCENARIO 4.1.8: Budget

Ref #	Scenario	Expected Results	Actual Results	Fit/ Partial/ Gap	Severity Level (L, M, H, N/A)
4.1.8	➢ Process a request for additional funding outside the periodic budget review process. Include application of approvals. Process the approved reprogramming. *(D-44)*	**Script Execution** ▪ Please see Gap Document **Budgetary Impact** ▪ Not Applicable **SGL Impact** ▪ Not Applicable	**Script Execution** ▪ Please see Gap Section for scenario **Budgetary Impact** ▪ Not Applicable **SGL Impact** ▪ Not Applicable	Gap	M

SCENARIO 4.2.1: GENERAL PURCHASING

Ref #	Scenario	Expected Results	Actual Results	Fit/ Gap	Severity Level (L, M, H, N/A)
4.2.1	➢ Establish one-year reimbursable authority of $750,000 based on a newly created reimbursable agreement between HUD and a federal agency (Customer Y). In this agreement, HUD will be doing work for and subsequently be reimbursed by another Federal agency. *(D-56, D-57, D-58)*	**Script Execution** ▪ Reimbursable Agreement was created and contains specified information. ▪ Budget Journal entry is added. **Budgetary Impact** ▪ Budget amount is increased by` $750,000. **SGL Impact** ▪ Debit 4450Unapportioned Authority Available ▪ Credit 4590 Apportioned Unavailable ▪ Debit 4210 Anticipated Reimbursements and Income ▪ Credit 4450 Unapportioned Authority ▪ Debit 4590 Apportionments Available ▪ Credit 4610 Allotments – Realized Resources	**Script Execution** ▪ 4.2.1. A: Reimbursable Agreement was created and contains specified information. ▪ 4.2.1. B: Budget Journal entry is added. **Budgetary Impact** ▪ 4.2.1. C: Budget amount is increased by` $750,000. **SGL Impact** 4.2.1.D ▪ Debit 4450Unapportioned Authority Available ▪ Credit 4590 Apportioned Unavailable ▪ Debit 4210 Anticipated Reimbursements and Income ▪ Credit 4450 Unapportioned Authority ▪ Debit 4590 Apportionments Available ▪ Credit 4610 Allotments – Realized Resources	Fit	N/A

SCENARIO 4.2.1: GENERAL PURCHASING (continued)

Reference #	Actual Results	Screen Print
4.2.1	4.2.1. A: Reimbursable Agreement was created and contains specified information.	General | Lines | Additional Information | Amendments Contract Number: CA421 Amendment Number: 0000000000 Business Unit: HUD Offices Sold To Customer: Thomas Jefferson Description: Reimbursable Agreement Contract Type: REIMB *Contract Status: ACTIVE Processing Status: Active Amend Contract Contract Amounts Negotiated Amount: 750,000.00 Discounts/Surcharges: 0.00 Non-inclusive Prepaids: 0.00 Total: 750,000.00 Estimated (less Prepaids): 0.00 Estimated Total: 750,000.00 *Allocation Not Complete Add to My Contracts Currency: USD Exchange Rate Type: CRRNT Contract Signed: 12/01/2003 Last Amended: Start Date: 12/01/2003 End Date: 12/01/2006 Last Update Date/Time: 07/14/2005 5:45:17PM Last Update User ID: VP1 Go To: Billing Plans Revenue Plans Milestones Renewals More Save Return to Search Notify Add Update/Display

Reference #	Actual Results	Screen Print
		Related Projects | Contract Amendments Contract Number: CA421 — Description: Reimbursable Agreement Amendment Number: 0000000000 — Contract Type: REIMB Business Unit: HUD Offices — Contract Status: ACTIVE Sold To Customer: Thomas Jefferson — Processing Status: Active — Amend Contract Contract Admin: Angelini,Gina — Currency Code: USD Contract Line: 1 — Description: Consulting Services Product: CONS_GENERAL_01 — Price Type: Rate PC Business Unit: HUD01 — Discount ID: — Retainage ID: Tiered Pricing — Limit Amount: 750,000.00 — Limit Override Associated Rates — Find — First 1 of 1 Last Effective Date: 12/01/2003 — Status: Active — Rate Template Option: Use Rate Template — Rate Template ID: RA — View Rates: View Rates Create Project — Create Activity — All Activities Associated Projects & Activities — First 1 of 1 Last *Project: RANFD — Description: REIMB Agreement No Advance — *Activity: 000000000000001 — Description: RA NOADVANCE
	▪ 4.2.1. B: Budget Journal entry is added.	***Appropriation***

Reference #	Actual Results	Screen Print

Budget Header | Budget Lines | Budget Errors

Unit: HUD01 Journal ID: 0000000086 Date: 10/01/2003 Budget Header Status: Posted

Process: Post Journal Process

Lines — Customize | Find | View All | First 1 of 1 Last

Chartfields and Amounts | Base Currency Details

Line	Ledger	Budget Period	Speed Type	Fund	Line Item	Bud Ref	Set Options	Entry Event	Currency	Amount
1	APPROP_BUD	2004	Speed Type	0189	SE	B2004	Set Options	REIMBAUTH	USD	750,000.00

From Line: To: Generate Budget Period Lines

Totals

Total Lines:	Total Debits:	Total Credits:
1	0.00	750,000.00

Save | Return to Search | Notify | Add | Update/Display

Budget Header | Budget Lines | Budget Errors

Apportionment

Budget Header | Budget Lines | Budget Errors

Unit: HUD01 Journal ID: 0000000090 Date: 10/01/2003 Budget Header Status: Posted

Process: Post Journal Process

Lines — Customize | Find | View All | First 1 of 1

Chartfields and Amounts | Base Currency Details

Line	Ledger	Budget Period	Speed Type	Account	Fund	Line Item	Bud Ref	Division	Set Options	Entry Event	Currency	Amount
1	ALLOT_BUD	2004Q1	Speed Type	6000	0189	SE	B2004	OIG	Set Options	REIMBAUTH	USD	750,000.00

From Line: To: Generate Budget Period Lines

Totals

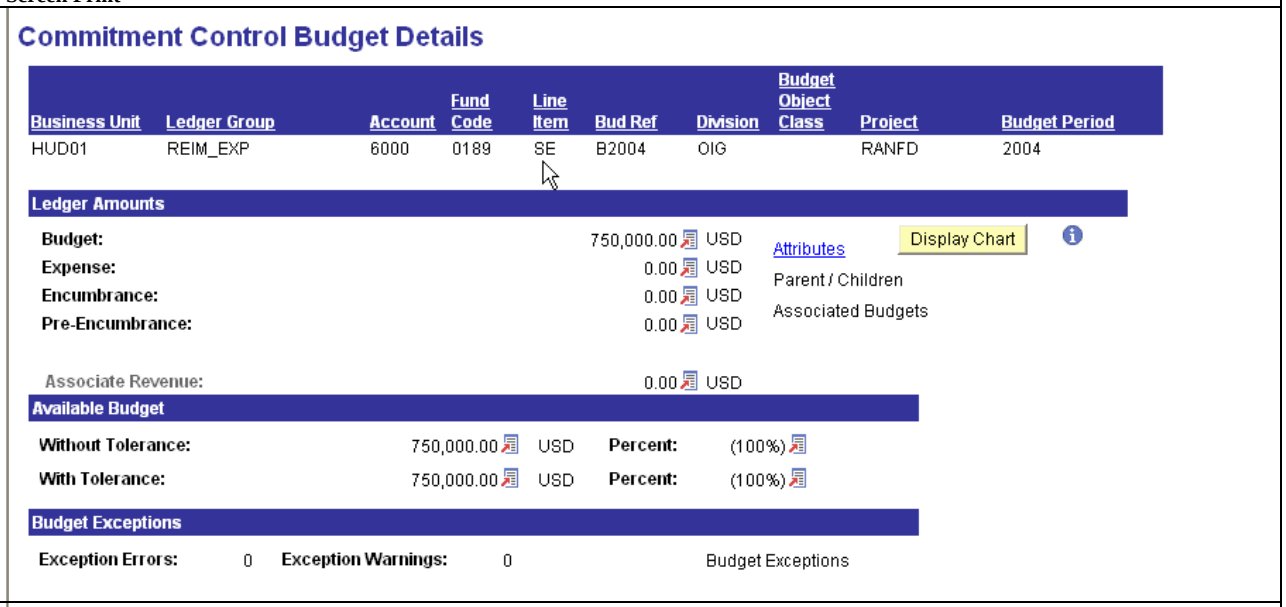
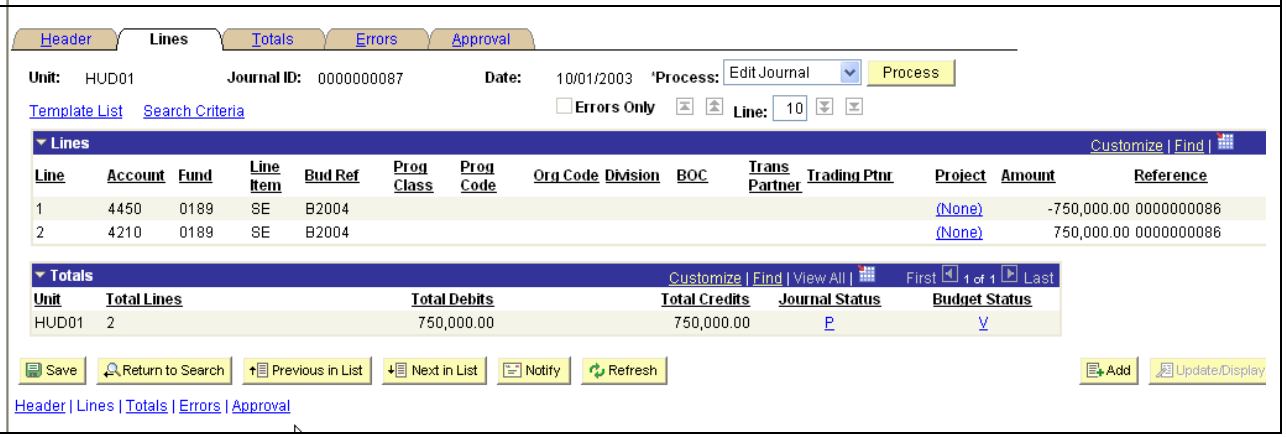
Total Lines:	Total Debits:	Total Credits:
1	0.00	750,000.00

Save | Return to Search | Notify | Add

Budget Header | Budget Lines | Budget Errors

Allotment

Reference #	Actual Results	Screen Print
		Budget Header | Budget Lines | Budget Errors Unit: HUD01 Journal ID: 0000000088 Date: 10/01/2003 Budget Header Status: Posted Process: Post Journal Process Lines — Customize | Find | View All | First 1 of 1 Last Chartfields and Amounts | Base Currency Details Line: 1 Ledger: APPORT_BUD Budget Period: 2004Q1 Speed Type: Speed Type Fund: 0189 Line Item: SE Bud Ref: B2004 Set Options: Set Options Entry Event: REIMBAUTH Currency: USD Amount: 750,000.00 From Line: To: Generate Budget Period Lines Totals — Total Lines: 1 Total Debits: 0.00 Total Credits: 750,000.00 Save | Return to Search | Notify | Add | Update/Display Budget Header | Budget Lines | Budget Errors **Detail Reimbursable Budget** Budget Header | Budget Lines | Budget Errors Unit: HUD01 Journal ID: BU421 Date: 12/01/2003 Budget Header Status: Posted Process: Post Journal Process Lines — Customize | Find | View All | First 1 of 1 Last Chartfields and Amounts | Base Currency Details Line: 1 Ledger: REIM_BUD Budget Period: 2004 Account: 6000 Fund: 0189 Line Item: SE Bud Ref: B2004 Division: OIG BOC: Project: RANFD Entry Event: Amount: 750,000.00 From Line: To: Generate Budget Period Lines Totals — Total Lines: 1 Total Debits: 0.00 Total Credits: 750,000.00 Save | Return to Search | Previous in List | Next in List | Notify | Add | Update/D Budget Header | Budget Lines | Budget Errors

Reference #	Actual Results	Screen Print
	4.2.1. C: Budget amount is increased by` $750,000.	
	4.2.1.D ▪ Debit 4450Unapportioned Authority Available ▪ Credit 4590 Apportioned Unavailable ▪ Debit 4210 Anticipated Reimbursements and Income ▪ Credit 4450 Unapportioned Authority ▪ Debit 4590 Apportionments Available ▪ Credit 4610 Allotments – Realized Resources	

Reference #	Actual Results	Screen Print

Header | Lines | Totals | Errors | Approval

Unit: HUD01 Journal ID: 0000000089 Date: 10/01/2003 *Process: Edit Journal Process

Template List Search Criteria Errors Only Line: 10

Lines — Customize | Find |

Line	Account	Fund	Line Item	Bud Ref	Prog Class	Prog Code	Org Code	Division	BOC	Trans Partner	Trading Ptnr	Project	Amount	Reference
1	4590	0189	SE	B2004								(None)	-750,000.00	0000000088
2	4450	0189	SE	B2004								(None)	750,000.00	0000000088

Totals — Customize | Find | View All | First 1 of 1 Last

Unit	Total Lines	Total Debits	Total Credits	Journal Status	Budget Status
HUD01	2	750,000.00	750,000.00	P	V

Save | Return to Search | Previous in List | Next in List | Notify | Refresh | Add | Update/Display

Header | Lines | Totals | Errors | Approval

Header | Lines | Totals | Errors | Approval

Unit: HUD01 Journal ID: 0000000106 Date: 10/01/2003 *Process: Edit Journal Process

Template List Search Criteria Errors Only Line: 10

Lines — Customize | Find |

Line	Account	Fund	Line Item	Bud Ref	Prog Class	Prog Code	Org Code	Division	BOC	Trans Partner	Trading Ptnr	Project	Amount	Reference
1	4610	0189	SE	B2004				OIG				(None)	-750,000.00	0000000090
2	4590	0189	SE	B2004				OIG				(None)	750,000.00	0000000090

Totals — Customize | Find | View All | First 1 of 1 Last

Unit	Total Lines	Total Debits	Total Credits	Journal Status	Budget Status
HUD01	2	750,000.00	750,000.00	P	V

