



**U.S. Department of Housing and Urban Development**  
Office of Community Planning and Development  
**CPD Funding Matrix**



**NEW YORK, NY OR NEW YORK STATE DIVISION OF HOUSING AND COMMUNITY RENEWAL, NY**

| Program Area            | Grant Type | Funding Year  | Amount Awarded       | Encumbered but Unspent by Grantee* | Unencumbered by Grantee* | Recapture Risk*  | Eligible Activities   | Statutory/Reg Requirement for Obligations & Expenditures  |
|-------------------------|------------|---------------|----------------------|------------------------------------|--------------------------|--|---|---|
| <b>Formula Programs</b> |            |               |                      |                                    |                          |  |   |   |
| <u>CDBG</u>             | Formula    | 2010          | \$52,570,558         | \$46,586,859                       | \$35,537,147             | N/A  | <ul style="list-style-type: none"> <li>* Public Facilities/improvements</li> <li>* Housing/Rehabilitation</li> <li>* Public Services (capped at 15%)</li> <li>* Economic Development</li> <li>* Acquisition/Clearance</li> <li>* Administration/Planning (capped at 20%)</li> </ul> | <p><b>Obligations:</b><br/>CDBG tracks funds associated with an activity rather than obligations.</p> <p><b>Expenditures:</b><br/>An entitlement must have no more than 1.5 times its annual award prior to the end of its grant year.<br/>State CDBG requirement is for timely distribution of funds to local governments, not timely expenditure.<br/>Per CDBG regs, older funds are exhausted prior to spending new funding.</p> |
|                         |            | 2009          | \$48,376,971         |                                    |                          |  |   |   |
|                         |            | 2008          | \$47,471,352         |                                    |                          |  |   |   |
|                         |            | <i>Total:</i> | <i>\$148,418,881</i> |                                    |                          |  |   |   |
| <u>HOME</u>             | Formula    | 2010          | \$38,871,418         | \$69,732,266                       | \$58,414,345             | 2009 Funds to Commit by 07/31/2011<br>\$0<br><br>2007 Funds to Expend by 05/31/2012<br>\$0 | <ul style="list-style-type: none"> <li>* Acquisition</li> <li>* New Construction</li> <li>* Rehabilitation</li> <li>* Tenant-Based Rental Assistance</li> <li>* Administration (Capped at 10%)</li> </ul>   | <p><b>Under 24 CFR 92.500(d)(1):</b></p> <p><b>Commitments:</b><br/>within 2 years.</p> <p><b>CHDO reservation:</b><br/>within 2 years.</p> <p><b>Expenditures:</b><br/>within 5 years.</p>   |
|                         |            | 2009          | \$38,644,399         |                                    |                          |  |   |   |
|                         |            | 2008          | \$34,497,760         |                                    |                          |  |   |   |
|                         |            | <i>Total:</i> | <i>\$112,013,577</i> |                                    |                          |  |   |   |



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|--------------|------------|--------------|----------------|------------------------------------|--------------------------|-------------------|---|--|
| <u>ESG</u>   | Formula    | 2010         | \$3,207,498    | \$1,421,263                        | \$0                      | Not Calculated    | <ul style="list-style-type: none"> <li>* Renovation</li> <li>* Rehabilitation</li> <li>* Construction</li> <li>* Social Services</li> <li>* Operating Costs</li> <li>* Homelessness Prevention</li> <li>* Administration</li> </ul>                           | <p><b>Under 24 CFR 576.35 Obligations (for State):</b><br/>within 65 days of grant</p> <p><b>Obligations (State Sub-Receipt):</b><br/>within 180 days of receipt from State (for homelessness prevention, 30 days).</p> <p><b>Expenditures (State Sub-Receipt):</b><br/>within 24 months of receipt from State (for homelessness prevention, within 180 days).</p> |
|              |            | 2009         | \$3,277,009    |                                    |                          |                   |   |  |
|              |            | 2008         | \$3,189,810    |                                    |                          |                   |   |  |
|              |            | Total:       | \$9,674,317    |                                    |                          |                   |   |  |
| <u>HOPWA</u> | Formula    | 2010         | \$2,139,773    | \$1,553,661                        | \$2,171,651              | \$0<br>07/13/2012 | <ul style="list-style-type: none"> <li>* New Construction</li> <li>* Rehabilitation</li> <li>* Rental Assistance</li> <li>* Supportive Services</li> <li>* Operating Costs</li> <li>* Administration</li> <li>* Short term homelessness prevention</li> </ul> | <p><b>Under 24 CFR 574.540: Expenditures:</b><br/>within 3 years of the date the grant agreement is signed.</p>  |
|              |            | 2009         | \$1,938,459    |                                    |                          |                   |   |  |
|              |            | 2008         | \$1,897,000    |                                    |                          |                   |   |  |
|              |            | Total:       | \$5,975,232    |                                    |                          |                   |   |  |
|              |            |              |                |                                    |                          |                   |   |  |



