

# 18

## **GSA EPLS, Entity Flag Report, HUD LDP Inquiries**

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## Revision Sheet

Revision No.	Date	Revision Description

*HUD Limited Denials of Participation (HUD LDPs), GSA Excluded Party List Search (GSA EPLS) functions are accessible from the navigation pane (blue sidebar) located on the left of the screen.*

## 18.0 Introduction GSA EPLS, Entity Flag Report, HUD LDP Inquiries:

One of the main functions of APPS is to aid the HUD reviewers by generating findings on the submission entities. (Findings are derogatory information that APPS has discovered concerning the submission entities by searching various HUD and non-HUD data sources.) APPS automatically generates an initial set of findings when a submission is sent to HUD that requires review and approval. While a submission is in review, the current HUD reviewer can request a new set of findings, at any time. This ensures that when a reviewer makes a decision concerning a submission, that the most recent information about the submission entities is available -- in case some time has elapsed since APPS generated the initial set of findings.

APPS identifies if there are any critical findings from the following sources:

- GSA Excluded Parties List
- Entity Flag Report (Participant can view all flags pertaining to them)

*✍ Note: APPS cannot search for critical findings in the HUD LDP's list. Refer to Section 17.3 of this Chapter for instructions on Researching HUD LDP's.*

## 18.1 Researching the GSA Excluded Party List Search (GSA EPLS)

*✍ An GSA EPLS name match is a critical finding.*

1. Click [GSA EPLS](#) on the APPS Home Page blue sidebar. The GSA Excluded Party List Search screen displays.

## Active Partners Performance System

### *GSA Excluded Party List Search*

Organization Name:   
(contains)

**or**

Last Name:   
(contains)

First Name:   
(contains)

HUD Only:  (optional)

**Figure 18-1: GSA Excluded Party List Search Screen**

2. Enter the Organization Name  
**OR**  
Individual name.
3. Indicate whether or not you wish to search HUD Only (optional), by clicking the checkbox.
4. Click . The GSA Excluded Party List screen displays.

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*GSA Excluded Party List*

Search Name:

Search Type:

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Records (1 - 2) of 2

Name	Address	Causes	Agencies	Excluded Until
<a href="#">Doe, Jane</a>	123 King Way Fairfax, VA 23434			
<a href="#">Doe, James</a>	234 Williams Dr Falls Church, VA 23434			

**Figure 18-2: GSA Excluded Party List**

- To view detailed information on a participant click on the name, which serves as a hyperlink. The GSA Excluded Party List Detail screen displays.

Alternative Option

To view the cause of the EPLS flag click on the letter(s) hyperlink under the “Causes” heading. The GSA Excluded Party Cause and Treatment Description screen displays (See #3).

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*GSA Excluded Party List Detail*

Name	Address	Causes	Agencies	Excluded Until
Doe, James	234 Williams Drive Falls Church, VA 2343			
Total Number of Records 1				

**Figure 18-3: GSA Excluded Party List Detail Screen**

- To view the definitions of the causes for HUD EPLS flags, click on its corresponding hyperlink at the bottom of the page under the heading, "Cause Code Definition." The Excluded Party Cause and Treatment Description screen displays.

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Excluded Party Cause And Treatment Description


Code	Cause	Treatment
A	Debarment by an agency pursuant to FAR 9.406-2, GPO Instructions 110.11A, or PS Publication 41, for one or more of the following causes (a) conviction of or civil judgment for fraud violation of antitrust laws, embezzlement, theft, forgery, bribery, false statements, or other offenses indicating a lack of business integrity; (b) violation of the terms of a Government contract, such as a willful failure to perform in accordance with its terms or a history of failure to perform; or (c) any other cause of a serious and compelling nature affecting responsibility. (See Code N-Debarment pursuant to FAR 9.406 2(b)(2) Drug Free Workplace Act of 1988.	Contractors are excluded from receiving contracts, and agencies shall not solicit offers from, award contract, to renew or otherwise extend the duration of current contracts, or consent to subcontracts with these contractors, unless the acquiring agency's head or a designee determines that there is a compelling reason for such action. Government prime contractors, when required by the terms of their contract, shall not enter into any subcontract equal to or in excess of \$25,000 with a contractor that is debarred, suspended, or proposed for debarment, unless there is a compelling reason to do so. Debarments are for a specified term as determined by the debarring agency and as indicated in the listing.