Save | Return to Search | Previous in List | Next in List | Notify | Refresh | Add | Update/Display

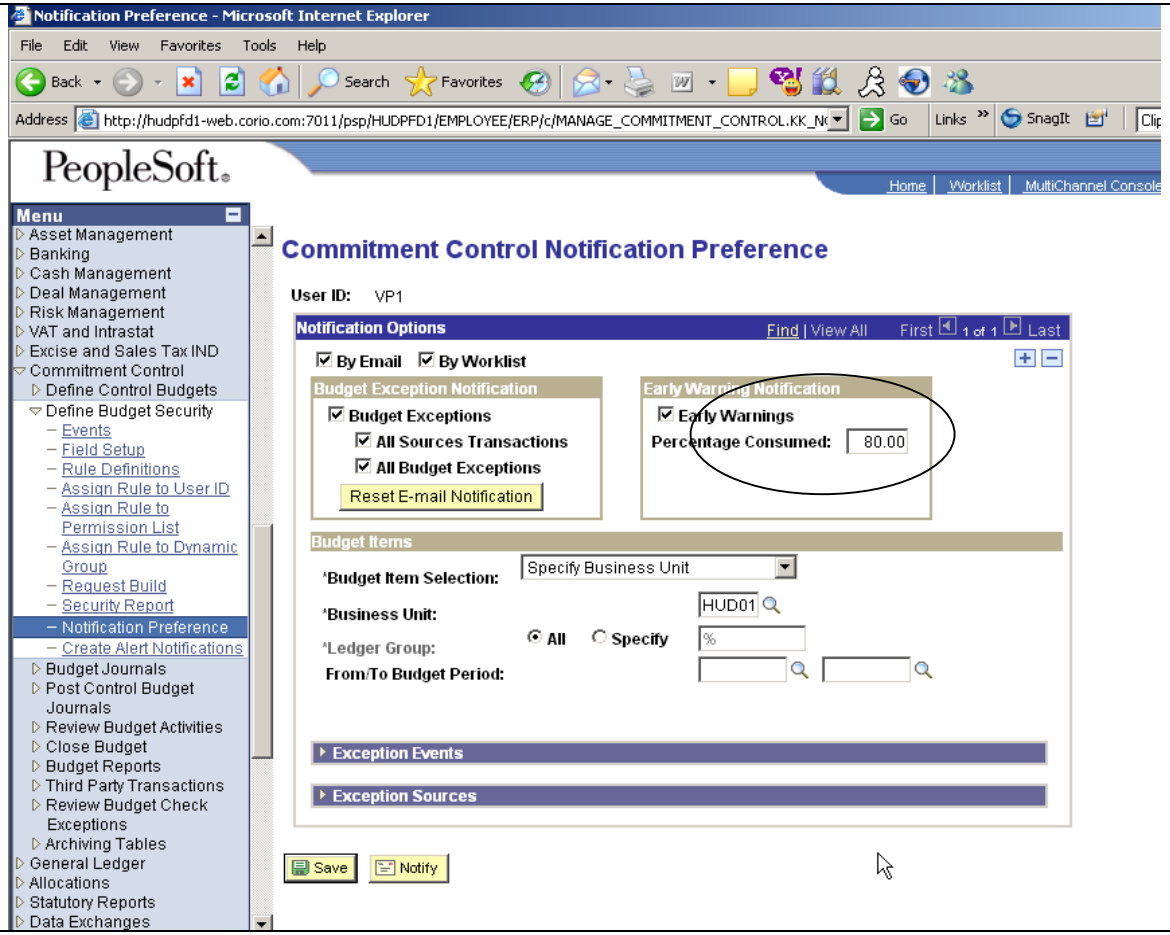
Header | Lines | Totals | Errors | Approval

SCENARIO 4.3.1: Demonstrate Miscellaneous Budget/Cost Processes

Ref #	Scenario	Expected Results	Actual Results	Fit/ Gap	Severity Level (L, M, H, N/A)
4.3.1	➢ Demonstrate funds control set-up and notification capabilities for transactions failing funds availability edits as follows: ▪ Enter an obligation. Demonstrate a warning flag to users before funds exceed a defined threshold (e.g.; 80%), while still allowing the transaction to process. ▪ Enter an obligation with the same Program. Demonstrate rejection/error message and then allow routing to an Approving Official with authority to override the exceeded threshold within a 5% tolerance level. Demonstrate processing of the override transaction. *(Vendor Instruction: The vendor should pre-establish the necessary funds control thresholds to support this demonstration, prior to the OCD.)*	**Script Execution** • Warning Flag is issued. • Obligation is processed. **Budgetary Impact** • Budget amount is reduced by $24,000,000.00 • Obligation is increased by $24,000,000.00. **SGL Impact** • DR 4619 Suballmts Avail – Commt/Oblig ▪ CR 4801Undelivered Orders –Obligations, Unpaid	**Script Execution** • 4.3.1.A: Warning Flag is issued. • 4.3.1.B: Obligation is processed. **Budgetary Impact** 4.3.1.C: • Budget amount is reduced by $24,000,000.00 • Obligation is increased by $24,000,000.00. **SGL Impact** 4.3.1.D**:** • DR 4619 Suballmts Avail – Commt/Oblig ▪ CR 4801Undelivered Orders – Obligations, Unpaid	Fit	N/A

	▪ Enter an obligation with the same Program. Demonstrate rejection/error message and then allow routing to an Approving Official with authority to override the exceeded threshold within a 5% tolerance level. Demonstrate processing of the override transaction. *(Vendor Instruction: The vendor should pre-establish the necessary funds control thresholds to support this demonstration, prior to the OCD.)*	**Script Execution** • Obligation is rejected. • Message is routed to Approving Official. • Obligation is processed **Budgetary Impact** • Budget amount is reduced by $6,000,000.00 • Obligations is increased by $6,000,000.00. **SGL Impact** • DR 4619 Suballmts Avail – Commt/Oblig • CR 4801Undelivered Orders-Obligations, Unpaid	**Script Execution** • 4.3.1.E: Obligation is rejected. • 4.3.1.F: Message is routed to Approving Official. • 4.3.1.G: Obligation is processed. **Budgetary Impact** 4.3.1.H: • Budget amount is reduced by $6,000,000.00 • Obligations is increased by $6,000,000.00. **SGL Impact** 4.3.1.I: • DR 4619 Suballmts Avail – Commt/Oblig • CR 4801Undelivered Orders-Obligations, Unpaid	Fit	N/A

SCENARIO 4.3.1: Demonstrate Miscellaneous Budget/Cost Processes (continued)

Reference #	Actual Results	Screen Print
4.3.1	4.3.1.A: Warning Flag is issued.	

Reference #	Actual Results	Screen Print
	4.3.1.B: Obligation is processed.	

Add/Update POs - Microsoft Internet Explorer

File Edit View Favorites Tools Help

Address http://hudpfd1-web.corio.com:7011/psp/HUDPFD1_1/EMPLOYEE/ERP/c/MANAGE_PURCHASE_ORDERS.PURCH

PeopleSoft.

Home | Worklist | MultiChannel Console | Add to Favorites

Maintain Purchase Order

Purchase Order

Unit: HUD01
PO ID: PO4.3.1A
Copy From:
PO Status: Approved
Budget Status: Valid
Hold From Further Processing

Header

*PO Date: 10/15/2003 Vendor Search
Vendor V-20-001 Vendor Details
*Vendor ID: V-20 Office Depot
*Buyer: VP1 Kenneth Schumacher
PO Reference:
Doc Tol Status: Valid
Receipt Status: Not Recvd
*Dispatch Method: Phone Dispatch

Amount Summary

Merchandise: 24000000.00
Freight/Tax/Misc.: 0.00 Calculate
Total Amount: 24000000.00 USD

Header Details | PO Activities | Add ShipTo Comments
PO Defaults | Document Status
Add Comments | Requisitions

Add Items From: Purchasing Kit | Catalog | Item Search

Select Lines To Display: Line: To: Retrieve

Lines — Customize | Find | View All | First 1 of 1 Last

Details | Ship To/Due Date | Statuses | Item Information | Attributes | RFQ | Contract | Receiving

Line	Item	Description	PO Qty	*UOM	Category	Price	Amount	Status
1		Goods	24000000.00	EA	OFFICE	1.00000	24000000.00	Active

View Printable Version

*Go to: ... More ...

Reference #	Actual Results	Screen Print

Add/Update POs - Microsoft Internet Explorer

File Edit View Favorites Tools Help

Address http://hudpfd1-web.corio.com:7011/psp/HUDPFD1_1/EMPLOYEE/ERP/c/MANAGE_PURCHASE_ORDERS.PURCHA

PeopleSoft.

Home | Worklist | MultiChannel Console | Add to Favorites

New Window | Help | Customize Page

Maintain Purchase Order

Distributions for Schedule 1

Unit: HUD01
PO ID: PO4.3.1A
Line: 1
Sched: 1
*Distribute by: Quantity
SpeedChart: Multi-SpeedCharts

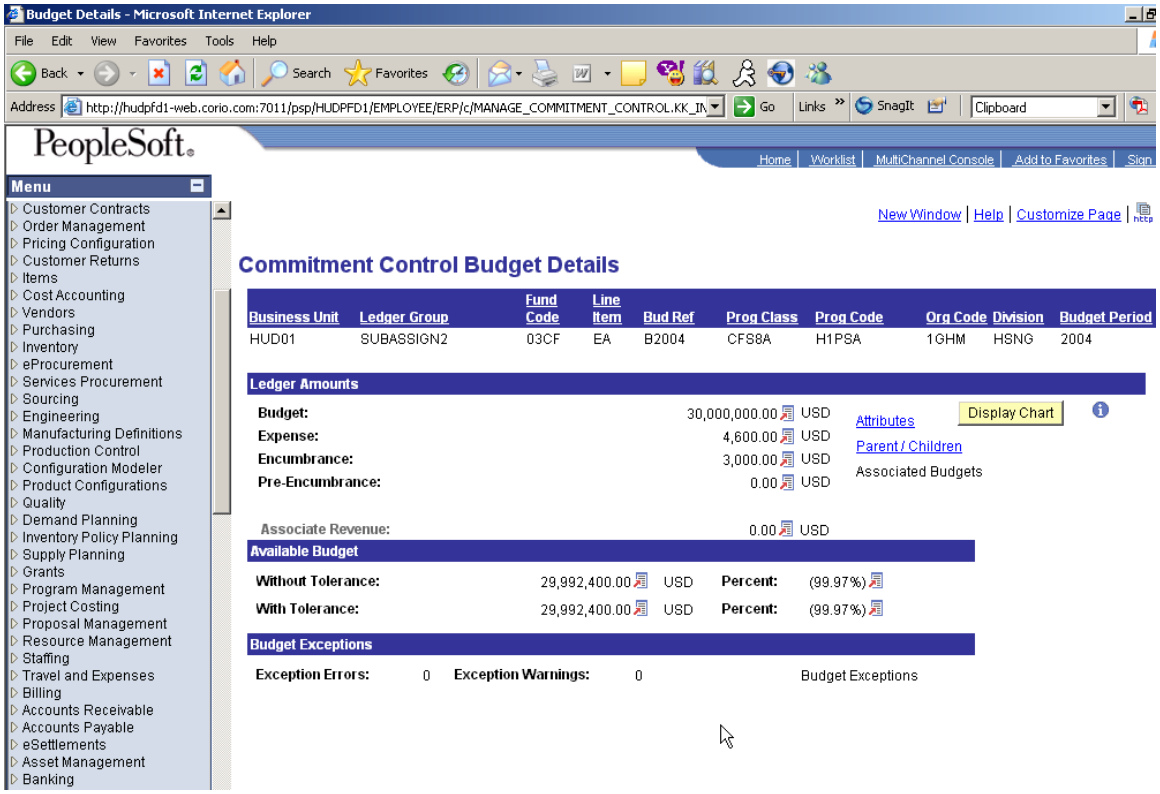
Vendor: V-20-001
Item: Goods
Status: Active
Schedule Qty: 24000000.0000
Merchandise Amt: 24000000.00 USD
Doc. Base Amount: 24000000.00 USD

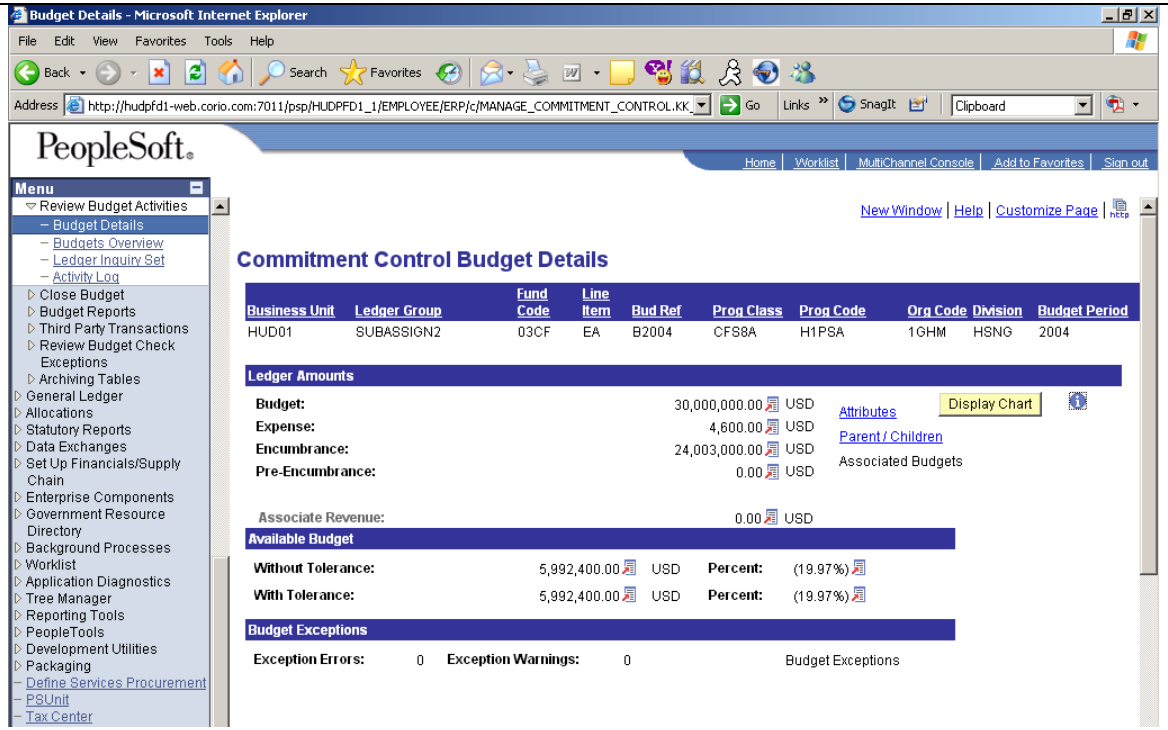
Distribution — Customize | Find | View All | First

