

B11SR020003

NOORVIK NATIVE COMMUNITY

FY11 ICDBG GRANT APPLICATION

REHABILITATION PROJECT

COPY

*Noorvik Native Community
PO Box 209
Noorvik, AK 99763
(907) 636-2144
(907) 636-2284 fax*

May 30, 2011

Grants Management Division
Office of Native American Programs
3000 C St., Suite 401
Anchorage, AK 99503

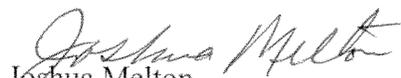
Dear Sir or Madam:

Please find attached our grant application for the 2011 Indian Community Development Block Grant Program. Our request for funding is \$600,000, with which we intend to provide much needed general rehabilitation/weatherization assistance to existing homes, and construct 2bedroom additions to existing homes that are identified as being over-crowded, which are currently owned by low income tribal members.

We have entered into a Sub-recipient Agreement with the Northwest Inupiat Housing Authority (NIHA) to fully administer, plan, and execute all phases of the proposed project on our behalf.

Your consideration of our request is greatly appreciated.

Sincerely,


Joshua Melton
President, Noorvik Native Community IRA Council

cc: NIHA
file

Application for Federal Assistance SF-424

Version 02

1. Type of Submission:

- Preapplication
- Application
- Changed/Corrected Application

***2. Type of Application**

- New
- Continuation
- Revision

* If Revision, select appropriate letter(s)

*Other (Specify)

3. Date Received:

4. Applicant Identifier:

5a. Federal Entity Identifier:

*5b. Federal Award Identifier:

State Use Only:

6. Date Received by State:

7. State Application Identifier:

8. APPLICANT INFORMATION:

*a. Legal Name: Noorvik Native Community

*b. Employer/Taxpayer Identification Number (EIN/TIN):
██████████

*c. Organizational DUNS:
██████████

d. Address:

*Street 1: PO Box 209

Street 2: _____

*City: Noorvik

County: _____

*State: AK

Province: _____

*Country: USA

*Zip / Postal Code 99763

e. Organizational Unit:

Department Name:

Division Name:

f. Name and contact information of person to be contacted on matters involving this application:

Prefix: Mr. _____ *First Name: Joshua

Middle Name: _____

*Last Name: Melton

Suffix: _____

Title: President

Organizational Affiliation:

Noorvik IRA Council

*Telephone Number (907) 636-2144

Fax Number: (907) 636-2284

*Email: tribemanager@nuurvik.org

Application for Federal Assistance SF-424

Version 02

***9. Type of Applicant 1: Select Applicant Type:**

I. Indian/Native Am Tribal Govn.(Fed. Recognized)

Type of Applicant 2: Select Applicant Type:

Type of Applicant 3: Select Applicant Type:

*Other (Specify)

***10 Name of Federal Agency:**

HUD - ICDBG

11. Catalog of Federal Domestic Assistance Number:

14.862

CFDA Title:

ICDBG

***12 Funding Opportunity Number:**

FR-5500-N-04

*Title:

ICDBG

13. Competition Identification Number:

ICDBG-04

Title:

Community Development Block Grant Program for Indian Tribes and Alaska Native Villages (ICDBG)

14. Areas Affected by Project (Cities, Counties, States, etc.):

Native Village of Noorvik, Alaska (community wide)

***15. Descriptive Title of Applicant's Project:**

Provide general rehabilitation and or weatherization assistance and construct 5- 7 2bedroom additions to qualified low-income Alaska Native applicants homes.

Application for Federal Assistance SF-424

Version 02

16. Congressional Districts Of:

*a. Applicant: AK at large

*b. Program/Project: AK at large

17. Proposed Project:

*a. Start Date: 5/15/12

*b. End Date: 10/30/12

18. Estimated Funding (\$):

*a. Federal	_____	600,000.00
*b. Applicant	_____	200,000.00
*c. State	_____	
*d. Local	_____	
*e. Other	_____	
*f. Program Income	_____	
*g. TOTAL	_____	800,000.00

***19. Is Application Subject to Review By State Under Executive Order 12372 Process?**

- a. This application was made available to the State under the Executive Order 12372 Process for review on _____
- b. Program is subject to E.O. 12372 but has not been selected by the State for review.
- c. Program is not covered by E. O. 12372

***20. Is the Applicant Delinquent On Any Federal Debt? (If "Yes", provide explanation.)**

- Yes
- No

21. *By signing this application, I certify (1) to the statements contained in the list of certifications** and (2) that the statements herein are true, complete and accurate to the best of my knowledge. I also provide the required assurances** and agree to comply with any resulting terms if I accept an award. I am aware that any false, fictitious, or fraudulent statements or claims may subject me to criminal, civil, or administrative penalties. (U. S. Code, Title 218, Section 1001)

** I AGREE

** The list of certifications and assurances, or an internet site where you may obtain this list, is contained in the announcement or agency specific instructions

Authorized Representative:

Prefix: Mr. _____ *First Name: Joshua _____
Middle Name: _____
*Last Name: Melton _____
Suffix: _____

*Title: President *Joshua Melton*

*Telephone Number: (907) 636-2144 Fax Number: (907) 636-2284

* Email: tribemanager@nuurvik.org

Signature of Authorized Representative:

*Date Signed: *5/30/11*

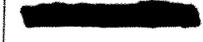
Applicant/Recipient Disclosure/Update Report

U.S. Department of Housing and Urban Development

OMB Approval No. 2510-0011 (exp. 9/30/2013)

Instructions. (See Public Reporting Statement and Privacy Act Statement and detailed instructions on page 2.)

Applicant/Recipient Information Indicate whether this is an Initial Report or an Update Report

1. Applicant/Recipient Name, Address, and Phone (include area code): Noorvik Native Community, PO Box 209, Noorvik, AK 99763 (907) 636-2144	2. Social Security Number or Employer ID Number: 
3. HUD Program Name ICDBG	4. Amount of HUD Assistance Requested/Received \$600,000.00
5. State the name and location (street address, City and State) of the project or activity: Noorvik Native Community, AK (community wide)	

Part I Threshold Determinations

1. Are you applying for assistance for a specific project or activity? These terms do not include formula grants, such as public housing operating subsidy or CDBG block grants. (For further information see 24 CFR Sec. 4.3). <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	2. Have you received or do you expect to receive assistance within the jurisdiction of the Department (HUD), involving the project or activity in this application, in excess of \$200,000 during this fiscal year (Oct. 1 - Sep. 30)? For further information, see 24 CFR Sec. 4.9 <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No.
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If you answered "No" to either question 1 or 2, **Stop!** You do not need to complete the remainder of this form. **However,** you must sign the certification at the end of the report.

Part II Other Government Assistance Provided or Requested / Expected Sources and Use of Funds.

Such assistance includes, but is not limited to, any grant, loan, subsidy, guarantee, insurance, payment, credit, or tax benefit.

Department/State/Local Agency Name and Address	Type of Assistance	Amount Requested/Provided	Expected Uses of the Funds
Northwest Inupiat Housing Authority	IHBG	\$600,000.00	Supplement Project Budget

(Note: Use Additional pages if necessary.)

Part III Interested Parties. You must disclose:

- All developers, contractors, or consultants involved in the application for the assistance or in the planning, development, or implementation of the project or activity and
- any other person who has a financial interest in the project or activity for which the assistance is sought that exceeds \$50,000 or 10 percent of the assistance (whichever is lower).

Alphabetical list of all persons with a reportable financial interest in the project or activity (For individuals, give the last name first)	Social Security No. or Employee ID No.	Type of Participation in Project/Activity	Financial Interest in Project/Activity (\$ and %)
Northwest Inupiat Housing Authority		Sub-recipient	\$600,000.00

(Note: Use Additional pages if necessary.)

Certification

Warning: If you knowingly make a false statement on this form, you may be subject to civil or criminal penalties under Section 1001 of Title 18 of the United States Code. In addition, any person who knowingly and materially violates any required disclosures of information, including intentional non-disclosure, is subject to civil money penalty not to exceed \$10,000 for each violation. I certify that this information is true and complete.

Signature: x 	Date: (mm/dd/yyyy) 05/30/2011
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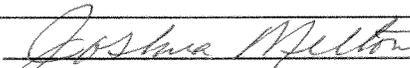
Mr. Joshua Melton, President
Noorvik Native Community IRA Council

DISCLOSURE OF LOBBYING ACTIVITIES

Approved by OMB
0348-0046

Complete this form to disclose lobbying activities pursuant to 31 U.S.C. 1352

(See reverse for public burden disclosure.)

1. Type of Federal Action: <input type="checkbox"/> a. contract <input checked="" type="checkbox"/> b. grant c. cooperative agreement d. loan e. loan guarantee f. loan insurance	2. Status of Federal Action: <input type="checkbox"/> a. bid/offer/application <input type="checkbox"/> b. initial award <input type="checkbox"/> c. post-award	3. Report Type: <input type="checkbox"/> a. initial filing <input type="checkbox"/> b. material change For Material Change Only: year _____ quarter _____ date of last report _____
4. Name and Address of Reporting Entity: <input checked="" type="checkbox"/> Prime <input type="checkbox"/> Subawardee Tier _____, if known: Noorvik Native Community PO Box 209 Noorvik, AK 99763 Congressional District, if known: 4c	5. If Reporting Entity in No. 4 is a Subawardee, Enter Name and Address of Prime: Congressional District, if known:	
6. Federal Department/Agency:	7. Federal Program Name/Description: CFDA Number, if applicable: 14-862	
8. Federal Action Number, if known:	9. Award Amount, if known: \$ 600,000.00	
10. a. Name and Address of Lobbying Registrant <i>(if individual, last name, first name, MI):</i> <p style="text-align: center;">n/a</p>	b. Individuals Performing Services <i>(including address if different from No. 10a)</i> <i>(last name, first name, MI):</i> <p style="text-align: center;">n/a</p>	
11. Information requested through this form is authorized by title 31 U.S.C. section 1352. This disclosure of lobbying activities is a material representation of fact upon which reliance was placed by the tier above when this transaction was made or entered into. This disclosure is required pursuant to 31 U.S.C. 1352. This information will be available for public inspection. Any person who fails to file the required disclosure shall be subject to a civil penalty of not less than \$10,000 and not more than \$100,000 for each such failure.	Signature: <u></u> Print Name: <u>Joshua Melton</u> Title: <u>President, Noorvik Native Community IRA Council</u> Telephone No.: <u>(907) 636-2144</u> Date: <u>5/30/2011</u>	
Federal Use Only:		Authorized for Local Reproduction Standard Form LLL (Rev. 7-97)

(6)

Acknowledgment of Application Receipt

U.S. Department of Housing and Urban Development

OMB Approval No. 2577-0259 expire 2/29/2012

Type or clearly print the Applicant's name and full address in the space below.