**Figure 18-4: Excluded Party Cause and Treatment Description Screen**

- To return to the Excluded Party List screen click  .
  - To return to the Excluded Party List screen click  .
- Alternate Option
- To exit the Excluded Party List screen click .

## 18.2 Entity Flag Report

The Entity Flag Report allows a Participant to view all flags pertaining to them.

 *Flags are created against SSN/TIN not properties.*

- From the APPS Home Page, Select Entity Flag Report from the Participant Processing drop down list.

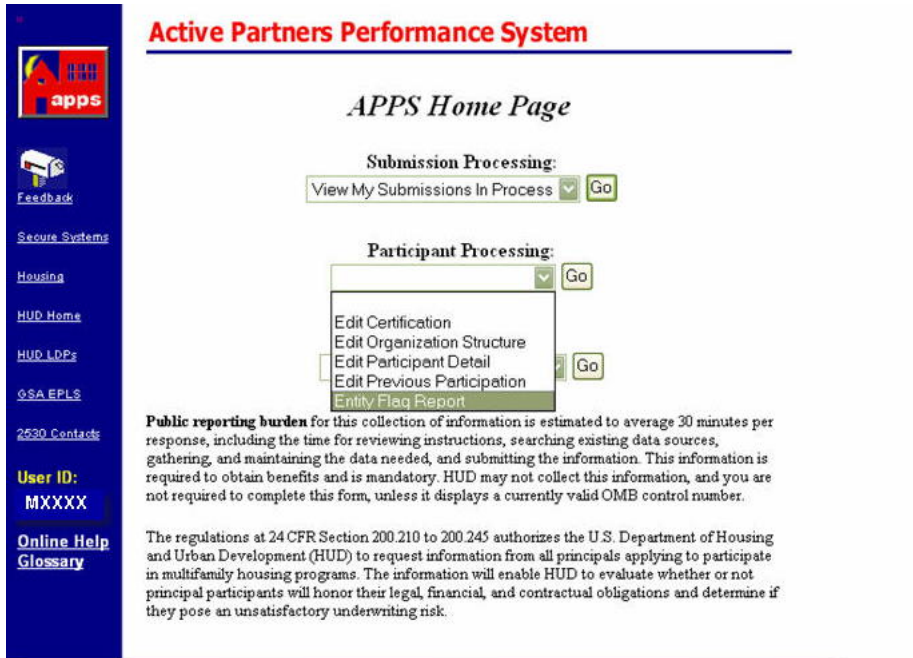


Figure 18-5: APPS Home Page

2. The Entity Flag Search Screen will display.

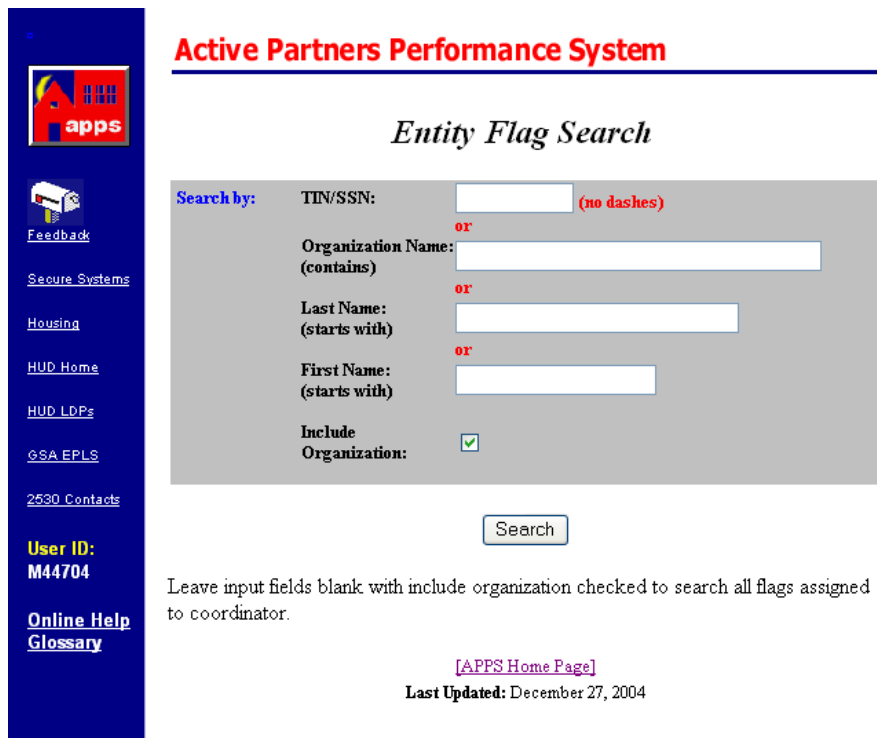


Figure 18-6: Entity Flag Search Screen

3. Enter TIN/SSN or Organization Name or Last Name or First Name. You may also include search by organization or within organization. Click on Search.
4. The Entity Flag Report displays

**Entity Flag Report**

Entity	TIN/SSN	Property	Flag Reason	Status	Created Date	Direct Flags	Inherited Flags
Green Corp	32323232	ABCD House	Violation of Business Agreements	Active	02/06/2003		Y
Green Corp	1213213	DEF House	Unauthorized Transfer of Physical Assets	Active	02/06/2003		Y
Green Corp	1122222	XYZC House	Failure to File Financial Statement (2000)	Active	02/11/2002		Y
Green Corp	3333333	JJJDR House	Failure to File Financial Statement (1999)	Active	02/20/2002		Y
Green Corp	4444444	IIIIIRRII House	Failure to File Financial Statement (1999)	Active	03/15/2002		Y

Figure 18-7: Entity Flag Report Screen

### 18.3 Researching HUD Limited Denials of Participation (HUD LDPs)

1. Click [HUD LDPs](#) on the APPS Home Page blue sidebar. The Limited Denials of Participation screen displays.

**Homes & Communities**  
U.S. Department of Housing and Urban Development

**Enforcement Center**  
About DEC  
DEC Relationships  
DEC Scope  
DEC Offices  
Reference library  
Links / resources

**HUD news**

**Homes**

**Communities**

**Working with HUD**

**Resources**

**Tools**  
Let's talk  
Webcasts  
Mailing lists  
Contact us  
Help