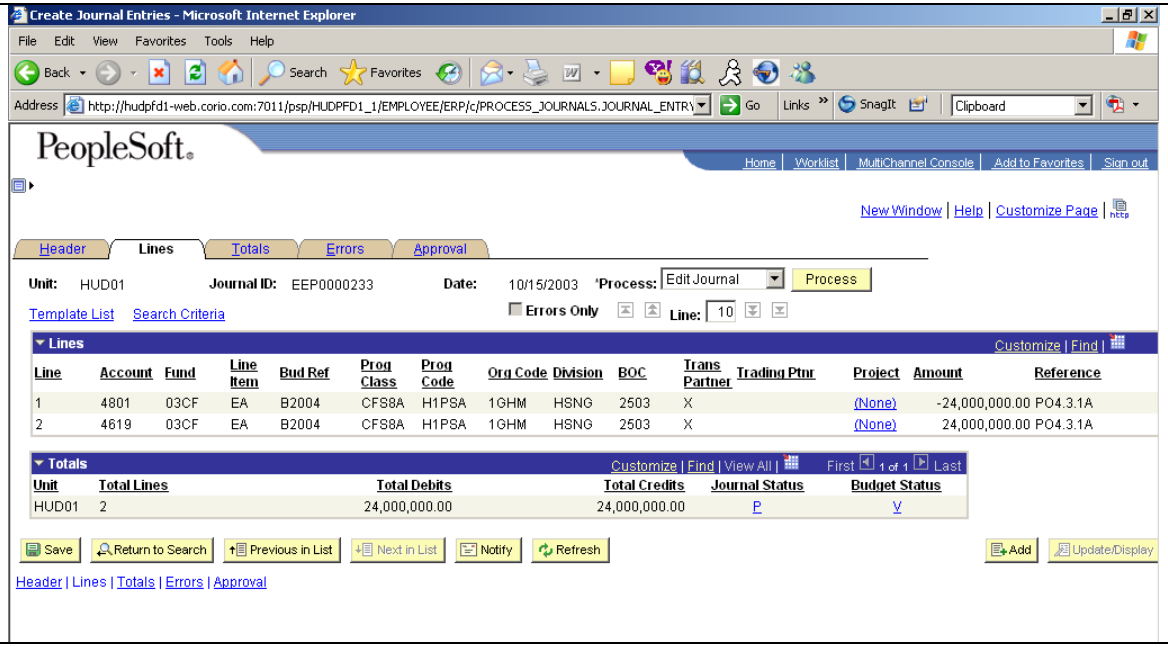
Chartfields | Details/Tax | Asset Information | Req Detail | Statuses

PO Qty	*GL Unit	Entry Event	*Account	Fund	Line Item	Bud Ref	Prog Class	Prog Code	Org Code	Division	Budget Object Class	Trans Partner	Trading Ptnr	Project
2400000	HUD01	PO_AP	6100	03CF	EA	B2004	CFS8A	H1PSA	1GHM	HSNC	2503	X		Project

OK Cancel Refresh

Reference #	Actual Results	Screen Print
	4.3.1.C: • Budget amount is reduced by $24,000,000.00 • Obligation is increased by $24,000,000.00.	*Before Budget Check*  *After Budget Check*

Reference #	Actual Results	Screen Print
		

Reference #	Actual Results	Screen Print
	4.3.1.D: • DR 4619 Suballmts Avail – Commt/Obli ▪ CR 4801Undelivered Orders –Obligations, Unpaid	

Create Journal Entries - Microsoft Internet Explorer

File Edit View Favorites Tools Help

Address http://hudpfd1-web.corio.com:7011/psp/HUDPFD1_1/EMPLOYEE/ERP/c/PROCESS_JOURNALS.JOURNAL_ENTRY

PeopleSoft.

Home | Worklist | MultiChannel Console | Add to Favorites | Sign out

New Window | Help | Customize Page

Header | Lines | Totals | Errors | Approval

Unit: HUD01 Journal ID: EEP0000233 Date: 10/15/2003 *Process: Edit Journal Process

Template List Search Criteria Errors Only Line: 10

Lines — Customize | Find

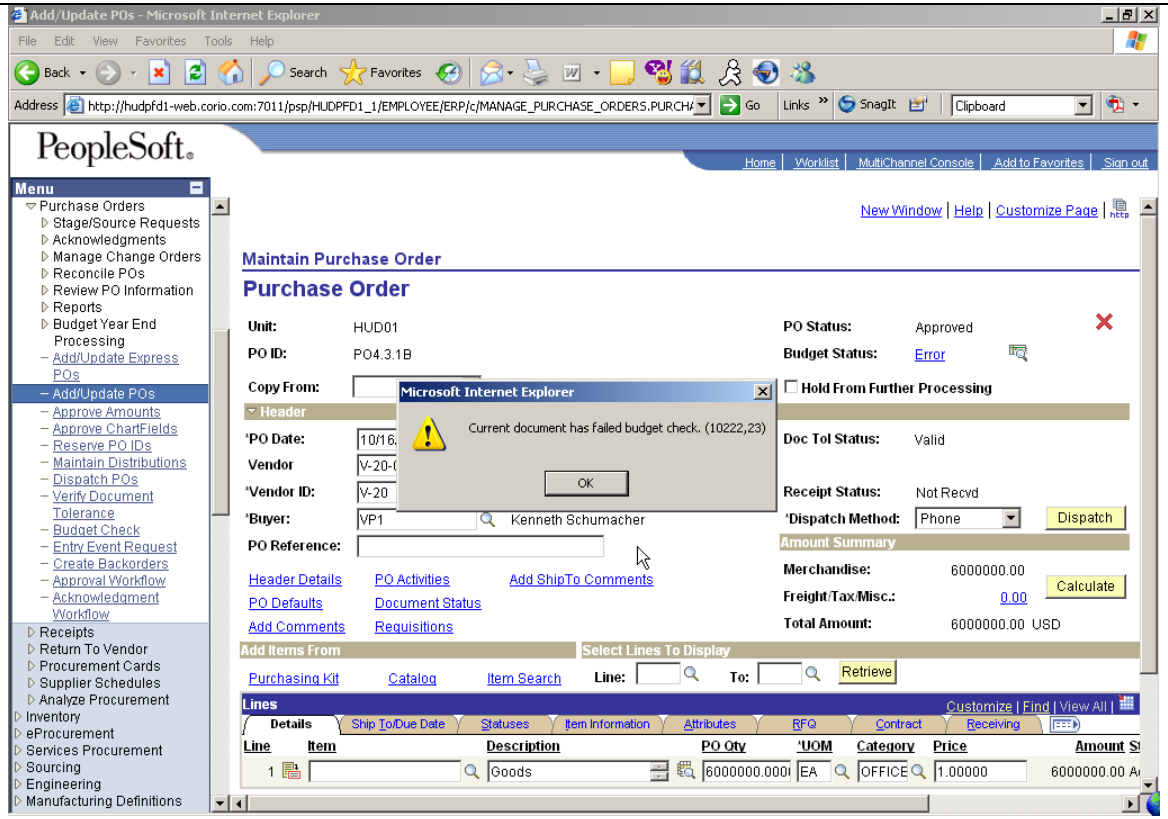
Line	Account	Fund	Line Item	Bud Ref	Prog Class	Prog Code	Org Code	Division	BOC	Trans Partner	Trading Ptnr	Project	Amount	Reference
1	4801	03CF	EA	B2004	CFS8A	H1PSA	1GHM	HSNG	2503	X		(None)	-24,000,000.00	PO4.3.1A
2	4619	03CF	EA	B2004	CFS8A	H1PSA	1GHM	HSNG	2503	X		(None)	24,000,000.00	PO4.3.1A

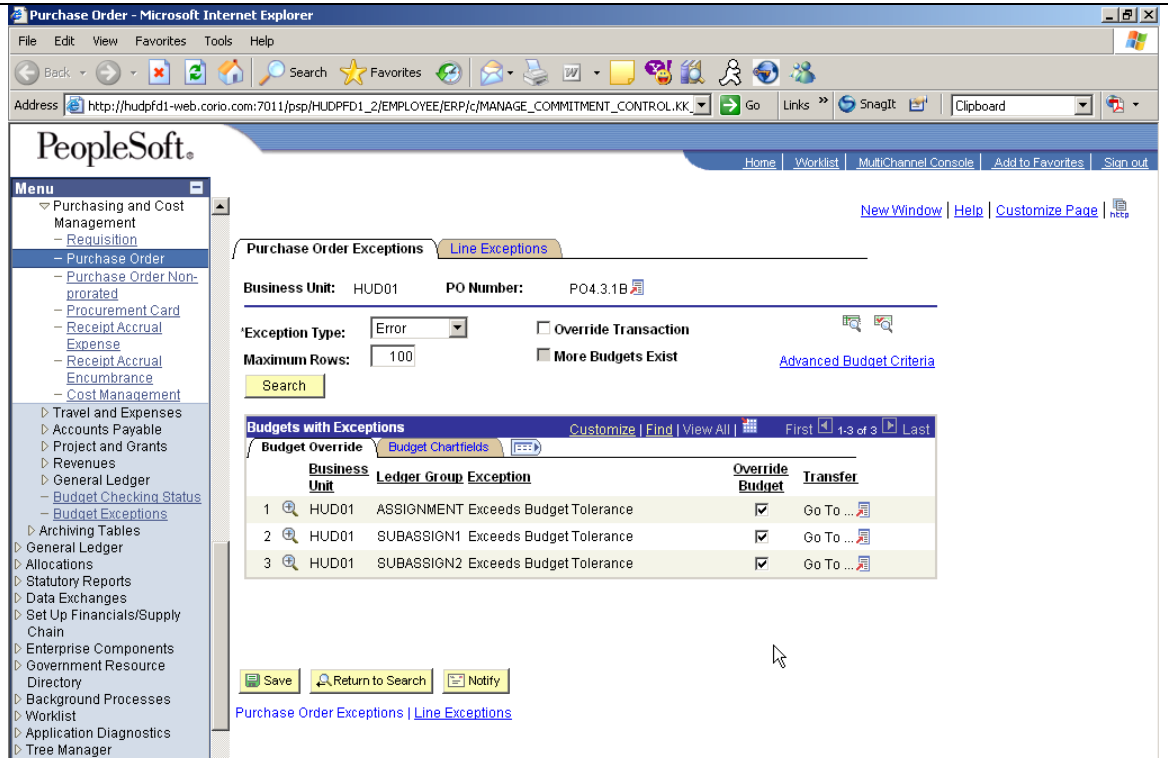
Totals — Customize | Find | View All | First 1 of 1 Last

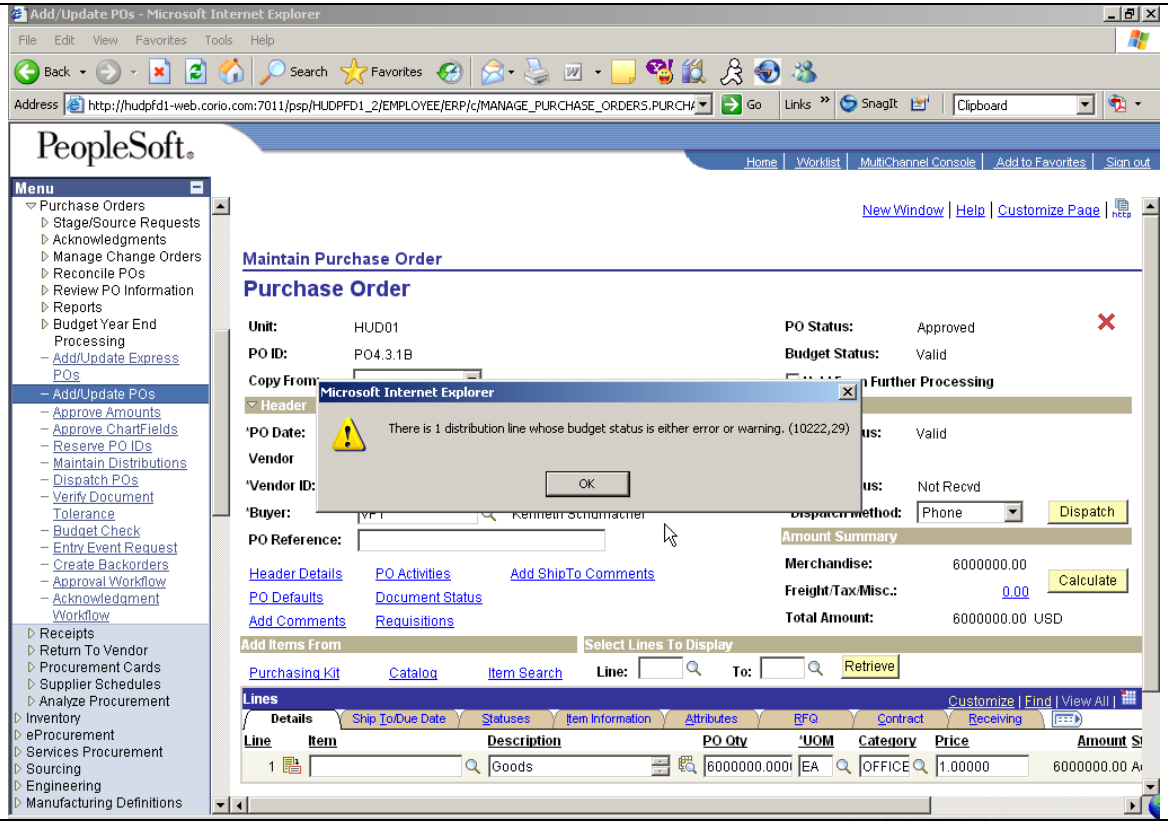
Unit	Total Lines	Total Debits	Total Credits	Journal Status	Budget Status
HUD01	2	24,000,000.00	24,000,000.00	P	V