Noorvik Native Community
PO Box 209
Noorvik, AK 99763

(fold line)

Type or clearly print the following information:

Name of the Federal Program to which the applicant is applying: _____

To Be Completed by HUD

- HUD received your application by the deadline and will consider it for funding. In accordance with Section 103 of the Department of Housing and Urban Development Reform Act of 1989, no information will be released by HUD regarding the relative standing of any applicant until funding announcements are made. However, you may be contacted by HUD after initial screening to permit you to correct certain application deficiencies.
- HUD did not receive your application by the deadline; therefore, your application will not receive further consideration. Your application is:
- Enclosed
 - Being sent under separate cover

Processor's Name _____

Date of Receipt _____

Codes of Conduct AK
Updated Wednesday, February 02, 2011

AKIACHAK NATIVE COMMUNITY
PO BOX 70
AKIACHAK AK 99551-

NATIVE VILLAGE OFALEKNAGIK
PO BOX 115
ALEKNAGIK AK 99555-

NAQSRAGMIUT TRIBAL COUNCIL
P.O. BOX 21065
ANAKTUVUK PASS AK 21065-

ALASKA HOUSING FINANCE CORPORATION
P.O. BOX 101020
ANCHORAGE AK 99510-

ALASKAN AIDS ASSISTANCE ASSOCIATION
1057 W. FIREWEED LANE, STE 102
ANCHORAGE AK 99503-

ALEUTIAN HOUSING AUTHORITY
4000 OLD SEWARD HIGHWAY, SUITE 202
ANCHORAGE AK 99503-

ANCHORAGE COMMUNITY MENTAL HEALTH SERVICES, INC.
4020 FOLKER STREET
ANCHORAGE AK 99508-

ANCHORAGE HOUSING INITIATIVES, INC.
P.O. BOX 202222
ANCHORAGE AK 99520-

CONSUMER CREDIT COUNSELING SERVICE OF ALASKA
208 E. 4TH AVE.
ANCHORAGE AK 99501-

COOK INLET TRIBAL COUNCIL, INC
3600 SAN JERONIMO DR
ANCHORAGE AK 99508-

COVENANT HOUSE ALASKA
P.O. BOX 104640
ANCHORAGE AK 99510-

MUNICIPALITY OF ANCHORAGE
825 L STREET/P.O. BOX 196650
ANCHORAGE AK 99519-

NATIVE VILLAGE OF NIKOLSKI
P.O. BOX 105
NIKOLSKI AK 99638-

NATIVE VILLAGE OF NOATAK
PO BOX 89
NOATAK AK 99761-

NONDALTON VILLAGE
P.O. BOX 409
NONDALTON AK 99640-

NOORVIK NATIVE COMMUNITY
PO 209
NOORVIK AK 99763-

NULATO TRIBAL COUNCIL
P.O. BOX 65049
NULATO AK 99765-

NATIVE VILLAGE OF NULQAUTT
P.O. BOX 89189
NULQAUT AK 99759-

PERRYVILLE VILLAGE COUNCIL
PO BOX 89
PERRYVILLE AK 99648-

PILOT POINT TRIBAL COUNCIL
P.O. BOX 449
PILOT POINT AK 99649-

KWINHAGAK QUINKAHGAK I.R.A. COUNCIL
P.O. BOX 149
QUINHAGAK AK 99655-

RUBY TRIBAL COUNCIL
P.O. BOX 210
RUBY AK 99768-

SCAMMON BAY TRADITIONAL COUNCIL
P.O. BOX 110
SCAMMON BAY AK 99662-

NATIVE VILLAGE OF SHUNGNAK
P.O. BOX 64
SHUNGNAK AK 99773-

SILKA COUNSELING AND PREVENTION SERVICES, INC.
701 INDIAN RIVER RD.
SITKA AK 99835-



NOORVIK NATIVE COMMUNITY

PO 209

Noorvik, AK 99763

(907) 636-2144

(907) 636-2284 fax

RESOLUTION 2011-06

A RESOLUTION AUTHORIZING THE SUBMISSION OF A GRANT APPLICATION FOR THE INDIAN COMMUNITY DEVELOPMENT BLOCK GRANT PROGRAM FOR THE PURPOSE OF PROVIDING REHABILITATION AND/OR WEATHERIZATION ASSISTANCE TO EXISTING HOMES WITHIN THE COMMUNITY

WHEREAS: The Noorvik Native Community is a federally recognized Indian Tribe organized pursuant to the Indian Reorganization Act of June 18, 1934, (US Section 476 et. Seq.) as amended; and

WHEREAS: The Noorvik Native Community is the legally constituted and governing body of the Native Village of Noorvik and has authorized the Northwest Inupiat Housing Authority (NIHA) to administer its annual Indian Housing Block Grant funds via the 1996 Native American Housing and Self-Determination Act (NAHASDA); and

WHEREAS: The Council and NIHA recently completed an extensive study on the existing housing stock within the community, and found that numerous homes require repair and/or upgrade to the structures; and

WHEREAS: The Council and NIHA have determined that additional funding is needed to help address the housing issues within the community; and

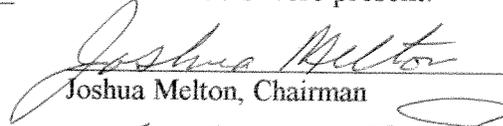
WHEREAS: The Council has agreed to authorize NIHA to complete the grant application and to administer the proposed housing project funded with monies from the FY11 ICDBG program; and

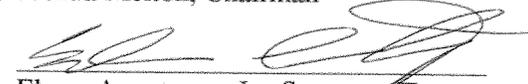
NOW THEREFORE BE IT RESOLVED: the Noorvik Traditional Council hereby authorizes the submission of the 2011 Indian Community Development Block Grant application on behalf of the Noorvik Native Community for the purpose of providing rehabilitation and/or weatherization assistance to homes within the community; and

BE IT FURTHER RESOLVED: In the event that the grant application is awarded funds, that the Noorvik Native Community's administration is authorized to execute the necessary agreements in the implementation of the Indian Community Development Block Grant program.

CERTIFICATION

The foregoing resolution was enacted by the Noorvik Traditional Council for the Noorvik Native Community on this 12th Day of MAY, 2011 by a vote of 5 for and 0 against and ___ abstaining at a duly called meeting at which 5 of seven members were present.


Joshua Melton, Chairman

ATTEST: 
Elmer Armstrong, Jr., Secretary/Treasurer

NOORVIK NATIVE COMMUNITY

PO 209

Noorvik, AK 99763

(907) 636-2144

(907) 636-2284 fax

RESOLUTION 2011-07

A RESOLUTION CERTIFYING THAT A PUBLIC MEETING WAS HELD TO DISCUSS THE GOALS & OBJECTIVES OF THE 2011 INDIAN COMMUNITY DEVELOPMENT GRANT APPLICATION

WHEREAS: The Noorvik Native Community is a federally recognized Indian Tribe organized pursuant to the Indian Reorganization Act of June 18, 1934, (US Section 476 et. Seq.) as amended; and

WHEREAS: The Noorvik Traditional Council is the legally constituted and governing body of the Noorvik Native Community and has authorized the Northwest Inupiat Housing Authority (NIHA) to administer its annual Indian Housing Block Grant funds since the inception of the 1996 Native American Housing and Self-Determination Act (NAHASDA); and

WHEREAS: The Council and NIHA recently completed an extensive study on the existing housing stock within the community, and found that numerous homes have multi-generations living together due to lack of adequate housing; and

WHEREAS: The Council and NIHA have determined that additional funding is needed to help address the severe housing shortage within the community; and

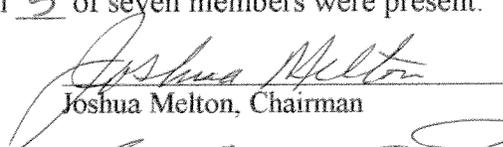
WHEREAS: The Council and NIHA have plans to submit a grant application to the 2011 Indian Community Development Grant program for funds to construct additions to identified homes that are deemed severely in need of rehabilitation and or weatherization assistance; and

WHEREAS: The Council has held a community meeting to discuss the proposed rehabilitation project with its tribal members, outlying the goals and objectives of the grant application.

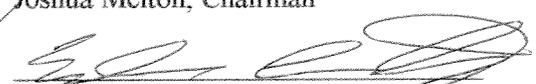
NOW THEREFORE BE IT RESOLVED: the Noorvik Traditional Council hereby certifies that a community meeting was held to discuss the goals and objectives of its 2011 Indian Community Development Block Grant application with its tribal membership.

CERTIFICATION

The foregoing resolution was enacted by the Noorvik Traditional Council for the Noorvik Native Community on this 12th Day of MAY, 2011 by a vote of 5 for and 0 against and ___ abstaining at a duly called meeting at which 5 of seven members were present.


Joshua Melton, Chairman

ATTEST:


Elmer Armstrong, Jr., Secretary/Treasurer

NOORVIK NATIVE COMMUNITY

PO 209

Noorvik, AK 99763

(907) 636-2144

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RESOLUTION 2011-08

A RESOLUTION AUTHORIZING MANAGEMENT TO ENTER INTO A SUB-RECIPIENT AGREEMENT WITH THE NORTHWEST INUPIAT HOUSING AUTHORITY FOR THE ADMINISTRATION OF THE 2011 INDIAN COMMUNITY DEVELOPMENT BLOCK GRANT

WHEREAS: The Noorvik Native Community is a federally recognized Indian Tribe organized pursuant to the Indian Reorganization Act of June 18, 1934, (US Section 476 et. Seq.) as amended; and

WHEREAS: The Noorvik Native Community has plans to submit an application to the Indian Community Development Block Grant program for funding to provide rehabilitation and/or weatherization assistance to existing homes within the community; and

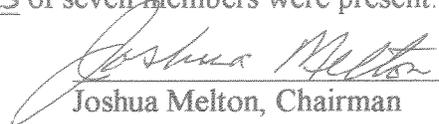
WHEREAS: The Noorvik Native Community has determined that NIHA should administer the funds and subsequent project upon notice of award of the grant; and

WHEREAS: The administration hereby recommends that the Noorvik Native Community enter into a sub-recipient agreement, as outlined in the attachment to this resolution, which is incorporated in and made a part by reference hereof, with NIHA.

NOW THEREFORE BE IT RESOLVED: the Noorvik Native Community hereby authorizes the administration to enter into a Sub-recipient Agreement with the Northwest Inupiat Housing Authority for the purpose of administering the proposed project as submitted in the 2011 Indian Community Development Block Grant application.

CERTIFICATION

The foregoing resolution was enacted by the Noorvik Traditional Council for the Noorvik Native Community on this 12th Day of MAY, 2011 by a vote of 5 for and 0 against and abstaining at a duly called meeting at which 5 of seven members were present.


Joshua Melton, Chairman

ATTEST: 
Elmer Armstrong, Jr., Secretary/Treasurer

SUBRECIPIENT AGREEMENT

This agreement is made between the Noorvik Native Community, hereinafter referred to as "Tribe" and the Northwest Inupiat Housing Authority, hereinafter referred to as "NIHA". This agreement is made on May 30, 2011, in two original counterparts.

PURPOSE OF AGREEMENT

The purpose of this agreement is for NIHA to serve as the tribal sub-recipient of the FY11 Indian Community Development Block Grant, which funds will allow the rehabilitation and or weatherization of twenty (20) to twenty-five (25) existing homes within the community, hereinafter referred to as "Project".

PERIOD OF AGREEMENT

This agreement commences on the date written above and shall remain in effect until such time as NIHA filled the terms in the Statement of Work or the agreement is terminated per the Termination Clause.

STATEMENT OF WORK

Upon the execution of this agreement, NIHA shall immediately prepare a plan approach from which to implement necessary tasks from the beginning to the closeout of the Grant.

1. NIHA shall update and submit to HUD form HUD-4125, Implementation schedule, upon the Tribes approval of the plan no less that 30 working days from the execution of the agreement. This schedule shall establish the times for the identified tasks to follow.
2. NIHA shall review the preliminary grant budget and submit comments to the Tribe.
3. NIHA shall prepare and submit the appropriate force account work plan to regional ONAP office.
4. NIHA shall directly supervise all construction in accordance with its approved force account work plan.
5. NIHA shall prepare, or cause to prepare, proposals and/or bid documents necessary to procure materials, transportation of materials, labor supervision, construction oversight and construction dependent on needs as determined by NIHA.
6. NIHA shall submit allowable construction reimbursement requests to the Tribe on a bi-weekly basis. The Tribe shall review NIHA's requests and make prompt payment to NIHA shall certify to the Tribe that the applicable procurement standards set forth in 24CFR 85.36 and 84.42 and Indian Preference provisions set forth in 24CFR 1003.510 have been met. NIHA shall certify that handicap access requirements are incorporated in the design and construction documents.
7. Upon determination of completion of the facility, an inspection will be scheduled with the Tribe. NIHA shall prepare a Memorandum of Acceptance for the Tribe.

RESPONSIBILITY OF TRIBE

1. The Tribe shall ensure the ICDBG Grant conditions are met to the satisfaction of HUD. The Tribe, as a recipient, retains its duties and responsibilities to HUD in the implementation of the Grant.
2. The Tribe shall designate a local Contact Person whom shall coordinate with NIHA to ensure that timely execution of contracts, payment requests, quarterly reports and other required actions are taken to maintain positive forward progress of the project. The Contact Person shall also ensure the local filing system adequately meets the documentation requirements of the Grant.
3. The Tribe shall review and approve for submission the Construction schedule.
4. The Tribe shall provide a suitable site, including proper site control, for the construction of the Facility.
5. The Tribe shall submit, or cause to be submitted, Environmental Review requirements of the Grant.
6. The Tribe shall prepare the site for the construction of the Facility.
7. The Tribe shall ensure all permits, fees, rights of way, and easement have been obtained for the construction of the Project.
8. The Tribe shall allow access by NIHA or approved to the properties for the construction or construction observations of the Project and its appurtenances and any other improvements as required for the completion of the Project.
9. The Tribe shall ensure all procurements meet the applicable sections of the Procurement Standards set forth at 24CFR 85.36 and 84.42 and Indian Preference Requirements set forth at 24 CFR1003.510. The Tribe shall require NIHA to certify that procurements made under the Project meet the Standards set forth in this paragraph.
10. The Tribe shall prepare or cause to prepare within two (2) days of reimbursement request, fund requests from U.S. Department of Housing and Urban Development, and transfer funds to NIHA for prompt reimbursements.
11. The Tribe shall review progress reports to ensure tasks meet the approved Implantation Schedule within the time frames specified.
12. The Tribe shall provide all necessary documentation required of the program audit and closeout of the Grant. The Tribe shall ensure the necessary requirements of the audit are met under this Grant.
13. The Tribe shall release NIHA from any further work related to this Grant upon the closeout of this Grant or termination under the termination clause.

