

Privacy Impact Assessment for Ginnie Mae Contractors

Ginnie Mae Web Services (GNMA-WS)

Information Management Division

February 2, 2007

Version 1.1

Introduction

The purpose of this Privacy Impact Assessment is to help the Information Management Division (IMD) identify Ginnie Mae's information systems that process, store, use, and distribute data covered under the Privacy Act of 1974.

Ginnie Mae is responsible for ensuring the privacy and confidentiality of Personally Identifiable Information (PII) it collects, maintains, or disseminates from or about members of the public. Personally Identifiable Information includes information in an IT system or online collection: (i) that directly identifies an individual (e.g., name, address, social security number or other identifying number or code, telephone number, email address, etc.) or (ii) by which an agency intends to identify specific individuals in conjunction with other data elements, i.e., indirect identification. (These data elements may include a combination of gender, race, birth date, geographic indicator, and other descriptors.)

Instructions:

1. Fill out Part 1 of this study.

You may fill out the study electronically, but you must print it out to sign and mail it.

2. If you check yes to any of the questions about PII data in Section c of Part 1, you must also fill out Part 2.

Please be as descriptive as possible in answering the questions. You may use additional paper if necessary.

3. Sign and date the form, and then mail it to the following address via a secure mail service:

Ginnie Mae
Attention: Chitranjan Khandpur
451 7th Street SW
Room # B-133
Washington, DC 20410

If your organization processes, stores, uses, or distributes PII data, a security analyst working with the Ginnie Mae Information Management Division (IMD) will contact you to arrange a brief interview regarding how the PII data is being protected from unauthorized use, disclosure, or loss. These interviews usually last less than an hour, and you are not required to travel (the security team will come to your site).

Thank you for helping protect Ginnie Mae PII/privacy data.

Part 1: Privacy Data Determination

Please review Section a and fill out Sections b and c of Part 1.

a. System Information

If any information is incorrect in this section, please strike through it, correct it, and then initial the correction.

System Information	
System Name	Ginnie Mae Web Services (GNMA-WS)
System Description	Ginnie Mae's Web site that supports e-Government initiatives. Ginnie Mae's Web Services are based in Ginnie Mae's Enterprise Web Site, www.ginniema.gov . Web Services provides access to the enterprise web site and to web-based applications.
Name of System Provider	Lockheed Martin Information Technology
Address of System Provider	Lockheed Martin Information Technology One Curie Court Rockville, MD 20850
Ginnie Mae Information Owner	Theodore Foster, GTR, SVP Mortgage Backed Securities

b. System Provider Contact Information

Please enter the names and contact information for those personnel with primary responsibility for the Ginnie Mae information system.

Contact Name	Title	Phone	Email
Richard Parker	Development Manager	301-721-5906	richard.t.parker@lmco.com

c. PII Data Processed by System

What Personally Identifiable Information (PII) is collected or stored on your Ginnie Mae information system(s)? Please check yes or no in the box provided.

Type of PII Data	Yes	No
1. Name	<input checked="" type="checkbox"/>	<input type="checkbox"/>
2. Social Security Number (SSN)	<input checked="" type="checkbox"/>	<input type="checkbox"/>
3. Home address	<input checked="" type="checkbox"/>	<input type="checkbox"/>
4. Home telephone	<input type="checkbox"/>	<input checked="" type="checkbox"/>
5. Personal email address	<input type="checkbox"/>	<input checked="" type="checkbox"/>
6. Date of birth	<input type="checkbox"/>	<input checked="" type="checkbox"/>
7. Driver's license/state ID number	<input type="checkbox"/>	<input checked="" type="checkbox"/>
8. Race (e.g., Caucasian, African-American, American Indian, etc.)	<input type="checkbox"/>	<input checked="" type="checkbox"/>
9. Religion	<input type="checkbox"/>	<input checked="" type="checkbox"/>
10. Ethnicity/national origin (e.g., Canadian, South African, etc.)	<input type="checkbox"/>	<input checked="" type="checkbox"/>
11. Biometric information (e.g., fingerprint, voiceprint, photograph, etc.)	<input type="checkbox"/>	<input checked="" type="checkbox"/>
12. Financial information (loan number, credit score, credit card number, etc.)	<input type="checkbox"/>	<input checked="" type="checkbox"/>
13. Passport number	<input type="checkbox"/>	<input checked="" type="checkbox"/>
14. Permanent and Alien registration number (Green Card)	<input type="checkbox"/>	<input checked="" type="checkbox"/>
15. Insurance policy number	<input type="checkbox"/>	<input checked="" type="checkbox"/>
16. Digital/electronic signature information	<input type="checkbox"/>	<input checked="" type="checkbox"/>
17. Medical/psychological information	<input type="checkbox"/>	<input checked="" type="checkbox"/>
18. Criminal history	<input type="checkbox"/>	<input checked="" type="checkbox"/>
19. Mother's maiden name	<input type="checkbox"/>	<input checked="" type="checkbox"/>
20. Drug test results	<input type="checkbox"/>	<input checked="" type="checkbox"/>
21. Marital status	<input type="checkbox"/>	<input checked="" type="checkbox"/>
23. Education history	<input type="checkbox"/>	<input checked="" type="checkbox"/>
24. Other (please specify):	<input type="checkbox"/>	<input checked="" type="checkbox"/>