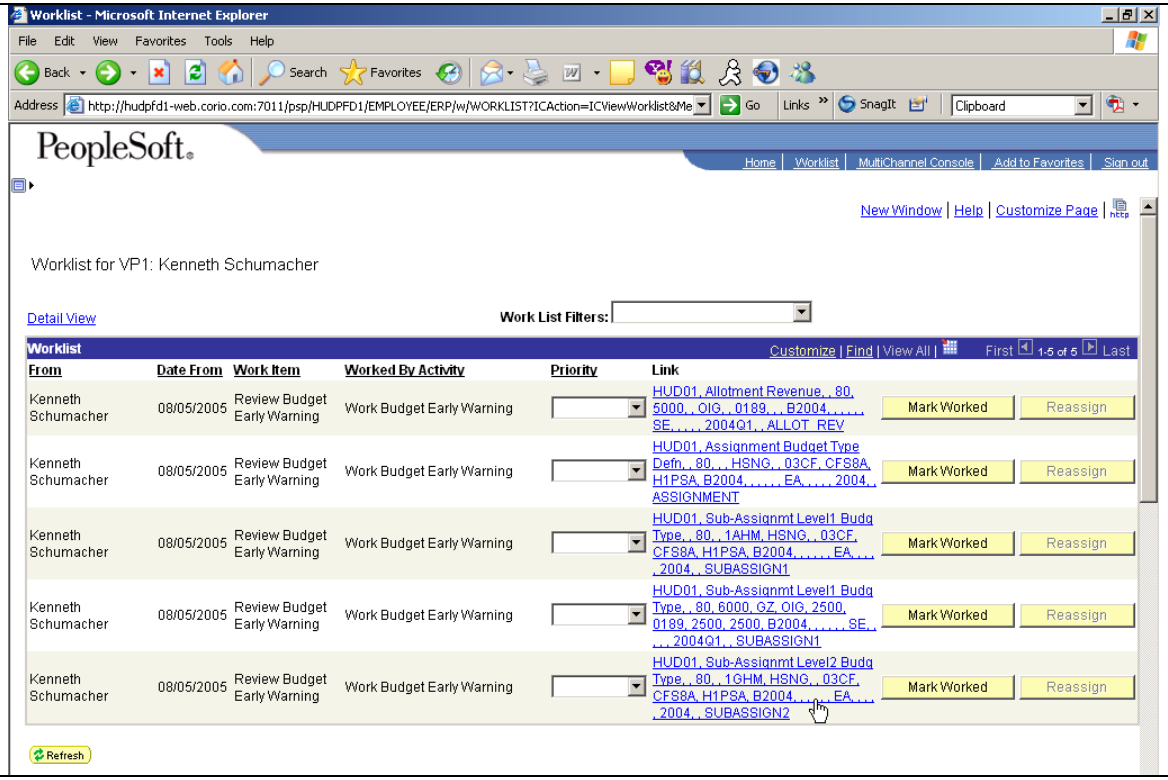
Save | Return to Search | Previous in List | Next in List | Notify | Refresh | Add | Update/Display

Header | Lines | Totals | Errors | Approval

Reference #	Actual Results	Screen Print
	4.3.1.E: Obligation is rejected.	

Reference #	Actual Results	Screen Print
		

Reference #	Actual Results	Screen Print
		

Reference #	Actual Results	Screen Print
	4.3.1.F: Message is routed to Approving Official.	

Reference #	Actual Results	Screen Print
	4.3.1.G: Obligation is processed	

Budget Exceptions - Microsoft Internet Explorer

File Edit View Favorites Tools Help

Address http://hudpfd1-web.corio.com:7011/psp/HUDPFD1_3/EMPLOYEE/ERP/c/MANAGE_COMMITMENT_CONTROL.KK

PeopleSoft.

Home | Worklist | MultiChannel Console | Add to Favorites | Sign out

Budget Period: 2004

Customize | Find | First 1-13 of 13 Last

ChartField	ChartField Value	Description
Account:		
Fund Code:	03CF	Amendments
Line Item:	EA	Line Item EA
Bud Ref:	B2004	2004 Budget
Prog Class:	CFS8A	Section 8 Amendments
Prog Code:	H1PSA	Project Reserv Amomt
Org Code:	1GHM	MF HSNG DIVISION
Division:	HSNG	Office of Housing
Budget Object Class:		
Transaction Partner:		
Trading Partner:		
Project:		
Affiliate:		

*Exception Type: Warning Maximum Rows: 100 More Transactions Exist

Search

Advanced Transaction Criteria Budget Detail

Transactions with Budget Exceptions Customize | Find | View All | First 1 of 1 Last

Date	Transaction Type	Exception	Amount	ID Name	ID Value	Override Budget	Override User ID	Override Date	Transfer
08/05/2005	PO_POENC	Override of 'Exceeds Budget'	6,000,000.00 USD	Purchase Order:	PO4.3.1B	☑	VP1	08/05/2005 6:59:09PM	Go To ...

Reference #	Actual Results	Screen Print
		After Budget Check

Budget Details - Microsoft Internet Explorer

File Edit View Favorites Tools Help

Address http://hudpfd1-web.corio.com:7011/psp/HUDPFD1_2/EMPLOYEE/ERP/c/MANAGE_COMMITMENT_CONTROL.KK

PeopleSoft.

Home | Worklist | MultiChannel Console | Add to Favorites | Sign out

Menu
- Review Budget Activities
  - Budget Details
  - Budgets Overview
  - Ledger Inquiry Set
  - Activity Log
- Close Budget
- Budget Reports
- Third Party Transactions
- Review Budget Check Exceptions
- Archiving Tables
- General Ledger
- Allocations
- Statutory Reports
- Data Exchanges
- Set Up Financials/Supply Chain
- Enterprise Components
- Government Resource Directory
- Background Processes
- Worklist
- Application Diagnostics
- Tree Manager
- Reporting Tools
- PeopleTools
- Development Utilities
- Packaging
- Define Services Procurement
- PSUnit
- Tax Center
- Treasury Management Center
- Treasury Processing Center

New Window | Help | Customize Page

Commitment Control Budget Details

Business Unit	Ledger Group	Fund Code	Line Item	Bud Ref	Prog Class	Prog Code	Org Code	Division	Budget Period
HUD01	SUBASSIGN2	03CF	EA	B2004	CFS8A	H1PSA	1GHM	HSNG	2004

Ledger Amounts

Budget:	30,000,000.00	USD
Expense:	4,600.00	USD
Encumbrance:	30,003,000.00	USD
Pre-Encumbrance:	0.00	USD
Associate Revenue:	0.00	USD

Attributes
Parent / Children
Associated Budgets
Display Chart

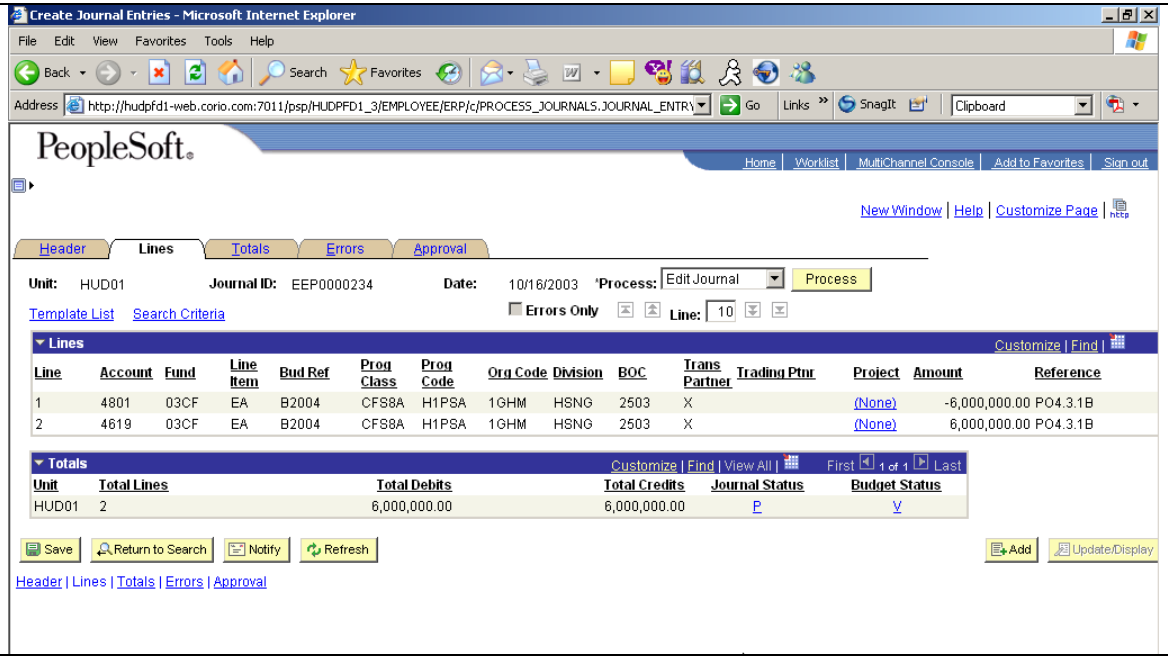
Available Budget

Without Tolerance:	-7,600.00	USD	Percent:	(-0.03%)
With Tolerance:	-7,600.00	USD	Percent:	(-0.03%)

Budget Exceptions

Exception Errors: 0 Exception Warnings: 1 Budget Exceptions

Reference #	Actual Results	Screen Print
	4.3.1.H: • Budget amount is reduced by $6,000,000.00 • Obligations are increased by $6,000,000.00.	



SCENARIO 4.3.2: Create and Post Budget

Ref #	Scenario	Expected Results	Actual Results	Fit/ Partial /Gap	Severity Level (L, M, H, N/A)
4.3.2	➢ Demonstrate the application of different funds control structures for HUD Components: ▪ Attempt to record obligations to the following three funding levels: Sub-assignment level 1 A ($300), Assignment A ($300) and Sub-allotment A ($300). The obligations should be accepted as they will all sum to $900 and be well within the control level established for Allotment A ($4,000).	**Script Execution** The obligations are accepted. **Budgetary Impact** • Budget amount is reduced. •Obligation amount is increased. **SGL Impact** • DR 4619 Suballmts Avail – Commt/Oblig • CR 4801Undelivered Orders – Obligations, Unpaid	See Gap Section in the evaluation report for scenario 4.3.2	Gap	H
	▪ Attempt to record obligations of $950 at the Sub-assignment level1A level and Assignment A levels (i.e., two transactions) and show how both obligations are rejected because the funds control level was exceeded.	**Script Execution** • The obligations are rejected due to a funds control error. **Budgetary Impact** • Budget strips and amounts are not updated. **SGL Impact** • Not applicable.			

SCENARIO 4.3.3: Demonstrate Miscellaneous Budget/Cost Processes

Ref #	Scenario	Expected Results	Actual Results	Fit/ Gap	Severity Level (S, M, C, N/A)
4.3.3	➢ Process a New Year Recovery ▪ Process the recovery of a prior year obligation transaction (i.e., de-obligation), which was made in FY 2003 against direct, no-year funding authority. ▪ The de-obligation/ recoveries are processed in FY 2004. ▪ Demonstrate posting of the recovery transactions to the general ledger	**Script Execution** ▪ Purchase Order is created and processed in 2004 ▪ De-Obligation process run successfully in 2005 **Budgetary Impact** ▪ Budget amount is reduced. ▪ Obligation amount is increased. ▪ Obligation amount is decreased. ▪ Budget amount is increased. **SGL Impact** ▪ DR 4871 Downward Adjustments of Prior-Year Unpaid Undelivered Orders - Obligations, Recoveries ▪ CR 4619 Suballmts Avail – Commt/Oblig	**Script Execution** ▪ 4.3.3.A: Purchase Order is created and processed in 2003 ▪ 4.3.3.D: De-Obligation process run successfully in 2004 **Budgetary Impact** ▪ 4.3.3. B: Budget amount is reduced. ▪ 4.3.3. C: Obligation amount is increased. ▪ 4.3.3. E: Obligation amount is decreased. ▪ 4.3.3. F: Budget amount is increased. **SGL Impact** 4.3.3.G ▪ DR 4871 Downward Adjustments of Prior-Year Unpaid Undelivered Orders - Obligations, Recoveries ▪ CR 4619 Suballmts Avail – Commt/Oblig	Fit	N/A
	▪ Query available funding both prior to and after processing the recoveries to view the resulting increase in available funding balances. ▪ Query transaction detail to track the de-obligation against the original obligation, so that it can be credited to the appropriate project or initiative.	**Script Execution** • A query was created to verify funding. • A query was created to verify transaction details. **Budgetary Impact** • Not Applicable.	**Script Execution** • 4.3.3.H: A query was created to verify funding. • 4.3.3.I: A query was created to verify transaction details. **Budgetary Impact** • Not Applicable.		

		SGL Impact • Not Applicable.	**SGL Impact** • Not Applicable.		