TERMINATION OF AGREEMENT

1. The Tribe may terminate the agreement for convenience in accordance with 24CFR 85.44, or the Tribe may terminate this agreement for cause.
2. If NIHA shall fulfill its obligation in a timely and proper manner or violate any of the covenants or stipulations of this Agreement, the Tribe shall have the right to terminate this Agreement by giving written notice to NIHA specifying the effective date thereof. Upon receipt of such said notice from the Tribe of an event of default by NIHA, NIHA shall have at least thirty days to cure the default under this Agreement and come into compliance. If NIHA fails to comply within thirty days, the Tribe may terminate the agreement by providing written notice

specifying an effective date no earlier than ten working days after NIHA receives notice.

3. In such termination, all finished or unfinished documents, including data, studies, surveys, drawings, maps, models, photographs and reports prepared by NIHA under this Agreement, shall at the option of the Tribe become the Tribe's property.
4. Notwithstanding the above, NIHA shall not be relieved of liability to the Tribe for damages sustained by the Tribe by virtue of any breach of the Agreement by NIHA, and the Tribe may withhold any payments to the NIHA for the purpose of setoff until such time as the exact amount of damages due the Tribe from NIHA are determined.

PERSONNEL

1. NIHA represents that it has or will secure all personnel required in performing the services under this Agreement.
2. All of the services required hereunder shall be performed by NIHA or under NIHA's supervision. All personnel engaged in the work shall be fully qualified and shall be authorized or permitted under applicable law to perform such services.
3. Any work or services subcontracted hereunder shall be specified by written contract or agreement and shall be subject to each provision of this contract.

ASSIGNABILITY

NIHA shall not assign any interest or transfer any interest of this Agreement without prior written consent of the Tribe thereto; provided however that claims for reimbursement by NIHA to the Tribe may be assigned to a bank, trust company or other federally recognized financial institution without approval. Written notices of any assignment or transfer shall be furnished promptly to the Tribe.

REPORTS AND INFORMATION

NIHA shall furnish forms and periodic reports to the Tribe as it may request as such times pertaining to the work or services undertaken pursuant to this Agreement and any other matter covered by this Agreement.

RECORDS AND AUDITS

NIHA shall maintain accounts and records, including personnel, property and financial records, adequate to identify and account for all costs associated to this Agreement and other such records as may be deemed necessary by the Tribe to assure proper accounting for all project funds, both Federal and any non-Federal shares. These records will be made available for such purposes to the Tribe, U.S. Department of Housing and Urban Development, and will be retained for three (3) years after the expiration of this contract unless permission to destroy them is granted by the Tribe.

CONFIDENTIALITY

All of the reports, information, data, etc., prepared or assembled by NIHA under this Agreement are confidential and NIHA agrees that they shall not be made available to any individual or organization without the prior written approval of the Tribe.

COMPLIANCE AND APPLICABLE LAW

NIHA will comply with all applicable laws, ordinances and codes, and shall hold the Tribe harmless with respect to any damages arising from any tort done in performing any of the work embraced by this contract.

INDIAN PREFERENCE

1. The work to be performed under this Agreement is for a project or program subject to Sections 7(b) of the Indian Self Determination and Education Assistant Act (24 U.S.C. 450c(b)). Section 7(b) requires that to the extent feasible (1) preference and opportunities for training and employment shall be given to Indians and (2) preferences in the award of contracts and subcontracts shall be given to Indian organizations and Indian owned economic enterprises. This project will comply with requirements of Sections 3 of the Housing and Urban Development Act of 1968 and regulations in 24 CFR Part 135 to provide Economic Opportunities for Low and Very Low Income Persons.
2. The parties to this Agreement shall comply with the provisions of said Sections 7(b) and all requirements pursuant thereto.
3. NIHA shall, in connection with this Agreement, to the greatest extent feasible, give preference in the award of any subcontracts to Indian organizations and Indian owned economic enterprises, and preference and opportunities for training and employment to Alaska Indians and Alaska Natives.
4. NIHA shall cause the foregoing provisions to be inserted un every subcontract and shall at the direction of the Tribe take appropriate action pursuant to the subcontract upon a finding by the Tribe that the subcontractor has violated the Section 7(b) clause of the Indian Act.

REVERSION OF ASSETS

1. NIHA shall reimburse the Tribe of any U.S. Department of Housing and Urban Development funds on hand at the time of expiration of this agreement when it finds excess funds have been collected.
2. NIHA shall transfer to the Tribe any receivables attributed to the use of ICDBG funds at the time of expiration of this agreement.
3. NIHA shall transfer any and all material and equipment procured for the implementation of this Grant to the Tribe upon the expiration of this agreement.

PROGRAM INCOME

This Grant will not generate any program income for NIHA beyond reimbursement for costs rendered in the execution of the Statement of Work. The Tribe retains ownership of the Property at all times, and the Project shall be under the ownership of the Tribe immediately upon acceptance.

CONDITIONS FOR RELIGIOUS ORGANIZATIONS

No portion of any funds in this grant will be used by or for religious organizations.

INTEREST OF MEMBERS, OFFICERS, OR EMPLOYEES OF THE TRIBE MEMBER OF LOCAL GOVERNING BODY OR OTHER PUBLIC OFFICIALS

No member, officer, employee of the Tribe, member of the governing body or any public official who exercise any functions or responsibilities with respect to the project shall have any direct or indirect interest in the contract or in the proceeds there of during their tenure or for one year thereafter. Nothing in this provision shall be construed as to limit any persons identified herein from benefiting from program activities for which they would be otherwise eligible. NIHA shall take appropriate steps to assure compliance with this paragraph.

INTEREST OF CERTAIN FEDERAL OFFICIALS

No member of, or delegate to the Congress of the United States, and no Resident Commissioner, shall be admitted to any share or part of this Agreement or any benefit arising there from.

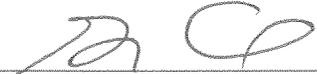
INTEREST OF NIHA AND EMPLOYEES

NIHA covenants that it presently has no interest and shall not acquire any interest, direct or indirect, in the study area or any parcels therein or any other interests which would conflict in any manner or degree with the performances of its services hereunder. NIHA further covenants that in the performance of this Agreement, no person having any such interest shall be employed.

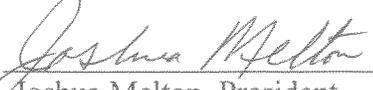
ENTIRE AGREEMENT: MODIFICATION

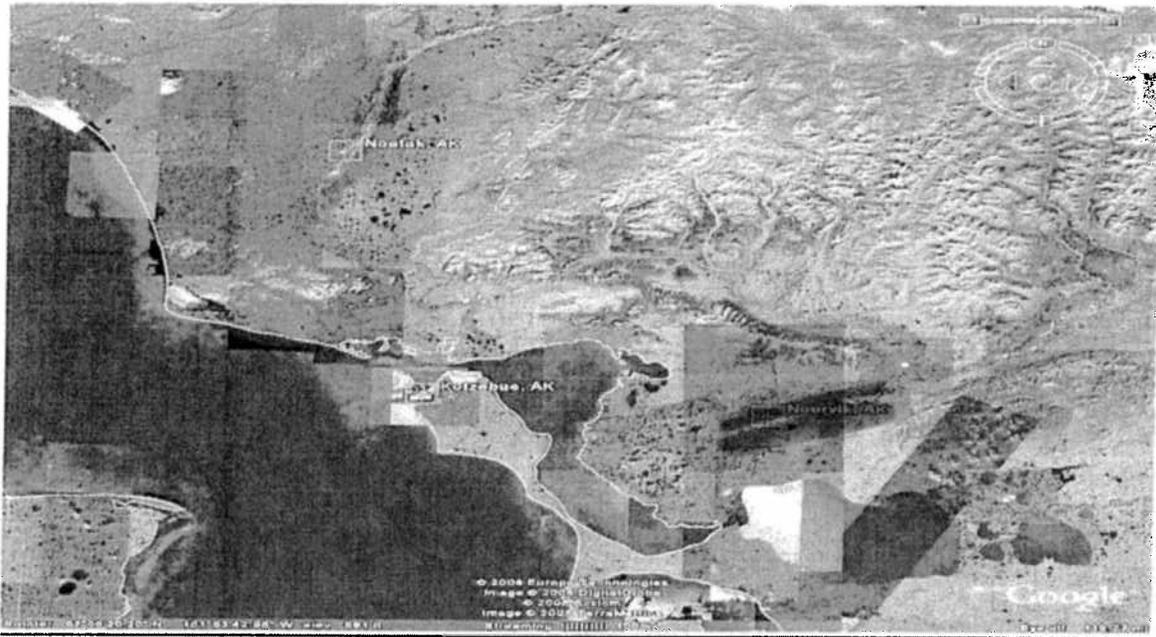
This Agreement sets forth the entire agreement and understanding between the Tribe and NIHA and supersedes any and all prior agreements and understandings between the parties. This contract may not be modified or amended except by a written instrument signed by each of the parties thereto.

Northwest Inupiat Housing Authority

By 
Guy Adams, Executive Director

Noorvik Native Community Council

By 
Joshua Melton, President



Applicant: Noorvik Native Community
**Project: Rehabilitation and or weatherization
to existing homes within community**

Documentation that Area Served is a Rural Area, as defined in the NOFA:

The proposed project will take place in Noorvik, AK. The village is approximately 550 air miles from Anchorage, AK (the states largest city) and is not located on a road system. The village of Noorvik has a population of approximately 676, according to the US Census Bureau, 2000 Census.

Project Thresholds: Housing Rehabilitation

- ✓ 2010 US Census Table of LMI Persons by Tribal Area
- ✓ NIHA Letter of Assurance re: Recipients are in good standing

The Noorvik Native Community (NNC) has previously adopted a set of Rehabilitation Standards and Policies (in June 2008) which outlines the minimum requirements allowed for rehabilitation and or weatherization work on homes within the community, and meets the requirements as set forth in 24 CFR 1003.302(a). A copy of the resolution and policy is available upon request.

The Noorvik Native Community (NNC) meets the minimum 51% threshold as outlined in the Area Benefit, as reflected in the attached 2010 US Census table, with 55% of the local population considered within the low or moderate income bracket. NNC also certifies that all families selected to receive assistance with ICDBG funds will meet the existing low-income limits.

The Northwest Inupiat Housing Authority (NIHA), the sub-recipient, has certified (see attachment) that all recipients selected to receive the proposed assistance, and who are current participants within its Mutual Help program, will be in good standing in regards to their respective accounts, and or be in an approved Pay-Back Agreement.