Part 2: Privacy Data Details

If you answered yes to any question in Part 1, Section c of this form, please answer the questions below as completely as possible.

1. What PII information does your Ginnie Mae system process, store, or collect?

Personally identifiable information (PII) includes information in an IT system or online collection: (i) that directly identifies an individual (e.g., name, address, social security number or other identifying number or code, telephone number, email address, etc.) or (ii) by which an agency intends to identify specific individuals in conjunction with other data elements, i.e., indirect identification. (These data elements may include a combination of gender, race, birth date, geographic indicator, and other descriptors.)

See Section 1.C above

2. Does your Ginnie Mae system process, store, or collect PII data about more than 10 individuals?

Yes No

3. On what type of media is the PII data stored or collected? (For example, is the information collected via the Web, email, CDs, paper forms, etc.?)

Web Based

4. Where is the server, media, or storage mechanism on which the PII data is stored?

Ginnie Mae Business Center 400 Virginia Ave Washington D.C.

5. What are the sources of the PII data?

Ginnie Mae Issuer reported data

6. Why is the PII data stored or collected?

To be available to support SCRA reimbursements; for portfolio and risk analysis

7. What is the current / intended use of the PII data?

To support SCRA reimbursements, ; portfolio and risk analysis

8. With whom is the PII data shared (other agencies, organizations, systems, etc.)?

None

9. How is the PII data secured?

The data is secured on the secure private network and server platforms at the Ginnie Mae Business Center. See C&A Documentation for various descriptions of controls, etc.

10. Is this IT system a System of Record?

A System of Record is any group of records under the control of an agency from which information is retrieved by the name of an individual or by some identifying number, symbol, or other identifying particular assigned to the individual. For example, a system that collects credit scores of individuals and is searchable by name or SSN would be a System of Record.

Yes No

Additional explanation if required:

11. Is this system part of another System of Record?

If your system receives a feed containing PII data from another agency's system that collects the PII data from the public, then that other agency's system is the System of Record and they are the sponsor of the collection. For example, if your system uses data from FHA that the agency has collected directly from the public, then your system is not the System of Record (the FHA system is).

Yes No

If yes, provide name of the System of Record and sponsor:

12. Has a Systems of Record Notice (SORN) been published/approved by OMB for the system?

A SORN is a notice that is published in the Federal Register whenever a new System of Record is implemented or an existing System of Record has modifications that impact the privacy data it collects.

Yes No

If yes, provide OMB form number and name:

N/A Not applicable to Contractor. Contact Ginnie Mae Office of Operations for OMB approval information.

13. Is the system currently being modified or will it be modified this year?

If yes, describe modification.

Yes No

If yes, describe modification:

Part of RFS Project. Schedule to be determined by Ginnie Mae

14. If the system is being modified, will the SORN require amendment or revision?

A revised notice must be published in the Federal register if the planned modifications to the System of Record will impact the privacy data the system collects.

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Yes No

If yes, explain:

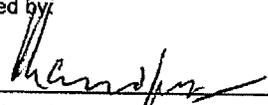
N/A Not applicable to Contractor. Contact Ginnie Mae Office of Operations for OMB approval information.

Privacy Impact Assessment

Based on the authority of contractor representative, all data captured in this document is current and accurate.


Signature _____ Date 2/7/2007

Richard Parker _____ Development Manager
Printed Name _____ Title

Reviewed by:

Chitranjan Khandpur _____ Date 2/16/07
Ginnie Mae Chief Privacy Officer

Reviewed by:

Deborah V. Holmes _____ Date 2/16/07
Ginnie Mae Chief Information Officer