SCENARIO 4.3.3: Demonstrate Miscellaneous Budget/Cost Processes (Continued)

Reference #	Actual Results	Screen Print
4.3.3	4.3.3.A: Purchase Order is created and processed in 2004	

Purchase Order Inquiry

Purchase Order

Unit: HUD01
PO ID: 4.3.3
PO Status: Compl
Budget Status: Not Chk'd

Header

PO Date: 11/15/2003
Vendor: V-02-001
Vendor ID: V-02 Vendor Details
Buyer: Kenneth Schumacher
PO Reference:

Header Details | All RTV | Matching
Header Comments | Document Status
Change Order

Doc Tol Status: Valid
Backorder Status: None
Receipt: Not Recvd
☐ **Hold From Further Processing**

Amount Summary

Merchandise: 150.00
Freight/Tax/Misc.: 0.00
Total: 150.00 USD

Select Lines to Display

Lines Customize | Find | View All | First 1 of 1 Last

Line	Item	Item Description	Category	PO Qty	UOM	Amount	Status
1		PAPER	ALLITEMS	1.0000	EA	150.00 USD	Closed

Reference #	Actual Results	Screen Print
		Purchase Order Inquiry **Distributions for Schedule 1** PO ID: 4.3.3 Line: 1 Sched: 1 Item: Status: Closed Sched Qty: 1.0000 Distribute by: Quantity Merchandise Amt: 150.00 USD Doc. Base Amount: 150.00 USD Distributions Custom Chartfields / More Details / Details/Tax / Asset Information / Req Detail Return
	4.3.3. B: Budget amount is reduced.	**Commitment Control Budget Details**

Purchase Order Inquiry

Distributions for Schedule 1

PO ID: 4.3.3 Line: 1 Sched: 1 Item:

Status: Closed Sched Qty: 1.0000

Distribute by: Quantity Merchandise Amt: 150.00 USD

Doc. Base Amount: 150.00 USD

Distributions Custom

Chartfields | More Details | Details/Tax | Asset Information | Req Detail

Dist	Status	Percent	PO Qty	Amount	GL Unit	Entry Event	Account	Fund	Line Item	Bud Ref	Prog Class	Prog Code	Org Code	Division	BOC
1	Complete	100.0000	1.0000	150.00 USD	HUD01	PO	6100	97EL	OE	B2004	ELOE	EOP	F	CFO	

Return

Commitment Control Budget Details

Business Unit	Ledger Group	Account	Fund Code	Line Item	Bud Ref	Prog Class	Prog Code	Org Code	Division	Budget Object Class	Budget Period
HUD01	SUBASSIGN1		97EL	OE	B2004	ELOE	EOP	F	CFO		2004

Ledger Amounts

Budget:	100,000,000.00	USD
Expense:	300.00	USD
Encumbrance:	150.00	USD
Pre-Encumbrance:	0.00	USD
Associate Revenue:	0.00	USD

Display Chart

Attributes

Parent / Children

Associated Budgets

Available Budget

Without Tolerance:	99,999,550.00	USD	Percent:	(100%)
With Tolerance:	99,999,550.00	USD	Percent:	(100%)

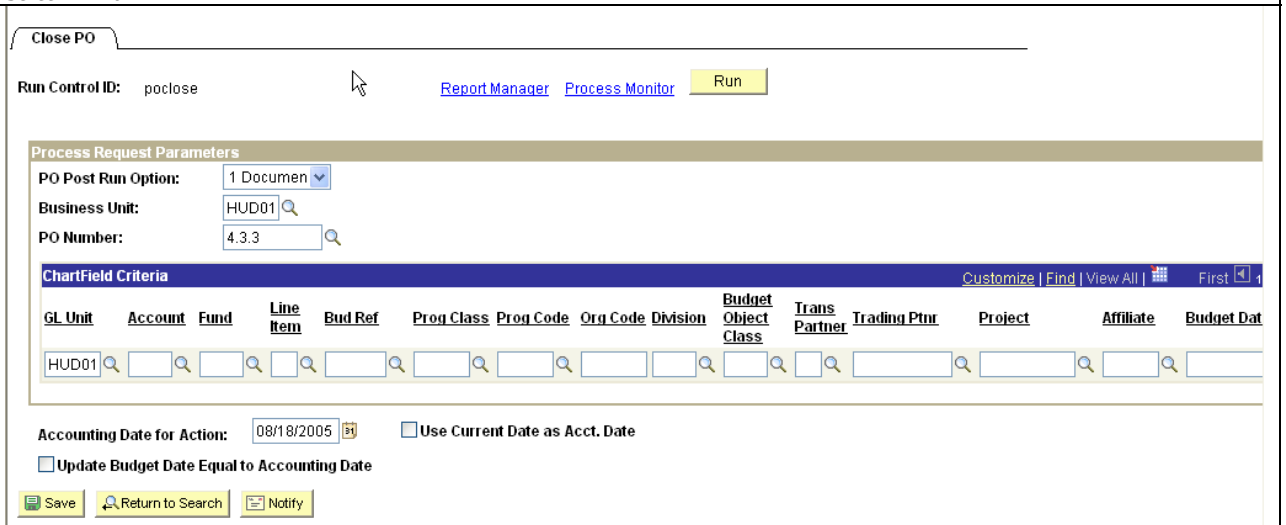
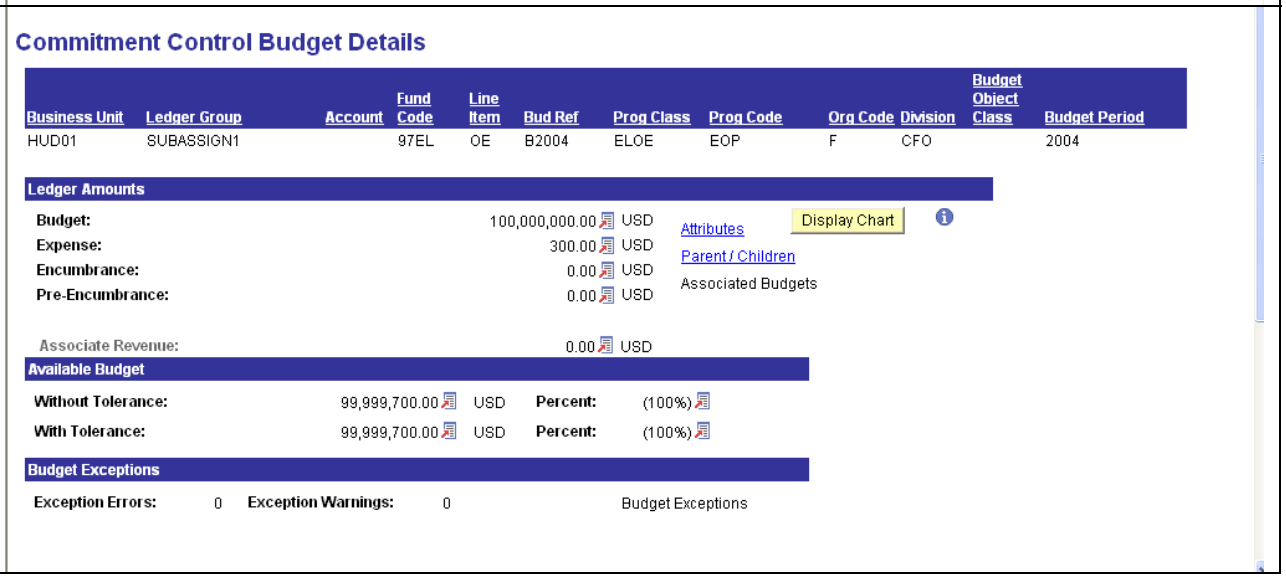
Budget Exceptions

Exception Errors: 0 Exception Warnings: 0 Budget Exceptions

Reference #	Actual Results	Screen Print
	4.3.3. C: Obligation amount is increased.	

Commitment Control Activity Log Lines — Customize | Find | View All | First 1-21 of 21 Last

Ledger	Document ID	Account	Fund Code	Line Item	Bud Ref	Prog Class	Prog Code	Org Code	Division	Transaction Partner	Transaction Amount
ALLOT_ENC	4.3.3		97EL	OE	B2004				CFO		150.00
ALLOT_ENC	4.3.3		97EL	OE	B2004				CFO		
ALLOT_ENC	4.3.3		97EL	OE	B2004				CFO		-150.00
APPORT_ENC	4.3.3		97EL	OE	B2004						150.00
APPORT_ENC	4.3.3		97EL	OE	B2004						
APPORT_ENC	4.3.3		97EL	OE	B2004						-150.00
APPROP_ENC	4.3.3		97EL	OE	B2004						150.00
APPROP_ENC	4.3.3		97EL	OE	B2004						
APPROP_ENC	4.3.3		97EL	OE	B2004						-150.00
ASGN_ENC	4.3.3		97EL	OE	B2004	ELOE	EOP		CFO		150.00
ASGN_ENC	4.3.3		97EL	OE	B2004	ELOE	EOP		CFO		
ASGN_ENC	4.3.3		97EL	OE	B2004	ELOE	EOP		CFO		-150.00
DETAIL_ENC	4.3.3	6100	97EL	OE	B2004	ELOE	EOP	F	CFO	X	150.00
DETAIL_ENC	4.3.3	6100	97EL	OE	B2004	ELOE	EOP	F	CFO	X	
DETAIL_ENC	4.3.3	6100	97EL	OE	B2004	ELOE	EOP	F	CFO	X	-150.00
SALLOT_ENC	4.3.3		97EL	OE	B2004	ELOE			CFO		150.00
SALLOT_ENC	4.3.3		97EL	OE	B2004	ELOE			CFO		
SALLOT_ENC	4.3.3		97EL	OE	B2004	ELOE			CFO		-150.00
SASP1_ENC	4.3.3		97EL	OE	B2004	ELOE	EOP	F	CFO		150.00
SASP1_ENC	4.3.3		97EL	OE	B2004	ELOE	EOP	F	CFO		
SASP1_ENC	4.3.3		97EL	OE	B2004	ELOE	EOP	F	CFO		-150.00

Reference #	Actual Results	Screen Print
	4.3.3.D: De-Obligation process run successfully in 2005	
	4.3.3. E: Obligation amount is decreased.	

Reference #	Actual Results	Screen Print
	4.3.3. F: Budget amount is increased.	
	4.3.3.G ▪ DR 4871 Downward Adjustments of Prior-Year Unpaid Undelivered Orders - Obligations, Recoveries ▪ CR 4619 Suballmts Avail – Commt/Oblig	

Process Instance: 7361 Process Status: Valid Maximum Rows: 100 Fetch

Commitment Control Activity Log Lines — Customize | Find | View All | First 1-21 of 21 Last

Ledger	Document ID	Account	Fund Code	Line Item	Bud Ref	Prog Class	Prog Code	Org Code	Division	Transaction Partner	Transaction Amount
ALLOT_ENC	4.3.3		97EL	OE	B2004				CFO		150.00
ALLOT_ENC	4.3.3		97EL	OE	B2004				CFO		
ALLOT_ENC	4.3.3		97EL	OE	B2004				CFO		-150.00
APPORT_ENC	4.3.3		97EL	OE	B2004						150.00
APPORT_ENC	4.3.3		97EL	OE	B2004						
APPORT_ENC	4.3.3		97EL	OE	B2004						-150.00
APPROP_ENC	4.3.3		97EL	OE	B2004						150.00
APPROP_ENC	4.3.3		97EL	OE	B2004						
APPROP_ENC	4.3.3		97EL	OE	B2004						-150.00
ASGN_ENC	4.3.3		97EL	OE	B2004	ELOE	EOP		CFO		150.00
ASGN_ENC	4.3.3		97EL	OE	B2004	ELOE	EOP		CFO		
ASGN_ENC	4.3.3		97EL	OE	B2004	ELOE	EOP		CFO		-150.00
DETAIL_ENC	4.3.3	6100	97EL	OE	B2004	ELOE	EOP	F	CFO	X	150.00
DETAIL_ENC	4.3.3	6100	97EL	OE	B2004	ELOE	EOP	F	CFO	X	
DETAIL_ENC	4.3.3	6100	97EL	OE	B2004	ELOE	EOP	F	CFO	X	-150.00
SALLOT_ENC	4.3.3		97EL	OE	B2004	ELOE			CFO		150.00
SALLOT_ENC	4.3.3		97EL	OE	B2004	ELOE			CFO		
SALLOT_ENC	4.3.3		97EL	OE	B2004	ELOE			CFO		-150.00
SASP1_ENC	4.3.3		97EL	OE	B2004	ELOE	EOP	F	CFO		150.00
SASP1_ENC	4.3.3		97EL	OE	B2004	ELOE	EOP	F	CFO		
SASP1_ENC	4.3.3		97EL	OE	B2004	ELOE	EOP	F	CFO		-150.00

Header | Lines | Totals | Errors | Approval

Unit: HUD01 Journal ID: EEP0000416 Date: 08/18/2005 *Process: Edit Journal Process

Template List Search Criteria Errors Only Line: 10

Lines — Customize |

Line	Account	Fund	Line Item	Bud Ref	Prog Class	Prog Code	Org Code	Division	BOC	Trans Partner	Trading Ptnr	Project	Debit Amount	Credit Amount	Refer
1	4619	97EL	OE	B2004	ELOE	EOP	F	CFO		X		(None)		150.00	4.3.3
2	4871	97EL	OE	B2004	ELOE	EOP	F	CFO		X		(None)	150.00		4.3.3

Totals — Customize | Find | View All | First 1 of 1 Last

Unit	Total Lines	Total Debits	Total Credits	Journal Status	Budget Status
HUD01	2	150.00	150.00	P	V

Save | Return to Search | Previous in List | Next in List | Notify | Refresh | Add

eader | Lines | Totals | Errors | Approval

Reference #	Actual Results	Screen Print
	4.3.3.H: A query was created to verify funding.	

Commitment Control Budget Details

Business Unit	Ledger Group	Account	Fund Code	Line Item	Bud Ref	Prog Class	Prog Code	Org Code	Division	Budget Object Class	Budget Period
HUD01	SUBASSIGN1		97EL	OE	B2004	ELOE	EOP	F	CFO		2004

Ledger Amounts

Budget:	100,000,000.00	USD	Attributes / Parent / Children / Associated Budgets / Display Chart
Expense:	300.00	USD	
Encumbrance:	150.00	USD	
Pre-Encumbrance:	0.00	USD	
Associate Revenue:	0.00	USD	

Available Budget

Without Tolerance:	99,999,550.00	USD	Percent:	(100%)
With Tolerance:	99,999,550.00	USD	Percent:	(100%)

Budget Exceptions

Exception Errors: 0 Exception Warnings: 0 Budget Exceptions

Commitment Control Budget Details

Business Unit	Ledger Group	Account	Fund Code	Line Item	Bud Ref	Prog Class	Prog Code	Org Code	Division	Budget Object Class	Budget Period
HUD01	SUBASSIGN1		97EL	OE	B2004	ELOE	EOP	F	CFO		2004

Ledger Amounts

Budget:	100,000,000.00	USD	Attributes / Parent / Children / Associated Budgets / Display Chart
Expense:	300.00	USD	
Encumbrance:	0.00	USD	
Pre-Encumbrance:	0.00	USD	
Associate Revenue:	0.00	USD	

Available Budget

Without Tolerance:	99,999,700.00	USD	Percent:	(100%)
With Tolerance:	99,999,700.00	USD	Percent:	(100%)

Budget Exceptions

Exception Errors: 0 Exception Warnings: 0 Budget Exceptions

Reference #	Actual Results	Screen Print
	4.3.3.I: A query was created to verify transaction details.	