**Percentage of Low- and Moderate- Income Persons by Tribal Areas
(Based on 2000 Census Data)**

Alaska	Koyukuk	Koyukuk ANVSA, AK	94	73	78%
Alaska	Kwethluk	Kwethluk ANVSA, AK	727	619	85%
Alaska	Kwigillingok	Kwigillingok ANVSA, AK	317	268	85%
Alaska	Kwinhagak	Kwinhagak ANVSA, AK	544	455	84%
Alaska	Larsen Bay	Larsen Bay ANVSA, AK	88	56	64%
Alaska	Lesnoi (Woody Island)	No Census Data Available	0	0	0%
Alaska	Levelock	Levelock ANVSA, AK	110	73	66%
Alaska	Lime Village	Lime Village ANVSA, AK	0	0	0%
Alaska	Lower Kalskag	Lower Kalskag ANVSA, AK	256	240	94%
Alaska	Manley Hot Springs	Manley Hot Springs ANVSA, AK	74	36	49%
Alaska	Manokotak	Manokotak ANVSA, AK	402	282	70%
Alaska	Marshall	Marshall ANVSA, AK	356	258	72%
Alaska	Mary's Igloo	Mary's Igloo ANVSA, AK	0	0	0%
Alaska	McGrath	McGrath ANVSA, AK	417	214	51%
Alaska	Mekoryuk	Mekoryuk ANVSA, AK	193	154	80%
Alaska	Mentasta Lake	Mentasta Lake ANVSA, AK	111	101	91%
Alaska	Minto	Minto ANVSA, AK	249	175	70%
Alaska	Mountain Village	Mountain Village ANVSA, AK	723	542	75%
Alaska	Naknek	Naknek ANVSA, AK	671	241	36%
Alaska	Nanwalek	Nanwalek ANVSA, AK	202	145	72%
Alaska	Napaimute	Napaimute ANVSA, AK	0	0	0%
Alaska	Napakiak	Napakiak ANVSA, AK	339	327	96%
Alaska	Napaskiak	Napaskiak ANVSA, AK	444	353	80%
Alaska	Nelson Lagoon	Nelson Lagoon ANVSA, AK	78	38	49%
Alaska	Nenana	Nenana ANVSA, AK	460	270	59%
Alaska	New Stuyahok	New Stuyahok ANVSA, AK	479	385	80%
Alaska	Newhalen	Newhalen ANVSA, AK	147	101	69%
Alaska	Newtok	Newtok ANVSA, AK	358	313	87%
Alaska	Nightmute	Nightmute ANVSA, AK	243	181	74%
Alaska	Nikolai	Nikolai ANVSA, AK	76	69	91%
Alaska	Nikolski	Nikolski ANVSA, AK	58	45	78%
Alaska	Ninilchik	Ninilchik ANVSA, AK	13,264	6,122	46%
Alaska	Noatak	Noatak ANVSA, AK	426	303	71%
Alaska	Nome	Nome City	3,333	1,007	30%
Alaska	Nondalton	Nondalton ANVSA, AK	231	197	85%
Alaska	Noorvik	Noorvik ANVSA, AK	676	374	55%
Alaska	Northway	Northway ANVSA, AK	112	82	73%
Alaska	Nuiqsut	Nuiqsut ANVSA, AK	422	254	60%
Alaska	Nulato	Nulato ANVSA, AK	332	239	72%
Alaska	Nunam Iqua	Nunam Iqua ANVSA, AK	175	148	85%
Alaska	Nunapitchuk	Nunapitchuk ANVSA, AK	439	383	87%
Alaska	Ohogamiut	Ohogamiut ANVSA, AK	0	0	0%
Alaska	Old Harbor	Old Harbor ANVSA, AK	244	163	67%
Alaska	Orutsararmuit (Bethel)	Bethel ANVSA, AK	5,471	2,247	41%
Alaska	Oscarville	Oscarville ANVSA, AK	41	41	100%
Alaska	Ouzinkie	Ouzinkie ANVSA, AK	201	83	41%
Alaska	Paimiut	Paimiut ANVSA, AK	0	0	0%
Alaska	Pauloff Village	No Census Data Available	0	0	0%
Alaska	Pedro Bay	Pedro Bay ANVSA, AK	50	32	64%
Alaska	Perryville	Perryville ANVSA, AK	100	28	28%
Alaska	Petersburg	Petersburg City	3,212	1,265	39%
Alaska	Pilot Point	Pilot Point ANVSA, AK	123	83	67%
Alaska	Pilot Station	Pilot Station ANVSA, AK	543	424	78%
Alaska	Pitkas Point	Pitkas Point ANVSA, AK	121	64	53%
Alaska	Platinum	Platinum ANVSA, AK	50	50	100%
Alaska	Point Hope	Point Hope ANVSA, AK	760	314	41%
Alaska	Point Lay	Point Lay ANVSA, AK	245	90	37%
Alaska	Port Graham	Port Graham ANVSA, AK	176	100	57%
Alaska	Port Heiden	Port Heiden ANVSA, AK	93	44	47%
Alaska	Port Lions	Port Lions ANVSA, AK	289	179	62%
Alaska	Portage Creek	Portage Creek ANVSA, AK	10	10	100%
Alaska	Qagan Tayagungin (Sand Point)	Sand Point ANVSA, AK	975	258	26%
Alaska	Qawalangin (Unalaska)	Unalaska ANVSA, AK	4,283	563	13%



NORTHWEST INUPIAT HOUSING AUTHORITY

P.O. Box 331 • KOTZEBUE, ALASKA 99752 • (907) 442-3450 • FAX (907) 442-3486

May 4, 2011

Ms. Julie Kander, Team Leader, Grants Management Division
Office of Native American Programs
3000 C. Street, Suite 401
Anchorage, AK 99503

Dear Ms. Kander:

I hereby certify that the recipients selected to receive assistance via the 2011 Indian Community Development Block Grant program, and who are current participants in our Mutual Help program within the community of Noorvik, will be current with their accounts, and or be in an approved pay-back agreement.

If you have any questions, please contact me at 442-3450.

Sincerely,



Guy Adams
Executive Director

cc: Native Village of Noorvik
file

Rating Factor 1: Capacity of the Applicant

Subsection 1: Managerial, Technical, and Administrative Capability

(a) Managerial and Technical Staff

Mr. Charlie Nelson, NIHA Deputy Director, is the **Project Director**, and will be leading a highly qualified in-house team of three from project conception thru completion. Mr. Nelson has ten plus years experience in the subsidized housing field including work as Housing Counselor and Deputy Director of NIHA and the Native Village of Kotzebue. During his time at the IRA (2000-2002), he oversaw the rehabilitation of 20+ homes in Kotzebue. Presently with NIHA, Mr. Nelson drafts the Indian Housing Plan (IHP) and Annual Performance Report (APR), oversees the daily functions of the various departments, and performs environmental reviews for all HUD funded activities. Mr. Nelson recently authored grant applications on behalf of the Native Villages of Ambler and Buckland, (FY09), Deering, Kobuk, and Shungnak (FY10), all of which were awarded \$600,000 respectively. As Project Director, his primary responsibilities will be to coordinate the project's in-house team with the tribe, its council, families selected to receive assistance, and the regional ONAP office. Mr. Nelson will also oversee the necessary environmental reporting requirements, report to the Executive Director and the NIHA Board of Commissioners on progress, and work with HUD/ONAP regional office on required reporting activities.

As **Project Manager**, Mr. Damon Schaeffer, will work closely with Mr. Nelson to develop the final scope of work, materials list, supervise on-site Project Superintendent, and manage the progress of project schedule. With over 5 years of experience in bush construction including overseeing the Housing Improvement Program (HIP) for Maniilaq Association from 2005-2008, Mr. Schaeffer is very familiar with the challenges of construction in our region. He oversaw the rehabilitation and weatherization projects in Ambler (28 SFU's receiving assistance) and Buckland (44 SFU's receiving assistance), funded with FY10 ICDBG funds, Selawik (22 SFU's receiving assistance), and Kotzebue (11 SFU's receiving assistance). He managed similar projects in Noatak (38 SFU's receiving assistance) and Noorvik (35 SFU's receiving assistance), respectively, in 2008, which were funded with both FY08 ICDBG funds as well as FY08 AHFC Lyman Hoffman Low Income Weatherization grant funds.

Allen Jessup, NIHA **Finance Director**, has been with NIHA for over ten years. Mr. Jessup's leadership in the accounting department has resulted in clean audits for NIHA over the last five (5) years. He has extensive experience in developing line-item budgets, overseeing bi-weekly payroll, completing quarterly employee tax reports, issuing and tracking of purchase orders, and subsequent accounts payables. As Finance Director, his primary duties will be to develop the project budget, provide weekly and monthly financial reports, and assist in completing the grant reporting requirements. Mr. Jessup has successfully overseen the financial reporting responsibilities of multiple state and federal grants over the last 5 years, well in excess of \$20million.

Since NIHA will complete the proposed work via the force account construction method, no consultants, contractors, and or sub-contractors will be hired.

Rating Factor 1: Capacity of the Applicant

Subsection 1: Managerial, Technical, and Administrative Capability

(b) Project Implementation Plan

- ✓ HUD Form 4125 Implementation Schedule

The Northwest Inupiat Housing Authority, the sub-recipient, has outlined the various activities necessary for a successful completion of the proposed project in the attached Implementation Schedule. The timeline highlights the need for constant oversight to ensure that the project starts, and finishes on schedule and within budget.

To ensure that NIHA follows the Implementation Schedule it has set, the Project Director will hold weekly meetings with project leaders to review the progress. In the event that a particular task(s) is behind schedule, the project team will identify the reason(s) for the delay, make the necessary corrections to remedy the problem(s), and update the Implementation Schedule as required. During this on-going review process, NIHA will be in continuous consultation with the tribe to provide updates and or receive guidance.

Implementation Schedule
Indian Community Development Block Grant (ICDBG)

U.S. Department of Housing and Urban Development
Office of Public and Indian Housing

OMB Approval No. -0191
(exp. 2/29/2012)

See Instructions and Public Reporting Statement on back.
Submit a separate implementation schedule for each project category.

1. Name of Applicant (as shown in Item 5, Standard Form 424)
Noorvik Native Community

2. Application/Grant Number (to be assigned by HUD) 3. Original (First submission to HUD) Pre-Award Submission Amendment (submitted after grant approval)
Date (mm/dd/yyyy) 05/30/2011

4. Name of Project (as shown on form HUD-4123, item 4)
Noorvik Native Community Rehabilitation/Weatherization Project

5. Effective Date (mm/dd/yyyy) Expected Completion Date (mm/dd/yyyy) Expected Closeout Date (mm/dd/yyyy)
05/01/2012 10/30/2012 12/31/2012

6. Environmental Review Status
 Exempt (As described in 24 CFR 58.34) Under Review (Review underway; findings not yet made) Finding of No Significant Impact (Finding made that request for release of funds for project is not an action which may significantly affect the environment.)
 EIS Required (Finding that project may significantly affect environment or EIS automatically required by 24 CFR 58.37) Not Started (Review not yet begun) Certification (Environmental review completed; certification and request for release of funds being prepared for submission.) Categorically Excluded (as described in 24 CFR 58.35) 7. Tribal Fiscal Year (mm/dd/yyyy)

8. Task List
9. Schedule.
(List tasks such as environmental assessment, acquisition, etc.) Use Calendar Year (CY) quarters. Fill-in the CY below. See detailed instructions on back.

	CY 11			CY 12					Date (mm/dd/yyyy) (if exceeds 8th Q. tr	
	1st Qtr.	2nd Qtr.	3rd Qtr.	4th Qtr.	5th Qtr.	6th Qtr.	7th Qtr.	8th Qtr.		
Project administration			X	X	X	X	X	X	X	
ERR process			X	X	X	X	X	X	X	
Application process			X	X	X	X	X	X	X	
Material acquisition, job advertisement				X	X	X	X	X	X	
Mobilize local crew, delivery of material				X	X	X	X	X	X	
Begin construction to selected units				X	X	X	X	X	X	
Inspections, construction complete							X	X	X	
Final inspection, punch lists, certification							X	X	X	
ASER and close-out reports							X	X	X	
10. Planned Drawdowns by Quarter (Enter amounts non-cumulatively)	\$	\$	\$	\$	\$ 300,000.00	\$ 300,000.00	\$	\$	\$	\$ Total 600,000.00
11. Cumulative Drawdown (if more than one page, enter total on last page only)	\$ 0.00	\$ 0.00	\$ 0.00	\$ 0.00	\$ 300,000.00	\$ 600,000.00	\$ 600,000.00	\$ 600,000.00	\$ 600,000.00	\$ Total 600,000.00

(26)

Rating Factor 1: Capacity of the Applicant

Subsection 1: Managerial, Technical, and Administrative Capability

(c) Financial Management

- ✓ Copy of NIHA 2010 audit report

The sub-recipient, NIHA, will be responsible for all financial aspects of the project. NIHA has demonstrated successful management of over \$30million in public funds (IHBG, AHFC, FHLB grants, NAIHC grants, USDA grants) in the last five years and its latest (2010) federal and internal audit (see attachment) attest to this fact. NIHA has adopted finance policies and procedures and internal controls that dictate how they handle all revenues and expenditures that comply with 24 CFR 85 and 24 CFR 1003.

NIHA's financial management software system, American Fundware 7.40, has the capability to track and account for revenues and expenditures on a per-job basis. Line item expenditures such as: travel, labor payroll, administration payroll, fringe benefits, materials, freight, insurance, utilities, equipment rental, etc, are created for effective reporting to granting agencies. All requests for funds are documented by purchase requisitions and accounts payable vouchers and must be signed off both by the Finance Director and the Executive Director. The Finance Director will produce reports comparing the approved budget to the actual expenses on a monthly basis, or as requested, for review by the Project Director and the Executive Director of NIHA.

If budgeted line items need to be amended, the Project Director will create a revised budget and submit it to NIHA's Executive Director for approval.

