



Post Award Work Group

Grants Policy Committee

Stakeholders' Meeting

October 25, 2006

Mike Nelson

Work Group Chair



The Part of Grants Process We Address

- **Post Award has focused on the following areas:**
 - Payments
 - Cost Principles
 - Indirect Costs
 - Reports
 - Federal Financial
 - Performance/Progress
 - Other than Research
 - Research



The Part of Grants Process We Address

- **Post Award has focused on the following areas:**
 - Reports (cont.)
 - Tangible Personal Property
 - Real Property
 - Inventions



Our Objectives

- To develop complete and useful mechanisms to track and report on grant activities and funds
- To simplify reporting requirements
- To standardize, consolidate, and strengthen grant reporting
- Bottom line: to improve the delivery of services to the public



How We Operate

■ Team effort:

Post Award Work Group

- A separate team for each of the discrete activities
- Products are forms/data elements, policies, and/or instructions
- Work Group meets monthly to assess progress
- Team reports and delivers products to the Post Award Work Group
- Work Group reports and delivers products to Grants Policy Committee



How We Operate

■ Team effort:

Post Award Work Group (cont).

- Grants Policy Committee disseminates products to all grant making agencies for comments
- Team addresses comments and modifies products
- Final products to GPC for OMB dissemination to public for comments
- Team addresses comments and modifies products
- Final products published by OMB



Accomplishments to Date

■ Payments

Survey of CFO Agencies

- Payment Management System (PMS) Users
- Automated Standard Application for Payment (ASAP)
- Other - Agencies with their own systems
 - Reasons included Specialization, Cost, Capabilities

■ Cost Principles

- Modifications of A-122, A-87, and A-21 - May 10, 2004



Accomplishments to Date

■ Reports

Federal Financial

- Collapsed standard reports into one report; received agency and public comments; modified form/instructions/policies
- Approved by Grants Policy Committee
- Next step: request public comments



Accomplishments to Date

■ Reports (cont).

Performance/ Progress

- Cover page, Performance Measures, Program Indicators, Benchmark Evaluations, Table of Activity Results, Activity-based Budget, Program/Project Management
- As simple as a simple narrative if that would suffice
- Approved by Grants Policy Committee
- Next step: request public comments



Accomplishments to Date

- **Performance Progress Reporting for Research Programs**
 - Began with NSF Fastlane format
 - Developed using the conceptual framework of the SF424 (Research and Related [R&R]) application form/data. Format includes mandatory components that must be included in the report, as well as optional/discretionary categories that are selected as deemed appropriate by the agency
 - Approved by Grants Policy Committee
 - Next step: request public comments



Accomplishments to Date

■ **Tangible Personal Property**

- Standard data elements, no new requirements, addresses points of interest – annual, closeout, property no longer needed; federally owned or property in which the federal government retains an interest
- Approved by Grants Policy Committee
- Next step: request public comments



Accomplishments to Date

■ Real Property Status

- Standardized report to be used by grant recipients to report status of real property provided/purchased/or improved with federal funding, and to request agency direction related to the property
- Approved by Grants Policy Committee
- Next step: request public comments



Accomplishments to Date

■ Inventions

- Policy developed for inventions conceived or developed under grant funding
- Issues address disclosure, election of title, annual, and closeout reporting
- iEdison used by many agencies currently



Work in Progress

■ Payments

- Review of the systems approved through the Office of Management and Budget Grants Management or Financial Management Lines of Business for differences and compatibility

■ Cost Principles

- Develop indirect cost handbook for non-profit organizations to use as a guide in preparing indirect cost rate proposals



Work in Progress

■ Reports

- Federal Financial
- Performance/Progress
- Performance Progress Reporting for Research Programs
- Tangible Personal Property
- Real Property Status

- Writing article for 2 Code of Federal Regulations (CFR) for instructions and policy



Work in Progress

■ Reports

■ Inventions

- Working group has been reconstituted under new leadership with new team members
- Policy being reconsidered by modified working group which now includes Federal contract interest



Future Efforts

■ Payments

- Develop standardization of screens, terminology, processes
- Goal is common access portal

■ Cost Principles

- Consider developing one set of cost principles
- Finalize indirect cost handbook



Future Efforts

■ Reports

- Federal Financial
- Performance/Progress
- Performance Progress Reporting for Research Programs
- Tangible Personal Property
- Real Property Status
 - Modify form, instructions, and policy based on public comment and finalize

- Inventions
 - Modify data elements, instructions, and policy and submit to GPC for agencies' review