Commitment Control Activity Log Lines — Customize | Find | View All | First 1-21 of 21 Last

Ledger	Document ID	Account	Fund Code	Line Item	Bud Ref	Prog Class	Prog Code	Org Code	Division	Transaction Partner	Transaction Amount
ALLOT_ENC	4.3.3		97EL	OE	B2004				CFO		150.00
ALLOT_ENC	4.3.3		97EL	OE	B2004				CFO		
ALLOT_ENC	4.3.3		97EL	OE	B2004				CFO		-150.00
APPORT_ENC	4.3.3		97EL	OE	B2004						150.00
APPORT_ENC	4.3.3		97EL	OE	B2004						
APPORT_ENC	4.3.3		97EL	OE	B2004						-150.00
APPROP_ENC	4.3.3		97EL	OE	B2004						150.00
APPROP_ENC	4.3.3		97EL	OE	B2004						
APPROP_ENC	4.3.3		97EL	OE	B2004						-150.00
ASGN_ENC	4.3.3		97EL	OE	B2004	ELOE	EOP		CFO		150.00
ASGN_ENC	4.3.3		97EL	OE	B2004	ELOE	EOP		CFO		
ASGN_ENC	4.3.3		97EL	OE	B2004	ELOE	EOP		CFO		-150.00
DETAIL_ENC	4.3.3	6100	97EL	OE	B2004	ELOE	EOP	F	CFO	X	150.00
DETAIL_ENC	4.3.3	6100	97EL	OE	B2004	ELOE	EOP	F	CFO	X	
DETAIL_ENC	4.3.3	6100	97EL	OE	B2004	ELOE	EOP	F	CFO	X	-150.00
SALLOT_ENC	4.3.3		97EL	OE	B2004	ELOE			CFO		150.00
SALLOT_ENC	4.3.3		97EL	OE	B2004	ELOE			CFO		
SALLOT_ENC	4.3.3		97EL	OE	B2004	ELOE			CFO		-150.00
SASP1_ENC	4.3.3		97EL	OE	B2004	ELOE	EOP	F	CFO		150.00
SASP1_ENC	4.3.3		97EL	OE	B2004	ELOE	EOP	F	CFO		
SASP1_ENC	4.3.3		97EL	OE	B2004	ELOE	EOP	F	CFO		-150.00

SCENARIO 4.3.4: Create and Post Budget

Ref #	Scenario	Expected Results	Actual Results	Fit/ Partial /Gap	Severity Level (L, M, H, N/A)
4.3.4	Process an Appropriation Transfer. Demonstrate an automated reclassification of all transactions and their related accounting data from the appropriation (and related lower level budgets) in which they were created to another appropriation based on user-specified parameters (such as a program code that will move from one appropriation to another). • Produce an audit trail of changes made to the system.	**Script Execution** ▪ Appropriation is transferred. **Budgetary Impact** ▪ Verify the budget was closed and the funds were carried forward to the new fund **SGL Impact** ▪ Verify the reverse posting of the GL. Show all postings from old fund are transferred to new fund. Same with budget.	See Gap Section in the evaluation report for scenario 4.3.2	Gap	H
	▪ Provide for the transfer of expenses/spending from one appropriation to another. Ensure all spending inquiry tables reflect new appropriation for reporting and future referencing. ▪ Provide for the transfer of all unliquidated obligated balances by obligation. ▪ Provide for the transfer of all expended balances by obligation. ▪ Provide for the transfer of all unobligated (and uncommitted) balances by fund. *(D-293)*	**Script Execution** • Verify appropriate accounts have been reclassified. .			

		Budgetary Impact • Budget amount for prior year is increased •Obligation amount is reduced. •Budget amount for new year is decreased. •Obligation amount is increased **SGL Impact** • Debit 4619 Suballmts Avail – Commt/Oblig • Credit 4801 Undelivered Orders – Obligations, Unpaid • Debit 4801 Undelivered Orders – Obligations, Unpaid • Credit 4619 Suballmts Avail – Commt/Oblig Show MO01 but no AAWD and WWPY. Show budget obligation reduction with no actual recovery or recovery withdrawn.			

SCENARIO 4.3.5: GENERAL PURCHASING

Ref #	Scenario	Expected Results	Actual Results	Fit/ Partial /Gap	Severity Level (L, M, H, N/A)
4.3.5	➢ Project Transfers. For a no year fund, establish an obligation to vendor A in FY05. In FY06, transfer the obligation to a new vendor without recording a recovery.	**Script Execution** ▪ A PO was created against a no year fund. **Budgetary Impact** ▪ Budget amount is reduced. ▪ Obligation amount is increased. **SGL Impact** ▪ DR 4801 Commitments - Programs Subject to Apportionment ▪ CR 4619 Suballmts Avail – Commt/Oblig	**Script Execution** ▪ 4.3.5. A PO was created against a no year fund. **Budgetary Impact** ▪ 4.3.5.B: Budget amount is reduced ▪ 4.3.5. C: Obligation amount is increased **SGL Impact** ▪ 4.3.5.D DR 4801 Commitments - Programs Subject to Apportionment ▪ CR 4619 Suballmts Avail – Commt/Oblig	Fit	N/A
		Script Execution ▪ A payment was recorded against PO1. **Budgetary Impact** ▪ Obligation amount is reduced. ▪ Expense amount is increased.	**Script Execution** ▪ 4.3.5. E A payment was recorded against PO1. **Budgetary Impact** ▪ 4.3.5. F: Expense amount is increased. ▪ 4.3.5. G: Obligation amount is reduced.	Fit	N/A

		SGL Impact ▪ DR 4801 - Undelivered orders - Obligations, Unpaid ▪ CR 4901 - Delivered orders - Obligations, Unpaid ▪ DR 6100 - Operating Expenses/Program Costs ▪ CR 2110 - Accounts Payable ▪ DR 3107 - Unexpended Appropriations – Used ▪ CR 5700 – Expended Appropriations ▪ DR 2110 - Accounts payable ▪ CR 2120 - Disbursements in Transit ▪ DR 4901 - Delivered Orders - Obligations, Unpaid ▪ CR 4902 - Delivered Orders - Obligations, Paid ▪ DR 2120 - Disbursements in Transit CR 1010 - Fund Balance With Treasury	**SGL Impact** 4.3.5.H ▪ DR 4801 - Undelivered orders - Obligations, Unpaid ▪ CR 4901 - Delivered orders - Obligations, Unpaid ▪ DR 6100 - Operating Expenses/Program Costs ▪ CR 2110 - Accounts Payable ▪ DR 3107 - Unexpended Appropriations – Used ▪ CR 5700 – Expended Appropriations ▪ DR 2110 - Accounts payable ▪ CR 2120 - Disbursements in Transit ▪ DR 4901 - Delivered Orders - Obligations, Unpaid ▪ CR 4902 - Delivered Orders - Obligations, Paid ▪ DR 2120 - Disbursements in Transit ▪ CR 1010 - Fund Balance With Treasury		
	▪ Transfer only the unliquidated balance of the obligation (any spending to the old vendor should still be recorded as being paid to the old vendor).	**Script Execution** ▪ PO is updated with a new Vendor **Budgetary Impact** ▪ N/A **SGL Impact** ▪ N/A	**Script Execution** ▪ 4.3.5.I: PO is updated with a new Vendor **Budgetary Impact** ▪ N/A **SGL Impact** ▪ N/A	Fit	N/A

SCENARIO 4.3.5: GENERAL PURCHASING (Continued)

4.3.5	4.3.5. A: PO was created against a no year fund.	Maintain Purchase Order Purchase Order Unit: HUD01 PO ID: PO435 Copy From: PO Status: Approved Budget Status: Not Chk'd Hold From Further Processing Header *PO Date: 10/01/2003 Vendor Search Vendor V-02-001 Vendor Details *Vendor ID: V-02 JMK Company *Buyer: VP1 Kenneth Schumacher PO Reference: Header Details PO Activities Add ShipTo Comments PO Defaults Add Comments Doc Tol Status: Valid Receipt Status: Not Recvd *Dispatch Method: Phone Dispatch Amount Summary Merchandise: 1500.00 Freight/Tax/Misc.: 0.00 Calculate Total Amount: 1500.00 USD Add Items From Purchasing Kit Catalog Item Search Lines Customize | Find | View All | First 1 of 1 Last Details Ship To/Due Date Statuses Item Information Attributes RFQ Contract Receiving Line Item Description PO Qty *UOM Category Price Amount Status 1 Recovery of Prior Year 15.0000 EA ACCES 100.00000 1500.00 Active Distribution Customize | Find | View All | First Chartfields Details/Tax Asset Information Req Detail Statuses PO Qty *GL Unit Entry Event *Account Fund Line Item Bud Ref Prog Class Prog Code Org Code Division Budget Object Class Trans Partner Trading Ptnr Project 15.0000 HUD01 PO_AP 6100 03CF EA B2004 CFS8A H1PSA 1GHM HSNC X Project
	4.3.5.B: Budget amount is reduced	

Before Budget Checking

Commitment Control Budget Details

Business Unit	Ledger Group	Fund Code	Line Item	Bud Ref	Prog Class	Prog Code	Org Code	Division	Budget Period
HUD01	SUBASSIGN2	03CF	EA	B2004	CFS8A	H1PSA	1GHM	HSNG	2004

Ledger Amounts

Budget:	30,000,000.00	USD	Attributes
Expense:	2,100.00	USD	Parent / Children
Encumbrance:	2,000.00	USD	Associated Budgets
Pre-Encumbrance:	0.00	USD	
Associate Revenue:	0.00	USD	

Display Chart

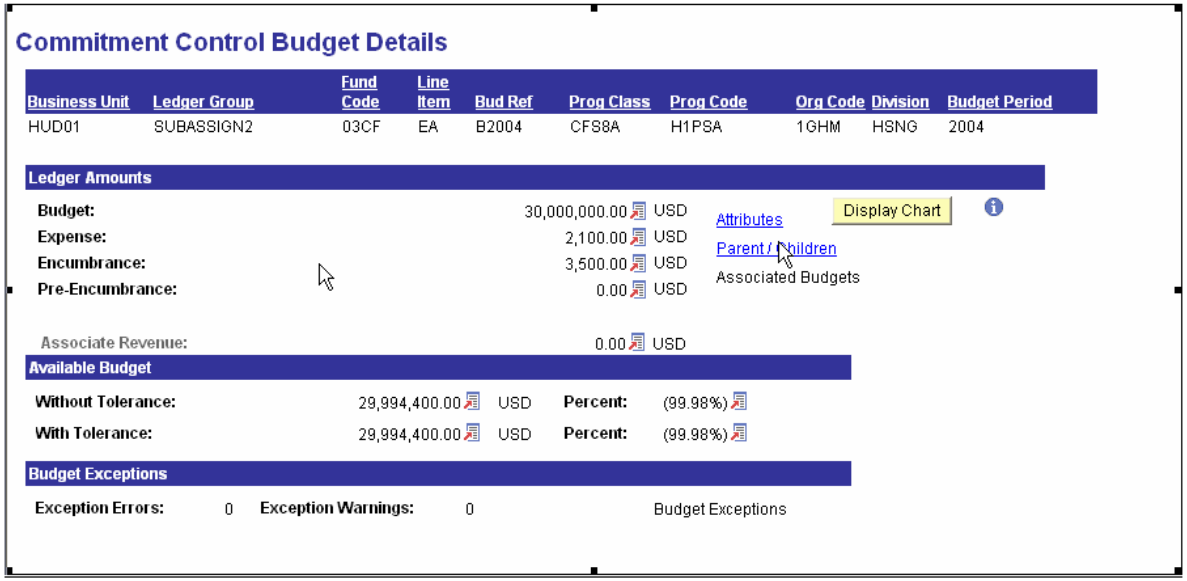
Available Budget

Without Tolerance:	29,995,900.00	USD	**Percent:**	(99.99%)
With Tolerance:	29,995,900.00	USD	**Percent:**	(99.99%)

Budget Exceptions

Exception Errors: 0 **Exception Warnings:** 0 Budget Exceptions

After Budget Checking



Commitment Control Budget Details

Business Unit	Ledger Group	Fund Code	Line Item	Bud Ref	Prog Class	Prog Code	Org Code	Division	Budget Period
HUD01	SUBASSIGN2	03CF	EA	B2004	CFS8A	H1PSA	1GHM	HSNG	2004

Ledger Amounts

Budget:	30,000,000.00	USD	
Expense:	2,100.00	USD	
Encumbrance:	3,500.00	USD	
Pre-Encumbrance:	0.00	USD	
Associate Revenue:	0.00	USD	

Attributes
Parent / Children
Associated Budgets
Display Chart

Available Budget

Without Tolerance:	29,994,400.00	USD	Percent:	(99.98%)
With Tolerance:	29,994,400.00	USD	Percent:	(99.98%)

Budget Exceptions

Exception Errors: 0 Exception Warnings: 0 Budget Exceptions

4.3.5. C: Obligation amount is increased

Commitment Control Activity Log

Transaction Type: PO_POENC Commit Control ID: 0000000759 Commit Control Date: 08/04/2005

Process Instance: 5488 Process Status: Valid Maximum Rows: 100 Fetch

Commitment Control Activity Log Lines Customize | Find | View All | First 1-8 of 8 Last