NIHA will maintain a financial documentation file for the ICDBG award that will include, but not be limited, to the following:

- ✓ Grant award notice and acceptance letter
- ✓ NIHA leveraged contribution
- ✓ Preliminary budget
- ✓ HUD determined wage rate schedule
- ✓ Environmental Review Records
- ✓ Monthly budget reports
- ✓ Travel requests, accounts payable vouchers, purchase requisitions, project payroll reports, and quarterly payroll tax reports.
- ✓ Correspondence with tribe and ONAP
- ✓ ASER & FSER reports

NIHA's accounting team and financial management system will ensure effective fiscal control over the proposed project to complete it within budget, document financial activities, and comply with all applicable federal and state regulations.

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NORTHWEST INUPIAT HOUSING AUTHORITY

Letter to the Governing Board

Year Ended December 31, 2010

May 21, 2010

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Members of the Governing Board
Northwest Inupiat Housing Authority
Kotzebue, Alaska

Ladies and Gentlemen:

We have audited the financial statements of the governmental activities, each major fund, and the aggregate remaining fund information of Northwest Inupiat Housing Authority for the year ended December 31, 2010. Professional standards require that we provide you with information about our responsibilities under generally accepted auditing standards, *Government Auditing Standards* and OMB Circular A-133, as well as certain information related to the planned scope and timing of our audit. We have communicated such information in our letter to you dated December 23, 2010. Professional standards also require that we communicate the following information related to our audit.

Significant Accounting Policies and Transactions

Management is responsible for the selection and use of appropriate accounting policies. The significant accounting policies used by Northwest Inupiat Housing Authority are described in Note 1 to the financial statements. No new accounting policies were adopted and the application of existing policies was not changed during 2010. We noted no transactions entered into by the governmental unit during the year for which there is a lack of authoritative guidance or consensus. All significant transactions have been recognized in the financial statements in the proper period.

Accounting Estimates

Accounting estimates are an integral part of the financial statements prepared by management and are based on management's knowledge and experience about past and current events and assumptions about future events. Certain accounting estimates are particularly sensitive because of their significance to the financial statements and because of the possibility that future events affecting them may differ significantly from those expected. The most sensitive estimates affecting the financial statements were:

Management's estimate of the collectibility of accounts receivable is based on historical collections. We evaluated the key factors and assumptions used to develop the collectibility of accounts receivable in determining that it is reasonable in relation to the financial statements taken as a whole.

Management's estimate of the useful lives and depreciation is based upon the expected life of an asset. We evaluated the key factors and assumptions used to develop the useful lives and depreciation expense in determining that it is reasonable in relation to the financial statements as a whole.

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Difficulties Encountered in Performing the Audit

We encountered no significant difficulties in dealing with management in performing and completing our audit.

Corrected and Uncorrected Misstatements

Professional standards require us to accumulate all known and likely misstatements identified during the audit, other than those that are trivial, and communicate them to the appropriate level of management. During the course of the audit we discovered and posted the following entry.

\$61,060, to record revenue and expense related to PERS on-behalf.

Disagreements with Management

For the purposes of this letter, professional standards define a disagreement with management as a financial accounting, reporting or auditing matter, whether or not resolved to our satisfaction, that could be significant to the financial statements or the auditors' report. We are pleased to report that no such disagreements arose during the course of the audit.

Management Representations

We have requested certain representations from management that are included in the management representation letter dated May 21, 2010

Management Consultations with Other Independent Accountants

In some cases, management may decide to consult with other accountants about auditing and accounting matters, similar to obtaining a "second opinion" on certain situations. If a consultation involves application of an accounting principle to the Organization's financial statements or a determination of the type of auditor's opinion that may be expressed on those statements, our professional standards require the consulting accountant to check with us to determine that the consultant has all the relevant facts. To our knowledge, there were no such consultations with other accountants.

Internal Controls

See the December 31, 2010 financial statements Compliance Section for definitions of significant deficiencies, material weaknesses and any reported findings.

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Accounting Assistance

As part of our engagement we drafted the basic financial statements of Northwest Inupiat Housing Authority from the Housing Authority's accounting records; however, management of the Housing Authority was involved in the drafting process and retains responsibility for the basic financial statements.

Other Matters/Changes in Fund Balance Reporting

In March 2009 the GASB issued Statement No. 54, Fund Balance Reporting and Governmental Fund Type Definitions.

This Statement is designed to improve financial reporting by establishing fund balance classifications that are easier to understand and apply. In essence, it establishes a hierarchy based largely on the extent to which a government is bound to observe spending constraints that govern how it can use amounts reported in the governmental fund balance sheet.

Statement 54 establishes the following classifications depicting the relative strength of the constraints that control how specific amounts can be spent:

- **Nonspendable** fund balances includes amounts that are not in a spendable form (inventory, for example) or are required to be maintained intact (the principal of an endowment fund, for example).
- **Restricted** fund balance includes amounts that can be spent only for the specific purpose stipulated by external resource providers (for example, grant providers), constitutionally, or through enabling legislation (that is, legislation that creates a new revenue source and restricts its use). Effectively, restrictions may be changed or lifted only with the consent of resource providers.
- **Committed** fund balance includes amounts that can be used only for the specific purposes determined by a formal action of the government's highest level of decision-making authority. Commitments may be changed or lifted only by the government taking the same formal action that imposed the constraint originally.
- **Assigned** fund balance comprises amounts *intended* to be used by the government for specific purposes. Intent can be expressed by the governing body or by an official or body to which the governing body delegates the authority. In governmental funds other than the general fund, assigned fund balance represents the amount that is not restricted or committed. This indicates that resources in other governmental funds are, at a minimum, intended to be used for the purpose of that fund.

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- **Unassigned** fund balance is the residual classification for the general fund and includes all amounts not contained in the other classifications. Unassigned amounts are technically available for any purpose. If another governmental fund has fund balance deficit, then it will be reported as a negative amount in the unassigned classification in that fund. Positive unassigned amounts will be reported only in the general fund.

It makes clear, for example, that special revenue funds are created only to report a revenue source (or sources) that is restricted or committed to a specified purpose, and that the revenue source should constitute a substantial portion of the resources reported in the fund.

Under Statement 54, governments will disclose their accounting policies that indicate the order in which restricted, committed, assigned, and unassigned amounts are spent, in circumstances when an expenditure is made for a purpose for which amounts are available in multiple fund balance classifications.

In addition, governments are required to describe the processes through which they commit and assign fund balance amounts. Governments are also required to disclose the purpose for each major special revenue fund – identifying which specific revenues and other resources are authorized to be reported in each.

Governments are required to implement Statement 54 for fiscal years first ending June 30, 2011. Fund balance reclassifications should be applied retroactively by restating fund balance for all prior periods presented in the financial statements.

Major Issues Discussed with Management Prior to Retention

We generally discuss a variety of matters, including the application of accounting principles and auditing standards, with management each year prior to retention as the governmental unit's auditors. However, these discussions occurred in the normal course of our professional relationship and our responses were not a condition to our retention.

This information is intended solely for the use of the governing board and management of Northwest Inupiat Housing Authority and is not intended to be and should not be used by anyone other than these specified parties.

Sincerely,

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Independent Auditors' Report on Compliance with Requirements That Could Have a Direct and Material Effect on Each Major Federal Award Program and on Internal Control Over Compliance in Accordance with OMB Circular A-133

Board of Commissioners
Northwest Inupiat Housing Authority
Kotzebue, Alaska

Ladies and Gentlemen:

Compliance

We have audited the compliance of Northwest Inupiat Housing Authority with the types of compliance requirements described in the *OMB Circular A-133 Compliance Supplement* that could have a direct and material effect on each of its major federal programs for the year ended December 31, 2010. Northwest Inupiat Housing Authority's major federal programs are identified in the summary of auditors' results section of the accompanying schedule of findings and questioned costs. Compliance with the requirements of laws, regulations, contracts and grants applicable to each of its major federal programs is the responsibility of Northwest Inupiat Housing Authority's management. Our responsibility is to express an opinion on Northwest Inupiat Housing Authority's compliance based on our audit.

We conducted our audit of compliance in accordance with auditing standards generally accepted in the United States of America, the standards applicable to financial audits contained in *Government Auditing Standards*, issued by the Comptroller General of the United States; and OMB Circular A-133. Those standards and OMB Circular A-133 require that we plan and perform the audit to obtain reasonable assurance about whether noncompliance with the types of compliance requirements referred to above that could have a direct and material effect on a major federal program occurred. An audit includes examining, on a test basis, evidence about Northwest Inupiat Housing Authority's compliance with those requirements and performing such other procedures as we considered necessary in the circumstances. We believe that our audit provides a reasonable basis for our opinion. Our audit does not provide a legal determination on Northwest Inupiat Housing Authority's compliance with those requirements.

In our opinion, Northwest Inupiat Housing Authority complied, in all material respects, with the requirements referred to above that could have a direct and material effect on each of its major federal programs for the year ended December 31, 2010.

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Internal Control Over Compliance

Management of Northwest Inupiat Housing Authority is responsible for establishing and maintaining effective internal control over compliance with requirements of laws, regulations, contracts and grants applicable to federal programs. In planning and performing our audit, we considered Northwest Inupiat Housing Authority's internal control over compliance with requirements that could have a direct and material effect on a major federal program in order to determine our auditing procedures for the purpose of expressing our opinion on compliance and to test and report on internal control over compliance in accordance with OMB Circular A-133, but not for the purpose of expressing an opinion on the effectiveness of internal control over compliance. Accordingly, we do not express an opinion on the effectiveness of Northwest Inupiat Housing Authority's internal control over compliance.

A *deficiency in internal control over compliance* exists when the design or operation of a control over compliance does not allow management or employees, in the normal course of performing their assigned functions, to prevent, or detect and correct, noncompliance with a type of compliance requirement of a federal program on a timely basis. A *material weakness in internal control over compliance* is a deficiency, or combination of deficiencies, in internal control over compliance, such that there is a reasonable possibility that material noncompliance with a type of compliance requirement of a federal program will not be prevented, or detected and corrected, on a timely basis.

Our consideration of internal control over compliance was for the limited purpose described in the first paragraph of this section and was not designed to identify all deficiencies in internal control over compliance that might be deficiencies, significant deficiencies or material weaknesses. We did not identify any deficiencies in internal control over compliance that we consider to be material weaknesses, as defined above.

This report is intended solely for the information and use of management, others within the entity, Northwest Inupiat Housing Authority's Board of Commissioners, the U.S. Department of Housing and Urban Development and other federal awarding agencies and pass-through entities and is not intended to be and should not be used by anyone other than these specified parties.

May 21, 2010

NORTHWEST INUPIAT HOUSING AUTHORITY

Federal Schedule of Findings and Questioned Costs

Year ended December 31, 2010

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Section I - Summary of Auditors' Results

Financial Statements

Type of auditors' report issued:

Unqualified

Internal control over financial reporting:

Significant deficiency(ies) identified?

___ yes ___ x no

Material weakness(es) identified?

___ yes ___ x no

Noncompliance material to financial statements noted?

___ yes ___ x no

Federal Awards

Internal Control over major programs:

Significant deficiency(ies) identified
(Section .510(a)(1))?

___ yes ___ x no

Material weakness(es) identified
(Section .510(a)(1))?

___ yes ___ x no

Type of auditors' report issued on compliance
for major program:

Unqualified

Any audit findings disclosed that are required to
be reported in accordance with Circular A-133,
Section .510(a) (3) or (4)?

___ yes ___ x no

Identification of major programs:

CFDA Number(s)

Name of Federal Program or Cluster

14.867/14.882

NAHASDA Cluster

Dollar threshold used to distinguish
between Type A and Type B programs:

\$300,000

Auditee qualified as low-risk auditee?

___ yes ___ x no

57

NORTHWEST INUPIAT HOUSING AUTHORITY

Schedule of Findings and Questioned Costs, Continued

Section II - Financial Statement Findings

No matters were reported.

Section III - Federal Award Findings and Questioned Costs

No matters were reported.

Section IV - Status of Prior Year Findings

No matters were reported.

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Independent Auditors' Report on Compliance with Requirements That Could Have a Direct and Material Effect on Each Major State Award Program and on Internal Control Over Compliance in Accordance with the State of Alaska Audit Guide and Compliance Supplement for State Single Audits

Board of Commissioners
Northwest Inupiat Housing Authority
Kotzebue, Alaska

Ladies and Gentlemen:

Compliance

We have audited the compliance of Northwest Inupiat Housing Authority with the types of compliance requirements described in the *State of Alaska Audit Guide and Compliance Supplement for State Single Audits* that could have a direct and material effect on each of its major state programs for the year ended December 31, 2010. Northwest Inupiat Housing Authority's major state programs are identified in the accompanying Schedule of State Financial Assistance. Compliance with the requirements of laws, regulations, contracts, and grants applicable to each of its major state programs is the responsibility of Northwest Inupiat Housing Authority's management. Our responsibility is to express an opinion on Northwest Inupiat Housing Authority's compliance based on our audit.