**FIRST GOV**  
Your First Click to the U.S. Government

**White House**  
President George W. Bush

**Enforcement Center**  
Text only | Search/index  
Home > About HUD > Enforcement Center

## Limited Denials of Participation

- ▶ [HUD Memorandum on Limited Denial of Participation](#)
- ▶ [Limited Denial of Participation List](#)
- ▶ Call 1-866-784-0492 for questions

**Local information**  
Print version  
E-mail this to a friend

**Related Information**  
The Limited Denial of Participation List has changed. The list is updated daily. To search the list, simply type in the name of the participant and select "Search by Name". To print the results of your search, select File then Print Frame on your browser. It is suggested that you print in landscape on legal size paper.

\* Suggested browsers are Netscape 4.X or higher and Internet Explorer 5.X

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U.S. Department of Housing and Urban Development  
451 7th Street S.W., Washington, DC 20410  
Telephone: (202) 708-1112 TTY: (202) 708-1455  
[Visit a local HUD office near you](#)

Privacy Statement  
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Figure 18-8: Limited Denials of Participation Web Page

- Click **Limited Denial of Participation List**. The Limited Denials of Participation and Voluntary Abstention List as of 06/13/2003 screen displays. The names are listed alphabetically.

**U.S. Department of Housing and Urban Development**  
 Limited Denial of Participation, HUD Funding Disqualifications  
 and Voluntary Abstentions List as of 06/05/2006

Search Results for "james": 1 Entry Found

[All LDPs](#)

Name	Affiliate	Address	Scope of Disqualification	Disqualification Start Date	Disqualification End Date	Disqualification List Date	Office	Contact Person	Contact Number	Contact Email
Doe James	-	Falls Church MO	All Housing Programs	01/24/06	01/23/07	02/28/06	Denver SF Homeownership Center	Mr. Smith	222-222-222 x222	Mr.Smith@HUD.gov

**Figure 18-9: Limited Denials of Participation and Voluntary Abstention List**