Ledger	Document ID	Account	Fund Code	Line Item	Bud Ref	Prog Class	Prog Code	Org Code	Division	Transaction Partner	Transaction Amount
ALLOT_ENC	PO435		03CF	EA	B2004				HSNG		1500.00
APPORT_ENC	PO435		03CF	EA	B2004						1500.00
APPROP_ENC	PO435		03CF	EA	B2004						1500.00
ASGN_ENC	PO435		03CF	EA	B2004	CFS8A	H1PSA		HSNG		1500.00
DETAIL_ENC	PO435	6100	03CF	EA	B2004	CFS8A	H1PSA	1GHM	HSNG	X	1500.00
SALLOT_ENC	PO435		03CF	EA	B2004	CFS8A			HSNG		1500.00
SASP1_ENC	PO435		03CF	EA	B2004	CFS8A	H1PSA	1AHM	HSNG		1500.00
SASP2_ENC	PO435		03CF	EA	B2004	CFS8A	H1PSA	1GHM	HSNG		1500.00

Return to Search | Previous in List | Next in List | Notify

4.3.5.D
• DR 4801 Commitments - Programs Subject to Apportionment
• CR 4619 Suballmts Avail – Commt/Oblig

New Window | Help | Customize Page

Header | Lines | Totals | Errors | Approval

Unit: HUD01 Journal ID: EEP0000152 Date: 10/01/2003 *Process: Edit Journal Process

Template List Search Criteria Errors Only Line: 10

Lines Customize | Find |

Line	Account	Fund	Line Item	Bud Ref	Prog Class	Prog Code	Org Code	Division	BOC	Trans Partner	Trading Ptnr	Project	Amount	Reference
1	4801	03CF	EA	B2004	CFS8A	H1PSA	1GHM	HSNG		X		(None)	-1,500.00	PO435
2	4619	03CF	EA	B2004	CFS8A	H1PSA	1GHM	HSNG		X		(None)	1,500.00	PO435

Totals Customize | Find | View All | First 1 of 1 Last

Unit	Total Lines	Total Debits	Total Credits	Journal Status	Budget Status
HUD01	2	1,500.00	1,500.00	P	V

Save | Return to Search | Previous in List | Next in List | Notify | Refresh | Add | Update/Display

Header | Lines | Totals | Errors | Approval

	4.3.5. D.E A payment was recorded against PO1.	Summary | Invoice Information | Payments | Voucher Attributes | Error Summary Business Unit: HUD01 Voucher ID: AP435 Voucher Style: Regular Contract ID: Vendor Name: JMK Company 121 Irving Street Arlington, VA 22204 Entry Status: Postable Match Status: Overridden Approval Status: Approved Budget Header Status: Valid Budget Check Non-Prorated Budget Status: Valid Budget Check Document Tolerance Status: Valid ERS Type: Not Applicable Close Status Indicator: Open Invoice No: 87777 Invoice Date: 10/01/2003 Gross Amt: 1,500.00 USD Pay Terms: NET30 Origin: ONL Voucher Source: Online Post Status: Posted Entered on: 08/04/2005 Created By: VP1 Last Updated: 08/04/2005 Updated By: VP1 Save | Return to Search | Notify | Refresh | Add | Update/Display

Summary | Invoice Information | Payments | Voucher Attributes | Error Summary

Business Unit: HUD01
Voucher ID: AP435
Voucher Style: Regular

Invoice Number: 87777
Invoice Date: 10/03/2003
Basis Date Calculation

Vendor: V-02
Name: V-02-001
Location: V-02
*Address: 1

JMK Company
121 Irving Street
Arlington, VA 22204
Advanced Vendor Search

Misc. Amount:
Freight Amount:
Sales Tax:
VAT:
Total: 1,500.00
Balance: 0.00
Tax Exempt Flag
Use Tax: 0.00

Non Merchandise Summary
VAT Summary
Comments

*Pay Terms: NET30
Pay Schedule: Other

Accounting Date: 10/01/2003
*Currency: USD

Action: Run
Go To: Run

Copy from a Source Document
PO Unit: HUD01 Purchase Order: PO435 Copy PO Worksheet Copy Option: None

Invoice Lines — Find | View All First

Line	*Distribute by	Item	Description	Quantity	UOM	Unit Price	Extended Amount
1	Quantity		Recovery of Prior Year Ob	15.0000	EA	100.00000	1,500.00

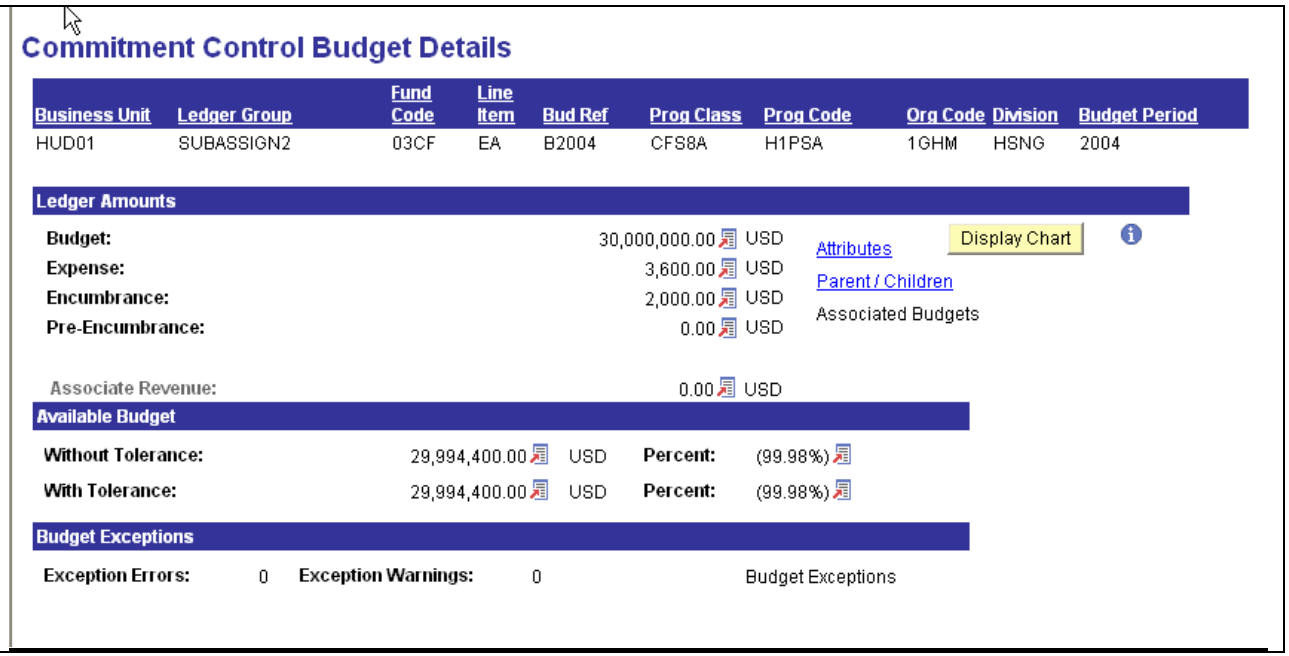
Ship To: HUDDIVA
SpeedChart:
Use One Asset ID
Force Price
Sales/Use Tax | VAT
View PO/Receiver | Associate Receiver(s)

Distribution Lines — Customize | Find | View All | First 1 of 1 Last

GL ChartFields 1 | GL ChartFields 2 | Exchange Rate | Statistics | Assets

	Amount	Quantity	*GL Unit	Account	Fund	Line Item	Bud Ref	Prog Class	Prog Code	Org Code	Division	BOC	Trans Partner	Trading Ptnr	Affiliate
1	1,500.00	15.0000	HUD01	6100	03CF	EA	B2004	CFS8A	H1PSA	1GHM	HSNG		X		Projects

Save | Return to Search | Notify | Refresh | Add

	4.3.5. F: Expense amount is increased.	

Commitment Control Budget Details

Business Unit	Ledger Group	Fund Code	Line Item	Bud Ref	Prog Class	Prog Code	Org Code	Division	Budget Period
HUD01	SUBASSIGN2	03CF	EA	B2004	CFS8A	H1PSA	1GHM	HSNG	2004

Ledger Amounts

Budget:	30,000,000.00	USD
Expense:	3,600.00	USD
Encumbrance:	2,000.00	USD
Pre-Encumbrance:	0.00	USD
Associate Revenue:	0.00	USD

Attributes
Parent / Children
Associated Budgets
Display Chart

Available Budget

Without Tolerance:	29,994,400.00	USD	Percent:	(99.98%)
With Tolerance:	29,994,400.00	USD	Percent:	(99.98%)

Budget Exceptions

Exception Errors: 0 Exception Warnings: 0 Budget Exceptions

4.3.5. G: Obligation amount is reduced.

Commitment Control Activity Log

Transaction Type: AP_VOUCHER Commit Control ID: 0000000761 Commit Control Date: 08/04/2005

Process Instance: 5510 Process Status: Valid Maximum Rows: 100 Fetch

Commitment Control Activity Log Lines Customize | Find | View All | First 1-16 of 16 Last

Ledger	Document ID	Account	Fund Code	Line Item	Bud Ref	Prog Class	Prog Code	Org Code	Division	Transaction Partner	Transaction Amount
ALLOT_ENC	AP435		03CF	EA	B2004				HSNG		-1500.00
ALLOT_EXP	AP435		03CF	EA	B2004				HSNG		1500.00
APPORT_ENC	AP435		03CF	EA	B2004						-1500.00
APPORT_EXP	AP435		03CF	EA	B2004						1500.00
APPROP_ENC	AP435		03CF	EA	B2004						-1500.00
APPROP_EXP	AP435		03CF	EA	B2004						1500.00
ASGN_ENC	AP435		03CF	EA	B2004	CFS8A	H1PSA		HSNG		-1500.00
ASGN_EXP	AP435		03CF	EA	B2004	CFS8A	H1PSA		HSNG		1500.00
DETAIL_ENC	AP435	6100	03CF	EA	B2004	CFS8A	H1PSA	1GHM	HSNG	X	-1500.00
DETAIL_EXP	AP435	6100	03CF	EA	B2004	CFS8A	H1PSA	1GHM	HSNG	X	1500.00
SALLOT_ENC	AP435		03CF	EA	B2004	CFS8A			HSNG		-1500.00
SALLOT_EXP	AP435		03CF	EA	B2004	CFS8A			HSNG		1500.00
SASP1_ENC	AP435		03CF	EA	B2004	CFS8A	H1PSA	1AHM	HSNG		-1500.00
SASP1_EXP	AP435		03CF	EA	B2004	CFS8A	H1PSA	1AHM	HSNG		1500.00
SASP2_ENC	AP435		03CF	EA	B2004	CFS8A	H1PSA	1GHM	HSNG		-1500.00
SASP2_EXP	AP435		03CF	EA	B2004	CFS8A	H1PSA	1GHM	HSNG		1500.00

4.3.5.H

- DR 4801 - Undelivered orders - Obligations, Unpaid
- CR 4901 - Delivered orders - Obligations, Unpaid
- DR 6100 - Operating Expenses/Program Costs
- CR 2110 - Accounts Payable
- DR 3107 - Unexpended Appropriations – Used
- CR 5700 – Expended Appropriations
- DR 2110 - Accounts payable
- CR 2120 - Disbursements in Transit
- DR 4901 - Delivered Orders - Obligations, Unpaid
- CR 4902 - Delivered Orders - Obligations, Paid
- DR 2120 - Disbursements in Transit
- CR 1010 - Fund Balance With Treasury

Header | Lines | Totals | Errors | Approval

Unit: HUD01 Journal ID: AP00000155 Date: 10/01/2003 *Process: Edit Journal Process

Template List Search Criteria Errors Only Line: 10

Lines Customize | Find |

Line	Account	Fund	Line Item	Bud Ref	Prog Class	Prog Code	Org Code	Division	BOC	Trans Partner	Trading Ptnr	Project	Amount	Reference
1	4901	03CF	EA	B2004	CFS8A	H1PSA	1GHM	HSNG		X		(None)	-1,500.00	AP435
2	5700	03CF	EA	B2004	CFS8A	H1PSA	1GHM	HSNG		X		(None)	-1,500.00	AP435
3	4619	03CF	EA	B2004	CFS8A	H1PSA	1GHM	HSNG		X		(None)	1,500.00	AP435
4	3107	03CF	EA	B2004	CFS8A	H1PSA	1GHM	HSNG		X		(None)	1,500.00	AP435

Totals Customize | Find | View All | First 1 of 1 Last

Unit	Total Lines	Total Debits	Total Credits	Journal Status	Budget Status
HUD01	4	3,000.00	3,000.00	P	V

Save Return to Search Previous in List Next in List Notify Refresh Add Update/Display

Header | Lines | Totals | Errors | Approval

Header | Lines | Totals | Errors | Approval

Unit: HUD01 Journal ID: AP00000154 Date: 10/01/2003 *Process: Edit Journal Process

Template List Search Criteria Errors Only Line: 10

Lines — Customize | Find |

Line	Account	Fund	Line Item	Bud Ref	Prog Class	Prog Code	Org Code	Division	BOC	Trans Partner	Trading Ptnr	Project	Amount	Reference
1	6100	03CF	EA	B2004	CFS8A	H1PSA	1GHM	HSNG		X		(None)	1,500.00	AP435
2	2110	03CF	EA	B2004	CFS8A	H1PSA	1GHM	HSNG		X		(None)	-1,500.00	AP435

Totals — Customize | Find | View All | First 1 of 1 Last

Unit	Total Lines	Total Debits	Total Credits	Journal Status	Budget Status
HUD01	2	1,500.00	1,500.00	P	V

Save | Return to Search | Previous in List | Next in List | Notify | Refresh | Add | Update/Display

Header | Lines | Totals | Errors | Approval

Header | Lines | Totals | Errors | Approval

Unit: HUD01 Journal ID: EEP0000156 Date: 10/01/2003 *Process: Edit Journal Process

Template List Search Criteria Errors Only Line: 10

Lines — Customize | Find |

Line	Account	Fund	Line Item	Bud Ref	Prog Class	Prog Code	Org Code	Division	BOC	Trans Partner	Trading Ptnr	Project	Amount	Reference
1	4619	03CF	EA	B2004	CFS8A	H1PSA	1GHM	HSNG		X		(None)	-1,500.00	PO435
2	4801	03CF	EA	B2004	CFS8A	H1PSA	1GHM	HSNG		X		(None)	1,500.00	PO435