We conducted our audit of compliance in accordance with auditing standards generally accepted in the United States of America and the standards applicable to financial audits contained in *Government Auditing Standards*, issued by the Comptroller General of the United States; and the *State of Alaska Audit Guide and Compliance Supplement for State Single Audits*. Those standards and the *State of Alaska Audit Guide and Compliance Supplement for State Single Audits* require that we plan and perform the audit to obtain reasonable assurance about whether noncompliance with the types of compliance requirements referred to above that could have a direct and material effect on a major state program occurred. An audit includes examining, on a test basis, evidence about Northwest Inupiat Housing Authority's compliance with those requirements and performing such other procedures as we considered necessary in the circumstances. We believe that our audit provides a reasonable basis for our opinion. Our audit does not provide a legal determination of Northwest Inupiat Housing Authority's compliance with those requirements.

In our opinion, Northwest Inupiat Housing Authority complied, in all material respects, with the requirements referred to above that could have a direct and material effect on each of its major state programs for the year ended December 31, 2010.

Rating Factor 1: Capacity of the Applicant

Subsection 1: Managerial, Technical, and Administrative Capability

(d) Procurement & Contract Management

- ✓ Copy of NIHA 2010 audit report (refer to Section RF 1 Subsection 1c)

The designated sub-recipient, NIHA, has procurement and contract management policies and procedures previously adopted by its Board of Commissioners, and are in practice that will facilitate effective procurement and contract control over the proposed project. These policies clearly follow the requirements as set forth in both 24 CFR parts 85 and 1003, in so far as outlining the processes for both procurement of identified goods and services required by establishing thresholds of purchases (i.e. Small Purchases (up to \$5,000), Large Purchases (\$5,000 to \$100,000), and Purchases of \$100,000 and greater), as well as methods of purchases (i.e. Request for Price Quotes, Request for Proposals, and Invitation for Bids). The policies also include procedures for the administering of contract services (both professional and general), that may be entered into on an as needed basis.

NIHA has a position dedicated solely to procurement. This individual has been with NIHA for approximately 5 years and will work directly with the Project Director during the procurement process.

NIHA's preliminary estimates for the construction material needed for the project are over \$100,000. In accordance with its procurement policy, NIHA will seek proposals/bids from qualified vendors on a competitive basis (i.e. Invitation to Bid), which includes 30 day general advertisement of the bid announcement, public bid opening of all submitted bid packets, and review of all bid packets to ensure it meets general bid requirements. NIHA administration will seek its Board of Commissioners authorization to offer a contract to the apparent low bidder via resolution.

Other than the bulk purchase and delivery of construction materials, NIHA anticipates no additional significant procurement required. In the event that other purchases are required, NIHA will follow its Small Purchase procedures as outlined in its Procurement policy. A copy of the procurement policy is available upon request.

Since NIHA proposes to complete the project utilizing the force account method, there is no need to enter into a contract with any firm, other than for acquisition of identified construction materials.

The attached 2010 audit report reflects that no material weaknesses were to be found and or reported.

Since NIHA will be fully administering the grant funds, they will be reported in its 2012 audit report, as opposed to being included in the Native Village of Noorvik's annual audit report.

Rating Factor 2: Need/Extent of the Problem

Subsection 1: Essential Community Development Need

- ✓ Summary Results of State of Alaska Community Database Information

According to the latest information from the State of Alaska website (see attachment), approximately 24% of the 136 occupied households in the community of Noorvik lacked adequate plumbing systems sufficient to provide a safe, decent, and healthy living environment. 22% of the households lacked component(s) to qualify as a complete kitchen.

The proposed rehabilitation/weatherization project will address these key deficiencies and increase living space to provide a safe, decent, and healthy environment in which low income families can live.

Subsection 2: Project benefits neediest segment of population – Section C: Housing Rehabilitation

- ✓ % of LMI Persons by Tribal Area (per 2000 US Census)
- ✓ FY10 HUD ICDBG Needs Table

In accordance with 24 CFR 1003.2, the proposed project will benefit 20-25 low-income families currently living in sub-standard conditions and provide them with a suitable living environment by rehabilitation and or weatherization assistance to their current homes.

Per the 2010 Indian Housing Block Grant (IHBG) Needs formula, the Noorvik Native Community receives \$1,233 per Low Income Household (see Attachment A).

2000 U.S. Census data shows that 55% of Noorvik's residents are low to moderate income.



Alaska Community Database Community Information Summaries (CIS)

State of Alaska > Commerce > DCRA Home Page > Community Database Online > CIS > Results



Noorvik

(NOR-vick)

For Photos of Noorvik click here

For a Map of Noorvik click here

Current Population:	668 (2010 U.S. Census Population)
Incorporation Type:	2nd Class City
Borough Located In:	Northwest Arctic Borough
Taxes:	Sales: 4%, Property: None, Special: 4% Utility Tax; 4.0% Landfill Tax
National Flood Insurance Program Participant:	Yes
Coastal Management District:	Northwest Arctic Borough

Location and Climate

Noorvik is located on the right bank of the Nazuruk Channel of the Kobuk River, 33 miles northwest of Selawik and 45 miles east of Kotzebue. The village is downriver from the 1.7-million acre Kobuk Valley National Park. The community lies at approximately 66.838330° North Latitude and -161.032780° West Longitude. (Sec. 27, T017N, R011W, Kateel River Meridian.) Noorvik is located in the Kotzebue Recording District. The area encompasses 1.0 sq. miles of land and 0.4 sq. miles of water. The community is located in the transitional climate zone. Temperatures average -10 to 15 °F during winter and 40 to 65 °F during summer. Temperature extremes have been recorded from -54 to 87 °F. Annual snowfall averages 60 inches, with 16 inches of total precipitation. The Kobuk River is navigable from early June to mid-October.

Topographic
map of
Noorvik
area



History, Culture and Demographics

Noorvik means "a place that is moved to." The village was established by Kowagmuit Inupiat Eskimo fishermen and hunters from Deering in the early 1900s. The village was also settled by people from Oksik, a few miles upriver. A post office was established in 1937. The city government was incorporated in 1964.

A federally-recognized tribe is located in the community -- the Noorvik Native Community. Noorvik is primarily an Inupiat Eskimo community with a subsistence lifestyle. The sale and importation of alcohol is banned in the village.

Community Database Online

State of Alaska > Commerce > DCRA > Community Database Online > Details



Noorvik

For Photos of Noorvik click [here](#).

For Maps of Noorvik click [here](#)

2000 Population and Housing Characteristics

The following Population and Housing data is from the **2000 U.S. Census**.

Additional detail is available from the Alaska Department of Labor and Workforce Development, Census and Geographic Information Network and the U.S. Census Bureau's American FactFinder. **Noorvik** is located in the **Northwest Arctic** Census Area.

Population by Race:

Population in 2000:	634
White:	31
Alaska Native or Amer. Indian:	571
Black:	0
Asian:	0
Hawaiian Native:	1
Other Race:	0
Two or More Races:	31

Percent Native*: 95.00%

(*Percent reporting Alaska Native alone or in combination with one or more races)

All or Part Alaska Native/Indian: 602

Hispanic Origin (Any Race): 0

Not Hispanic (Any Race): 634

Population by Gender and Age:

Male:	365
Female:	269

Age 4 and under: 78

Age 5 - 9: 81

Age 10 - 14: 79

Age 15 - 19:	69
Age 20 - 24:	42
Age 25 - 34:	89
Age 35 - 44:	77
Age 45 - 54:	47
Age 55 - 59:	17
Age 60 - 64:	9
Age 65 - 74:	36
Age 75 - 84:	8
Age 85 and over:	2

Median Age: 21.2

Pop. Age 18 and over: 352

Pop. Age 21 and over: 319

Pop. Age 62 and over: 52

Census Population History:

1880:	0
1890:	0
1900:	0
1910:	0
1920:	281
1930:	198
1940:	211
1950:	243
1960:	384
1970:	462
1980:	492
1990:	531
2000:	634

Comments:

Housing Characteristics:

Total Housing Units: 157

Occupied Housing (Households): 136

Vacant Housing: 21

Vacant Due to Seasonal Use: 6

Owner-Occupied Housing: 101

Median Value Owned Homes: \$68,900

Renter-Occupied Housing: 35

41

Median Rent Paid:	\$825
Total Households:	136
Avg. Household Size:	4.66
Family Households:	113
Avg. Family Household Size:	5.19
Non-Family Households:	23
Pop. Living in Households:	634
Pop. Living in Group Quarters:	0

The following Census figures are estimates, based on a sample.
The percent of all households sampled in Noorvik during the 2000 Census was **49%**.

Housing Structure Types:

Single Family (Detached):	161
Single Family Attached:	0
Duplex:	5
3 or 4 Units:	0
5 to 9 Units:	0
10 to 19 Units:	0
20 plus Units:	0
Trailers/Mobile Homes:	2
Boats/Other Types:	0

Plumbing/Kitchen/Phones/Heating:

Total Households:	136
Percent of Households That	
Lack Complete Plumbing (lack sink, bath/shower or flush toilet):	23.7%
Lack a Complete Kitchen (lack stove, fridge or running water):	22.3%
Lack Phone Service:	11.5%
Heat Using Electricity:	0.0%
Heat Using Fuel Oil, Kerosene:	91.2%
Heat Using Wood:	6.8%
Heat Using Piped Gas (utility):	0.0%
Heat Using Bottled, Tank, LP Gas:	0.0%
Heat Using Coal or Coke:	2.0%
Heat Using Solar Energy:	0.0%
Heat Using Other Fuel:	0.0%
Use No Fuel:	0.0%



**Percentage of Low- and Moderate- Income Persons by Tribal Areas
(Based on 2000 Census Data)**

Alaska	Koyukuk	Koyukuk ANVSA, AK	94	73	78%
Alaska	Kwethluk	Kwethluk ANVSA, AK	727	619	85%
Alaska	Kwigillingok	Kwigillingok ANVSA, AK	317	268	85%
Alaska	Kwihagak	Kwihagak ANVSA, AK	544	455	84%
Alaska	Larsen Bay	Larsen Bay ANVSA, AK	88	56	64%
Alaska	Lesnoi (Woody Island)	No Census Data Available	0	0	0%
Alaska	Levelock	Levelock ANVSA, AK	110	73	66%
Alaska	Lime Village	Lime Village ANVSA, AK	0	0	0%
Alaska	Lower Kalskag	Lower Kalskag ANVSA, AK	256	240	94%
Alaska	Manley Hot Springs	Manley Hot Springs ANVSA, AK	74	36	49%
Alaska	Manokotak	Manokotak ANVSA, AK	402	282	70%
Alaska	Marshall	Marshall ANVSA, AK	356	258	72%
Alaska	Mary's Igloo	Mary's Igloo ANVSA, AK	0	0	0%
Alaska	McGrath	McGrath ANVSA, AK	417	214	51%
Alaska	Mekoryuk	Mekoryuk ANVSA, AK	193	154	80%
Alaska	Mentasta Lake	Mentasta Lake ANVSA, AK	111	101	91%
Alaska	Minto	Minto ANVSA, AK	249	175	70%
Alaska	Mountain Village	Mountain Village ANVSA, AK	723	542	75%
Alaska	Naknek	Naknek ANVSA, AK	671	241	36%
Alaska	Nanwalek	Nanwalek ANVSA, AK	202	145	72%
Alaska	Napaimute	Napaimute ANVSA, AK	0	0	0%
Alaska	Napakiak	Napakiak ANVSA, AK	339	327	96%
Alaska	Napaskiak	Napaskiak ANVSA, AK	444	353	80%
Alaska	Nelson Lagoon	Nelson Lagoon ANVSA, AK	78	38	49%
Alaska	Nenana	Nenana ANVSA, AK	460	270	59%
Alaska	New Stuyahok	New Stuyahok ANVSA, AK	479	385	80%
Alaska	Newhalen	Newhalen ANVSA, AK	147	101	69%
Alaska	Newtok	Newtok ANVSA, AK	358	313	87%
Alaska	Nightmute	Nightmute ANVSA, AK	243	181	74%
Alaska	Nikolai	Nikolai ANVSA, AK	76	69	91%
Alaska	Nikolski	Nikolski ANVSA, AK	58	45	78%
Alaska	Ninilchik	Ninilchik ANVSA, AK	13,264	6,122	46%
Alaska	Noatak	Noatak ANVSA, AK	426	303	71%
Alaska	Nome	Nome City	3,333	1,007	30%
Alaska	Nondalton	Nondalton ANVSA, AK	231	197	85%
Alaska	Noorvik	Noorvik ANVSA, AK	676	374	55%
Alaska	Northway	Northway ANVSA, AK	112	82	73%
Alaska	Nuiqsut	Nuiqsut ANVSA, AK	422	254	60%
Alaska	Nulato	Nulato ANVSA, AK	332	239	72%
Alaska	Nunam Iqua	Nunam Iqua ANVSA, AK	175	148	85%
Alaska	Nunapitchuk	Nunapitchuk ANVSA, AK	439	383	87%
Alaska	Ohogamiut	Ohogamiut ANVSA, AK	0	0	0%
Alaska	Old Harbor	Old Harbor ANVSA, AK	244	163	67%
Alaska	Orutsararmuit (Bethel)	Bethel ANVSA, AK	5,471	2,247	41%
Alaska	Oscarville	Oscarville ANVSA, AK	41	41	100%
Alaska	Ouzinkie	Ouzinkie ANVSA, AK	201	83	41%
Alaska	Palmiut	Palmiut ANVSA, AK	0	0	0%
Alaska	Pauloff Village	No Census Data Available	0	0	0%
Alaska	Pedro Bay	Pedro Bay ANVSA, AK	50	32	64%
Alaska	Perryville	Perryville ANVSA, AK	100	28	28%
Alaska	Petersburg	Petersburg City	3,212	1,265	39%
Alaska	Pilot Point	Pilot Point ANVSA, AK	123	83	67%
Alaska	Pilot Station	Pilot Station ANVSA, AK	543	424	78%
Alaska	Pitkas Point	Pitkas Point ANVSA, AK	121	64	53%
Alaska	Platinum	Platinum ANVSA, AK	50	50	100%
Alaska	Point Hope	Point Hope ANVSA, AK	760	314	41%
Alaska	Point Lay	Point Lay ANVSA, AK	245	90	37%
Alaska	Port Graham	Port Graham ANVSA, AK	176	100	57%
Alaska	Port Heiden	Port Heiden ANVSA, AK	93	44	47%
Alaska	Port Lions	Port Lions ANVSA, AK	289	179	62%
Alaska	Portage Creek	Portage Creek ANVSA, AK	10	10	100%
Alaska	Qagan Tayagungin (Sand Point)	Sand Point ANVSA, AK	975	258	26%
Alaska	Qawalangin (Unalaska)	Unalaska ANVSA, AK	4,283	563	13%