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|---------------------------------------|------------|---------------|--------------------|---|--|-----------------|---|--|
| <b>Competitive Programs</b>           |            |               |                    |   |  |                 |   |  |
| Continuum of Care                     |            | 2009          | \$1,554,035        | Available for Disbursement<br>\$657,190 | All CoC funds are obligated to specific projects | Not Calculated  | <ul style="list-style-type: none"> <li>* New Construction</li> <li>* Rehabilitation</li> <li>* Rental Assistance</li> <li>* Supportive Services</li> <li>* Operating Costs</li> <li>* Administration</li> </ul>   | <b>Obligations:</b><br>within 2 years of award.<br><b>Expenditures:</b><br>within 7 years of award.  |
|                                       |            | 2008          | \$1,226,192        | \$431,903                               |  |                 |   |  |
|                                       |            | 2007          | \$1,069,933        | \$9,294                                 |  |                 |   |  |
|                                       |            | <i>Total:</i> | <i>\$3,850,160</i> | <i>\$1,098,387</i>                      |  |                 |   |  |
| <b>HERA/ARRA and One-time Funding</b> |            |               |                    |   |  |                 |   |  |
| CDBG-R                                | Formula    | 2009          | \$12,878,999       | \$660,129                               | \$0  | Not Calculated  | <ul style="list-style-type: none"> <li>* Public Facilities/improvements</li> <li>* Housing/Rehabilitation</li> <li>* Public Services (capped at 15%)</li> <li>* Economic Development</li> <li>* Acquisition/Clearance</li> <li>* Administration/Planning (Capped at 10%)</li> </ul> | <b>Under ARRA:</b><br><b>Obligations:</b><br>CDBG tracks funds associated with an activity rather than obligations.<br><b>Expenditures:</b><br>100% by 09/30/12. |
| NSP 3                                 | Formula    | 2011          | \$5,000,000        | \$0                                     | \$5,000,000                                      | Not Calculated  | <ul style="list-style-type: none"> <li>* Purchase of foreclosed property</li> <li>* Rehabilitation</li> <li>* Land banking</li> <li>* Demolition of blighted properties</li> <li>* Redevelopment of vacant or demolished properties</li> </ul>                                      | <b>Under Frank-Dodd:</b><br><b>Expenditures:</b><br>50% by 2/11/13<br>100% by 2/11/14  |



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|-------------------------|--|---------------------|--|---|--|------------------------|---|---|
| <u>HPRP</u>             | Formula                                  | 2009                | \$26,951,329   | \$5,859,267                               | \$170,722                                    | Not Calculated         | * Financial Assistance<br>* Housing Relocation<br>* Stabilization Services<br>* Data Collection<br>* Evaluation<br>* Administration | <b>Under ARRA:</b><br><b>Commitments:</b><br>9/30/09<br><b>Expenditures:</b><br>60% by 2 yrs after date HUD signed grant agreement<br>100% by 3 years after date HUD signed grant agreement (90 extra days to draw costs incurred before 3-year deadline) |
| <u>TCAP</u>             | Formula                                  | 2009                | \$252,659,616  | \$34,809,194                              | \$365,598                                    | Not Calculated         | Projects receiving an allocation of LIHTC in FY 2007, 2008, and/or 2009   | <b>Under ARRA:</b><br><b>Commitments:</b><br>75% by 2/16/10;<br><b>Expenditures:</b><br>75% by 2/16/11;<br>100% by 2/16/12  |
| <b>Total</b>            |  |                     |  | <b>\$161,721,026</b>                      | <b>\$101,659,462</b>                         | <b>\$0</b>             |   |   |
| <u>Section 108 Loan</u> | Max Sec 108 Commitment:<br>\$262,852,790 |                     | Outstanding Loan Balance and Commitments:<br>\$1,790,000 |   | Current Borrowing Capacity:<br>\$261,062,790 |                        | * Eco. dev. activities eligible under CDBG<br>* Rehab of publicly owned real property<br>* Many more                                | Grantee can borrow up to 5 times annual CDBG award. Loan repayment period of up to 20 years.  |

\* Numbers reflect the information entered by the grantee into IDIS, DRGR, and other HUD reporting systems. Numbers are as of the date this matrix was published and change on a regular basis.

DATE: 07/28/2011