Totals — Customize | Find | View All | First 1 of 1 Last

Unit	Total Lines	Total Debits	Total Credits	Journal Status	Budget Status
HUD01	2	1,500.00	1,500.00	P	V

Save | Return to Search | Previous in List | Next in List | Notify | Refresh | Add | Update/Display

Header | Lines | Totals | Errors | Approval

	4.3.5.I: PO is updated with a new Vendor	Purchase Order Unit: HUD01 PO ID: PO435 Copy From: PO Status: Dispatched Budget Status: Valid Hold From Further Processing Header *PO Date: 10/01/2003 Vendor Search Vendor V-02-001 Vendor Details *Vendor ID: V-02 JMK Company *Buyer: VP1 Kenneth Schumacher PO Reference: Doc Tol Status: Valid Backorder Status: None Create BackOrder Receipt Status: Not Recvd *Dispatch Method: Phone Dispatch Amount Summary Merchandise: 1500.00 Freight/Tax/Misc.: 0.00 Calculate Total Amount: 1500.00 USD Header Details PO Activities Add ShipTo Comments PO Defaults Document Status Add Comments Requisitions Add Items From Purchasing Kit Catalog Item Search Select Lines To Display Line: To: Retrieve Lines Customize | Find | View All | First 1 of 1 Last Details Ship To/Due Date Statuses Item Information Attributes RFQ Contract Receiving Line Item Description PO Qty *UOM Category Price Amount Status 1 Recovery of Prior Year Obligation 15.0000 EA ACCES 100.00000 1500.00 Active **After Changing the Vendor ID**

		Purchase Order Unit: HUD01 PO ID: PO435 Copy From: PO Status: Dispatched Budget Status: Valid Hold From Further Processing Header *PO Date: 10/01/2003 Vendor Search Vendor V-02-001 Vendor Details *Vendor ID: V-20 IMK Company *Buyer: VP1 Kenneth Schumacher PO Reference: Doc Tol Status: Valid Backorder Status: None Create BackOrder Receipt Status: Not Recvd *Dispatch Method: Phone Dispatch Amount Summary Merchandise: 1500.00 Freight/Tax/Misc.: 0.00 Calculate Total Amount: 1500.00 USD Header Details PO Activities Add ShipTo Comments PO Defaults Document Status Add Comments Requisitions Add Items From Purchasing Kit Catalog Item Search Line: To: Retrieve Select Lines To Display Lines Customize | Find | View All | First 1 of 1 Last Details Ship To/Due Date Statuses Item Information Attributes RFQ Contract Receiving Line Item Description PO Qty *UOM Category Price Amount Status 1 Recovery of Prior Year Obligation 15.0000 EA ACCES 100.00000 1500.00 Active View Printable Version More

Batch | **Header Changes** | Line Changes | Ship Changes

Business Unit: HUD01 **PO Number:** PO435

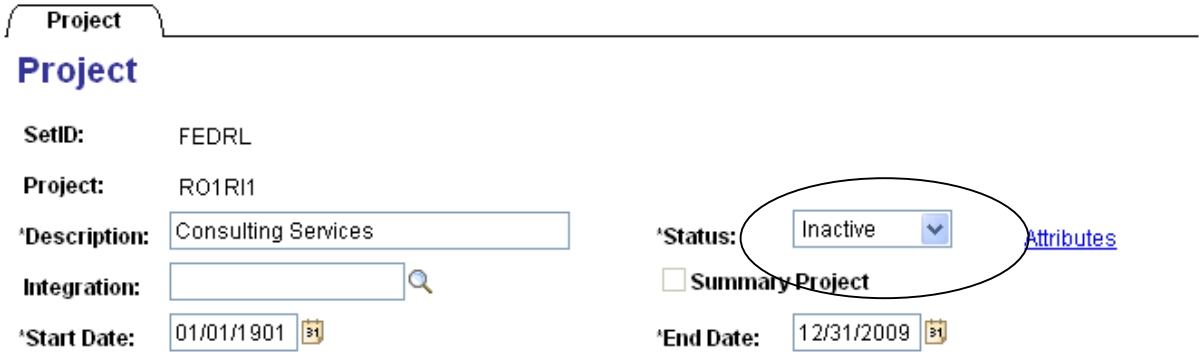
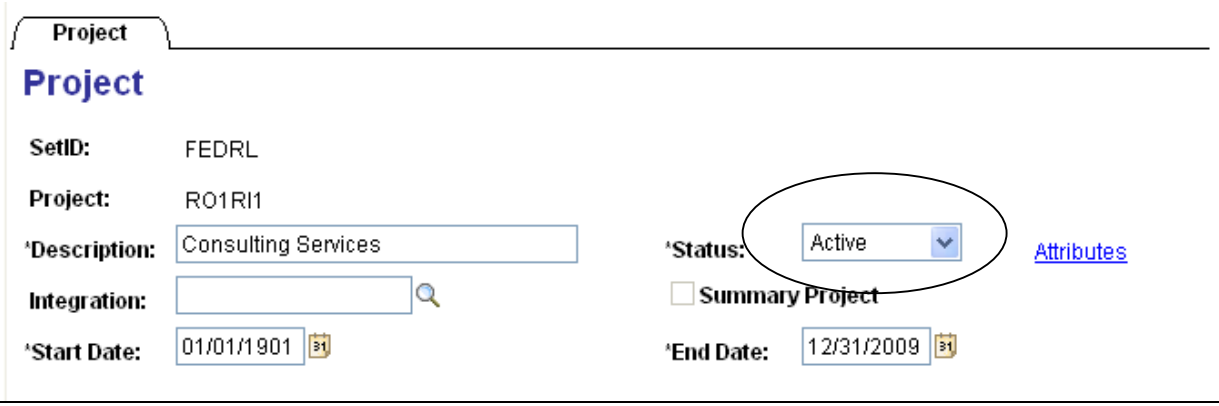
PO Header Customize | Find | View 10 | First 1-17 of 17 Last

Batch	Seq	Description	More Information
0	0	Billing Location	HUD01
0	0	Buyer	VP1
0	0	Contact	
0	0	Currency Code	USD
0	0	Purchase Order Reference	
0	0	PO Status	D
0	0	Payment Terms ID	00
0	0	Rate Type	CRRNT
0	0	Tax Exempt Flag	N
0	0	Tax Exemption Number	
0	0	Vendor ID	V-02
1	1	Change Order Source	ONL
1	1	Change Type	C
1	1	Last Change Date	2005-08-09 19:36:02.000
1	1	Last User to Modify	VP1
1	1	PO Status	A
1	1	Vendor ID	V-20

SCENARIO 4.3.6: PROJECTS

Ref #	Scenario	Expected Results	Actual Results	Fit/ Partial/ Gap	Severity Level (L, M, H, N/A)
4.3.6	➢ Demonstrate how a project/grant/contract record is inactivated and activated to enforce business needs. Demonstrate the capability to move an applicable grant/contract record from 'inactive' to 'active' state such that the transaction can be posted in a subsequent period.	**Script Execution** ▪ Project/grant/contract record is inactivated. ▪ Project/grant/contract record is activated. **Budgetary Impact** ▪ Not Applicable **SGL Impact** ▪ Not Applicable	**Script Execution** ▪ 4.3.6.A: A project is inactivated ▪ 4.3.6.B: A project is activated **Budgetary Impact** ▪ Not Applicable **SGL Impact** ▪ Not Applicable	Fit	N/A

SCENARIO 4.3.6: PROJECTS (continued)

Reference #	Actual Results	Screen Print
4.3.6	4.3.6.A: A project is inactivated	
	4.3.6.B: A project is activated	

SCENARIO 4.3.7: GL Adj-Period

Ref #	Scenario	Expected Results	Actual Results	Fit/ Partial /Gap	Severity Level (L, M, H, N/A)
4.3.7	Demonstrate making adjusting GL entries to a closed accounting period.	**Script Execution** ▪ Adjusting entries were created.	**Script Execution** ▪ 4.3.7A: Adjusting entries were created.	Fit	N/A
		Budgetary Impact ▪ Not applicable	**Budgetary Impact** ▪ Not applicable		
		SGL Impact ▪ Not applicable	**SGL Impact** ▪ Not applicable		

SCENARIO 4.3.7: GENERAL PURCHASING (continued)

Reference #	Actual Results	Screen Print
4.3.7	4.3.7A: Adjusting entries were created.	*Open GL Accounting Period 2.*

Open Period Update

PeopleSoft Product: GL **Business Unit:** HUD01 **Ledger Group:** RECORDING Ledgers for a Unit

Default Adjustment Period: 912 **Adjustments Year:** 2004 ☑ **Show all transaction types**

Open Period(s) Customize | Find | First 1-6 of 6 Last

Trans Type		From Year	From Period	First Open	To Year	To Period	Last Open
DEF	Default	2004	2	11/01/2003	2005	12	09/30/2005
PCA	Procurement Car	2005	9	06/01/2005	2005	9	06/30/2005
POR	Purchase Order	2005	9	06/01/2005	2005	9	06/30/2005
RCA	Receipt Accrual	2004	1	10/01/2003	2005	9	06/30/2005
REQ	Requisition	2005	9	06/01/2005	2005	9	06/30/2005
UNP	GL Journal Unpost	2005	9	06/01/2005	2005	9	06/30/2005

Adjusting entries are posted within GL Period 2.

Reference #	Actual Results	Screen Print
		Header | Lines | Totals | Errors | Approval Unit: HUD01 Journal ID: JRNL4.3.7 Date: 11/15/2003 Long Description: *Ledger Group: RECORDING Ledger: *Source: CFO Reference Number: SJE Type: Journal Class: Transaction Code: GENERAL Auto Generate Lines Adjusting Entry: Non-Adjusting Entry Fiscal Year: 2004 Period: 2 ADB Date: 11/15/2003 Save Journal Incomplete Status Header | Lines | Totals | Errors | Approval Unit: HUD01 Journal ID: JRNL4.3.7 Date: 11/15/2003 *Process: Edit Journal Process Template List Search Criteria Errors Only Line: 10 Lines Customize | Find | Line | Account | Fund | Line Item | Bud Ref | Prog Class | Prog Code | Org Code | Division | BOC | Trans Partner | Trading Ptnr | Project | Amount | Reference 1 | 4619 | 0189 | SE | B2004 | 1100 | 1152 | G | OIG | 1152 | X | (None) | 100.00 2 | 4801 | 0189 | SE | B2004 | 1100 | 1152 | G | OIG | 1152 | X | (None) | -100.00 Totals Customize | Find | View All | First 1 of 1 Last Unit | Total Lines | Total Debits | Total Credits | Journal Status | Budget Status HUD01 | 2 | 100.00 | 100.00 | P | V *Close GL Accounting Period 2.*

Reference #	Actual Results	Screen Print

Open Period Update

PeopleSoft Product: GL **Business Unit:** HUD01 **Ledger Group:** RECORDING Ledgers for a Unit

Default Adjustment Period: 912 **Adjustments Year:** 2004 ☑ **Show all transaction types**

Open Period(s) Customize | Find | First 1-6 of 6 Last

Trans Type		From Year	From Period	First Open	To Year	To Period	Last Open
DEF	Default	2004	3	12/01/2003	2005	12	09/30/2005
PCA	Procurement Car	2005	9	06/01/2005	2005	9	06/30/2005
POR	Purchase Order	2005	9	06/01/2005	2005	9	06/30/2005
RCA	Receipt Accrual	2004	1	10/01/2003	2005	9	06/30/2005
REQ	Requisition	2005	9	06/01/2005	2005	9	06/30/2005
UNP	GL Journal Unpost	2005	9	06/01/2005	2005	9	06/30/2005

SCENARIO 4.3.8: Create and Post Budget

Ref #	Scenario	Expected Results	Actual Results	Fit/ Partial /Gap	Severity Level (L, M, H, N/A)
4.3.8	➢ Demonstrate how commitments are automatically de-committed at year end to reflect expired annual and multi-year funds (at 9/30).	**Script Execution** • Requisition Reconciliation ran successfully. **Budgetary Impact** • Budget amounts are increased. Commitment amounts are decreased. **GL Impact** • DR 4700 Commitments - Programs Subject to Apportionment • CR 4619 Suballmts Avail – Commt/Oblig	See Gap Section in the evaluation report for scenario 4.3.8	Gap	M

SCENARIO 4.3.9: DEMONSTRATE MISCELLANEOUS BUDGET/COST PROCESSES

Ref #	Scenario	Expected Results	Actual Results	Fit/ Partial/ Gap	Severity Level (L, M, H, N/A)
4.3.9	➢ Produce a report showing total amounts committed, obligated, and expended to date and the remaining available balance for a HUD-mandated (internal agency) limitation of funds (not part of the formally authorized budget or HUD formal budget structure). Note: this will require vendors to establish some additional information when they do the pre-OCD setup. *(D-11)*	**Script Execution** ▪ Report shows obligation, commitment, and expended balances at the Sub-Assignment budgetary level. **Budgetary Impact** ▪ Not applicable **SGL Impact** ▪ Not applicable	**Script Execution** 4.3.9. A: Generate the Budget Status Report at the Sub-Assignment budgetary level for Fund Code 0189. **Budgetary Impact** ▪ Not applicable **SGL Impact** ▪ Not applicable	Fit	N/A

SCENARIO 4.3.9: DEMONSTRATE MISCELLANEOUS BUDGET/COST PROCESSES (continued)

Reference #	Actual Results	Screen Print
4.3.9	4.3.9. A Generate the Budget Status Report at the Sub-Assignment budgetary level for Fund Code 0189.	

PeopleSoft GL
BUDGET STATUS REPORT

Report ID: GL880020
Bus. Unit: HUD01--HUD Offices
Ledger Grp: SUBASSIGN1-- Sub-Assignment1 Ledger Group
Currency : USD
Chartfields Criteria
Account: All values Fund: 0189

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Run Time 16:53:53

Account	Fund	Budget	Asso Revenue	PreEncumbrance	Encumbrance	Expense	Remaining
6000	0189	17,852,000.00	0.00	5,982.00	1,451,970.65	167.00	16,393,880.35
	Grand Total :	17,852,000.00	0.00	5,982.00	1,451,970.65	167.00	16,393,880.35