Office	Tribe	Need \$/income + Conditions (with minimum funding)
ALASKA	Nikolai	\$1,115
ALASKA	Nikolski	\$3,122
ALASKA	Niniichik	\$786
ALASKA	Noatak	\$1,478
ALASKA	Nome	\$1,301
ALASKA	Nondalton	\$1,239
ALASKA	Noorvik	\$1,233
ALASKA	Northway	\$983
ALASKA	Nuiqsut	\$1,370
ALASKA	Nulato	\$1,108
ALASKA	Nunapitchuk	\$1,116
ALASKA	Ohogamiut	N/A
ALASKA	Old Harbor	\$1,214
ALASKA	Orutsaramuit (Bethel)	\$1,248
ALASKA	Oscarville	\$1,241
ALASKA	Ouzinkie	\$927
ALASKA	Paiamiut	N/A
ALASKA	Pauloff Harbor Village	\$68,918
ALASKA	Pedro Bay	\$2,500
ALASKA	Perryville	\$1,422
ALASKA	Petersburg	\$859
ALASKA	Pilot Point	\$1,061
ALASKA	Pilot Station	\$1,106
ALASKA	Pitka's Point	\$1,228
ALASKA	Platinum	\$966
ALASKA	Point Hope	\$1,548
ALASKA	Point Lay	\$1,362
ALASKA	Port Graham	\$1,306
ALASKA	Port Heiden	\$1,055
ALASKA	Port Lions	\$980
ALASKA	Portage Creek	N/A
ALASKA	Qagan Tayagungin (Sand Point)	\$1,164
ALASKA	Qawalangin (Unalaska)	\$1,565
ALASKA	Rampart	\$1,367
ALASKA	Red Devil	\$1,152
ALASKA	Ruby	\$1,366
ALASKA	Saint George	\$1,477
ALASKA	Saint Michael	\$1,306
ALASKA	Saint Paul	\$1,315
ALASKA	Salamatoff	\$1,326
ALASKA	Savoonga	\$1,249
ALASKA	Saxman	\$1,082
ALASKA	Scammon Bay	\$1,342
ALASKA	Selawik	\$1,203
ALASKA	Seldovia	\$914
ALASKA	Shageluk	\$1,065
ALASKA	Shaktolik	\$1,111
ALASKA	Sheldon's Point	\$1,219
ALASKA	Shishmaref	\$1,259
ALASKA	Shungnak	\$1,283
ALASKA	Sitka Tribe (was Baranof Island)	\$754

Rating Factor 3: Soundness of Approach

Subsection 1: Description of and Rationale for Proposed Project

The proposed work to selected homes within the community of qualified low-income Alaska Natives, will include, but not be limited to the following:

- 1) Re-level home (as required)
- 2) Repair/replace existing exterior/interior doors, windows (as required)
- 3) Upgrade existing mechanical, plumbing, and or electrical systems (as required)
- 4) Repair/replace existing flooring, insulation, siding (as required)
- 5) Repair/replace existing kitchen and or bathroom fixtures (as required)
- 6) Repair/replace roofing systems (as required)
- 7) Construction of added living space (2bed additions) to 5-7 existing homes (approx. 448 sq. ft.)

By completing the proposed work in-house, NIHA anticipates significant cost savings that can be used to increase the number of homes it will provide assistance to. The use of force account construction will save approximately \$120,000, which represents the average 15% (of the total proposed project budget of \$800,000) mark-up by general contractors for their profit margin.

Secondly, by providing rehabilitation/weatherization assistance to the selected families, NIHA anticipates the recipients to lower their energy costs by at least 20% (in terms of less heating fuel usage) and at least 15% savings in electricity usage on a monthly basis.

Lastly, due to economies of scale, by completing a project on a community wide basis, as opposed to one or two homes individually renovated, NIHA can expect a savings in material purchased of at least 10%.

The proposed project will provide the following outcomes in enhancing the community viability:

SHORT TERM

- 1) **Increase in 10-15 families immediately affected by living in a safe, decent, healthy household.**
- 2) **Increase in local employment opportunities by up to 20 temporary jobs with approximately \$355,500 in wages remaining in the community.**
- 3) **Decrease in 5-7 families currently residing in an over-crowded situation**

LONG TERM

- 1) **Decreased utility expenses to both individual homeowners & community.** By reducing the amount of heating fuel requirements by 10-15 homeowners, the local tribal utility provider will incur savings also by not having to incur larger annual debt service for annual bulk fuel orders, hence able to pass on these cost savings to their customers.

- 2) **Stronger local government & economy.** The combined savings in heating individual homes can be spent on other local commercial establishments and other tribal economic needs and or creation of other economic opportunities within the community.
- 3) **Decrease number of 5-7 households within community considered to be “over-crowded”.**

Rating Factor 3: Soundness of Approach

Subsection 2: Budget and Cost Estimates

- ✓ Cost Summary (HUD form 4123)
- ✓ NIHA Letter of Commitment of Funds

The quality, thoroughness, and reasonableness of the proposed project budget (see attachment) is supported by NIHA's extensive (30+ years) experience in performing this type of work in rural Alaska. In the past five years alone, NIHA has prepared cost estimates for the construction of 19 new homes, 5 handicap ramps, twenty-three 2bedroom additions, and the rehabilitation of 100+ homes and apartments. These projects have incorporated IHBG & ICDBG funds, USDA, FHLB, and AHFC grants and were completed on time and within budget.

NIHA's proposed project is to provide, through the use of the force account construction method, rehabilitation and or weatherization assistance to 20-25 existing sub-standard homes in Noorvik, and construct added living space (approx.. 448 sq. ft.) to 5-7 households that are identified as over-crowded. All homes to receive assistance will be owned by low-income Alaska Native families.

NIHA has committed \$200,000 in FY11 Indian Housing Block Grant (IHBG) funds (see attachment) to supplement the requested \$600,000 grant.

With this information in mind, and in conjunction with the finance department, Mr. Charlie Nelson, has provided the following cost estimates:

	<u>FY11 IHBG</u>	<u>FY11 ICDBG</u>
1) Wages & Benefits	0.00	\$395,000
2) Material (delivered to site)	\$110,000	\$205,000
3) Misc. freight (in-region)	\$ 52,500	
4) Travel (in-region)	\$ 15,000	
5) Rental (housing)	\$ 7,500	
6) Equipment rental	\$ 10,000	
7) Utilities	\$ 5,000	
8) Planning & Admin*	0.00	0.00
TOTAL	\$200,000	\$600,000

* NIHA has committed to fund (within its General Fund) all administration and planning costs (estimated to be approx. \$50,000) associated with the project outside of the identified ICDBG and IHBG grant funds to maximize construction dollars.

Mr. Nelson has 10+ years of construction administration in the NWAB region, and has successfully overseen the completion of similar sized projects, including the recent construction of 19 single family units throughout the region.



NORTHWEST INUPLAT HOUSING AUTHORITY

P.O. Box 331 • KOTZEBUE, ALASKA 99752 • (907) 442-3450 • FAX (907) 442-3486

May 4, 2011

Office of Native American Programs
Grants Management Division
3000 C Street, Suite 401
Anchorage, AK 99503

Subj: Letter of Commitment of FY11 IHBG Funds for ICDBG Application

Dear Sir or Madam:

Please be advised that on behalf of NIHA, I hereby certify that we will commit \$200,000 of FY11 Indian Housing Block Grant (IHBG) funds upon Notice of Award of the Noorvik Native Community's ICDBG grant application for the proposed housing project. The funds are already identified in our FY11 Indian Housing Plan (IHP) 1-Year Housing Plan (see attachment), under "Community Improvements Program". The IHBG funds will supplement the anticipated ICDBG funds for rehabilitation and or weatherization assistance for 20-25 eligible families and or address the identified over-crowding problem of 5-7 families.

I hereby certify that the anticipated planning and administrative costs associated with the proposed project (estimated to be approximately \$50,000) will be paid with our General Funds to maximize project dollars.

If you have any questions, please contact me at (907) 442-3450.

Sincerely,



Guy Adams
Executive Director

cc: file

1-YEAR INDIAN HOUSING PLAN

The 1-Year Plan section of the Indian Housing Plan must contain information, relating to the upcoming fiscal year for which assistance is to be made available, including the following:

1. GOALS AND OBJECTIVES - A statement of the goals and objectives to be accomplished during the period that are measurable as determined by the Tribe/TDHE.

Please enter your Goals and Objectives here:

NIHA will invest up to 100% of the total grant funds allowable, per its Investment Policy. NIHA's goal to responsibly maintain & manage Current Assisted Stock will be met by completing the following projects:

Modernization - \$791,922 – For expenses related to major capital improvements to existing stock under management. Identified activities will be on-going through-out the calendar year. Identified activities will be on-going through-out the calendar year.

New Development - \$2,000,000 – For construction of five (5) single family units in Buckland. Project to begin in June 2012, with completion anticipated in February 2013. Includes FY12 AHFC Supplemental Grant (\$225,000). Project End Date: February 2013.

Maintenance & Operations - \$750,000 – For expenses relating to Housing & Maintenance staff & general maintenance expenses. Identified activities will be on-going through-out the calendar year.

Home Loan Program - \$75,000 – To provide a minimum of 2 families with down-payment and closing costs assistance normally associated with securing mortgage loans. Project End Date: December, 2011.

Community Improvements Program - \$750,000 – Will be used to address housing needs of low to moderate income qualified families in Ambler, Deering, Buckland, Kiana, Kobuk, Noatak, Nooryik, and or Shungnak with various rehabilitation projects to be determined in conjunction with tribes. Project End Date: October 31, 2011

Annual Loan Payment - \$275,000 – Funds will be used to pay down loan secured from Wells Fargo for purchase of heavy equipment in 2009. Date: July 15, 2011.

Housing Management Services - \$10,000 – Funds will be used for ongoing maintenance and operations of rental facility previously acquired in 2002 with NAHASDA funds. Project End Date: September, 2011

Short Term Rental Subsidy Program - \$25,000 – Program developed to providing a rental subsidy to at least a minimum of 8 families seeking assistance until more permanent housing needs are met. Project End Date: December 31, 2011.

Planning & Administration - \$694,414 – NIHA will utilize 16% of the maximum allowable amount under regulations to complete its identified goals & objectives. Identified activities will be on-going through-out the calendar year.

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✘

469

Rating Factor 3: Soundness of Approach

Subsection 3: HUD Policy Priorities – Job Creation/Employment and or Sustainability.

A) Job Creation/Employment

The Noorvik Housing Rehabilitation/Wx Project provide up to twenty (20) temporary employment opportunities to qualified local residents during the project. As reflected in the 2000 Census (refer to Section RF 2 (2)), over 55% of the community's population is deemed low-income, NIHA will make every possible effort to provide employment opportunities toward this section of the community. Based upon recent projects of similar scope in surrounding villages, and by utilizing the force account construction method, NIHA will have the ability to provide employment opportunities in excess of 90% to local residents, many of which are determined to be low-income.

B) Sustainability

NIHA will follow the guidelines as set forth in the nationally recognized Energy Star for New Homes program, to ensure that the proposed rehabilitation assistance meets minimum standards.

Subsection 4: Commitment to Sustain Activities

(b) Housing Rehabilitation

✓ Maintenance Agreement

Individual households will be responsible for all future maintenance of the home. Upon completion of the rehabilitation and or weatherization assistance, NIHA will perform an orientation with each family explaining their role in future maintenance needs of the home.

Homeowners, who receive assistance, will be required to sign and submit the Noorvik Housing Rehabilitation/Wx Project Homeowner Maintenance Agreement (see attachment).

Noorvik Housing Rehabilitation/Wx Project Homeowner Maintenance Agreement

I do hereby assume the sole responsibility for the maintenance of my home and improvements made to any and all aspects of the home, as a result of my participation in the Noorvik Housing Rehabilitation/Wx Project.

I do hereby further pledge to maintain my residence and make repairs in a timely fashion and voluntarily guarantee full compliance to the provisions of this agreement.

Property Owner(s):

Signature

Signature

Printed Name

Printed Name

Date

Date

Rating Factor 4: Leveraging Resources

Subsection 1: Allowable Non-ICDBG Resources

- ✓ NIHA Letter of Commitment of Funds
- ✓ NIHA FY 11 IHP 1 year Goals and Objectives

NIHA has committed \$200,000 of FY10 Indian Housing Block Grant (IHBG) funds (see attachment) to supplement the requested \$600,000 grant. Also, NIHA has committed to fund all administration and planning costs associated with the project outside of the identified ICDBG and IHBG grant funds to maximize construction dollars.

Subsection 2: Calculation of Total Project Costs and Percent Leveraged

Total Project Costs = Grant Request + Allowable Non-ICDBG Resources

\$800,000	=	\$600,000	+	\$200,000
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Percent Leveraged = $\frac{\text{Allowable Non-ICDBG Resources}}{\text{Total Project Costs}}$

25%	=	$\frac{\text{\underline{\$200,000}}}{\text{\underline{\$800,000}}}$
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NORTHWEST INUPIAT HOUSING AUTHORITY

P.O. Box 331 • KOTZEBUE, ALASKA 99752 • (907) 442-3450 • FAX (907) 442-3486

May 4, 2011

COPY

Office of Native American Programs
Grants Management Division
3000 C Street, Suite 401
Anchorage, AK 99503

Subj: Letter of Commitment of FY11 IHBG Funds for ICDBG Application

Dear Sir or Madam:

Please be advised that on behalf of NIHA, I hereby certify that we will commit \$200,000 of FY11 Indian Housing Block Grant (IHBG) funds upon Notice of Award of the Noorvik Native Community's ICDBG grant application for the proposed housing project. The funds are already identified in our FY11 Indian Housing Plan (IHP) 1-Year Housing Plan (see attachment), under "Community Improvements Program". The IHBG funds will supplement the anticipated ICDBG funds for rehabilitation and or weatherization assistance for 20-25 eligible families and or address the identified over-crowding problem of 5-7 families.

I hereby certify that the anticipated planning and administrative costs associated with the proposed project (estimated to be approximately \$50,000) will be paid with our General Funds to maximize project dollars.

If you have any questions, please contact me at (907) 442-3450.

Sincerely,



Guy Adams
Executive Director

cc: file

1-YEAR INDIAN HOUSING PLAN

The 1-Year Plan section of the Indian Housing Plan must contain information, relating to the upcoming fiscal year for which assistance is to be made available, including the following:

1. GOALS AND OBJECTIVES - A statement of the goals and objectives to be accomplished during the period that are measurable as determined by the Tribe/TDHE.

Please enter your Goals and Objectives here:

NIHA will invest up to 100% of the total grant funds allowable, per its Investment Policy. NIHA's goal to responsibly maintain & manage Current Assisted Stock will be met by completing the following projects:

Modernization - \$791,922 – For expenses related to major capital improvements to existing stock under management. Identified activities will be on-going through-out the calendar year. Identified activities will be on-going through-out the calendar year.

New Development - \$2,000,000 – For construction of five (5) single family units in Buckland. Project to begin in June 2012, with completion anticipated in February 2013. Includes FY12 AHFC Supplemental Grant (\$225,000). Project End Date: February 2013.

Maintenance & Operations - \$750,000 – For expenses relating to Housing & Maintenance staff & general maintenance expenses. Identified activities will be on-going through-out the calendar year.

Home Loan Program - \$75,000 – To provide a minimum of 2 families with down-payment and closing costs assistance normally associated with securing mortgage loans. Project End Date: December, 2011.

Community Improvements Program - \$750,000 – Will be used to address housing needs of low to moderate income qualified families in Ambler, Deering, Buckland, Kiana, Kobuk, Noatak, Noorvik, and or Shungnak with various rehabilitation projects to be determined in conjunction with tribes. Project End Date: October 31, 2011

Annual Loan Payment - \$275,000 – Funds will be used to pay down loan secured from Wells Fargo for purchase of heavy equipment in 2009. Date: July 15, 2011.

Housing Management Services - \$10,000 – Funds will be used for ongoing maintenance and operations of rental facility previously acquired in 2002 with NAHASDA funds. Project End Date: September, 2011

Short Term Rental Subsidy Program - \$25,000 – Program developed to providing a rental subsidy to at least a minimum of 8 families seeking assistance until more permanent housing needs are met. Project End Date: December 31, 2011.

Planning & Administration - \$694,414 – NIHA will utilize 16% of the maximum allowable amount under regulations to complete its' identified goals & objectives. Identified activities will be on-going through-out the calendar year.

(Double-Click button to return to top)



Rating Factor 5: Comprehensiveness and Coordination

Subsection 1: Coordination with other organizations

✓ Letters of Support

This application is a direct result of a coordinated effort between regional and community tribal organizations, and federal agencies focused on improving living and health conditions for the residents of Noorvik by reducing the number of sub-standard and or overcrowded homes within the community.

The Noorvik Native Community, in conjunction with NIHA, has determined that the community of Noorvik has a high percentage of homes that are considered sub-standard and or overcrowded per HUD's definition, and is taking the lead to secure funding to help address the issue in a cooperative manner.

Other local and regional entities have voiced their support (see attachments) of the mutual goal of the grant application.

The City of Noorvik will benefit from the project as they share common membership with the Native Village of Noorvik, and whose community will profit by the creation of temporary employment opportunities and safer and healthier living conditions for its residents.

Maniilaq Association, the regional non-profit agency whose primary goal is to oversee the social & health welfare and tribal affairs of the NANA region, also supports the project. The benefits of having improved living conditions for individual families should decrease the number of non-emergency visits to its local clinic.

The Northwest Arctic Borough is the lead agency working on improving the overall health of our regions communities on a holistic level. The proposed project is a small, yet important component in achieving their goals for the community of Noorvik.

NANA Regional Corporation, the regional for-profit organization and recognized "regional tribe", is also supportive, as they share mutual tribal members with the Native Village of Noorvik, and what benefits the local tribal members, has a positive ripple effect on their regional organization.

*City of Noorvik
PO Box 146
Noorvik, AK 99749
(907) 475-2100
(907) 948-2135 fax*

May 19, 2010

Grants Management Division
Office of Native American Programs
3000 C Street, Suite 401
Anchorage, AK 99503

To Whom It May Concern:

On behalf of the City of Noorvik, I fully support the Noorvik Native Community's proposed project to provide much needed rehabilitation and or weatherization assistance, and address the over-crowded issue, to existing homes within our community.

Our community will greatly benefit by having our residents occupying homes that are not considered sub-standard and or deemed over-crowded. Also, the added benefit of employment opportunities will enhance the lives of many of our residents.

Your consideration of their application is greatly appreciated.

Sincerely,

Glenn Coffin Sr
Mayor, City of Noorvik



cc: Noorvik Native Community
NIHA

Maniilaq Association

P.O. Box 256
Kotzebue, Alaska 99752
(907) 442-3311

May 4, 2011

Grants Management Division
Office of Native American Programs
3000 C Street, Suite 401
Anchorage, AK 99503

To Whom It May Concern:

On behalf of Maniilaq Association, I fully support the Noorvik Native Community's proposed project to provide much needed rehabilitation and or weatherization assistance to existing homes, and or address the identified over-crowded issues, within their community.

The project will help alleviate the social concerns that are brought on by living in sub-standard housing, as well as improve environmental concerns within the homes.

Your consideration of their application is greatly appreciated.

Sincerely,



Ian Erlich
President

cc: NIHA

(57)
Member Villages

*Ivisaappaat, Nunatchiaq, Ipnatchiaq, Katyaak, Kivaliniq, Laugviik, Qikiqtaruk, Nautaaq, Nuurvik, Akuligaq, Isinnaq, Tikigaq
Ambler, Buckland, Deering, Kiana, Kivalina, Kobuk, Kotzebue, Noatak, Noorvik, Selawik, Shungnak, Pt. Hope*

NORTHWEST ARCTIC BOROUGH

P.O. BOX 1110

KOTZEBUE, ALASKA 99752

(907) 442-2500 / FAX (907) 442-2930

May 4, 2011

Grants Management Division
Office of Native American Programs
3000 C Street, Suite 401
Anchorage, AK 99503

To Whom It May Concern:

On behalf of the Northwest Arctic Borough, I fully support the Noorvik Native Community's proposed project to provide much needed rehabilitation and or weatherization assistance, or address the over-crowded situations, to existing homes within their community.

The project will improve the overall health of the community by improving the living conditions of the individual families fortunate to receive the much needed assistance, as well as provide much needed jobs to boost their local economy.

Your consideration of their application is greatly appreciated.

Sincerely,


Martha Whiting
Mayor

cc: NIHA

(58)



P.O. Box 49
Kotzebue, AK 99752
www.nana.com

907 442 3301 MAIN
800 478 3301 TOLL-FREE IN ALASKA
907 442 4161 FAX

May 4, 2011

Grants Management Division
Office of Native American Programs
3000 C Street, Suite 401
Anchorage, AK 99503

**RE: Noorvik Native Community
Proposed Project Support Letter**

To Whom It May Concern:

On behalf of NANA Regional Corporation, I fully support the Noorvik Native Community proposed project to provide rehabilitation and/or weatherization assistance to existing homes within the community of Noorvik. The proposed project will provide an economic boost to their local economy and provide benefits to the tribal member on a community as well as a regional level.

Thank you for your consideration for Northwest Inupiat Housing Authority's proposed project for the Noorvik Native Community.

Sincerely,

Marie N. Greene
President/CEO

cc: Guy Adams, Northwest Inupiat Housing Authority
File



Rating Factor 5: Comprehensiveness and Coordination

Subsection 2: Measurable Outputs and Outcomes

✓ HUD form 96010 - Logic Model

Outputs:

- 1) Decrease number of families living in substandard homes by 10-15
- 2) Decrease number of families living in an over-crowded situation by 5-7
- 3) Increase local employment opportunities by 15-20 jobs available, with anticipated \$395,000 in wages remaining in community

Outcomes:

- 1) Increase number of families residing in safe, healthy, and energy efficient home by 15-22
- 2) Increased local economy by creation of 15-20 temporary employment opportunities, and approximately \$395,000 in wages paid to local residents

Applicant Legal Name
 CCR Doing Business As Name
 HUD Program
 Program Component
 Project Name

Reporting Period
 Reporting Start Date
 Reporting End Date

DUNS #



2010

HUD Policy / Goals	Needs	Services/Activities	Measures			Outcomes	Measures			Evaluation Tools
			Pre	Post	YTD		Pre	Post	YTD	
1	Planning	Programming								
3B	1c	Indian Tribes and Alaskan Natives are in need of suitable living environments with decent housing, particularly for people with low to moderate incomes	20			Housing-Reduction in the number of substandard housing units lived in by families	20			A. Tools for Measurement
3D	2c									B. Where Data Maintained
										C. Source of Data
										D. Frequency of Collection